

# AMS Manual Amsterdam Modeling Suite 2020

www.scm.com

May 12, 2021

## CONTENTS

1	Gene	ral	1
	1.1	Overview	1
	1.2	What's new in the AMS driver?	1
		1.2.1 New in AMS2020.1	1
		1.2.2 New in AMS2019.3	2
		1.2.3 New in AMS2019.1	2
	1.3	Motivation and progress	3
	1.4	Input, execution and output	4
2	Inpu	t, execution and output	7
	2.1	Input	7
		2.1.1 Example	7
		2.1.2 Tasks	8
		2.1.3 Properties	8
		2.1.4 General remarks on input structure and parsing	9
		2.1.5 Keys	9
		2.1.6 Blocks	1
		2.1.7 Including an external file	2
		2.1.8 Units	2
	2.2	Execution	3
		2.2.1 Shell script	3
		2.2.2 Running AMS on compute clusters	3
	2.3	Output	4
		2.3.1 Results directory	5
		2.3.2 Logfile ams.log	5
		2.3.3 Binary output files	5
		2.3.4 Standard output	6
		2.3.5 AMS environment variables	6
	2.4	Driver level parallelism	7
	2.5	Python interface	21
	2.6	Pipe interface	21
		2.6.1 AMSPipe protocol specification	21
		Low-level message encoding	22
		Return messages and error handling	2
		Methods 2	23
		2.6.2 AMS as a pipe master 2	25
		2.6.3         AMS as a pipe worker         2 <th>26</th>	26
2	Coor	notwy System definition	7
3	Geon	Constant Lattice	:/ \7
	3.1		27

		3.1.1 Modifying the geometry 29
	3.2	Symmetry
	3.3	Regions
	3.4	Charge, atomic masses, input bond orders
	3.5	Homogeneous electric field and multipole charges
	3.6	Load a System from file
	3.7	Atom attributes
	3.8	Force field related extensions
		3.8.1 Load charges for a forcefield into regions
		3.8.2 Load forcefield atom types
4	Stru	cture and Reactivity, Molecular Dynamics 39
	4.1	Single point calculations
	4.2	Bond energy calculations
		4.2.1 Ground state energy
		4.2.2 Formation energy 4
		4 2 3 Atomization energies 4
		4.2.4 Chemisorntion energies 4
		4.2.5 Atomic corrections
		4.2.5 Atomic concertons
		4.2.0 Open shell systems
	4.2	4.2.7 Impurities
	4.3	
		4.3.1 Constrained optimization
		Restraints
		4.3.2 Optimization under pressure / external stress
		4.3.3 Optimization methods
		Quasi-Newton
		FIRE
		SCMGO
		Limited-memory BFGS
		Conjugate gradients
		4.3.4 Troubleshooting
		Failure to converge
		Restarting a geometry optimization
	4.4	Transition state search
	4.5	Linear Transit, PES scan
		4.5.1 Troubleshooting
	4.6	Nudged Elastic Band (NEB)
		4.6.1 Input
		4.6.2 Frozen atom constraints
		463 Optimizations and convergence criteria
		464 Output 68
		4.6.5 Troubleshooting 68
	17	Intrinsic Reaction Coordinate (IBC)
	4.7	$4.7.1 \qquad \text{Method details} \qquad \qquad$
		4.7.1 Method details
		4.7.2 Input
	4.0	4.7.5 Output
	4.8	Excited state optimizations
	4.9	Molecular dynamics
		4.9.1 General
		4.9.2 Constrained molecular dynamics
		4.9.3 (Re-)Starting a simulation
		4.9.4 Thermostats and barostats
		Temperature and pressure regimes

		4.9.5       Trajectory sampling and output
	4.10	Grand Canonical Monte Carlo (GCMC)       111         4.10.1       General info       111         4.10.2       Method Details       112         4.10.3       Input       113         4.10.4       Output       118
5	Grad	lients, Hessian, Stress tensor, Elasticity 121
	5.1 5.2 5.3 5.4 5.5 5.6 5.7	Nuclear gradients121Hessian122PES point character122Thermodynamics, gas phase Gibbs free energy125Stress tensor125Elastic tensor126Numerical differentiation options127
6	Vibre	ational Snectroscony 131
	6.1	General       131         6.1.1       Where are the results?       131         IR frequencies and normal modes       132         6.2.1       All vibrational Modes       132         Rescanning Imaginary modes       133         6.2.2       Symmetric Displacements       134         6.2.3       Mobile Block Hessian (MBH)       135         6.2.4       Mode Scanning       137         Theory       137         Input       138         6.2.5       Mode Refinement       138         Theory       139         Input       140         6.2.6       Mode Tracking       141         Theory       144         Input       143         Input       143         Input       143         Input       144         Input       143         Input       144         Input       144         Input       143         Input       144         Input       145         Input       146         6.2.6       Mode Tracking methods       145         Input: Selecting modes       147         Input: Conve
	6.3 6.4	6.2.7       Selecting modes       149         6.2.8       Thermodynamics (ideal gas)       152         Gibbs free energy change for a gas phase reaction       154         6.2.9       Moments of inertia       155         6.2.10       Partial Vibrational Spectra (PVDOS)       155         Phonons       155         (Resonance) Raman       159

		6.4.1 Raman	59
		6.4.2 Resonance Raman: excited-state finite lifetime	60
		6.4.3 Resonance Raman: VG-FC	61
		Theory	61
		Input	62
	6.5	VROA: (Resonance) vibrational Raman optical activity	64
		6.5.1 Engine ADF	65
	6.6	VCD: Vibrational Circular Dichroism	65
	0.0	6.6.1 Atomic polar tensor (APT) model	65
		66? Analytical VCD in ADF	66
			00
7	Vibra	tionally resolved electronic spectra	67
	7.1	AH-FC: Adiabatic Hessian Franck-Condon	67
		7.1.1 FCF module: Franck-Condon Factors	67
		Theory	68
		Input	69
		Result: TAPE61	70
		7.1.2 ECF example absorption and fluorescence.	71
		713 FCF Example absorption and nucleoconce 111111111111111111111111111111111111	73
	72	VG-FC: Vertical Gradient Franck-Condon	74
	1.2	7.2.1 Theory 1	75
		Theory: Vibronic Structure Tracking	76
		Theory: Vibronic Structure Definement	170
		Theory Adiabatia avaitation anargy	.70
		7.2.2 Input: Vibrania Structure all modes	.70
		7.2.2 Input. Vibronic Structure Treating	.70
		7.2.5 Input: Vioronic-Structure Tracking	. 79
		Input: Restarting VS1	.80
		7.2.4 Input: vibronic-Structure Reinement	.80
			.82
		7.2.6 Input: Producing the spectrum	.83
8	Dino	e moment Polerizebility Rond orders	85
0	8 1	Charges Dipole Moment Polarizability	85
	0.1 8 2	Bond orders & Molecule detection	.0J 86
	0.2		.00
9	Engi	195	89
	91	Available engines	89
	9.2	Summary of engine capabilities	90
	93	External programs as engines	92
	9.4	Toy engines	95
	9.5	Engine add-ons	96
	1.5	9.5.1 Dispersion corrections	96
		0.5.7 Dispersion concertons	08
		9.5.2 Non-isotronic external stress	00
		0.5.4 Atom energies	·//
		9.5.4 Atom energies	201
		9.5.5 Resulating	.01
10	Utilit	ies 2	205
	10.1	ChemTraYzer 2	205
	10.1	10.1.1 General information 2	205
		10.1.2 Granhical user interface	.05 205
		10.1.2 Command line execution	.05 205
		10.14 Results	,05 200
	10.2	Trajectory Analysis	.00 20(
	10.2	10.2.1 Radial Distribution Function (RDF)	,00 80(
		10.2.1 Radia Distribution i uncuon $(RD1)$	.00

		Description	8
		Options	3
		10.2.2 Histogram	)
		10.2.3 Autocorrelation Functions	1
		Description	3
		Options	4
		10.2.4 Diffusion Coefficient	5
	10.3	VCD Analysis: VCDtools	5
		10.3.1 General Theory	5
		10.3.2 General Coupled Oscillator Analysis	5 _
		10.3.3 Available options	7
11	Exan	uples 210	9
	11.1	Geometry optimization 219	9
		11.1.1 Example: Simple geometry optimization	9
		11.1.2 Example: Two-stage geometry optimization with initial Hessian	9
		11.1.3 Example: Periodic lattice optimization under pressure	1
		11.1.4 Example: Phase Transition Due To External Nonuniform Stress	2
		11.1.5 Example: Boron nitride optimization under external stress	3
		11.1.6 Example: Graphene optimization under external stress	5
		11.1.7 Example: Constrained optimizations	7
	11.2	Transition state search	2
		11.2.1 Example: TS search starting from initial Hessian	2
		11.2.2 Example: PES scan and TS search for H2 on graphene	3
	11.3	Nudged Elastic Band (NEB)	7
		11.3.1 Nudged Elastic Band (NEB) Examples	7
		HCN isomerization reaction with NEB	7
		H2 dissociation on graphene	7
		Running multiple NEB calculations using PLAMS	7
	11.4	Intrinsic reaction coordinate (IRC)	8
		11.4.1 Example: IRC for HCN	8
		11.4.2 Example: TS and IRC for Claisen reaction	9
	11.5	PES scan	2
		11.5.1 Example: Linear transit	2
		11.5.2 Example: 2D PES scan	5
	11.6	Molecular dynamics	)
		11.6.1 Example: Simple MD for H2	)
		11.6.2 Example: MD for a box of water	)
		11.6.3 Example: Lattice deformations in MD	)
	11.7	Vibrational analysis	5
		11.7.1 Example: Mode Refinement	5
		11.7.2 Example: Mode Tracking	3
		11.7.3 Example: Vibronic-Structure Tracking	l
	11.8	PES point properties	1
		11.8.1 Example: Phonons for graphene	1 7
		11.8.2 Example: Phonons with isotopes	) -
		11.8.3 Example: User-defined Brillouin zone for phonon dispersion	/
	11.0	11.8.4 Example: Elastic tensor	チっ
	11.9	Pipe interface         282           11.0.1         Ensurable ASE colorable to solve a block         202	2~
		11.9.1 Example: ASE calculator as a pipe worker	۲ ۸
		11.9.2 Example: AWIS as a pipe worker	+
12	Appe	ndices 285	5
	12.1	Extended XYZ file format	5

	12.2	Developer options	285
	12.3	Symmetry	287
		12.3.1 Schönfliess symbols and symmetry labels	287
		12.3.2 Molecular orientation requirements	289
13	Requ	uired citations	291
	13.1	General references	291
	13.2	Feature references	291
		13.2.1 Frequencies, IR Intensities, Raman, VCD	291
14	Exte	rnal programs and Libraries	293
15	Keyv	vords	295
	15.1	Links to manual entries	295
	15.2	Summary of all keywords	296
Inc	dex		373

#### CHAPTER

## GENERAL

## 1.1 Overview

AMS is the new driver program introduced in the 2018 release of the Amsterdam Modeling Suite. The job of AMS is to handle all changes in the simulated system's geometry, e.g. during a geometry optimization or molecular dynamics calculation, using the so-called "engines" like ADF, BAND or DFTB for the calculation of energies and forces. In summary, one might say that the AMS driver steers the engines across the potential energy surface.

Prior to the 2018 release of the Amsterdam Modeling Suite, what we now call engines used to be separate programs, each with their own input and output files and formats. Starting with the 2018 release, the engines are only accessible through the AMS driver program, that provides a unified interface to all of them.

## 1.2 What's new in the AMS driver?

### 1.2.1 New in AMS2020.1

- ADF has been fully integrated as an AMS engine.
- New engines: MLPotential implementing several different type of machine learning (ML) potentials. A Hybrid engine for combining other engines in QM/MM calculations. Enhanced ForceField engine (formerly UFF).
- Improvements to the *Quasi-Newton geometry optimizer* (page 47): Periodic systems can now be optimized in delocalized coordinates. Better performance for systems made up of disconnected fragments.
- Geometry optimization can now be performed with *frozen and equal strain constraints* (page 46) for the lattice degrees of freedom. This option is currently only available with the *FIRE optimizer* (page 50).
- Support for external electric fields (page 33): Homogeneous as well as multipole charges.
- Enhanced support for *vibrational spectroscopy* (page 129): (resonance) Raman, (resonance) vibrational Raman optical activity, vibrational circular dichroism.
- The MD driver now supports time-dependent *lattice deformations* (page 89) as well as a method for accelerating bonding reactions (*Bond Boost method* (page 105)).
- Reactive MD calculations with the AMS driver can now be analyzed with ChemTraYzer (page 205).
- It is now possible to selectively disable writing some parts of a MD trajectory (page 86) to save space.
- More *trajectory analysis* (page 206) options: *autocorrelation functions* (page 211) and *diffusion coefficient* (page 215)
- The molecular composition analysis (page 186) can now be done with respect to an adsorption support region.

- The *transition state search* (page 55) task now allows the users to specify an approximate reaction coordinate (TSRC).
- For the calculation of normal modes, the *Mobile Block Hessian* (page 134) method now allows to treat parts of the system as rigid blocks.
- New coordinates for *constrained geometry optimizations* (page 44) and *PES scans* (page 58): Sum of distances and difference of distances.
- Added the ability to include additional potential terms (i.e. springs) through the *Restraints engine add-on* (page 201).
- A running AMS driver process can be used from Python through the new AMSWorker class in the PLAMS library. The communication between PLAMS and the AMS driver happens via the new *Pipe interface* (page 21) protocol.
- Introduced Regions (page 32) to simplify the input syntax for options that apply to a subset of atoms only.
- Symmetrization of systems from the input and the option to input a Z-matrix.
- Low frequencies contribution to *thermodynamics properties* (page 151) can be corrected using a free rotor interpolation method.

### 1.2.2 New in AMS2019.3

- The *Nudged elastic band method (NEB)* (page 62) for finding minimum energy paths of transitions has been added.
- The new *PES point character property* (page 122) can be used to quickly calculate a few of the lowest vibrational modes of a system and to verify the success of a geometry optimization or transitions state search.
- *Driver level parallelism* (page 17) is now enabled and managed automatically, improving the performance and scalability of many applications.
- A *Mode Scanning* (page 137) calculation can now be *started automatically* (page 133) for all modes within a specific frequency range.
- Methods for the quick calculation of the vibrationally resolved electronic spectra (page 166): Vibronic-Structure Refinement (page 178) and Vibronic-Structure Tracking (page 176).
- New geometry optimizer available: *Limited-memory BFGS* (page 53)
- Input keywords that expect lists of numbers can now be specified as *ranges using a Python slice-like notation* (page 10). Input keywords that expect a single real number now also accept fractions (of integers).
- New option to include a *non-isotropic external stress* (page 199) for 1D,2D and 3D periodic systems. This can be used to study structural deformation and mechanical properties of materials under non-isotropic stress.
- New *add-on system* (page 195) for manipulating and augmenting the results returned from the *engines* (page 187):
  - 1. Grimme's D4 and D3 dispersion corrections can be used with any engine through the *D4Dispersion and D3Dispersion add-ons* (page 196).

### 1.2.3 New in AMS2019.1

- Intrinsic Reaction Coordinate (IRC) Scan (page 69) in now available in the AMS driver for molecular and periodic systems.
- Support for the Grand Canonical Monte Carlo (GCMC) (page 111) method has been added in the AMS driver.

- Molecular composition analysis for molecular dynamics simulations (see tutorial)
- Collective Variable-driven Hyperdynamics (CVHD) (page 100)
- Molecule gun (page 92) and molecule sink (page 95) for molecular dynamics
- PLUMED library support (page 98) for MD analysis and a wide variety of free energy methods
- The initial symmetry of a system is enforced during geometry optimizations with the Quasi-Newton optimizer.
- *Thermodynamic properties* (page 151) (assuming an ideal gas) are automatically computed after normal modes calculations.
- Partial vibrational density of states (PVDOS) (page 155) for normal modes.
- The system's symmetry is used to accelerate numerical nuclear derivatives and to provide symmetry labels for normal modes.
- The AMS driver starts up much faster, significantly speeding up scripting applications that launch AMS many times.
- New tools for mode selective vibrational analysis:
  - 1. Mode Scanning (page 137) (aka ADF's ScanFreq)
  - 2. Mode Refinement (page 138) (aka "Frequency range selection")
  - 3. Mode Tracking (page 141)

## 1.3 Motivation and progress

The Amsterdam Modeling Suite has grown substantially over the last decade, and in the 2017 release included programs implementing methods all the way from accurate density functional theory, through semi-empirical methods, to fast reactive force fields. Many of these programs have originally been developed by academic groups and are now maintained and expanded by SCM in collaboration with the original authors.

This rapid growth of the Amsterdam Modeling Suite had, however, led to a certain degree of unnecessary inhomogeneity within the suite: The input for the same task, e.g. a geometry optimization, differed quite a lot between the different programs in the suite. While this problem was mostly hidden for users of the graphical interface, it constituted a barrier for users of the new scripting frameworks such as PLAMS. Furthermore, the different programs produced rather different output files for the same task, making the automated extraction of results unnecessarily difficult. Finally, and most importantly, the rapid growth of the AMS suite had also led to a certain level of feature fragmentation, where some features were available in one program but not the other: ADF, for example, was able to do a linear transit calculation, while BAND was not. Constrained geometry optimization was supported in DFTB, but not in UFF. ReaxFF could be used for Grand Canonical Monte Carlo simulations, but DFTB could not.

In order to overcome these issues and make the Amsterdam Modeling Suite more powerful and user friendly, we introduced the AMS driver program with the 2018 release of the suite. The idea of this reorganization is to have only a single program called the AMS driver that under the hood uses the so-called "engines" like ADF, BAND and DFTB for the calculation of energies and gradients, where the engines are technically no longer separate programs but just libraries used by the AMS driver. In this way much of the input and output of AMS is the same, no matter which particular engine is used for a calculation. It also avoids the feature fragmentation, since any new feature in the AMS driver can immediately be used with all engines in the suite. Furthermore, the AMS driver also allows running *external programs as an engine* (page 191) providing energies and gradients, allowing end-users to perform all calculations supported by AMS with virtually any atomistic modeling program they have access to and to visualize the results in the graphical user interface of the Amsterdam Modeling Suite.

Converting all the programs of the Amsterdam Modeling Suite into engine libraries that are used by the AMS driver was a big reorganization of the entire suite, which was spread out over multiple releases between 2018 and 2020. As of the AMS2020 release, ADF itself has been integrated into the AMS driver, making the integration of all engines

into the AMS driver finally complete. (The only exception is QuantumEspresso, which is integrated into the GUI, but has no connection to the AMS driver in the 2020 release.)

As with any large reorganization, it is unavoidable that some things change. For GUI users this should not create any issues, but users familiar with the existing command line and scripting interfaces will notice these changes and their existing workflows might need to be adjusted to the new setup. We know that these kind of changes can be disrupting for existing users, and where possible we try to keep backwards compatibility with previous versions, but unfortunately this is not always possible. However, overall AMS provides a much more consistent and convenient interface to command line and scripting users, and we believe that the new simplicity and expanded feature set of AMS make transitioning to the new framework well worth the effort.

## 1.4 Input, execution and output

With the introduction of AMS in the 2018 release of the Amsterdam Modeling Suite, there were some changes in the input and output files and formats used by our software. Users of the graphical interface should not notice these changes, but people using the software from the command line or through the scripting frameworks need to be aware of them.

This section contains the main changes. For a more complete description see:

• The extended Input, execution and output section (page 7).

Generally the input for AMS has the block and keyword structure that most programs in the Amsterdam Modeling Suite have already been using. See the *General remarks on input structure and parsing* (page 9) section for more details. The only new construct in the AMS input is a special Engine block, that selects which engine is used for the simulation and also contains all the details of its configuration. This is probably best illustrated by an example. Let us look at the following AMS input, which optimizes the geometry of the methane molecule and calculates its normal modes of vibration at the optimized geometry:

```
$AMSBIN/ams << EOF
  Task GeometryOptimization
  GeometryOptimization
     Convergence
        Gradients 1.0e-4
     End
  End
  Properties
     NormalModes true
  End
  System
     Atoms
        С
              0.0000000
                               0.0000000
                                                0.0000000
        Н
              0.63294000
                               -0.63294000
                                                -0.63294000
                               0.63294000
        Н
              -0.63294000
                                                -0.63294000
        Η
              0.63294000
                                0.63294000
                                                0.63294000
        Н
               -0.63294000
                               -0.63294000
                                                 0.63294000
     End
  End
  Engine DFTB
     Model DFTB3
     ResourcesDir DFTB.org/3ob-3-1
```

(continues on next page)

(continued from previous page)

#### EndEngine EOF

Note how DFTB is selected as the engine in the Engine DFTB line that opens the Engine block. All DFTB specific configuration is contained within this engine block, which is terminated by EndEngine. The fact that we want to run a geometry optimization with normal modes for methane and things like convergence criteria for the optimization are of course completely independent from which engine is actually used to perform this calculation. Therefore they are all found *outside* of the Engine block. In this sense, the AMS input is split up into the driver level input (everything outside of the engine block) and the engine input, which is just a single Engine block. This separation makes it easy to perform the same calculation at a different level of theory, by simply switching out the Engine block in the input. We could, for example, repeat the same calculation at the DFT-GGA level using the Band engine:

```
Engine BAND
XC
GGA PBE
End
EndEngine
```

Engines like ADF and BAND that have many options and can calculate many properties, consequently also have a large number of possible keywords in their input. In order to have a better structured documentation we have split off the description of the engine inputs into separate *engine specific manuals* (page 187), while this AMS manual only documents the driver level keywords outside of the Engine block. All the engine specific options are found in the respective engine's manual, which documents the keywords in its Engine block. In general all engines can be used with all tasks in AMS. There are only a few rather obvious restrictions, for example that only engines which can handle periodic systems can be used for the calculation of phonons.

The introduction of the Engine block is the only real change AMS brings to the input side of things. On the output side there are a few more changes.

The first change to the output is that AMS does not put any of its output files into the present working directory, as virtually all of the standalone programs in the suite did. Instead AMS creates a \*.results directory, which collects all result file associated with a job. Here \* is replaced by the jobname, which is set with the AMS\_JOBNAME environment variable:

```
AMS_JOBNAME=methane $AMSBIN/ams << EOF
... see above ...
EOF
```

This would put all results related to our geometry optimization of methane into the newly created folder methane. results. (The default name of the results folder is ams.results if AMS\_JOBNAME is not set, see the *environment variables* (page 16) section of this manual for documentation of all environment variables used by AMS.) In this way users can easily run multiple jobs in the same directory without danger of clashing output files, which was a common problem before the introduction of AMS. This new setup is also more consistent with the graphical user interface, which already collected all files associated with a specific job into a dedicated results directory. Note that AMS will by default **not overwrite** results directories if a job is rerun or another job is run with the same jobname.

Inside of the results directory users will always find the logfile ams.log, which is written during a running calculation and can be used to monitor its progress. Furthermore the results directory contains binary result files in the KF format, which can be opened and inspected with the KFBrowser GUI component.

• The main ams.rkf written by the AMS driver. It contains high level information about the trajectory that the AMS driver took over the potential energy surface. For example, for a molecular dynamics simulation it would contain the full trajectory. The format in which this information is written is independent from which engine was used for a calculation.

- The engine specific main binary output file written by the engine (and partly by the AMS driver). This file is kept for only one special point, e.g. the final geometry in a geometry optimization. For example, for ADF this engine file is called adf.rkf (instead of TAPE21 in ADF<=2019), for BAND band.rkf, for DFTB dftb.rkf. If a property, like vibrational modes, is tied to the special point on the potential energy surface, it is stored in this file. Also all engine specific properties are written to this file, like orbitals in case of a quantum mechanical engine.
- Additionally there might be a binary output file for every point on the potential energy surface that was visited during the calculation.
- Other engine specific binary (and ASCII) output files written by the engine.

Having multiple different binary output files could be confusing for people that are used to the single result file that was written by the standalone programs in ADF<=2017. After all, it brings up the question in which file the desired property is stored. The general rule is: if the property is tied to a particular point on the potential energy surface, it is stored in the engine output file belonging to that particular point. If the information depends on the entire trajectory over the PES, it is found in the main ams.rkf written by the AMS driver.

## INPUT, EXECUTION AND OUTPUT

## 2.1 Input

The input for AMS has a block and keyword structure. See the *General remarks on input structure and parsing* (page 9) section for more details.

The input keys *System* (page 27), *Task* (page 8), and *Engine* (page 187), are obligatory. Most other input keys in AMS are optional, like *Properties* (page 8). In the rest of this manual one can find all input keys for AMS.

The Engine specific input can be found in the respective Engine Manuals, for example, the manual for ADF, BAND, DFTB, ForceField, MOPAC, and ReaxFF.

#### 2.1.1 Example

The AMS input has a special Engine block, that selects which engine is used for the simulation and also contains all the details of its configuration. This is probably best illustrated by an example. Let us look at the following AMS input, which optimizes the geometry of the methane molecule and calculates its normal modes of vibration at the optimized geometry:

```
$AMSBIN/ams << EOF
  Task GeometryOptimization
  GeometryOptimization
     Convergence
        Gradients 1.0e-4
     End
  End
  Properties
     NormalModes true
  End
  System
     Atoms
        С
               0.00000000
                                0.00000000
                                                  0.0000000
                0.63294000
                                -0.63294000
                                                 -0.63294000
        Н
        Η
               -0.63294000
                                 0.63294000
                                                 -0.63294000
        Η
               0.63294000
                                 0.63294000
                                                  0.63294000
        Н
               -0.63294000
                                -0.63294000
                                                  0.63294000
     End
  End
  Engine DFTB
```

(continues on next page)

(continued from previous page)

```
Model DFTB3
ResourcesDir DFTB.org/3ob-3-1
EndEngine
EOF
```

Note how DFTB is selected as the engine in the Engine DFTB line that opens the Engine block. All DFTB specific configuration is contained within this engine block, which is terminated by EndEngine. The fact that we want to run a geometry optimization with normal modes for methane and things like convergence criteria for the optimization are of course completely independent from which engine is actually used to perform this calculation. Therefore they are all found *outside* of the Engine block. In this sense, the AMS input is split up into the driver level input (everything outside of the engine block) and the engine input, which is just a single Engine block. This separation makes it easy to perform the same calculation at a different level of theory, by simply switching out the Engine block in the input. We could, for example, repeat the same calculation at the DFT-GGA level using the Band engine:

```
Engine BAND
XC
GGA PBE
End
EndEngine
```

Engines like ADF or BAND that have many options and can calculate many properties, consequently also have a large number of possible keywords in their input. In order to have a better structured documentation we have split off the description of the engine inputs into separate *engine specific manuals* (page 187), while this AMS manual only documents the driver level keywords outside of the Engine block. All the engine specific options are found in the respective engine's manual, which documents the keywords in its Engine block. In general all engines can be used with all tasks in AMS. There are only a few rather obvious restrictions, for example that only engines which can handle periodic systems can be used for the calculation of phonons.

### 2.1.2 Tasks

The key Task is described in more detail in the section *Structure, Reactivity, and Molecular Dynamics* (page 39). The Task VibrationalAnalysis is described in more detail in the section *Vibrational Spectroscopy* (page 129) (Mode Scanning, Mode Refinement, Mode Tracking, VG-FC Resonance Raman) and in the section *Vibrationally resolved electronic spectra* (page 166) (VG-FC: Vertical Gradient Franck-Condon).

Below the possible arguments for Task are given.

Task [SinglePoint | GeometryOptimization | TransitionStateSearch | IRC | PESScan | →NEB | VibrationalAnalysis | MolecularDynamics | GCMC]

### 2.1.3 Properties

The block key Properties is described in more detail in the section *Gradients*, *Hessian*, *Stress tensor*, *Elasticity* (page 119), in the section *Vibrational Spectroscopy* (page 129), and in the section *Dipole moment*, *Polarizability*, *Bond* orders (page 184).

Below the possible Properties are summarized.

```
Properties
BondOrders Yes/No
Charges Yes/No
DipoleGradients Yes/No
DipoleMoment Yes/No
```

(continues on next page)

(continued from previous page)

```
ElasticTensor Yes/No
Gradients Yes/No
Hessian Yes/No
Molecules Yes/No
Other Yes/No
PESPointCharacter Yes/No
Phonons Yes/No
Polarizability Yes/No
Raman Yes/No
SelectedRegionForHessian string
StressTensor Yes/No
VCD Yes/No
VROA Yes/No
End
```

#### 2.1.4 General remarks on input structure and parsing

- Most keys are optionals. Defaults values will be used for keys that are not specified in the input
- Keys/blocks can either be *unique* (*i.e.* they can appear in the input only once) or *non-unique*. (i.e. they can appear multiple times in the input)
- The order in which keys or blocks are specified in the input does not matter. Possible exceptions to this rule are a) the content of non-standard blocks b) some non-unique keys/blocks)
- Comments in the input file start with one of the following characters: #, !, :::

```
# this is a comment
! this is also a comment
:: yet another comment
```

- · Empty lines are ignored
- The input parsing is **case insensitive** (except for string values):

```
# this:
UseSymmetry false
# is equivalent to this:
USESYMMETRY FALSE
```

• Indentation does not matter and multiple spaces are treaded as a single space (except for string values):

```
# this:
    UseSymmetry false
# is equivalent to this:
UseSymmetry false
```

### 2.1.5 Keys

Key-value pairs have the following structure:

KeyName Value

Possible types of keys:

**bool key** The value is a single Boolean (logical) value. The value can be True (equivalently Yes) or False (equivalently No.). Not specifying any value is equivalent to specifying True. Example:

KeyName Yes

integer key The value is a single integer number. Example:

KeyName 3

float key The value is a single float number. For scientific notation, the E-notation is used (e.g.  $-2.5 \times 10^{-3}$  can be expressed as -2.5E-3). The decimal separator should be a dot (.), and **not** a comma (,). Example:

KeyName -2.5E-3

Note that fractions (of integers) can also be used:

KeyName 1/3 (equivalent to: 0.33333333333...)

string key The value is a string, which can include white spaces. Only ASCII characters are allowed. Example:

KeyName Lorem ipsum dolor sit amet

**multiple\_choice key** The value should be a single word among the list options for that key (the options are listed in the documentation of the key). Example:

KeyName SomeOption

integer\_list key The value is list of integer numbers. Example:

KeyName 1 6 0 9 -10

Note that one can also specify ranges of integers by specifying the interval and (optionally) the step size separated by colons:

```
KeyName 1:5(equivalent to: 1 2 3 4 5)KeyName 2:10:2(equivalent to: 2 4 6 8 10)KeyName 20:10:-2(equivalent to: 20 18 16 14 12 10)
```

Note also that ranges can be freely combined with individual numbers:

KeyName 1:5 10 20 (equivalent to: 1 2 3 4 5 10 20)

float\_list key The value is list of float numbers. The convention for float numbers is the same as for Float keys. Example:

KeyName 0.1 1.0E-2 1.3

Float lists can also be specified as a range with equidistant points, by specifying the interval's boundaries (inclusive) as well as the number of desired subintervals separated by colons:

KeyName 1.0:1.5:5 (equivalent to: 1.0 1.1 1.2 1.3 1.4 1.5)

Range specifications can be freely combined with each other and single numbers:

KeyName 0.0 1.0:1.5:5 2.0:3.0:10

### 2.1.6 Blocks

Blocks give a hierarchical structure to the input, grouping together related keys (and possibly sub-blocks). In the input, blocks generally span multiple lines, and have the following structure:

```
BlockName
KeyName1 value1
KeyName2 value2
...
End
```

#### Headers

For some blocks it is possible (or necessary) to specify a *header* next to the block name:

```
BlockName someHeader
KeyName1 value1
KeyName2 value2
...
End
```

#### **Compact notation**

It is possible to specify multiple key-value pairs of a block on a single line using the following notation:

```
# This:
BlockName KeyName1=value1 KeyName2=value2
# is equivalent to this:
BlockName
   KeyName1 value1
   KeyName2 value2
End
```

Notes on compact notation:

- The compact notation cannot be used for blocks with headers.
- Spaces (blanks) between the key, the equal sign and the value are ignored. However, if a value itself needs to contain spaces (e.g. because it is a list, or a number followed by a unit), the entire value must be put in either single or double quotes:

```
# This is OK:
BlockName Key1=value Key2 = "5.6 [eV]" Key3='5 7 3 2'
# ... and equivalent to:
BlockName
   Key1 value
   Key2 5.6 [eV]
   Key3 5 7 3 2
End
# This is NOT OK:
BlockName Key1=value Key2 = 5.6 [eV] Key3=5 7 3 2
```

#### **Non-standard Blocks**

A special type of block is the *non-standard block*. These blocks are used for parts of the input that do not follow the usual key-value paradigm.

A notable example of a non-standard block is the Atoms block (in which the atomic coordinates and atom types are defined).

#### 2.1.7 Including an external file

You can include an external ASCII file in the input with the @include directive:

```
@include FileName.in
@include "file name with spaces.in"
```

The file name should include the path, either absolute or relative to the run-directory. The content of the file is included in the input at the point where the @include directive occurs. The @include directive may occur any number of times in the input.

The @include feature makes it easy to pack your preferred settings in one file and use them in every run with minimum input-typing effort.

Note: The @include directive has been added in the AMS2020.102 subrelease.

#### 2.1.8 Units

Some keys have a default unit associated (not all keys have units). For such keys, the default unit is mention in the key documentation. One can specify a different unit within square brackets at the end of the line:

KeyName value [unit]

For example, assuming the key EnergyThreshold has as default unit Hartree, then the following definitions are equivalent:

```
# Use defaults unit:
EnergyThreshold 1.0
# use eV as unit:
EnergyThreshold 27.211 [eV]
# use kcal/mol as unit:
EnergyThreshold 627.5 [kcal/mol]
# Hartree is the atomic unit of energy:
EnergyThreshold 1.0 [Hartree]
```

Available units:

- Energy: Hartree, Joule, eV, kJ/mol, kcal/mol, cm1, MHz
- Length: Bohr, Angstrom, meter
- Angles: radian, degree
- Mass: el, proton, atomic, kg
- Pressure: atm, Pascal, GPa, a.u., bar, kbar
- Electric field: V/Angstrom, V/meter, a.u.

## 2.2 Execution

## 2.2.1 Shell script

The AMS driver reads its input from standard input, i.e. what is called STDIN on Unix-like systems. Technically it is possible to run AMS and type the input file in interactively. This is however highly impractical and most people run AMS from a small shell script that contains the AMS text input and sends it directly to the AMS executable. For example, the content of the file 'example.run' could be like:

```
#!/bin/sh
$AMSBIN/ams << EOF
... AMS text input goes here:
Block
Keywork value
OtherKeyword value
End
EOF</pre>
```

The shell script 'example.run' needs be executable, if it isn't you will need to make it executable, e.g. chmod u+x example.run. The 'example.run' file needs to be executed as a shell script, not as input to AMS.

```
./example.run >example.out
```

## 2.2.2 Running AMS on compute clusters

AMS is parallelized with MPI and can therefore be run in parallel on distributed memory machines, aka compute clusters. See the installation manual for general documentation on how to set up and run all the programs from the Amsterdam Modeling Suite on compute clusters. In this section we give some more advice that is specific to the AMS driver and its engines.

Normally users use the login node to prepare their jobs and input files somewhere in their home directory, and also want the results of their jobs to end up there. Quite often, compute clusters are set up such that the user's home directory is also mounted on the compute nodes, usually via NFS (Network File System). Before the introduction of the AMS driver it was not recommended to cd to the home directory in the submission script and have the compute nodes execute the job directly there. This was simply due to the fact that a lot of file I/O was done on temporary files in the present working directory, which in this case would be on a slow network-mounted file system.

On the other hand, with AMS, switching to the home directory is the preferred way of running on a cluster where the home directory is mounted on the compute nodes. Running in the home directory mounted over NFS does not come with a performance penalty for AMS, but has many advantages. This is because AMS and its engines are already built under the assumption that access to this directory is slow. Basically there are three directories that are used by the AMS driver and its engines:

- 1. The **starting directory**, i.e. the present working directory at the time the AMS driver is started. This folder is generally read-only for AMS, except for creating the results directory there at the beginning of a calculation. Note that all relative paths in the AMS input, e.g. for loading results from previous calculations, are relative to the starting directory. The starting directory is assumed to be on a slow filesystem, but since data is normally only read once from there in the beginning of a calculation, this is in practice not a problem.
- 2. The **results directory**, where the results of a calculation as well as important intermediate steps (e.g. restart files) are collected. It also contains the log file which can be used to monitor a running calculations. The results directory is assumed to be on a slow filesystem, so AMS and its engines will be very careful not to do much disk

I/O there. Generally something is only written to the results directory when AMS is sure that it should remain on disk when the calculation finishes. The results directory can also contain some intermediate restart files, so the contents of the result directory should be all that is needed in case the calculation crashes or is killed before it finishes normally.

3. The scratch directory, the location of which is set with the \$SCM\_TMPDIR environment variable, see also the installation manual. This directory should be put on a fast disk, e.g. an SSD in the compute node, as it will be used to store temporary results on disk. Users do not really need to care or know about the temporary files in the scratch directory. Normally, any files and directories created in the scratch directory are cleaned up at the end of the calculation. In case of errors, AMS tries to copy anything useful (e.g. the text output of all the different ranks) to the results directory in order to make finding the problem easier. However, for some kinds of crashes (or if the SIGKILL signal is sent to AMS), the cleanup of the scratch directory might not be performed, in which case users might want to manually check or remove the amstmp\_\* folders in the scratch directory.

With this setup there is no performance penalty for running directly on a network mounted home directory: Results will just be put there immediately, instead of being copied there at the end of a calculation.

Normally all batch systems provide an environment variable that is set to the directory from which the job was submitted, which is then where one should cd in the run script:

```
#!/bin/sh
if [ -z "$PBS_0_WORKDIR" ]; then
   # PBS batch system
   cd "$PBS_O_WORKDIR"
elif [ -z "$SLURM_SUBMIT_DIR" ]; then
   # Slurm batch system
   cd "$SLURM_SUBMIT_DIR"
elif [ -z "..." ]; then
   # add other batch systems as necessary ...
   cd "..."
fi
export AMS_JOBNAME=myJob
$AMSBIN/ams << EOF
   # Normal AMS text input, but with all paths
   # relative to where the job was submitted from, e.q.:
   LoadSystem previousJob.results/ams.rkf
EOF
```

With this runscript the AMS driver would make a myJob.results folder in the directory where the job was submitted from, and there is no need to copy results around manually in the run script. Furthermore this runscript always produces exactly the same files in the same locations, no matter if it is run interactively or submitted to a compute node through the batch system. Furthermore all paths in the input file can be specified relative to the location from where the runscript is submitted (normally the folder in which the runscript is located). This removes the need to copy or specify absolute paths to previous results, e.g. when restarting calculations. Finally, files useful for monitoring the running calculation are also conveniently there and not hidden somewhere on the compute node.

## 2.3 Output

AMS produces two ASCII files: standard output and the ams.log file, and produces several binary output data files. The ams.log file is a very concise summary of the calculation's progress during the run. The binary output data files

contain job characteristics and computational results produced by AMS and the Engine. Part of what is written to the binary output files is also written in a human readable form to standard output.

### 2.3.1 Results directory

Note that AMS does not put any of its binary output files and the ams.log file into the present working directory, as virtually all of the standalone programs in the suite did. Instead AMS creates a \*.results directory, which collects all result file associated with a job. Here \* is replaced by the jobname, which is set with the AMS\_JOBNAME environment variable:

```
AMS_JOBNAME=methane $AMSBIN/ams << EOF
   ... see Input example before ...
EOF</pre>
```

This would put all results related to our geometry optimization of methane into the newly created folder methane. results. (The default name of the results folder is ams.results if AMS\_JOBNAME is not set, see the *environment variables* (page 16) section of this manual for documentation of all environment variables used by AMS.) In this way users can easily run multiple jobs in the same directory without danger of clashing output files, which was a common problem before the introduction of AMS. This new setup is also more consistent with the graphical user interface, which already collected all files associated with a specific job into a dedicated results directory. Note that AMS will by default **not overwrite** results directories if a job is rerun or another job is run with the same jobname.

## 2.3.2 Logfile ams.log

Inside of the results directory users will always find the logfile ams.log, which is written during a running calculation and can be used to monitor its progress.

The logfile ams.log is generated during the calculation and flushed after (almost) each message that is sent to it by the program. Consequently, the user can inspect it and see what is going on without being delayed by potentially large system I/O buffers. Each message contains date and time of the message plus additional info.

Be alert on error messages. Take them seriously: inspect the standard output carefully and try to understand what has gone wrong. Be also alert to warnings. They are not necessarily fatal but you should understand what they are about before being satisfied with the results of the calculation. Do not ignore them just because the program has not aborted: in some cases the program may not be able to determine whether or not you really want to do what appears to be wrong or suspicious. If you believe that the program displays erratic behavior, then the standard output file may contain more detailed information. Therefore, in such case save the complete standard output file, together with the logfile ams.log, in case we need these files for further analysis.

### 2.3.3 Binary output files

The results directory contains binary result files in the KF format, which can be opened and inspected with the KF-Browser GUI component. KF stands for Keyed File: KF files are keyword oriented, which makes them easy to process by simple procedures. KF files are Direct Access binary files.

• The main ams.rkf written by the AMS driver. It contains high level information about the trajectory that the AMS driver took over the potential energy surface. For a geometry optimization it would for example contains the history of how the systems geometry changed during the optimization as well as the final optimized geometry. For a molecular dynamics simulation it would contain the full trajectory. The format in which this information is written is independent from which engine was used for a calculation.

• The engine specific main binary output file written by the engine (and partly by the AMS driver). This file is kept for only one special point, e.g. the final geometry in a geometry optimization. The ADF engine writes adf. rkf (instead of TAPE21 in older versions). The BAND engine writes band.rkf (instead of RUNKF in older versions). The DFTB engine writes dftb.rkf. If a property, like vibrational modes, is tied to this special point on the potential energy surface, it is stored in this file. Also all engine specific properties are written to the main binary output file, like orbitals in case of a quantum mechanical engine.

Engine	main file
ADF	adf.rkf
BAND	band.rkf
DFTB	dftb.rkf
ForceField	forcefield.rkf
MOPAC	mopac.rkf
ReaxFF	reaxff.rkf
External	external.rkf

Table 2.1: Engine specific main binary output file

- Additionally there might be an engine specific binary output file for every point on the potential energy surface that was visited during the calculation. Like the engine specific main binary output file they contain information tied to a specific point on the potential energy surface. These engine output files all have the extension .rkf, but their filename is usually somehow descriptive of the point on the PES that they correspond to. Note that one does not always get an engine output file for every PES point that was visited during the calculation. For most applications this would just be too much data.
- Other engine specific binary (and ASCII) output files written by the engine.

Having multiple different binary output files could be confusing for people that are used to the single result file that was written by the standalone programs in ADF<=2017. After all, it brings up the question in which file the desired property is stored. The general rule is: If the property is tied to a particular point on the potential energy surface, it is stored in the engine output file belonging to that particular point. This includes the Hessian, stress tensor, elastic tensor, normal modes of vibration, phonons, Raman intensities and other vibrational properties. If the information depends on the entire trajectory over the PES, it is found in the main ams.rkf written by the AMS driver.

### 2.3.4 Standard output

The standard ouput file contains in a human readable form part of the job characteristics and computational results produced by AMS and the Engine.

### 2.3.5 AMS environment variables

The behavior of AMS related to the output can be modified through a number of environment variables.

- **AMS\_JOBNAME** Sets the name of a job. This name is used to determine the name of the results folder AMS creates, which is \$AMS\_JOBNAME.results or ams.results if this environment variable is not set.
- **AMS\_RESULTSDIR** If this environment variable is set, instead of creating a new results folder, AMS will use the set directory as the results folder. Not that the directory set here will *not* be created by AMS and therefore has to exist before starting AMS. Note that this environment variable can be used to prevent AMS from creating result folders, by setting AMS\_RESULTSDIR=.. This reproduces the pre-AMS behavior of putting all result files into the directory from which a job is started.
- **AMS\_SWITCH\_LOGFILE\_AND\_STDOUT** If this environment variable is set, AMS will redirect what is normally printed on standard output to a file (ams.out) in the results directory. Instead the contents of the log file (ams.log) will be printed to standard output while a job is running, allowing users to easily monitor the jobs

progress. Note that the log file will still be created normally as if this environment variable was not set. This environment variable is just a convenience feature for users that would always redirect their output into a file and then use tail -f on the log file to monitor the running calculation.

This is an example run-script using the AMS\_SWITCH\_LOGFILE\_AND\_STDOUT and AMS\_JOBNAME environment variables:

```
#!/bin/sh
# By setting AMS_SWITCH_LOGFILE_AND_STDOUT, the (more compact)
# logfile will be printed to standard output while the full
# text output of the calculation is redirected to the file
# ams.out in the ams results folder
export AMS_SWITCH_LOGFILE_AND_STDOUT=true
# By default ams creates a folder 'ams.results' and puts the
# results of the calculation there. If we set AMS_JOBNAME, the
# results folder will instead be called $AMS_JOBNAME.results
# (in this case, 'H2_optimization.results')
AMS_JOBNAME=H2_optimization $AMSBIN/ams <<eor
  System
     Atoms
        Н 0 0 0
        H 0 0 1
     End
  End
  Task GeometryOptimization
  Engine DFTB
     Model GFN1-xTB
  EndEngine
eor
```

## 2.4 Driver level parallelism

#### See also:

See also the GUI tutorial on the parallel scalability of the calculation of elastic tensors.

AMS is a parallel program using MPI for efficient execution on distributed memory machines, aka compute clusters. For most jobs, the AMS driver part of a calculation is computationally not particularly costly and most of the execution time is spent inside of the *compute engines* (page 187). Therefore the main parallelization of AMS is inside of the engines, making sure that a good performance is obtained for *tasks* (page 39) such as *molecular dynamics* (page 75) or *geometry optimizations* (page 41), which consist of a series of interdependent engine invocations: We need to have completed step n before we can continue with step n + 1.

However, not all workloads are of this sequentially dependent type. Some jobs have a lot of independent work, that can be done in parallel. This kind of trivial parallelizability can be exploited at the AMS driver level: Instead of having all cores collaborate on a single PES point and then doing all needed PES points sequentially, we can just distribute the available PES points over the all the available cores. Normally this leads to a better parallel scaling than the default parallelization inside of the engines: Parallelizing the engines is relatively complicated and often requires a lot of communication between cores. Parallelizing on the driver level on the other hand is very easy, and often the only communication required is at the very end of the calculation, when results are collected.

Note that it is perfectly possible to combine both the in-engine parallelization and the driver level parallelism: At the driver level we could split our e.g. in total 32 cores into 4 groups of 8 cores, and then have each group of 8 use the

in-engine parallelization to collaborate on a specific calculation. This is especially useful if the total number of cores is larger than then number of independent calculations we have to do. It might also be that we have a very large number of calculations to do, but not enough memory to let every core work alone on its own calculation, as would be ideal from a parallel scaling point of view.

Because of the two levels of parallelism – both at the driver and the engine level – we call this setup **double parallelization**.

Starting with the AMS2019.3 release, driver level parallelism is used and configured automatically. That means that the AMS driver will automatically parallelize at the driver level when it is possible and considered advantageous. As such it should normally not be necessary for users to explicitly configure the driver level parallelism.

Driver level parallelism can be used for the calculation of the *PES point properties* (page 119) which are derivatives, if these need to be done numerically:

- Numerical calculation of *forces / nuclear gradients* (page 121). With a double sided derivative this requires  $6 \times n_{\text{atoms}}$  independent calculations on geometries with one atom displaced along a cartesian coordinate.
- Numerical calculation of the *stress tensor* (page 125) for periodic systems. This requires up to 12 calculations for a double sided derivative along the 6 strain directions, but might require less in case some of the strains are symmetry equivalent.
- Numerical calculation of the *Hessian* (page 122) and normal modes of vibration. This is currently only supported for engines that calculate nuclear gradients analytically and done by numerically differentiating this first (analytic) derivative. As such it requires 6 × n<sub>atoms</sub> independent calculations on geometries with one atom displaced along a cartesian coordinate.
- Numerical calculation of the *elastic tensor* (page 126). This requires 84 independent geometry optimizations on systems with differently strained lattices, with each optimization having a variable number of steps.
- Numerical calculation of *phonons* (page 155). This requires at most  $6 \times n_{\text{atoms}}$  displacements, but might require less in case some of the displacements are symmetry equivalent. Note that the displacements are done in a super cell system, which for many engines will increase the memory requirements, but also improve the in-engine parallel scalability.
- The forward and backward displacements along normal modes for the *Mode Scanning* (page 137), *Mode Refinement* (page 138), and *Mode Tracking* (page 141).

There are also tasks using driver level parallelism, e.g. *Nudged Elastic Band* (page 62), for which the calculations of all the images is trivially parallel.

Details of the driver level parallelism, i.e. how much to parallelize at the driver level, are generally configured for the above mentioned cases on an individual basis, because one might want a different grouping strategy for each case. For each case there is a separate Parallel block somewhere in the input (e.g. ElasticTensor%Parallel for the calculation of the elastic tensor), which has the following keywords:

```
Parallel
nGroups integer
nCoresPerGroup integer
nNodesPerGroup integer
End
```

Note that only one of them should be specified in the input, depending of course on what is the desired strategy for parallelization.

- **nGroups n** Splits all cores evenly into n groups. We recommend choosing n such that it divides the total number of cores without a remainder.
- **nCoresPerGroup** n Each group consists of n cores. As such nCoresPerGroup 1 results in the maximum possible parallelism at the driver level. We recommend choosing n such that it divides the total number of cores without a remainder.

**nNodesPerGroup n** Makes groups from all cores within n nodes, e.g. nNodesPerGroup 1 would make every cluster node into a separate group. Note that this option should *only be used on homogeneous compute clusters*, where all used nodes have the same number of cores. Otherwise cores from different nodes will be grouped together in very surprising and unintended ways, probably resulting in suboptimal performance.

The optimal grouping strategy and number of groups depends on the total number of cores used in the calculation, the amount of independent tasks to be done in parallel, as well as the parallel scalability of the engine itself. In practice it can be a bit tricky, which is why the grouping strategy is determined automatically since AMS2019.3.

However, sometimes it can be useful to configure the groups manually. Suppose, as an example, that we want to calculate the elastic properties of a bulk material on a 32 core machine. The calculation of the *elastic tensor* (page 126) should be done on a relaxed geometry, including relaxed lattice degrees of freedom. We therefore first perform a geometry optimization, before calculating the elastic tensor. In AMS this can easily be done with the following input:

```
Task GeometryOptimization
GeometryOptimization
OptimizeLattice True
End
Properties
ElasticTensor True
End
```

But what is the most optimal parallel setup for this calculation? First we recognize that performing a lattice optimization requires the calculation of the *stress tensor* (page 125) at every step of the optimization. Assuming that our bulk system does not have any symmetries AMS can exploit, the numerical calculation of the stress tensor (assuming the engine can not calculate it analytically) would require 12 independent strained calculations for every step in the geometry optimization. Once the geometry optimization is converged, we have to perform 84 independent geometry optimizations to determine the elements of the elastic tensor. In summary, the graph of dependencies between all these tasks looks like this:



How do we best parallelize this? For the main steps, e.g. GOStep1 there is no question: We have nothing to do in parallel and all 32 cores work on it together to finish it as quickly as possible. For the numerical calculation of the stress tensor we have 12 tasks that can be done in parallel by the 32 cores in our machine. Now 12 obviously does not divide 32 without a remainder, so there is no way to split into equally sized groups and do all 12 strains in parallel. The greatest common divisor of 12 and 32 is 4, so it's probably best to split into 4 groups of 8 cores each. This is done with nGroups 4. Each group would then do 3 of the 12 strained calculations sequentially, using the in-engine parallelization to speed up the individual calculations. Once the stress tensor is computed in this way all groups merge and all 32 cores work together on GOStep2. This splitting and merging now continues until the geometry optimization is converged. For the elastic tensor we now have 84 tasks to perform in parallel, where each task is a completely separate geometry optimization (without optimizing the lattice) of a strained system. 84 tasks is

more than double the number of cores we have. In this case it is probably not too bad to just run as parallel as possible at the driver level and make 32 "groups" of just one core to throw the 84 tasks at. This is easily done by setting nCoresPerGroup 1 in the ElasticTensor block. Putting everything together we should add the following to our input file in order to optimally utilize our machine for this example calculation:

```
NumericalDifferentiation
Parallel
nGroups 4
End
End
ElasticTensor
Parallel
nCoresPerGroup 1
End
End
```

## 2.5 Python interface

There is a complete Python interface to AMS, which allows users to set up and run arbitrary AMS jobs, and to conveniently analyze the calculation results directly from Python. In this way AMS jobs can be automatized and complex multi-stage workflows implemented.

The scripting framework is called PLAMS as in "Python Library for Automating Molecular Simulation", which conveniently can also be read as "Python Layer for AMS". It is documented in a separate manual:

- PLAMS introduction
- Running AMS through PLAMS

## 2.6 Pipe interface

AMS can interact with other programs using a *custom communication protocol* (page 21). This enables two independent processes to communicate over a pair of data pipes (FIFOs), exchanging data in a highly efficient manner. One of the processes is the "pipe master", driving the calculation and sending the atoms, coordinates etc. to the other process to perform calculations. The other process is the "pipe worker", receiving requests from the master, performing the requested calculations and returning the results such as energies and gradients.

For technical details on the AMSPipe protocol see:

## 2.6.1 AMSPipe protocol specification

The AMSPipe protocol is a remote procedure call protocol used for communication between two processes on the same computer. One of these processes is the "pipe master", submitting geometries and requests for calculations and receiving the results. The other process is the "pipe worker", which listens for calls from the master, executes the requested calculations and returns their results.

Communication takes place over a pair of pipes, a "call pipe" (master to worker) and a "reply pipe" (worker to master). Each pipe carries a sequence of messages, which are processed in order. Each message is an associative object (like a JSON object or a Python dict) containing a single item. The key of this item denotes the name of the message, while the value of this item is another object containing the payload.

Each message sent over the call pipe constitutes a method call. The name of the message is equal to the name of the method being called, while the payload object contains any arguments. For methods without arguments, the payload is an empty object.

Once the worker receives a call message, it will execute the specified method and potentially write a sequence of zero or more messages to the reply pipe, containing the results of the calculation. After the execution of the method is completed, the worker will write a "return" messages to the reply pipe, denoting the success or failure of the method call.

Any method whose name starts with "Set" doesn't send a "return" message. Instead, errors encountered during the execution of a Set method are buffered by the worker to be returned the next time a "return" message is generated. While an error is buffered, any further method calls are ignored by the worker and are not executed. Once a non-Set call is seen by the worker, such a call will also be discarded without being executed, but a "return" message will be immediately generated with the buffered error data. The buffered error will then be cleared and normal processing of calls resumes.

As a special case, the "Exit" method may be called at any time and will be immediately executed by the worker, terminating the protocol session. The "Exit" method never returns a "return" message, discarding any potentially buffered error.

All values are always in atomic units: Hartree for energies, Bohr for distances, Hartree/Bohr for gradients etc.

#### Low-level message encoding

All messages are encoded using Universal Binary JSON. AMSPipe implementations MAY encode messages using the UBJSON optimized container format (with explicit length and/or strong typing). Implementations MUST support decoding UBJSON containers whether or not they're written using optimized format.

UBJSON arrays in messages MUST NOT contain other arrays or objects. Multi-dimensional arrays MUST be flattened on encoding (and unflattened on decoding as necessary). Flattening SHOULD preserve the original order of elements in memory. All arrays MUST be accompanied by an additional integer array holding the original dimensions before flattening. The name of this auxiliary array is equal to the name of the main array with a \_dim\_ suffix. The dimensions are written in Fortran (column-major) order, so that the first value in the \_dim\_ array corresponds to the index that changes the fastests when iterating over consecutive elements of the flattened array.

Arrays also MUST NOT contain elements of incompatible types. The elements of an array MUST be either all integers, all real numbers, all Boolean, or all strings. UBJSON type "char" is equivalent to a UBJSON "string" of length 1 (elements of type "char" and "string" MAY thus be mixed in an array). An empty array is equivalent to an array that is not present at all.

When sending messages over stream transport mechanisms (such as UNIX pipes), each message MUST be prefixed with a 32-bit native endian integer length.

#### **Return messages and error handling**

The "return" message consists of the following fields:

- "status" (integer): Zero for success or one of the error codes listed below.
- "method" (string, optional): Name of the method in which the error occurred.
- "argument" (string, optional): Name of the argument in error.
- "message" (string, optional): Human-readable error message.

The "status" field can have one of the following values:

**0:** success No error, method executed successfully.

- 1: decode\_error Message could not be decoded correctly (invalid UBJSON encoding or a violation of one of the constraints above).
- 2: logic\_error Programmer error, such as methods called in an incorrect sequence or with an invalid worker state.
- 3: runtime\_error An error occurred during the execution of a method (outside of the AMSPipe protocol).
- 4: unknown\_version (Only returned from "Hello".) Requested protocol version is not supported by the worker.
- **5: unknown\_method** A method of the requested name is not supported by the worker.
- **6: unknown\_argument** The argument in "argument" is not known to the worker and couldn't be processed. If multiple arguments to given single call are unknown, "argument" will be set to the one at the lowest nesting depth (if an argument contains nested objects). If multiple fields at the same nesting depth are unknown, the first one in ASCII sort order will be returned.
- 7: invalid\_argument An argument doesn't have the correct type or dimensions, or it has an invalid value.

#### **Methods**

#### Hello(version)

• "version" (integer):

Attempt to activate a given version of the AMSPipe protocol. Only version 1 is defined at the moment.

Other methods (with the exception of "Hello" and "Exit") MUST NOT be called until a Hello has completed successfully. Pipe masters SHOULD attempt a Hello with the highest supported protocol version and iterate downwards if an unknown\_version error is returned. Once a Hello has succeeded, it MUST NOT be called again during the lifetime of a pipe session.

#### Exit()

Terminate the worker and disconnect both pipes. This method never returns.

The worker MAY also discard any remembered calculations.

#### SetCoords(coords)

• "coords" (real(3,:)):

Replace the Cartesian coordinates in the current chemical system. The number of atoms must match.

#### SetLattice(vectors)

• "vectors" (real(:,:)):

Replace the lattice matrix of the current chemical system. If "vectors" is absent or of dimensions (0,0), make the system non-periodic.

#### SetSystem(atomSymbols, coords, totalCharge)

- "atomSymbols" (string(:)):
- "coords" (real(3,:)):

• "totalCharge" (real):

Define a new chemical system.

#### Solve(request, keepResults, prevTitle)

- "request" (object):
  - "title" (string): Unique string key identifying this calculation.
  - "quiet" (bool): If true, the worker SHOULD keep any standard output from the calculation to a minimum.
  - "gradients" (bool): Calculate gradients on atoms.
  - "stressTensor" (bool): Calculate the stress tensor.
  - "elasticTensor" (bool):
  - "hessian" (bool):
  - "dipoleMoment" (bool):
  - "dipoleGradients" (bool):
- "keepResults" (bool, default false): Remember worker state for future restart.
- "prevTitle" (string, optional): Title of a previously stored calculation to restart from.

Run a single point calculation on the current chemical system and return a "results" object if successful. If the calculation fails with a runtime error, a "results" object MAY still be returned (possibly with just some of the requested properties).

All Boolean fields in "request" default to false if not present. All non-Boolean fields in "request" except for "title" are optional and their default values are worker-dependent. The master SHOULD NOT explicitly set any Boolean fields in "request" to False. The worker MAY raise an unknown argument error if an unknown Boolean is set to False. The worker SHOULD raise an unknown argument error as usual if an unknown Boolean is set to True or if an unknown non-Boolean is set. Workers SHOULD raise these errors before performing any time-consuming calculations so that the master can efficiently retry the call.

If "keepResults" is not specified or set to false, the worker will discard all data from the calculation after returning a "results" object. If "keepResults" is set to true, the worker will remember any internal state related to the calculation. This internal state can later be reused for restart by passing the "title" of the stored calculation as "prevTitle". The pipe master SHOULD call DeleteResults to discard the stored state as soon as it is no longer needed.

A "results" object consists of the following fields. Workers MAY include additional fields not listed here. A master MUST NOT signal an error due to any fields it does not expect or understand.

#### • "results" (object):

- "messages" (string(:)): Runtime error or warning messages generated by the calculation.
- "energy" (real):
- "gradients" (real(3,:)):
- "stressTensor" (real(:,:)):
- "elasticTensor" (real(:,:)):
- "hessian" (real(:,:)):
- "dipoleMoment" (real(:,:)):
- "dipoleGradients" (real(:,:)):

- "charges" (real(:)):

#### **DeleteResults(title)**

• "title" (string): Title of a previously remembered calculation.

Discard any worker state corresponding to a previously remembered calculation.

#### Forward and backward compatibility considerations

The AMSPipe protocol is designed to support combining new masters with old workers and vice versa. Major, incompatible changes in the protocol will be handled by increasing the protocol version number. Negotiating a suitable protocol version is then handled by call(s) to Hello at the beginning of a pipe session.

The following requirements are in place to ensure that the protocol stays extensible within a single protocol version:

- Workers MUST raise an unknown\_method error on any call to a method they don't implement. If the method name starts with Set, such an error will be buffered to be returned later. If the method name doesn't start with Set, a "return" message will be generated immediately.
- Workers MUST raise unknown\_argument errors any time they encounter an argument to a known method that they don't know how to handle. The master SHOULD then retry the call without such an argument or choose an alternative sequence of calls if possible.
- The master SHOULD ignore unexpected messages of unsupported type on the reply pipe.

Namely, the following changes are permitted without increasing the protocol version number:

- Adding new methods to the protocol.
- Adding new optional arguments to existing methods.
- Adding new fields to returned objects.
- Adding new reply message types.

### 2.6.2 AMS as a pipe master

The AMS driver can play the role of a pipe master, allowing users to combine the features of the AMS driver with potentials implemented in external programs. Unlike a *traditional external engine* (page 191), the overhead introduced by the pipe interface is entirely negligible, because the external program is only started once at the beginning of the run and all communication is handled by an efficient binary protocol instead of text files. This mode is enabled by using Engine Pipe in the input for the master.

```
Engine Pipe
WorkerCommand /path/to/pipe/worker
EndEngine
```

#### WorkerCommand

Type String

**Description** The command to execute to run the external worker. The command is executed in a subdirectory of the results directory.

All calculations requested by the driver will then be forwarded over the pipe to the worker for processing.

Note: AMS currently must be run in serial (NSCM=1) when serving as a pipe master.

A Python module implementing the worker side of the AMSPipe protocol is available in scm.amspipe. To facilitate interfacing with various existing computational engines, this module provides the ASEPipeWorker class. This class can wrap any ASE calculator object and make it serve as a pipe worker.

```
calculator = ase.calculators.lj.LennardJones()
# calculator.parameters = ...
engine = scm.amspipe.ASEPipeWorker(calculator=calculator)
engine.run()
```

#### See also:

Example: ASE calculator as a pipe worker (page 282)

#### 2.6.3 AMS as a pipe worker

AMS can also serve as a pipe worker, allowing external drivers to take advantage of its *engines* (page 187). This mode is enabled by using Task Pipe. No *Geometry, System definition* (page 27) is required on the input because the system will be supplied by the pipe master.

**Hint:** For most users it will easiest to use this functionality through the new AMSWorker class in the PLAMS library. This class hides all the details of the underlying AMSPipe protocol and provides users an easy way to get very fast access to energies, gradients and other properties from any of the engines in the AMS driver. See the respective page in the PLAMS manual for details.

#### See also:

Example: AMS as a pipe worker (page 284)

Additionally AMS can also be driven through the FlexMD library using AMSPipeForceJob:

```
forcejob = AMSPipeForceJob(mdmol)
forcejob.settings.engine = 'ReaxFF'
forcejob.settings.engineSettings = { 'ForceField': 'Glycine.ff' }
```

CHAPTER

## THREE

## **GEOMETRY, SYSTEM DEFINITION**

The definition of the system to simulate, i.e. the positions and types of the nuclei, the total charge, and potentially lattice vectors, is enclosed in the System block:

```
System header
  Atoms header # Non-standard block. See details.
      . . .
   End
   Lattice header # Non-standard block. See details.
      . . .
   End
   FractionalCoords Yes/No
  AllowCloseAtoms Yes/No
   GeometryFile string
   Symmetrize Yes/No
   LatticeStrain float_list
   SuperCell integer_list
   Charge float
   BondOrders # Non-standard block. See details.
      . . .
   End
End
```

## 3.1 Geometry, Lattice

The geometry of the system is specified with the Atoms and Lattice blocks.

#### System

Type Block

#### Recurring True

**Description** Specification of the chemical system. For some applications more than one system may be present in the input. In this case, all systems except one must have a non-empty string ID specified after the System keyword. The system without an ID is considered the main one.

#### Atoms

Type Non-standard block

**Description** The atom types and coordinates. Unit can be specified in the header. Default unit is Angstrom.

#### Lattice

Type Non-standard block

**Description** Up to three lattice vectors. Unit can be specified in the header. Default unit is Angstrom.

#### FractionalCoords

Type Bool

Default value No

**Description** Whether the atomic coordinates in the Atoms block are given in fractional coordinates of the lattice vectors. Requires the presence of the Lattice block.

The Atoms block contains one line per atoms, similar to the lines found in an .xyz file: First the name of the element, then three real numbers representing the coordinates of that atom in Angstrom. The following Atoms block shows how one would define a water molecule:

```
System
Atoms
O 0.0 0.0 0.59372
H 0.0 0.76544 -0.00836
H 0.0 -0.76544 -0.00836
End
End
```

Note that it is possible to specify a different unit of length in the header of the block (that is in the line after the keyword opening the block) by putting the name of the unit in [ and ] brackets. So the same water molecule could also be specified as follows:

```
System

Atoms [Bohr]

0 0.0 0.0 1.12197

H 0.0 1.44647 -0.01580

H 0.0 -1.44647 -0.01580

End

End
```

It is also possible to specify the input geometry as a Z-Matrix, by putting the string Z-Matrix in the header of the block:

```
System

Atoms Z-Matrix

C

H 1 1.089000

H 1 1.089000 2 109.4710

H 1 1.089000 2 109.4710 3 120.0000

H 1 1.089000 2 109.4710 3 -120.0000

End

End
```

Periodic systems require the specification of 1 (for chains), 2 (for slabs) or 3 (for bulk) lattice vectors in addition to the nuclear coordinates. Every lattice vector is specified on a separate line of three numbers, representing the vectors x,y and z-component. Note that for chain systems, the single lattice vector **must** point along the x-axis, while for slab systems the two lattice vectors **must** be in the xy-plane. Consider the following input for graphene:

System Atoms C 0.0 0.0 0.0

(continues on next page)
(continued from previous page)

```
C 1.23 0.71014 0.0
End
Lattice
2.46 0.0 0.0
1.23 2.13042 0.0
End
End
```

As with the Atoms block, the length unit in which the lattice vectors are given can be changed by specifying the desired unit in the header of the block (enclosed in [ and ]). It is also possible to define a system given the fractional coordinates of the atoms using the FractionalCoordinates keyword. The numbers in the Atoms block are then interpreted as fractional coordinates according to the lattice vectors in the Lattice block. Note that for chain and slab systems, the coordinates perpendicular to the periodic direction (z and y for chains, z for slabs) are of course still in Angstrom (or alternatively the unit set in the header of the Atoms block). Using the FractionalCoordinates keyword we could specify the geometry of table salt (NaCl) as follows:

System

```
Lattice

0.0 2.75 2.75

2.75 0.0 2.75

2.75 2.75 0.0

End

FractionalCoordinates True

Atoms

Na 0.0 0.0 0.0

Cl 0.5 0.5 0.5

End

End

End
```

Instead of specifying the geometry of the system directly in the input file it can also be read from an external file.

#### System

#### GeometryFile

Type String

**Description** Read the geometry from a file (instead of from Atoms and Lattice blocks). Supported formats: .xyz

Note that the GeometryFile key replaces both the Atoms and the Lattice blocks in the input. So if you specify the GeometryFile keyword in the input, the Atoms and Lattice blocks must not appear there. At the moment only the *extended XYZ file format* (page 285) is supported.

# 3.1.1 Modifying the geometry

Finally there are a number of keywords that *modify* the system geometry:

### System

Symmetrize

Type Bool

Default value No

**Description** Whether to symmetrize the input structure. This might also rototranslate the structure into a standard orientation. This will symmetrize the atomic coordinates to machine

precision. Useful if the system is almost symmetric or to rototranslate a symmetric molecule into a standard orientation.

#### LatticeStrain

Type Float List

**Description** Deform the input system by the specified strain. The strain elements are in Voigt notation, so one should specify 6 numbers for 3D periodic system (order: xx,yy,zz,yz,xz,xy), 3 numbers for 2D periodic systems (order: xx,yy,xy) or 1 number for 1D periodic systems.

# SuperCell

Type Integer List

**Description** Create a supercell of the input system (only possible for periodic systems). The integer numbers represent the diagonal elements of the supercell transformation; you should specify as many numbers as lattice vectors (i.e. 1 number for 1D, 2 numbers for 2D and 3 numbers for 3D periodic systems).

#### SuperCellTrafo

### Type Integer List

**Description** Create a supercell of the input system (only possible for periodic systems)  $\vec{a}'_i = \sum_j T_{ij} \vec{a}_j$ . The integer numbers represent the supercell transformation  $T_{ij}$ : 1 number for 1D PBC, 4 numbers for 2D PBC corresponding to a 2x2 matrix (order: (1,1),(1,2),(2,1),(2,2)) and 9 numbers for 3D PBC corresponding to a 3x3 matrix (order: (1,1),(1,2),(1,3),(2,1),(2,2),(2,3),(3,1),(3,2),(3,3)).

## PerturbCoordinates

Type Float

Default value 0.0

Unit Angstrom

**Description** Perturb the atomic coordinates by adding random numbers between [-PerturbCoordinates,PerturbCoordinates] to each Cartesian component. This can be useful if you want to break the symmetry of your system (e.g. for a geometry optimization).

#### PerturbLattice

Type Float

# Default value 0.0

**Description** Perturb the lattice vectors by applying random strain with matrix elements between [-PerturbLattice,PerturbLattice]. This can be useful if you want to deviate from an ideal symmetric geometry, for example if you look for a phase change due to high pressure.

## MapAtomsToUnitCell

Type Bool

Default value No

**Description** For periodic systems the atoms will be moved to the central cell.

These modifications are applied immediately after the system block is read. To the rest of AMS (and the input) it looks exactly as if the modified system was specified explicitly in the System block input. That means that the SuperCell keyword is not easily usable with input options that require the specification of atom indices, e.g. the *constraints* (page 44) block. Note that the randomization of the coordinates is applied after a potential supercell creation.

# 3.2 Symmetry

Symmetry can be used in AMS optimizations of molecules and of periodic structures.

In case of molecules at the start of an AMS calculation one can symmetrize an almost symmetric structure. In the *Appendix Symmetry* (page 289) one can find molecular orientation requirements that AMS needs such that AMS can use the (sub)symmetry of a molecule. If the system is symmetrized (and no symmetry is given in the System block key) the molecular structure is rotranslated into this standard orientation. In the *Appendix Symmetry* (page 287) one can also find Schönfliess symbols for molecular point groups and symmetry labels that are used in AMS for molecules to label normal modes.

```
System header
Symmetrize Yes/No
Symmetry [...]
End
```

Symmetry

```
SymmetrizeTolerance float
Tolerance float
End
```

UseSymmetry Yes/No

#### System

Symmetrize

Type Bool

# Default value No

**Description** Whether to symmetrize the input structure. This might also rototranslate the structure into a standard orientation. This will symmetrize the atomic coordinates to machine precision. Useful if the system is almost symmetric or to rototranslate a symmetric molecule into a standard orientation.

# Symmetry

Type Multiple Choice

# Default value AUTO

- Options [AUTO, NOSYM, C(LIN), D(LIN), C(I), C(S), C(2), C(3), C(4), C(5), C(6), C(7), C(8), C(2V), C(3V), C(4V), C(5V), C(6V), C(7V), C(8V), C(2H), C(3H), C(4H), C(5H), C(6H), C(7H), C(8H), D(2), D(3), D(4), D(5), D(6), D(7), D(8), D(2D), D(3D), D(4D), D(5D), D(6D), D(7D), D(8D), D(2H), D(3H), D(4H), D(5H), D(6H), D(7H), D(8H), I, I(H), O, O(H), T, T(D), T(H), S(4), S(6), S(8)]
- **Description** Use (sub)symmetry with this Schoenflies symbol. Can only be used for molecules. Orientation should be correct for the (sub)symmetry. If used icw Symmetrize, the symmetrization will not reorient the molecule.

# Symmetry

#### SymmetrizeTolerance

Type Float

Default value 0.05

**Description** Tolerance used to detect symmetry in case symmetrize is requested.

#### Tolerance

Type Float

Default value 1e-07

Description Tolerance used to detect symmetry in the system.

# UseSymmetry

Type Bool

Default value Yes

**Description** Whether to use the system's symmetry in AMS. Symmetry is recognized within a tolerance as given in the Symmetry key.

# 3.3 Regions

Some options of the AMS driver and its engines require specifying a subset of a system's atoms. For example one might want to freeze part of the system in a molecular dynamics calculation. We refer to these subsets of atoms as "regions". Atoms are assigned to regions by specifying the region names behind the atomic coordinates in the Atoms block of the input:

System				
Atoms				
С	1.22110608	-0.00000000	1.65928963	region=ring_1
С	0.37734253	-1.16134090	1.65928963	region=ring_1
С	0.37734253	1.16134090	1.65928963	region=ring_1
С	-0.98789557	-0.71774815	1.65928963	region=ring_1
С	-0.98789557	0.71774815	1.65928963	region=ring_1
Н	2.30849293	-0.00000000	1.64874914	region=ring_1
Н	0.71336355	-2.19550724	1.64874914	region=ring_1
Н	0.71336355	2.19550724	1.64874914	region=ring_1
Н	-1.86761001	-1.35689810	1.64874914	region=ring_1
Н	-1.86761001	1.35689810	1.64874914	region=ring_1
Fe	0.00000000	0.00000000	0.0000000	
Н	0.71336355	2.19550724	-1.64874914	region=ring_2
Н	0.71336355	-2.19550724	-1.64874914	region=ring_2
С	1.22110608	-0.00000000	-1.65928963	region=ring_2
С	0.37734253	1.16134090	-1.65928963	region=ring_2
С	0.37734253	-1.16134090	-1.65928963	region=ring_2
С	-0.98789557	0.71774815	-1.65928963	region=ring_2
С	-0.98789557	-0.71774815	-1.65928963	region=ring_2
Н	2.30849293	-0.00000000	-1.64874914	region=ring_2
Н	-1.86761001	1.35689810	-1.64874914	region=ring_2
Н	-1.86761001	-1.35689810	-1.64874914	region=ring_2
End				
End				

In the above example of a ferrocene molecule, we have created two separate regions for the two cyclopentadienyl rings. The region names (ring\_1 and ring\_2 in the example above) can be freely chosen by the user. Note that an atom can be in more than one region, in which case the region names should be separated by commas:

System Atoms ... Mg 0.0 0.0 0.0 region=metal\_centers,mol\_1

(continues on next page)

(continued from previous page)

```
...
End
End
```

The region names given by the user can then be referred to for input keywords requiring the specification of a region.

Technically the region is handled as an *atomic attribute* (page 36).

# 3.4 Charge, atomic masses, input bond orders

AMS allows to set user-defined masses for particular atoms. This can be used to simulate isotopes of different atoms. Masses are specified by adding the desired mass (in Dalton) at the end of the atom's line. The following input shows the system specification for a heavy water molecule:

```
System

Atoms

O 0.0 0.0 0.59372

H 0.0 0.76544 -0.00836 mass=2.014

H 0.0 -0.76544 -0.00836 mass=2.014

End

End
```

(Observe that the mass specified this way is an example of the *atomic attributes* (page 36) system.)

Finally the System block also contains the specification of the system's total charge as well as optionally defined bond orders, which might be needed by engines implementing force fields.

#### System

#### Charge

Type Float

Default value 0.0

GUI name Total charge

Description The system's total charge in atomic units (only for non-periodic systems).

#### BondOrders

Type Non-standard block

Description Defined bond orders. May by used by MM engines.

Note that the specified bond orders are currently only used by the ForceField engine.

# 3.5 Homogeneous electric field and multipole charges

A homogeneous electric field and multipole charges can be requested at the AMS level. Currently this option is supported by the engines ADF, BAND, DFTB, and MOPAC.

Homogeneous electric field:

```
System
ElectrostaticEmbedding
ElectricField ex ey ez
End
End
```

#### ElectrostaticEmbedding

# ElectricField

Type Float List

Unit V/Angstrom

**Description** External homogeneous electric field with three Cartesian components: ex, ey, ez, the default unit being V/. In atomic units: Hartree/(e bohr) = 27.211 V/bohr; the relation to SI units is: 1 Hartree/(e bohr) = 5.14 ... e11 V/m. Supported by the engines adf, band, dftb and mopac. For periodic systems the field may only have nonzero components orthogonal to the direction(s) of periodicity (i.e. for 1D periodic system the x-component of the electric field should be zero, while for 2D periodic systems both the x and y components should be zero. This options cannot be used for 3D periodic systems.

# Point and multipole charges:

```
System

ElectrostaticEmbedding

MultipolePotential

Coordinates

x y z q py pz px

x y z q py pz px

...

End

End

End

End

End
```

# ElectrostaticEmbedding

MultipolePotential

Type Block

Description External point charges (and dipoles).

#### ChargeModel

Type Multiple Choice

Default value Point

**Options** [Point, Gaussian]

**Description** The charges may represented as simple points (with a singular potential at the charge location) or may represented by a spherical Gaussian distribution.

#### ChargeWidth

Type Float

Default value -1.0

**Description** A width parameter in a.u. in case a Gaussian charge model is chosen. A negative value means that the width will be chosen automatically.

#### Coordinates

Type Non-standard block

**Description** Positions (in ) and values of multipole charges, one per line. Each line describes a singe point charge like: x y z q, or x y z q py pz px. Here x, y, z are the coordinates, q is the charge (in atomic units of charge) and py, pz, px are the (optional) dipole components (in atomic units, i.e. e/Bohr). Periodic systems are not supported.

**Note:** When running geometry optimizations (or other similar tasks) in combination with point charges, you should be aware that the system might end up on top of the point charge(s), resulting in non-physical situations. You should consider using *constraints* (page 44), *restraints* (page 201) or explicitly setting *rigid motions* (page 357) options.

# 3.6 Load a System from file

Instead of specifying the system to simulate in the System block of the input, it is also possible to read the system used in a previous calculation from the binary .rkf result files of AMS. This is done with the LoadSystem block in the input:

```
LoadSystem header
File string
Section string
End
```

# LoadSystem

Type Block

Recurring True

**Description** Block that controls reading the chemical system from a KF file instead of the [System] block.

#### File

Type String

**Description** The path of the KF file from which to load the system. It may also be the results directory containing it.

#### Section

Type String

Default value Molecule

Description The section on the KF file from which to load the system.

Note that the LoadSystem block is mutually exclusive with the System block: The system *either* needs to be specified in the input, *or* loaded from a previous results file.

Any .rkf file written by AMS should be suitable to load a system from. For *engine output files* (page 15) the loaded geometry is just the one for which the engine was invoked when it wrote this file. For the *main result file* (page 15) ams.rkf written by the AMS driver, which geometry is loaded depends on the *task* (page 39) that AMS was performing when this file was written. Generally the ams.rkf file contains two systems:

• The input system corresponding just to the System block that was read in by AMS. This system is written to the InputMolecule section on the ams.rkf, and can be loaded from there using the LoadSystem%Section

keyword. This can be useful in order to repeat a previous AMS calculation for the same system, but with different settings, e.g. a different engine.

• The system which was the result of a previous AMS calculation, e.g. a geometry optimization or transition state search. This system is written to the Molecule section on the ams.rkf. What exactly is considered the resulting geometry of a calculation depends in the *task* (page 39) of the previous calculation. (For tasks that do not change the geometry (like a single point calculation) or where no configuration is particularly special (e.g. a PES scan), the result system is normally just the same as the input system.)

# 3.7 Atom attributes

There is in general the possibility to add text after the coordinates of an atom, we call these atomic attributes. The text should be of the form key=value, separated by spaces:

```
System
Atoms
O 0.0 0.0 0.59372 key1=1 key2=y
H 0.0 0.76544 -0.00836 file=ams.rkf
H 0.0 -0.76544 -0.00836
End
End
```

You can enter any arbitrary key/value list, but that will have no effect in general. Although there is one important effect that atoms with different atomic attributes (even unrecognized ones) are considered different elements during the symmetry detection.

The order in which keys are specified has no effect.

Examples of atomic attributes are the region, and mass.

# 3.8 Force field related extensions

For forcefield there are two dedicated *atomic attributes* (page 36), the ForceField.Type and ForceField.Charge. Obviously these attributes are ignored by all other engines, although it can reduce the symmetry of the system:

```
System

Atoms

0 0.0 0.0 0.59372 ForceField.Type=O ForceField.Charge=-0.1

H 0.0 0.76544 -0.00836 ForceField.Type=H ForceField.Charge=+0.05

H 0.0 -0.76544 -0.00836 ForceField.Type=H ForceField.Charge=+0.05

End

End
```

# 3.8.1 Load charges for a forcefield into regions

When you want to use a forcefield, the charges obtained by a previous calculation can be loaded into a specific region (with the same molecule). Currently the order of the atoms has to be the same for this to work.

Once loaded, it is as if the user had typed in the ForceField.Charge attributes.

# System

# LoadForceFieldCharges

# Type Block

# Recurring True

**Description** This is a mechanism to set the ForceField.Charge attribute in the input. This information is currently only used by the ForceField engine.

#### CheckGeometryRMSD

Type Bool

Default value No

**Description** Whether the geometry RMSD test should be performed, see MaxGeometryRMSD. Otherwise only basic tests are performed, such as number and atom types. Not doing the RMSD test allows you to load molecular charges in a periodic system.

#### File

Type String

Description Name of the (kf) file

#### MaxGeometryRMSD

Type Float

Default value 0.1

Unit Angstrom

**Description** The geometry of the charge producing calculation is compared to the one of the region, and need to be the same within this tolerance.

#### Region

Type String

Default value \*

Description Region for which the charges should be loaded

# Section

Type String

Default value AMSResults

Description Section name of the kf file

#### Variable

Type String

Default value Charges

Description Variable name of the kf file

# 3.8.2 Load forcefield atom types

After you have done a forcefield calculation the atom types are stored. You can load those for a next calculation.

Once loaded, it is as if the user had typed in the ForceField.Type atom attributes.

## System

#### LoadForceFieldAtomTypes

# Type Block

**Description** This is a mechanism to set the ForceField.Type attribute in the input. This information is currently only used by the ForceField engine.

# File

Type String

**Description** Name of the (kf) file. It needs to be the result of a forcefield calculation.

FOUR

# STRUCTURE AND REACTIVITY, MOLECULAR DYNAMICS

# 4.1 Single point calculations

A single point calculation is the simplest task available in the AMS driver. It simply runs the *engine* (page 187) once for the given geometry. In other words, the AMS driver does not explore the potential energy surface (PES), but simply samples a "single point" of it.

A single point calculation is performed by selecting it with the Task keyword:

```
Task SinglePoint
```

Note that a single point calculation in AMS includes the calculation of *PES point properties* (page 119). Many of these, such as the nuclear gradients and the Hessian, are derivatives at this PES point with respect to nuclear displacements. These derivatives might be done numerically by the AMS driver, in which case it would technically run the engine multiple times and sample PES points around the initial point. However, in AMS this is still considered a single point calculation. Take for example the calculation of the normal modes of vibration of a molecule. This used to be a separate task in the 2017 release of the DFTB program, but in AMS is just a single point calculation with a request for normal modes:

```
Task SinglePoint
Properties
NormalModes True
End
```

See the manual section on *PES point properties* (page 119) for an overview of which properties can be calculated with the SinglePoint task in AMS.

# 4.2 Bond energy calculations

The way to calculate bonding energies is always the same, regardless of the engine. It is about combining ground state energies for several systems.

# 4.2.1 Ground state energy

Let system A have a ground state energy E(A)

The ground state energy of a system is obtained by a full relaxation with respect to the geometry coordinates and (if relevant) to the electronic degrees of freedom. In case of periodic materials the lattice vectors need relaxation as well.

Electronic degrees of freedom are specific for the method underpinning the engine. A simple force field may have a charge equilibration scheme, whereas more advanced engines such DFT- and DFTB-based ones have orbitals. The electronic relaxation can become a non-trivial problem in case of *open shell* (page 41) systems.

# 4.2.2 Formation energy

Say we have a reaction of two molecules (A and B) forming a new one (C).

 $A + B \rightarrow C$ 

The interaction energy follows from three ground state (page 39) calculations

E(bond) = E(C) - E(A) - E(B)

Let us look at a slightly more complicated reaction. The (metastable) material Octanitrocubane with the formula  $C_8$  ( NO  $_2$  ) $_8$  can be formed from 8 CO $_2$  molecules and four N $_2$  molecules. The formation energy is

 $E(bond) = E(Octanitrocubane) - 8 E(CO_2) - 4 E(N_2)$ 

the result being positive as this reaction is highly endothermic.

# 4.2.3 Atomization energies

Let us now look at atomization energies. For instance, the cohesive energy the NaCl crystal is

E(cohesive energy) = E(NaCl-crystal) - E(Na-atom) - E(Cl-atom)

(Atomization energies can also be calculated for molecules.)

For engines with electronic degrees of freedom, the tricky part here is how to calculate the atomic energies, in particular E(Cl-atom), because they are open shell systems. See the notes on a *atomic corrections* (page 40).

Of course you need to weigh the atomic energies by how often they occur in the system (molecule or crystal).

# 4.2.4 Chemisorption energies

What is the adsorption energy of a molecule on a surface?

The main thing here is whether the "real" system has translational symmetry. For instance in an experiment this may be the case and correspond to a certain coverage. This can be perfectly modeled in a periodic calculation

E(chemisorption) = E(mol@surface) - E(mol) - E(bare surface)

You need to choose the right super cell to get the correct coverage (how many adsorbed atoms per unit cell).

If the experiment is about the adsorption of a single molecule you need to try to converge the result with progressively larger super cells.

The other main issue is that almost always in the experimental setup the surface is macroscopically thick. Therefore the slab thickness is an issue to be tested. Fortunately, often less than 10 layers are needed.

# 4.2.5 Atomic corrections

Sometimes we need to calculate the ground state energy of a single atom. As there are no geometric variables, the only degrees of freedom are electronic. In general atoms are *open shell* (page 41) systems. The idea is to let the engine run without any restrictions imposed on the spin-polarization, or the symmetry of the orbitals.

One possible trick is to run two atoms quite far away (10-20 angstrom), thus reducing the exact spherical symmetry.

Calculating atomic corrections can be very tricky, as there may be many nearly degenerate orbitals near the fermi level. Also SCF convergence can be very difficult. There is not a single solution for all atoms.

# 4.2.6 Open shell systems

The idea is to let the engine run without any restrictions imposed on the spin-polarization, or the symmetry of the orbitals.

Calculating open shell systems can be very tricky, as there may be many close lying states (of which the lowest is searched for). Also SCF convergence can be very difficult.

From a theoretical point of view these states should ultimately be described by multi-determinantal wave functions, lacking from methods such as DFT.

There may be no automatic way to find the absolute minimum. If possible try to avoid the need to do such calculations.

# 4.2.7 Impurities

Let's say that we want to introduce a single impurity in a crystal. Currently we do not support such a method. But using periodic boundary conditions (PBCs) we can approximate this.

Say we want to introduce a single Li atom in an Al crystal. This can be done by considering progressively larger super cells.

First we make a 2x2x2 super cell, with 8 Al atoms, then we insert one Li, thus modeling an "impurity", with a 1/8 Li/Al ratio, rather than a 1/infinity. With bigger super cells this approximation becomes better.

The insertion energy is then

E(8Al+Li) - E(8Al) - E(Li-atom)

See also the comments for Atomization energies.

# 4.3 Geometry optimization

A geometry optimization is the process of changing the system's geometry (the nuclear coordinates and potentially the lattice vectors) to minimize the total energy of the systems. This is typically a local optimization, i.e. the optimization converges to the next local minimum on the potential energy surface (PES), given the initial system geometry specified in the System block. In other words: The geometry optimizer moves "downhill" on the PES into the local minimum.

#### See also:

Examples (page 219) and diamond lattice optimization and phonons tutorial

Geometry optimizations are performed by selecting them as the Task. The details of the optimization can be configured in the corresponding block:

```
Task GeometryOptimization
```

```
GeometryOptimization
Convergence
Energy float
Gradients float
Step float
StressEnergyPerAtom float
End
```

(continues on next page)

(continued from previous page)

```
MaxIterations integer
CalcPropertiesOnlyIfConverged Yes/No
OptimizeLattice Yes/No
KeepIntermediateResults Yes/No
PretendConverged Yes/No
End
```

## GeometryOptimization

#### Type Block

**Description** Configures details of the geometry optimization and transition state searches.

# Convergence

Type Block

**Description** Convergence is monitored for up to 4 quantities: the energy change, the Cartesian gradients, the Cartesian step size, and for lattice optimizations the stress energy per atom. Convergence criteria can be specified separately for each of these items.

#### Energy

Type Float

Default value 1e-05

Unit Hartree

GUI name Energy convergence

**Description** The criterion for changes in the energy. The energy is considered converged when the change in energy is smaller than this threshold times the number of atoms.

# Gradients

Type Float

Default value 0.001

Unit Hartree/Angstrom

GUI name Gradient convergence

Description Threshold for nuclear gradients.

#### Step

Type Float

Default value 0.01

Unit Angstrom

GUI name Step convergence

**Description** The maximum Cartesian step allowed for a converged geometry.

# StressEnergyPerAtom

Type Float Default value 0.0005 Unit Hartree **Description** Threshold used when optimizing the lattice vectors. The stress is considered 'converged' when the maximum value of stress\_tensor \* cell\_volume / number\_of\_atoms is smaller than this threshold (for 2D and 1D systems, the cell\_volume is replaced by the cell\_area and cell\_length respectively).

A geometry optimization is considered converged when all the following criteria are met:

- 1. The difference between the bond energy at the current geometry and at the previous geometry step is smaller than Convergence%Energy.
- 2. The maximum Cartesian nuclear gradient is smaller than Convergence%Gradient.
- 3. The root mean square (RMS) of the Cartesian nuclear gradients is smaller than 2/3 Convergence%Gradient.
- 4. The maximum Cartesian step is smaller than Convergence%Step.
- 5. The root mean square (RMS) of the Cartesian steps is smaller than 2/3 Convergence%Step.

**Note**: If the maximum and RMS gradients are 10 times smaller than the convergence criterion, then criteria 4 and 5 are ignored.

Some remarks on the choice of the convergence thresholds:

- Molecules may differ very much in the stiffness around the energy minimum. Using the standard convergence thresholds without second thought is therefore not recommended. Strict criteria may require a large number of steps, while a loose threshold may yield geometries that are far from the minimum (with respect to atom-atom distances, bond-angles etc...) even when the total energy of the molecule might be very close to the value at the minimum. It is good practice to consider first what the objectives of the calculation are. The default settings in AMS are intended to be reasonable for most applications, but inevitably situations may arise where they are inadequate.
- The convergence threshold for the coordinates (Convergence%Step) is not a reliable measure for the precision of the final coordinates. Usually it yields a reasonable estimate (order of magnitude), but to get accurate results one should tighten the criterion on the gradients, rather than on the steps (coordinates). (The reason for this is that with the Quasi-Newton based optimizers the estimated uncertainty in the coordinates is related to the used Hessian, which is updated during the optimization. Quite often it stays rather far from an accurate representation of the true Hessian. This does usually not prevent the program from converging nicely, but it does imply a possibly incorrect calculation of the uncertainty in the coordinates.)
- Note that tight convergence criteria for the geometry optimization require accurate and noise-free gradients from the engine. For some engines this might mean that their numerical accuracy has to be increased for geometry optimization with tight convergence criteria, see e.g. the NumericalQuality keyword in the BAND manual.

The maximum number of geometry iterations allowed to locate the desired structure is specified with the MaxIterations keyword:

## GeometryOptimization

## MaxIterations

Type Integer

GUI name Maximum number of iterations

**Description** The maximum number of geometry iterations allowed to converge to the desired structure.

## CalcPropertiesOnlyIfConverged

Type Bool

Default value Yes

**Description** Compute the properties requested in the 'Properties' block, e.g. Frequencies or Phonons, only if the optimization (or transition state search) converged. If False, the properties will be computed even if the optimization did not converge.

# PretendConverged

Type Bool

# Default value No

**Description** Normally a non-converged geometry optimization is considered an error. If this keyword is set to True, the optimizer will only produce a warning and still claim that the optimization is converged. (This is mostly useful for scripting applications, where one might want to consider non-converged optimizations still successful jobs.)

If the geometry optimization does not converge within this many steps it is considered failed and the iteration aborted, i.e. *PES point properties* (page 119) block will not be calculated at the last geometry. The default maximum number of steps is chosen automatically based on the used optimizer and the number of degrees of freedom to be optimized. The default is a fairly large number already, so if the geometry has not converged (at least to a reasonable extent) within that many iterations you should step back and consider the underlying cause rather than simply increase the allowed number of iterations and try again.

While a geometry optimization aims to find a (local) PES minimum, it may occur that it ends up finding a saddle point instead. The PESPointCharacter property keyword can be used to quickly calculate the lowest few Hessian eigenvalues to determine what kind of stationary PES point the optimization found. More information on this feature can be found on its *Documentation Page* (page 122).

For periodic systems the lattice degrees of freedom can be optimized in addition to the nuclear positions.

## GeometryOptimization

OptimizeLattice

Type Bool

Default value No

**Description** Whether to also optimize the lattice for periodic structures. This is currently only supported with the Quasi-Newton, FIRE, L-BFGS and SCMGO optimizers.

Finally the GeometryOptimization block also contains some technical options:

# GeometryOptimization

## KeepIntermediateResults

Type Bool

## Default value No

**Description** Whether the full engine result files of all intermediate steps are stored on disk. By default only the last step is kept, and only if the geometry optimization converged. This can easily lead to huge amounts of data being stored on disk, but it can sometimes be convenient to closely monitor a tricky optimization, e.g. excited state optimizations going through conical intersections, etc. ...

# 4.3.1 Constrained optimization

The AMS driver also allows to perform constrained optimizations, where a number of specified degrees of freedom are fixed to particular values.

See also:

Example demonstrating all supported constraints (page 227)

The desired constraints are specified in the Constraints block at the root level of the AMS input file:

```
Constraints

Atom integer

AtomList integer_list

FixedRegion string

Coordinate integer [x|y|z] float?

Distance (integer) {2} float

Angle (integer) {2} float

Dihedral (integer) {4} float

Dihedral (integer) {4} float

DifDist (integer) {4} float

BlockAtoms integer_list

Block string

FreezeStrain [xx] [xy] [xz] [yy] [yz] [zz]

EqualStrain [xx] [xy] [xz] [yy] [yz] [zz]
```

Atom atomIdx Fix the atom with index atomIdx at the initial position, as given in the System%Atoms block.

- AtomList [atomIdx1 .. atomIdxN] Fix all atoms in the list at the initial position, as given in the System%Atoms block.
- **FixedRegion regionName** Fix all atoms in a *region* (page 32) to their initial positions.
- **Coordinate atomIdx** [x|y|z] coordValue? Constrain the atom with index atomIdx (following the order in the System%Atoms block) to have a cartesian coordinate (x, y or z) of coordValue (given in Angstrom). If the coordValue is missing, the coordinate will be fixed to its initial value.
- Distance atomIdx1 atomIdx2 distValue Constrain the distance between the atoms with index atomIdx1 and atomIdx2 (following the order in the System%Atoms block) to distValue, given in Angstrom.
- Angle atomIdx1 atomIdx2 atomIdx3 angleValue Constrain the angle (1)-(2)-(3) between the atoms with indices atomIdx1-3 (as given by their order in the System%Atoms block) to angleValue, given in degrees.
- Dihedral atomIdx1 atomIdx2 atomIdx3 atomIdx4 dihedValue Constrain the dihedral angle (1)-(2)-(3)-(4) between the atoms with indices atomIdx1-4 (as given by their order in the System%Atoms block) to dihedValue, given in degrees.
- SumDist atomIdx1 atomIdx2 atomIdx3 atomIdx4 sumDistValue Constrain the sum of the distances R(1,2)+R(3,4) between the atoms with indices atomIdx1-4 (as given by their order in the System%Atoms block) to sumDistValue, given in Angstrom.
- DifDist atomIdx1 atomIdx2 atomIdx3 atomIdx4 difDistValue Constrain the difference between the distances R(1,2)-R(3,4) of the atoms with indices atomIdx1-4 (as given by their order in the System%Atoms block) to difDistValue, given in Angstrom.

Note that the above constraints do **not** need to be satisfied at the beginning of the optimization.

- BlockAtoms [atomIdx1 ... atomIdxN] Creates a block constraint (freezes all internal degrees of freedom) for a set of atoms identified by the list of integers [atomIdx1 ... atomIdxN]. These atom indices refer to the order of the atoms in the System%Atoms block.
- Block regionName Creates a block constraint (freezes all internal degrees of freedom) for a all atoms in a *region* (page 32) defined in the System%Atoms block. Example:

```
System

Atoms

C 0.0 0.0 0.0 region=myblock

C 0.0 0.0 1.0 region=myblock

C 0.0 1.0 0.0

End

End

Constraints

Block myblock

End
```

For lattice optimizations, the following constraints can be used on the lattice degrees of freedom:

- **FreezeStrain [xx] [xy] [yz] [yz] [zz]** Exclusively for lattice optimizations: Freezes any lattice deformation corresponding to a particular component of the strain tensor. Accepts a set of strain components [xx, xy, xz, yy, yz, zz] to be frozen.
- **EqualStrain [xx] [xy] [yz] [yz] [zz]** Exclusively for lattice optimizations: Accepts a set of strain components [xx, xy, xz, yy, yz, zz] which are to be kept equal. The applied strain will be determined by the average of the corresponding stress tensors components.

Note that in principle an arbitrary number of constraints can be specified and thus combined. However, it is the user's responsibility to ensure that the specified constraints are actually *compatible with each other*, meaning that it is theoretically possible to satisfy all of them at the same time. The AMS driver does **not** detect this kind of problems, but the optimization will show very unexpected results. Furthermore, for calculations involving block constraints the following restrictions apply:

- There should be no other constrained coordinates used together with block constraints although this may work in many situation.
- The user should absolutely avoid specifying other constraints that include atoms of a frozen block.

# Restraints

Not all optimizers support constraints. An alternative is to use so-called restraints. These are not exact constraints, but rather a number of springs that pull the system towards the preferred constraints, see *Restraints* (page 201).

# 4.3.2 Optimization under pressure / external stress

*Pressure* (page 198) or *non-isotropic external stress* (page 199) can be included in your simulation via the corresponding *engine addons* (page 195).

# 4.3.3 Optimization methods

The AMS driver implements a few different geometry optimization algorithms. It also allows to choose the coordinate space in which the optimization is performed:

```
GeometryOptimization
   Method [Auto | Quasi-Newton | SCMGO | FIRE | L-BFGS | ConjugateGradients]
   CoordinateType [Auto | Delocalized | Cartesian]
End
```

#### GeometryOptimization

Method

Type Multiple Choice

Default value Auto

Options [Auto, Quasi-Newton, SCMGO, FIRE, L-BFGS, ConjugateGradients]

GUI name Optimization method

**Description** Select the optimization algorithm employed for the geometry relaxation. Currently supported are: the Hessian-based Quasi-Newton-type BFGS algorithm, the experimental SCMGO optimizer, the fast inertial relaxation method (FIRE), the limited-memory BFGS method, and the conjugate gradients method. The default is to choose an appropriate method automatically based on the engine's speed, the system size and the supported optimization options.

## CoordinateType

Type Multiple Choice

Default value Auto

**Options** [Auto, Delocalized, Cartesian]

GUI name Optimization space

**Description** Select the type of coordinates in which to perform the optimization. 'Auto' automatically selects the most appropriate CoordinateType for a given Method. If 'Auto' is selected, Delocalized coordinates will be used for the Quasi-Newton and SCMGO methods, while Cartesian coordinates will be used for all other methods.

We **strongly** advise leaving both the Method as well as the Coordinate type on the Auto setting. There are many restrictions as to which optimizer and coordinate type can be used together with which kind of optimization. The following (roughly) sketches the compatibility of the different optimizers and options:

Optimizer	Constraints	Lattice opt.	Coordinate types
Quasi-Newton	All, except strain constraints	Yes	All
FIRE	Fixed coordinates, strain constraints	Yes	Cartesian
SCMGO	No	Yes	Delocalized
L-BFGS	No	Yes	Cartesian
Conjugate gradients	No	No	Cartesian

Furthermore for optimal performance the optimizer should be chosen with the speed of the engine: a faster engine in combination should use an optimizer with little overhead (FIRE), while slower engines should use optimizers that strictly minimize the number of steps (Quasi-Newton, SCMGO). This is all handled automatically by default, and we recommend changing Method and Coordinate only in case there are problems with the automatic choice.

The following subsections list the strengths and weaknesses of the individual optimizers in some more detail, motivating why which optimizer is chosen automatically under which circumstances.

## **Quasi-Newton**

This optimizer implements a quasi Newton approach<sup>123</sup>, using the Hessian for computing changes in the geometry so as to reach a local minimum. The Hessian itself is typically *approximated* (page 49) in the beginning and updated in the process of optimization. It uses delocalized coordinates by default both for molecules and periodic systems. The

<sup>&</sup>lt;sup>1</sup> L. Versluis and T. Ziegler, *The determination of Molecular Structure by Density Functional Theory*, Journal of Chemical Physics 88, 322 (1988) (https://doi.org/10.1063/1.454603)

<sup>&</sup>lt;sup>2</sup> L. Versluis, The determination of molecular structures by the HFS method, PhD thesis, University of Calgary, 1989

<sup>&</sup>lt;sup>3</sup> L. Fan and T. Ziegler, *Optimization of molecular structures by self consistent and non-local density functional theory*, Journal of Chemical Physics 95, 7401 (1991) (https://doi.org/10.1063/1.461366)

molecular part is based mainly on the work by Marcel Swart<sup>4</sup>. Cartesian coordinates are used in the presence of an external electric field and/or frozen atom constraints.

The Quasi-Newton (QN) optimizer supports all types of constraints and can be used for both molecular and periodic systems, including lattice optimizations. For cases where the optimization can be performed in delocalized coordinates, the number of steps taken to reach the local minimum is usually smaller than when optimizing in Cartesian ones. For fast compute *engines* (page 187), the overhead of the QN optimizer can become a bottleneck of the calculation, thus a more light-weight optimizer such as *FIRE* (page 50) may give an better overall performance. In principle, a QN optimization in delocalized coordinates may run out of memory for a very large system (say over 1000 atoms) because of the SVD step. However, since it is going to be used for a moderate-to-slow engine we still recommend sticking to it for the benefit of fewer steps. Because of these properties the QN optimizer is the default in AMS for all kinds of optimizations with moderate and slow engines, such DFTB and ADF. It is also used as the optimizer back-end for the *PES scan task* (page 58), the *transition state search* (page 55) as well as the calculation of the *elastic tensor* (page 126).

Details of the Quasi-Newton optimizer are configured in a dedicated block:

```
GeometryOptimization

Quasi-Newton

MaxGDIISVectors integer

Step

TrustRadius float

End

UpdateTSVectorEveryStep Yes/No

End

End
```

## GeometryOptimization

# Quasi-Newton

Type Block

Description Configures details of the Quasi-Newton geometry optimizer.

#### MaxGDIISVectors

Type Integer

#### Default value 0

**Description** Sets the maximum number of GDIIS vectors. Setting this to a number >0 enables the GDIIS method.

#### Step

Type Block

#### Description

# TrustRadius

Type Float

Description Initial value of the trust radius.

#### UpdateTSVectorEveryStep

Type Bool

#### Default value Yes

<sup>&</sup>lt;sup>4</sup> M. Swart and F.M. Bickelhaupt, *Optimization of strong and weak coordinates*, International Journal of Quantum Chemistry 106, 2536 (2006) (https://doi.org/10.1002/qua.21049)

GUI name Update TSRC vector every step

**Description** Whether to update the TS reaction coordinate at each step with the current eigenvector.

The Quasi-Newton optimizer uses the Hessian to compute the step of the geometry optimization. The Hessian is typically approximated in the beginning and then updated during the optimization. A very good initial Hessian can therefore increase the performance of the optimizer and lead to faster and more stable convergence. The choice of the initial Hessian can be configured in a dedicated block:

```
GeometryOptimization

InitialHessian

File string

Type [Auto | UnitMatrix | Swart | FromFile | Calculate |_

→CalculateWithFastEngine]

End

End
```

#### GeometryOptimization

#### InitialHessian

Type Block

**Description** Options for initial model Hessian when optimizing systems with either the Quasi-Newton or the SCMGO method.

# File

Type String

GUI name Initial Hessian from

**Description** KF file containing the initial Hessian (or the results dir. containing it). This can be used to load a Hessian calculated in a previously with the [Properties%Hessian] keyword.

#### Туре

Type Multiple Choice

Default value Auto

**Options** [Auto, UnitMatrix, Swart, FromFile, Calculate, CalculateWithFastEngine]

GUI name Initial Hessian

**Description** Select the type of initial Hessian. Auto: let the program pick an initial model Hessian. UnitMatrix: simplest initial model Hessian, just a unit matrix in the optimization coordinates. Swart: model Hessian from M. Swart. FromFile: load the Hessian from the results of a previous calculation (see InitialHessian%File). Calculate: compute the initial Hessian (this may be computationally expensive and it is mostly recommended for TransitionStateSearch calculations). CalculateWithFastEngine: compute the initial Hessian with a faster engine.

While there are some options for the construction of approximate model Hessians, the best initial Hessians are often those calculated explicitly at a lower level of theory, e.g. the real DFTB Hessian can be used the initial Hessian for an optimization with the more accurate BAND engine. Using the CalculateWithFasterEngine keyword can be used to automatically chose a fast engine at a lower level of theory. What the lower level of theory is depends on the main engine used in the calculation: DFTB with the GFN1-xTB model is used as the lower level of theory for relatively slow engines, e.g. DFT based engines. For semi-empirical engines like DFTB or MOPAC, the lower level of theory is currently UFF. If more control over the lower level engine is needed, the initial Hessian can be calculated with a user defined engine and then loaded from file, see *this example* (page 219).

# FIRE

The Fast Inertial Relaxation Engine<sup>5</sup> based optimizer has basically no overhead per step, so that the speed of the optimization purely depends on the performance of the used compute *engine* (page 187). As such it is a good option for large systems or fast compute engines, where the overhead of the Quasi-Newton optimizer would be significant. Note that is also supports *fixed atom constraints* (page 45) and *coordinate constraints* (page 45) (as long as the value of the constrained coordinate is already satisfied in the input geometry), as well as lattice optimizations (with strain constraints).

FIRE is selected as the default optimizer for fast compute engines if it is compatible with all other settings of the optimization (i.e. no unsupported constraints or coordinate types).

**Note:** FIRE is a very robust optimizer. In case of convergence problems with the other methods, it is a good idea to see if the optimization converges with FIRE. If it does not, it is very likely that the problem is not the optimizer but the shape of the potential energy surface ...

The details of the FIRE optimizer are configured in a dedicated block. It is quite easy to make the optimization numerically unstable when tweaking these settings, so we strongly recommend leaving everything at the default values.

```
GeometryOptimization

FIRE

AllowOverallRotation Yes/No

AllowOverallTranslation Yes/No

MapAtomsToUnitCell Yes/No

NMin integer

alphaStart float

dtMax float

dtStart float

fAlpha float

fDec float

fInc float

strainMass float

End

End
```

#### GeometryOptimization

#### FIRE

#### Type Block

**Description** This block configures the details of the FIRE optimizer. The keywords name correspond the the symbols used in the article describing the method, see PRL 97, 170201 (2006).

#### AllowOverallRotation

Type Bool

Default value Yes

**Description** Whether or not the system is allowed to freely rotate during the optimization. This is relevant when optimizing structures in the presence of external fields.

#### AllowOverallTranslation

Type Bool

#### Default value No

<sup>5</sup> E. Bitzek, P. Koskinen, F. Gähler, M. Moseler and P. Gumbsch, *Structural Relaxation Made Simple*, Physical Review Letters 97, 170201 (2006) (https://doi.org/10.1103/PhysRevLett.97.170201)

**Description** Whether or not the system is allowed to translate during the optimization. This is relevant when optimizing structures in the presence of external fields.

#### MapAtomsToUnitCell

Type Bool

Default value No

Description Map the atoms to the central cell at each geometry step.

# NMin

Type Integer

**Default value** 5

**Description** Number of steps after stopping before increasing the time step again.

## alphaStart

Type Float

Default value 0.1

Description Steering coefficient.

#### dtMax

Type Float

**Default value** 1.0

Unit Femtoseconds

Description Maximum time step used for the integration.

## dtStart

Type Float

Default value 0.25

Unit Femtoseconds

**Description** Initial time step for the integration.

# fAlpha

Type Float

Default value 0.99

Description Reduction factor for the steering coefficient.

# fDec

Type Float

Default value 0.5

Description Reduction factor for reducing the time step in case of uphill movement.

#### fInc

Type Float Default value 1.1

Description Growth factor for the integration time step.

strainMass

Type Float

Default value 0.5

**Description** Fictitious relative mass of the lattice degrees of freedom. This controls the stiffness of the lattice degrees of freedom relative to the atomic degrees of freedom, with smaller values resulting in a more aggressive optimization of the lattice.

# SCMGO

The SCMGO optimizer is a new implementation of a Quasi-Newton style optimizer working in delocalized coordinates. In the 2020 release of the Amsterdam Modeling Suite it is still considered experimental and therefore never selected automatically. However, for molecules and fully connected periodic systems it already shows a quite good performance, and could be a reasonable alternative to the classic *Quasi-Newton* (page 47) optimizer.

```
GeometryOptimization
```

```
SCMGO
ContractPrimitives Yes/No
NumericalBMatrix Yes/No
Step
TrustRadius float
VariableTrustRadius Yes/No
End
logSCMGO Yes/No
testSCMGO Yes/No
End
```

#### GeometryOptimization

# SCMGO

Type Block

Description Configures details SCMGO.

#### ContractPrimitives

Type Bool

#### Default value Yes

**Description** Form non-redundant linear combinations of primitive coordinates sharing the same central atom

#### NumericalBMatrix

Type Bool

Default value No

**Description** Calculation of the B-matrix, i.e. Jacobian of internal coordinates in terms of numerical differentiations

# Step

Type Block

Description

#### TrustRadius

Type Float

Default value 0.2

Description Initial value of the trust radius.

# VariableTrustRadius

Type Bool

Default value Yes

Description Whether or not the trust radius can be updated during the optimization.

# logSCMGO

Type Bool

Default value No

Description Verbose output of SCMGO internal data

## testSCMGO

Type Bool

Default value No

Description Run SCMGO in test mode.

Note that SCMGO also supports different initial Hessians, and uses the same options for the initial Hessian as the Quasi-Newton optimizer, see *above* (page 49).

# Limited-memory BFGS

AMS also offers an L-BFGS based geometry optimizer. It usually converges faster than *FIRE* (page 50), but does not support constrained optimizations. For periodic systems it can be quite good for lattice optimizations. The new implementation has not been thoroughly tested yet, therefore never selected automatically. For large systems and fast engines you may want to disable symmetry: simply the detection of (non-existing) symmetry may be a huge overhead.

```
GeometryOptimization
HessianFree
Step
MaxCartesianStep float
MinRadius float
TrialStep float
TrustRadius float
End
End
End
```

# GeometryOptimization

## HessianFree

Type Block

**Description** Configures details of the Hessian-free (conjugate gradients or L-BFGS) geometry optimizer.

Step

Type Block

Description

#### MaxCartesianStep

Type Float

Default value 0.1

Unit Angstrom

Description Limit on a single Cartesian component of the step.

#### MinRadius

Type Float

Default value 0.0

Unit Angstrom

**Description** Minimum value for the trust radius.

#### TrialStep

Type Float

Default value 0.0005

Unit Angstrom

**Description** Length of the finite-difference step when determining curvature. Should be smaller than the step convergence criterion.

#### TrustRadius

Type Float Default value 0.2 Unit Angstrom Description Initial value of the trust radius.

# **Conjugate gradients**

AMS also offers a conjugate gradients based geometry optimizer, as it was also implemented in the pre-2018 releases of the DFTB program. However, it is usually slightly slower than *FIRE* (page 50), and supports neither lattice nor constrained optimizations. It is therefore never selected automatically, and we do not recommend using it. Like L-BFGS, the conjugate gradients optimizer is also configured in the HessianFree block, see L-BFGS section above for details.

# 4.3.4 Troubleshooting

## Failure to converge

First of all one should look how the energy changed during the latest ten or so iterations. If the energy is decreasing more or less consistently, possibly with occasional jumps, then there is probably nothing wrong with the optimization. This behavior is typical in the cases when the starting geometry was far away from the minimum and the optimization has a long way to go. Just increase the allowed number of iterations, restart from the latest geometry and see if the optimization converges.

If the energy oscillates around some value and the energy gradient hardly changes then you may need to look at the calculation setup.

The success of geometry optimization depends on the accuracy of the calculated forces. The default accuracy settings are sufficient in most cases. There are, however, cases when one has to increase the accuracy in order to get geometry optimization converged. First of all, this may be necessary if you tighten the optimization convergence criteria. In some cases it may be necessary to increase the accuracy also for the default criteria. Please refer to the *engine manuals* (page 187) for instructions on how to increase the accuracy of an engine's energies and gradients. Often this is done with the NumericalQuality keyword in the engine input.

A geometry optimization can also fail to converge because the underlying potential energy surface is problematic, e.g. it might be discontinuous or not have a minimum at which the gradients vanish. This often indicates real problems in the calculation setup, e.g. an electronic structure that changes fundamentally between subsequent steps in the optimization. In these cases it is advisable to run a single point calculation at the problematic geometries and carefully check if the results are physically actually sensible.

Finally it can also be a technical problem with the specific *optimization method* (page 46) used. In these cases switching to another method could help with convergence problems. We recommend first trying the *FIRE* (page 50) optimizer, as it is internally relatively simple and stable.

# Restarting a geometry optimization

During a running optimization the system's geometry is written out to the AMS driver's output file ams.rkf after every step (in the Molecule section). This means that crashed or otherwise canceled geometry optimizations can be restarted by simply loading the last frame from there using the LoadSystem keyword, see *its documentation* (page 33) in the system definition section of this manual:

LoadSystem File=my\_crashed\_GO.results/ams.rkf

This can of course also be used to continue an optimization but e.g. with tighter convergence criteria or a different optimizer, as it essentially starts a new geometry optimization from the previous geometry, and does not propagate any information internal to the optimizer (e.g. the approximate Hessian for the Quasi-Newton optimizer or the FIRE velocities) to the new job. As such it might take a few more steps to convergence than if the original job had continued, but allows for additional flexibility.

# 4.4 Transition state search

A transition state (TS) search is very much like a *geometry optimization* (page 41): the purpose is to find a stationary point on the potential energy surface, primarily by monitoring the energy gradients, which should vanish. The difference between a transition state and a minimum is that at the transition state the Hessian has a negative eigenvalue: We are at a saddle point, not a minimum, with the "negative" mode connecting the two basins on the potential energy surface.

See also:

- *Examples* (page 219)
- Tutorial Transition state search and characterization of a Ziegler Natta Catalyst
- Tutorial PES scan, transition state search and Intrinsic Reaction Coordinate
- Tutorial Tips and Tricks for Transition State Searches for Click Reactions

A transition state search in AMS is performed by selecting the corresponding task:

Task TransitionStateSearch

Due to the similarities between energy minimization and transition state search, the TransitionStateSearch task in AMS is actually implemented as a special kind of geometry optimization using the *quasi-Newton* (page 47)

optimizer. As such all the settings and keywords described on the *geometry optimization manual page* (page 41) also apply to transition state searches.

In a geometry optimization with a quasi-Newton based optimizer the Hessian is used to make a reasonably sized step in the "downhill" direction on the potential energy surface, as the goal is simply to minimize the energy. A transition state search is a bit different: In the first step a normal mode is picked along which the energy is to be *maximized*, while it is *minimized* along all other directions. Normally the mode with the lowest eigenvalue is picked, since we know that there should be exactly one negative eigenvalue at the TS geometry, but one can also choose an approximate reaction coordinate, see ReactionCoordinate. If the initial geometry is sufficiently close to the transition state, i.e. we are close to the saddle, the lowest mode is normally the correct one to follow in order to get to the ridge of the saddle. Alternatively a different mode can also be selected manually.

```
TransitionStateSearch
ModeToFollow integer
ReactionCoordinate
Angle string
Dihedral string
Distance string
End
End
```

# TransitionStateSearch

#### Type Block

**Description** Configures some details of the transition state search.

#### ModeToFollow

Type Integer

#### Default value 1

**Description** In case of Transition State Search, here you can specify the index of the normal mode to follow (1 is the mode with the lowest frequency).

## ReactionCoordinate

#### Type Block

**Description** Specify components of the transition state reaction coordinate (TSRC) as a linear combination of internal coordinates (distances or angles).

#### Angle

Type String

Recurring True

**Description** The TSRC contains the valence angle between the given atoms. Three atom indices followed by the weight.

#### Dihedral

Type String

## Recurring True

**Description** The TSRC contains the dihedral angle between the given atoms. Four atom indices followed by the weight.

## Distance

Type String

# Recurring True

**Description** The TSRC contains the distance between the given atoms. Two atom indices followed by the weight.

This selection happens only in the first step. Subsequent steps will attempt to maximize along the mode that resembles most (by overlap) the previous maximization direction.

Practice shows that transition states are much harder to find than a minimum. For a large part this is due to the much stronger anharmonicities that usually occur near the TS, which threaten to invalidate the quasi-Newton methods to find the stationary point. For this reason it is good advice to be more cautious in the optimization strategy when approaching a transition state:

- We recommend starting the transition state search with an initial geometry that is already close to the transition state. One can use a *potential energy surface scan* (page 58) along something resembling the reaction coordinate to get a rough idea where the transition state is. This geometry can then be used as an initial geometry for the transitions state search.
- It is strongly recommended to manually supply a good initial Hessian for the transition state search. Otherwise the first step of the search might not be taken in the correct direction and subsequent steps will attempt to keep steering in the wrong direction. In AMS this is easily possible by loading a Hessian from a previous calculation, see the *initial Hessian section* (page 49) of this manual. A good way to obtain a reasonable Hessian is to compute it explicitly with one of the fast engines (i.e. at a lower lever of theory) and read that Hessian as the initial Hessian for the transition state search at a higher level of theory. This approach is demonstrated in the *Examples* (page 219) and the PES scan and transition state search tutorial.
- When no accurate initial Hessian is available, it may be a good idea to specify an approximate normal mode vector using the ReactionCoordinate input block. A reaction coordinate is a linear combination of distances and/or valence and dihedral angles:

```
TransitionStateSearch
ReactionCoordinate
Distance i j fac
Angle i j k fac
Dihedral i j k l fac
End
End
```

Here, i, j, k, and l are atom indices, and the fac is the factor with which the internal coordinate enters the linear combination.

One should be careful when specifying more than one bond or angle as a transition state reaction coordinate (TSRC). For example, suppose atom 2 is located between atoms 1 and 3. Then the following ReactionCoordinate block:

```
TransitionStateSearch
ReactionCoordinate
Distance 1 2 1.0
Distance 2 3 -1.0
End
End
```

means that the TSRC consists of two distances: R(1-2) and R(2-3). The positive direction of the TSRC is defined as an increase of the R(1-2) and a decrease of the R(2-3). In other words, this TSRC corresponds to atom 2 moving along the R(1-3) axis.

• When the method converges it is usually a good idea to verify that the found geometry is indeed a transition state. This can be done by performing a frequency analysis and checking whether the Hessian has exactly

one negative eigenvalue (represented by a mode with a negative frequency). Doing so is expensive however. Since we are really only interested in the lowest two normal modes calculating the full Hessian is however not necessary and one can use the faster PES point characterization instead. This uses a Davidson-type algorithm to obtain the lowest few normal modes without constructing the full Hessian. The user is referred to the *PES point characterization* (page 122) documentation page for further details.

# 4.5 Linear Transit, PES scan

The PES scan task in AMS allows users to scan the potential energy surface of a system along one or multiple degrees of freedom, while relaxing all other degrees of freedom. If only one coordinate is scanned, this kind of calculation is usually just called a linear transit. However, since AMS allows scanning of multiple coordinates, and linear transit is just a special case of such a calculation, the task is always called a PES scan in AMS.

A linear transit may be used for instance to sketch an approximate path over the transition states between reactants and products. From this a reasonable guess for the Transition State can be obtained which may serve as starting point for a true transition state search for instance.

## See also:

- Examples (page 219)
- Tutorial Transition state search and characterization of a Ziegler Natta Catalyst
- Tutorial PES scan, transition state search and Intrinsic Reaction Coordinate

The PES scan task is enabled by selecting it with the Task keyword:

Task PESScan

The PESScan block configures all details of the scan:

```
PESScan
CalcPropertiesAtPESPoints [True | False]
FillUnconvergedGaps [True | False]
ScanCoordinate
nPoints integer
Coordinate integer [x|y|z] (float){2}
Distance (integer){2} (float){2}
Angle (integer){3} (float){2}
Dihedral (integer){4} (float){2}
SumDist (integer){4} (float){2}
DifDist (integer){4} (float){2}
End
End
```

The PESScan block needs to contain at least one ScanCoordinate block specifying which coordinate to scan, and how many points (keyword nPoints) to sample along this coordinate. By default, 10 points are sampled along each scanned coordinate (including the start and end point of the scan). The coordinate descriptors are very similar to the *constraint descriptors* (page 44) in the Constraints block used by the geometry optimization task, but are followed by two values delimiting the start and end of the coordinates, instead of just a single value:

- **Coordinate atomIdx** [x|y|z] startValue endValue Moves the atom with index atomIdx (following the order in the System block) along the a cartesian coordinate (x, y or z), starting at startValue and ending at endValue (given in Angstrom).
- Distance atomIdx1 atomIdx2 startDist endDist Scans the distance between the atoms with index atomIdx1 and atomIdx2, starting from startDist and ending at endDist, both given in Angstrom.

- Angle atomIdx1 atomIdx2 atomIdx3 startAngle endAngle Scans the angle (1)-(2)-(3) between the atoms with indices atomIdx1-3, as given by their order in the System%Atoms block. The scanned angle starts at startAngle and ends at endAngle, given in degrees.
- Dihedral atomIdx1 atomIdx2 atomIdx3 atomIdx4 startAngle endAngle Scans the dihedral angle (1)-(2)-(3)-(4) between the atoms with indices atomIdx1-4, as given by their order in the System%Atoms block. The scanned dihedral starts at startAngle and ends at endAngle, given in degrees.
- SumDist atomIdx1 atomIdx2 atomIdx3 atomIdx4 start end Scans the sum of the distances R(1,2)+R(3,4) between the atoms with indices atomIdx1-4, as given by their order in the System%Atoms block. The values to be scanned start at start and end at end, given in Angstrom.
- DifDist atomIdx1 atomIdx2 atomIdx3 atomIdx4 start end Scans the difference between the distances R(1,2)-R(3,4) of the atoms with indices atomIdx1-4, as given by their order in the System%Atoms block. The values to be scanned start at start and end at end, given in Angstrom.

Note that multiple of these coordinate descriptors can be combined within a single ScanCoordinate block. This combines the individual coordinates into one compound coordinate, i.e. all coordinates will transit together through their respective ranges. In this way the symmetric stretch in water could be scanned by specifying the following single ScanCoordinate block (assuming that the oxygen atom is the first in the System%Atoms block):

```
ScanCoordinate
Distance 1 2 0.8 1.1
Distance 1 3 0.8 1.1
End
```

A multidimensional PES scan can be performed by specifying multiple ScanCoordinate blocks in the input. To scan the space spanned by the bending and symmetric stretch modes in water, one would use the following scan coordinates:

```
ScanCoordinate
Distance 1 2 0.8 1.1
Distance 1 3 0.8 1.1
End
ScanCoordinate
Angle 2 1 3 90 130
End
```

In principle an arbitrary number of ScanCoordinate blocks can be combined to specify the scanned configuration space. However, the total number of sample points is the product of the number of points along all coordinates, and hence grows quickly with the number of dimensions. Furthermore, only 1D (linear transit) and 2D PES scans can be visualized in the GUI. We therefore suggest sticking with <=2 dimensional PES scans. (Note that it is possible to constrain additional degrees of freedom through the Constraints block. This could be used to sample a few points along a third dimension "manually", while still being able to see the surfaces in the GUI.)

By default the engine result files for the individual PES points are not saved on disk, as this can easily lead to huge amounts of data to be stored. This behavior can be changed with the PESScan%CalcPropertiesAtPESPoints keyword:

## PESScan

## CalcPropertiesAtPESPoints

Type Bool

Default value No

**Description** Whether to perform an additional calculation with properties on all the sampled points of the PES. If this option is enabled AMS will produce a separate engine output file

for every sampled PES point.

Note that this performs a full single point calculation on every sampled PES point, including the calculation of any *PES point properties* (page 119) selected in Properties block.

# 4.5.1 Troubleshooting

Technically all PES scan calculations are conducted as a series of geometry optimizations with constraints for the scanned coordinates, where the value of the constraint varies slowly through the scanned range. In this way every sampled point on the potential energy surface corresponds to a particular set of constraints. As with any geometry optimization, it can happen that an optimization towards a particular point on the potential energy surface does not converge. This is the most common problem encountered during PES scan calculations.

Since PES scans are implemented as a series of geometry optimizations, they are influenced by the settings used for the geometry optimizer, e.g. its convergence thresholds and the maximum number of steps before an optimization is considered to have failed. The optimizer is configured in the GeometryOptimization block, see the page on *geometry optimization* (page 41) in the AMS manual. Note that PES scans always use the *Quasi-Newton* (page 47) optimizer.

While tweaking the geometry optimizer's settings can sometimes help with convergence problems, these problems can also be easily caused by errors in the user input.

A very common problem is that the geometry in the input, i.e. the System block, is incompatible with the starting values of the scanned coordinates. This would for example be the case if one wants to scan a dihedral angle from 0 to 90 degrees, but the actual angle on the input geometry is close to 90 degrees. In this case it would be better to flip the scanned range from 90 to 0 degrees, so that the input geometry already close to the first sampled point on the PES. Otherwise the optimization for the first point has to cross a very long distance on the PES, making convergence much harder. AMS automatically detects this and prints a warning. We generally advise preparing the input geometry for a PES scan by first running a geometry optimization with constraints set to lower bound of the scanned coordinate intervals.

For multidimensional PES scans the order in which the PES points are visited depends on the order in which the scanned coordinates are specified, i.e. the order of the ScanCoordinate blocks in the input. Generally, the order in which the PES points are visited is such that the coordinate which was specified in the first ScanCoordinate block varies **slowest**. This is illustrated in the following figure:



Here the scan starts at point 1(1, 1) at the bottom left corner of the PES and first moves along the entire range of the 2nd scan coordinate, before taking a step along the 1st coordinate to point 6(2, 1). The same PES points could be visited in a different order (and under different names) if the order of the two ScanCoordinate blocks is reversed in the AMS input:



# 1st ScanCoordinate

Depending on the shape of the scanned potential energy surface a particular order of visiting the PES points might be easier or harder for the optimizer, and convergence problems can sometimes be fixed by simply changing the order of the scanned coordinates. In the example above, it might be that scanning along the "vertical" direction is "harder" than scanning along the "horizontal" direction. In this case one should use the scan order from the first picture, which has only three "vertical" steps (whereas the other scan order has 15).

Note that AMS has a little safe-guard built in to help with PES scan convergence issues: If the optimization towards a particular PES point did not succeed in the initial attempt, AMS will later try again, but starting from a different (converged) point close to unconverged one. This "PES gap filling" happens at the very end of the calculation, after the initial scan has been completed. This gap filling step is enabled by default, but can be controlled with the PESScan%FillUnconvergedGaps keyword:

# PESScan

# FillUnconvergedGaps

Type Bool

Default value Yes

**Description** After the initial pass over the PES, restart the unconverged points from converged neighboring points.

# 4.6 Nudged Elastic Band (NEB)

The Nudged Elastic Band (NEB) method<sup>1</sup> can be used to find a reaction path and the transition state between a reactant and a product state.



Fig. 4.1: Pictorial representation of a reaction path computed with NEB

At the beginning of a NEB calculation, the geometry of the initial and final systems are optimized to minimize their energy (unless the *OptimizeEnds* (page 64) option is set to False).

Then, a rough approximation of the reaction path is build: a set of *images* is created by performing a linear interpolation between the **initial** and **final** systems. Optionally, an **intermediate** system can be provided, in which case the interpolation is performed between the initial and intermediate systems, and then between the intermediate and final systems.

<sup>&</sup>lt;sup>1</sup> G. Henkelman, B.P. Uberuaga and H. Jonsson, A climbing image nudged elastic band method for finding saddle points and minimum energy paths, Journal of Chemical Physics 113, 9901 (2000) (https://doi.org/10.1063/1.1329672)

Finally, a reaction path is found by performing a simultaneous optimization of all the images. In the NEB method the images are not independent from each other. The force on each image depend on its neighboring images: at each step the forces parallel to the reaction path are eliminated and a so-called spring force is added that tries to keep each image in the middle between its neighbors. This does not let images slide to the initial or final reaction state and ensures that they are evenly distributed along the reaction path.

During the NEB path optimization, a climbing image algorithm is used to drive the highest-energy image in the path to the transition state (unless the *Climbing* (page 64) option is set to False).

Be aware that NEB is a computationally expensive method, typically involving hundreds if not thousands of energy and gradients evaluations.

See also:

- Nudged Elastic Band (NEB) Examples (page 247)
- GUI Nudged Elastic Band tutorial

# 4.6.1 Input

A NEB calculation in AMS is triggered by setting the Task to NEB:

Task NEB

The NEB method requires two or three input systems. The first, unnamed system is used as the **initial system** and the system called final is used as a **final system**. These two systems are mandatory. This is an example of system-definitions for a HCN isomerization reaction:

Task NEB

```
# This is the initial system:
System
  Atoms
     С
         0.0000 0.0000
                          0.0000
       1.1800 0.0000
     Ν
                          0.0000
     Η
         2.1960 0.0000
                          0.0000
  End
End
# This is the final system (note the header 'final' in the next line):
System final
  Atoms
     C 0.0000 0.0000
                          0.0000
     N 1.1630 0.0000 0.0000
     H -1.0780 0.0000
                          0.0000
  End
End
```

Optionally, a third system, called intermediate, can be used to provide a better approximation for the transition state. The intermediate system will be placed in the middle of the chain. When providing three input systems it may be a good idea to optimize the ends in advance and set *OptimizeEnds* (page 64) to False to prevent creating an unbalanced reaction path.

Note that not only the atomic coordinates, but also the lattice parameters and the charge (if non-zero) must be set for all input systems.

**Important:** The order in which atoms are specified in the System%Atoms blocks **must be the same for the initial and final systems** (if you provide an intermediate system, you must use a consistent atom-ordering for that too). The

order of the atoms must be consistent because the images-interpolation algorithm maps the n-th atom of the initial system to the n-th atom of the final system.

All NEB-specific options are specified in the NEB input block:

NEB Climbing Yes/No ClimbingThreshold float Images integer InterpolateInternal Yes/No InterpolateShortest Yes/No Iterations integer Jacobian float MapAtomsToCell Yes/No OldTangent Yes/No OptimizeEnds Yes/No OptimizeLattice Yes/No Parallel nCoresPerGroup integer nGroups integer nNodesPerGroup integer End ReOptimizeEnds Yes/No Restart string Skewness float Spring float End

All keys of the NEB block have reasonable defaults or are optional. Thus, in principle, the NEB block can be omitted altogether. These are the main options:

#### NEB

#### Images

Type Integer

**Default value** 8

GUI name Number of images

**Description** Number of NEB images (not counting the chain ends). Using more images will result in a smoother reaction path and can help with convergence problems, but it will also increase the computation time.

#### Iterations

Type Integer

GUI name Maximum number of iterations

**Description** Maximum number of NEB iterations. The default value depends on the number of degrees of freedom (number of images, atoms, periodic dimensions).

#### Spring

Type Float

Default value 1.0

Unit Hartree/Bohr^2

GUI name Spring value
Description Spring force constant in atomic units.

#### Skewness

Type Float

Default value 1.0

# GUI name Skewness

**Description** Degree of how much images are shifted towards or away from the TS, which may help tackle problems with a long reaction path (for example involving a loose adsorption complex) without needing too many images. A value greater than 1 will make sure that images are concentrated near the transition state. The optimal value depends on the path length, the number of images (larger [Skewness] may be needed for a longer path and fewer images). Technically [Skewness] is equal to the ratio between the optimized distances to the lower and the higher neighbor image on the path.

#### Climbing

Type Bool

Default value Yes

GUI name Climb highest image to TS

**Description** Use the climbing image algorithm to drive the highest image to the transition state.

# ClimbingThreshold

Type Float

Default value 0.0

Unit Hartree/Bohr

GUI name CI force threshold

**Description** Climbing image force threshold. If ClimbingThreshold > 0 and the max perpendicular force component is above the threshold then no climbing is performed at this step. This entry can be used to get a better approximation for the reaction path before starting the search for the transition state. A typical value is 0.01 Hartree/Bohr.

#### InterpolateInternal

Type Bool

# Default value Yes

GUI name Interpolate in Internal coordinates

**Description** The initial NEB image geometries are calculated by interpolating between the initial and the final state. By default, for non-periodic systems the interpolation is performed in Internal coordinates but the user can choose to do it in the Cartesian ones. For periodic systems the interpolation is always done in Cartesian coordinates.

# InterpolateShortest

Type Bool

Default value Yes

GUI name Interpolate across cell boundary

**Description** Allow interpolation across periodic cell boundaries. Set to false if an atom is intended to move more than half across the simulation box during reaction.

### OptimizeEnds

Type Bool

Default value Yes

GUI name Optimize reactants/products

Description Start the NEB with optimization of the reactant and product geometries.

#### Restart

Type String

GUI name Restart from

**Description** Provide an ams.rkf file from a previous NEB calculation to restart from. It can be an unfinished NEB calculation or one performed with different engine parameters.

#### ReOptimizeEnds

Type Bool

Default value No

GUI name Re-optimize reactants/products

Description Re-optimize reactant and product geometries upon restart.

The following keys are related to solid-state NEB (SS-NEB):

#### NEB

# OptimizeLattice

Type Bool

Default value No

GUI name Optimize lattice

Description Turn on the solid-state NEB (SS-NEB).

# Jacobian

Type Float

GUI name Jacobian value

**Description** Scaling factor used to convert the lattice strain to a NEB coordinate value. Default value:  $sqrt(N)^{*}(V/N)^{(1/d)}$ , where V - lattice volume (area for 2D, length for 1D), N - number of atoms, and d - number of periodic dimensions.

# MapAtomsToCell

Type Bool

Default value Yes

GUI name Map atoms to cell

**Description** Translate atoms to the [-0.5,0.5] cell before every step. This option cannot be disabled for SS-NEB.

At each iteration, the images may be computed in parallel. The parallel execution is normally configured completely automatically, but users can override the automatic parallelization using the keys in the Parallel block.

# NEB

Parallel

# Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

# nCoresPerGroup

Type Integer

Description Number of cores in each working group.

# nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

# nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

The following keys modify other aspects of the NEB and should, in principle, be left to their defaults:

# NEB

# OldTangent

Type Bool

Default value No

GUI name Use old tangent

Description Turn on the old central difference tangent.

# 4.6.2 Frozen atom constraints

It is possible to perform NEB with part of the system frozen, using any of the following keys of the Constraints block or a combination thereof:

# Constraints

Atom

Type Integer

Recurring True

**Description** Fix the position of an atom. Just one integer referring to the index of the atom in the [System%Atoms] block.

### AtomList

Type Integer List

Recurring True

**Description** Fix positions of the specified atoms. A list of integers referring to indices of atoms in the [System%Atoms] block.

# FixedRegion

Type String

Recurring True

Description Fix positions of all atoms in a region.

Note: the frozen atom constraints will be enforced both during the geometry optimizations of the initial and final systems and during the NEB optimization.

# 4.6.3 Optimizations and convergence criteria

The NEB path is optimized using a limited-memory BFGS (l-BFGS) method where the system being optimized is a union of all NEB images with their respective molecular and spring forces.

The NEB convergence thresholds are defined in the *GeometryOptimization%Convergence block* (page 42). NEB is considered converged when the following criteria are satisfied:

- the change in the highest image energy must be less than [GeometryOptimization%Convergence%Energy]
- the max atomic force component for the highest image must be less than [GeometryOptimization%Convergence%Gradients]
- the max atomic force component for all other images must be less than ten times the [GeometryOptimization%Convergence%Gradients] value.

If the optimization of the initial NEB end point fails to converge, you can try using the *FIRE optimization method* (page 46).

# 4.6.4 Output

Results are printed to the text output and stored in the binary result file 'ams.rkf'. In the 'ams.rkf' file, NEB calculation results are stored in the History section just like in a normal geometry optimization. The NEB section of the RKF file contains additional, NEB-specific, information.

The NEB reaction path can be visualized using the AMSmovie GUI module.

# 4.6.5 Troubleshooting

- In case the geometry optimization of the initial and final systems fails: try using the *FIRE optimization method* (page 46)
- In case the optimization of the NEB path does not converge:
  - make sure that the order in which the atoms are defined is consistent between the initial and final systems (see the *important* note in the *NEB input section* (page 63))
  - try increasing the number of NEB images (page 64)
  - try tweaking the *TrustRadius* (page 53) or *TrialStep* (page 53) options (see *Limited-memory BFGS* (page 53))
  - try specifying an intermediate system

# 4.7 Intrinsic Reaction Coordinate (IRC)

The path of a chemical reaction can be traced from the transition state (TS) to the products and/or reactants using the Intrinsic Reaction Coordinate (IRC) method<sup>12</sup>. **The method assumes that the starting geometry is a fair ap-proximation of the TS**. A minimum energy profile (MEP) is defined as the steepest-descent path on the potential energy surface from the transition state down towards a local minimum. An IRC path is defined similarly but in the mass-weighted coordinates<sup>3</sup>, which means that instead of the steepest descent direction it follows that of the maximum instantaneous acceleration. This makes IRC somewhat related to the Molecular Dynamics method. The energy profile is obtained as well as the length and curvature properties of the path, providing the basic quantities for an analysis of the reaction path.



# See also:

Examples (page 219) and the PES scan, TS and IRC tutorial

# 4.7.1 Method details

Calculation of an IRC path consists of two nested loops, the so-called outer and inner loops. The outer loop runs over IRC points and the inner loop is over geometry optimization steps for the given IRC point. The first IRC point starts from the transition state geometry, which is a saddle point, in one of the two possible downhill directions. Each IRC point after that starts from the optimized geometry of the previous point. At the start of every step, the pivot point

<sup>&</sup>lt;sup>1</sup> L. Deng, T. Ziegler and L. Fan, A combined density functional and intrinsic reaction coordinate study on the ground state energy surface of H<sub>2</sub> CO, Journal of Chemical Physics 99, 3823 (1993) (https://doi.org/10.1063/1.466129)

<sup>&</sup>lt;sup>2</sup> L. Deng and T. Ziegler, *The determination of Intrinsic Reaction Coordinates by density functional theory*, International Journal of Quantum Chemistry 52, 731 (1994) (https://doi.org/10.1002/qua.560520406)

<sup>&</sup>lt;sup>3</sup> C. Gonzalez and H.B. Schlegel, *Reaction Path Following In Mass-Weighted Internal Coordinates* J. Phys. Chem. 94, 5523-5527 (1990) (https://doi.org/10.1021/j100377a021)

is determined, which is a point at the Step/2 distance in the direction opposite to the gradient. When working in the mass-weighted coordinates, this direction corresponds to the acceleration of the corresponding atom. The final point of the given IRC step corresponds to the energy minimum point at the same distance (Step/2) from the pivot point further downhill. More precisely, the coordinates of the target point are optimized during the inner loop to minimize projection of the gradient on the hypersphere of radius Step/2 around the pivot point. The angle between the (pivot-start) and (pivot-final) vectors determines the curvature of the reaction path. If this angle becomes smaller than 90 degrees then the IRC scan is considered to have reached vicinity of an endpoint and the program switches to energy minimization (options for this energy minimization can be specified in the *Geometry Optimization* (page 41) block.). If the angle is between 90 and 120 degrees then the current IRC step is canceled and a new one is started from the same starting point with half the initial Step parameter. In all other cases the optimized geometry becomes a starting point for the next IRC step. By default, when the forward path is completed the backward one is started from the same TS geometry. When both forward and backward paths are complete, a summary of the whole reaction path is printed to the output.

# 4.7.2 Input

The IRC scan in AMS is triggered by setting the Task to IRC:

Task IRC

All IRC-related options are specified in the IRC input block:

```
IRC
   Convergence
     Gradients float
      Step float
   End
   CoordinateType [Cartesian | Delocalized]
   Direction [Both | Forward | Backward]
   InitialHessian
      File string
      Type [Calculate | FromFile]
   End
   KeepConvergedResults Yes/No
   MaxIRCSteps integer
   MaxIterations integer
  MaxPoints integer
   MinEnergyProfile Yes/No
  MinPathLength float
   Restart
      File string
      RedoBackward integer
      RedoForward integer
   End
   Step float
End
```

All keys of the IRC block have reasonable defaults or are optional. Thus, in principle, the IRC block can be omitted altogether. These are some of the main options:

IRC

Direction

Type Multiple Choice

Default value Both

**Options** [Both, Forward, Backward]

**Description** Select direction of the IRC path. The difference between the Forward and the Backward directions is determined by the sign of the largest component of the vibrational normal mode corresponding to the reaction coordinate at the transition state geometry. The Forward path correspond to the positive sign of the component. If Both is selected then first the Forward path is computed followed by the Backward one.

### Step

Type Float

# Default value 0.2

# GUI name Step size

**Description** IRC step size in mass-weighted coordinates, sqrt(amu)\*bohr. One may have to increase this value when heavy atoms are involved in the reaction, or decrease it if the reactant or products are very close to the transition state.

#### InitialHessian

### Type Block

**Description** Options for initial Hessian at the transition state. The first eigenvalue of the initial Hessian defines direction of the first forward or backward step. This block is ignored when restarting from a previous IRC calculation because the initial Hessian found in the restart file is used.

#### File

Type String

GUI name File

**Description** If 'Type' is set to 'FromFile' then in this key you should specify the RKF file containing the initial Hessian (or the ams results dir. containing it). This can be used to load a Hessian calculated previously with the 'Properties%Hessian' keyword. If you want to also use this file for the initial geometry then also specify it in a 'LoadSystem' block.

#### Туре

Type Multiple Choice

Default value Calculate

**Options** [Calculate, FromFile]

GUI name Initial Hessian

**Description** Calculate the exact Hessian for the input geometry or load it from the results of a previous calculation.

The following keys set limits on the number of steps for the inner and outer IRC loops and, related to that, the geometry optimization criteria. Note that tighter criteria may require a greater MaxIterations limit. Please also note that the outer loop limits are valid for each half of the path (forward and backward) separately. That is, if all settings are left at their defaults then up to 200 IRC points may be calculated, each of them may require up to 300 energy evaluations.

# IRC

### MaxIRCSteps

Type Integer

GUI name Maximum IRC steps

**Description** Soft limit on the number of IRC points to compute in each direction. After the specified number of IRC steps the program will switch to energy minimization and complete the path. This option should be used when you are interested only in the reaction path area near the transition state. Note that even if the soft limit has been hit and the calculation has completed, the IRC can still be restarted with a 'RedoBackward' or 'RedoForward' option.

#### MaxPoints

Type Integer

Default value 100

GUI name Maximum points

**Description** Hard limit on the number of IRC points to compute in each direction. After the specified number of IRC steps the program will stop with the current direction and switch to the next one. If both 'MaxPoints' and 'MaxIRCSteps' are set to the same value then 'MaxPoints' takes precedence, therefore this option should be used to set a limit on the number of IRC steps if you intend to use the results later for a restart.

#### MaxIterations

Type Integer

Default value 300

GUI name Maximum iterations

**Description** The maximum number of geometry iterations allowed to converge the inner IRC loop. If optimization does not converge within the specified number of steps, the calculation is aborted.

# Convergence

#### Type Block

**Description** Convergence at each given point is monitored for two items: the Cartesian gradient and the calculated step size. Convergence criteria can be specified separately for each of these items. The same criteria are used both in the inner IRC loop and when performing energy minimization at the path ends.

#### Gradients

Type Float

Default value 0.001

Unit Hartree/Angstrom

GUI name Gradient convergence

**Description** Convergence criterion for the max component of the residual energy gradient.

# Step

Type Float

Default value 0.001

Unit Angstrom

GUI name Step convergence

**Description** Convergence criterion for the max component of the step in the optimization coordinates.

#### MinPathLength

Type Float

Default value 0.1

Unit Angstrom

**Description** Minimum length of the path required before switching to energy minimization. Use this to overcome a small kink or a shoulder on the path.

The following keys modify other aspects of the IRC scan:

# IRC

# CoordinateType

Type Multiple Choice

Default value Cartesian

**Options** [Cartesian, Delocalized]

GUI name Coordinates used for optimization

**Description** Select the type of coordinates in which to perform the optimization. Note that the Delocalized option should be considered experimental.

# MinEnergyProfile

Type Bool

Default value No

GUI name Minimum energy profile

Description Calculate minimum energy profile (i.e. no mass-weighting) instead of the IRC.

# KeepConvergedResults

Type Bool

Default value Yes

**Description** Keep the binary RKF result file for every converged IRC point. These files may contain more information than the main ams.rkf result file.

It is possible to restart an IRC calculation that crashed, has been killed or exceeded the MaxPoints limit, or to recompute the path starting from a certain point, using the Restart key:

# IRC

# Restart

# Type Block

**Description** Restart options. Upon restart, the information about the IRC input parameters and the initial system (atomic coordinates, lattice, charge, etc.) is read from the restart file. The IRC input parameters can be modified from input. Except for 'MaxPoints' and 'Direction' all parameters not specified in the input will use their values from the restart file. The 'Max-Points' and 'Direction' will be reset to their respective default values if not specified in the input. By default, the IRC calculation will continue from the point where it left off. However, the 'RedoForward' and/or 'RedoBackward' option can be used to enforce recalculation of a part of the reaction path, for example, using a different 'Step' value.

# File

Type String

GUI name Restart

**Description** Name of an RKF restart file generated by a previous IRC calculation. Do not use this key to provide an RKF file generated by a TransitionStateSearch or a SinglePoint calculation, use the 'LoadSystem' block instead.

# RedoBackward

Type Integer

Default value 0

**Description** IRC step number to start recalculating the backward path from. By default, if the backward path has not been completed then start after the last completed step. If the backward path has been completed and the 'RedoBackward' is omitted then no point on the backward path will be recomputed.

#### RedoForward

Type Integer

Default value 0

**Description** IRC step number to start recalculating the forward path from. By default, if the forward path has not been completed then start after the last completed step. If the forward path has been completed and the 'RedoForward' is omitted then no point on the forward path will be recomputed.

# 4.7.3 Output

A summary of reaction path is printed to the output file at the end of the IRC calculation.

The IRC reaction path can be visualized using the AMSmovie GUI module.

Results of an IRC calculation are also stored in the History section of the 'ams.rkf' file, just like in a normal geometry optimization. In addition to the standard KF variables such as "Coords" and "Energy", the following IRC-specific variables are also created:

- IRCDirection IRC direction to which this point belongs: 1 forward, 2 backward.
- *IRCIteration* the IRC (a.k.a. the outer loop) iteration number.
- *OptIteration* the geometry optimization (a.k.a. the inner loop) iteration number (0 means the results correspond to the converged geometry at this IRC step).
- IRCGradMax value of the max component of the IRC gradient that determines convergence of the inner loop.
- *IRCGradRms* the RMS value of the IRC gradient that determines convergence of the inner loop. Both the ircGradRms and the ircGradMax are given in the mass-weighted atomic units for IRC steps and in the atomic units for the final minimization loop.
- *ArcLength* length, in Angstrom, of the arc that connects the initial and the final point of this IRC step. The corresponding pivot point is located near the the middle point of the arc.
- *Angle* value of the angle (in degrees) between lines connecting the pivot point with the initial and final points. A value of 180 degrees means the path is passing straight through the pivot point, while a smaller value means the path makes a bend at this point.
- PathLength sum of the ArcLength values from the transition state up to this point, in Angstrom.
- Converged a Fortran logical value containing the convergence status of the given geometry.

The IRC section of the RKF file contains all the data needed for a successful restart procedure.

# 4.8 Excited state optimizations

It depends on the engine if it is possible to do an electronically excited state optimization, like an electronically excited state *geometry optimization* (page 41), *transition state search* (page 55), *linear transit, PES scan* (page 58), or *IRC* (page 69). Required is that the engine should be able to calculate the nuclear gradient for a particular electronically excited state. ADF and DFTB are engines that can do such calculation. If the excited state gradient is available one can also calculate an *IR spectrum* (page 131) of the excited state, and one could calculate a *vibrationally resolved electronic spectrum* (page 166).

One should look in the documentation of the engine how to set up the calculation of the excited state gradient.

# 4.9 Molecular dynamics

Molecular dynamics (MD) can be used to simulate the evolution of a system in time.

# See also:

- Examples (page 219)
- AMS GUI Tutorial
- MD trajectory analysis tool (page 206)

To perform a MD simulation, first select the corresponding Task:

Task MolecularDynamics

All aspects of the simulation can then be configured using the MolecularDynamics block.

```
MolecularDynamics
   AddMolecules
     AtomTemperature float
      Coords float_list
      CoordsBox float_list
      CoordsSigma float_list
      Energy float
      EnergySigma float
      FractionalCoords float_list
      FractionalCoordsBox float_list
      FractionalCoordsSigma float_list
      Frequency integer
      MinDistance float
      NumAttempts integer
      Rotate Yes/No
      StartStep integer
      StopStep integer
      System string
      Temperature float
      TemperatureSigma float
      Velocity float
      VelocityDirection float_list
      VelocitySigma float
   End
   Barostat
      BulkModulus float
      ConstantVolume Yes/No
      Duration integer_list
```

```
Equal [None | XYZ | XY | YZ | XZ]
   Pressure float_list
   Scale [XYZ | Shape | X | Y | Z | XY | YZ | XZ]
   Tau float
   Type [None | Berendsen | MTK]
End
BondBoost
   Chain
     AtomNames string
     MaxDistances float_list
     MinDistances float_list
   End
   DistanceRestraint string
   NSteps integer
   NumInstances integer
End
CRESTMTD
  AddEnergy Yes/No
   GaussianScaling
      ScaleGaussians Yes/No
      ScalingSlope float
   End
   Height float
   NGaussiansMax integer
   NSteps integer
   RestartFile string
   Width float
End
CVHD
   Bias
      DampingTemp float
     Delta float
      Height float
   End
   ColVarBB
      at1
        region string
         symbol string
     End
      at2
         region string
         symbol string
      End
      cutoff float
      p integer
      rmax float
      rmin float
   End
   Frequency integer
   StartStep integer
   StopStep integer
   WaitSteps integer
End
CalcPressure Yes/No
Checkpoint
   Frequency integer
End
```

```
Deformation
   LatticeVelocity # Non-standard block. See details.
      . . .
   End
   LengthRate float_list
   LengthVelocity float_list
   Period float
   ScaleAtoms Yes/No
   StartStep integer
   StopStep integer
   StrainRate # Non-standard block. See details.
      . . .
   End
   TargetLattice # Non-standard block. See details.
      . . .
   End
   TargetLength float_list
   Type [Linear | Exponential | Sine | Cosine]
End
HeatExchange
   HeatingRate float
  Method [Simple | HEX | eHEX]
   Sink
      AtomList integer_list
      Box
         Amax float
         Amin float
         Bmax float
         Bmin float
         Cmax float
         Cmin float
      End
      Region string
   End
   Source
     AtomList integer_list
     Box
        Amax float
        Amin float
         Bmax float
         Bmin float
         Cmax float
         Cmin float
      End
      Region string
   End
   StartStep integer
   StopStep integer
End
InitialVelocities
  File string
   Temperature float
   Type [Zero | Random | FromFile | Input]
   Values # Non-standard block. See details.
      . . .
   End
End
```

```
NSteps integer
Plumed
   Input # Non-standard block. See details.
      . . .
   End
   Parallel
     nCoresPerGroup integer
     nGroups integer
      nNodesPerGroup integer
   End
End
Preserve
  AngularMomentum Yes/No
   CenterOfMass Yes/No
  Momentum Yes/No
End
Print
   System Yes/No
   Velocities Yes/No
End
Remap
   Type [None | Atoms]
End
RemoveMolecules
  Formula string
   Frequency integer
   SafeBox
      Amax float
     Amin float
      Bmax float
      Bmin float
      Cmax float
      Cmin float
   End
   SinkBox
     Amax float
      Amin float
      Bmax float
      Bmin float
      Cmax float
      Cmin float
   End
   StartStep integer
   StopStep integer
End
ReplicaExchange
   AllowWrongResults Yes/No
   EWMALength integer
   SwapFrequency integer
   TemperatureFactors float_list
   Temperatures float_list
   nReplicas integer
End
Restart string
Thermostat
   BerendsenApply [Local | Global]
   ChainLength integer
```

```
Duration integer_list
      Region string
      Tau float
      Temperature float_list
      Type [None | Berendsen | NHC]
   End
   TimeStep float
   Trajectory
      PrintFreq integer
      SamplingFreq integer
      TProfileGridPoints integer
      WriteBonds Yes/No
      WriteCharges Yes/No
      WriteGradients Yes/No
      WriteMolecules Yes/No
      WriteVelocities Yes/No
   End
End
```

# 4.9.1 General

The time evolution of the system is simulated by numerically integrating the equations of motion. A velocity Verlet integrator is used with a time step set by the TimeStep key. The MD driver will perform NSteps timesteps in total.

Because the overall computational cost depends on NSteps but not on TimeStep, it is desirable to set the timestep as large as possible to maximize the sampled timescale with a given computational budget. However, numerical integration errors grow rapidly as the timestep increases. These errors will cause a loss of energy conservation, crashes, and other artifacts. It is thus important to set the TimeStep value carefully, as its optimal value strongly depends on the studied system and simulated conditions.

As a rule of thumb, reasonable timesteps for systems not undergoing chemical reactions are 10-20 times lower than the period of the fastest vibration mode. Systems containing hydrogen atoms at room temperature can thus be accurately simulated using a 1 fs timestep. Longer timesteps can be safely used for systems containing only heavy atoms (vibration periods scale with the square root of the atomic mass). Conversely, the timestep needs to be made shorter for high-temperature simulations. The same also applies to simulations of chemical reactions, which are usually accompanied by significant transient local heating. The default timestep of 0.25 fs should work for most of these cases.

### MolecularDynamics

#### NSteps

Type Integer

Default value 1000

GUI name Number of steps

**Description** The number of steps to be taken in the MD simulation.

### TimeStep

Type Float

Default value 0.25

Unit Femtoseconds

Description The time difference per step.

During a long simulation, numerical integration errors will cause some system-wide quantities to drift from their exact values. For example, the system may develop a nonzero net linear velocity, causing an overall translation or flow. Non-periodic (molecular) and 1D-periodic systems may also develop nonzero angular momentum (overall rotation) and a Brownian motion of their center of mass through space. These problems are corrected by periodically removing any accumulated drift. This feature can be controlled using the Preserve key.

#### MolecularDynamics

#### Preserve

Type Block

**Description** Periodically remove numerical drift accumulated during the simulation to preserve different whole-system parameters.

#### AngularMomentum

Type Bool

Default value Yes

GUI name : Angular momentum

**Description** Remove overall angular momentum of the system. This option is ignored for 2D and 3D-periodic systems.

#### CenterOfMass

Type Bool

Default value No

GUI name : Center of mass

**Description** Translate the system to keep its center of mass at the coordinate origin. This option is not very useful for 3D-periodic systems.

#### Momentum

Type Bool

Default value Yes

GUI name Preserve: Total momentum

Description Remove overall (linear) momentum of the system.

# 4.9.2 Constrained molecular dynamics

It is possible to keep part of the system frozen in place during MD. This is achieved using the Atom or AtomList keys of the Constraints top-level input block.

#### Constraints

Atom

Type Integer

Recurring True

**Description** Fix the position of an atom. Just one integer referring to the index of the atom in the [System%Atoms] block.

# AtomList

Type Integer List

# Recurring True

**Description** Fix positions of the specified atoms. A list of integers referring to indices of atoms in the [System%Atoms] block.

**Note:** During simulations with a changing simulation box (NpT, NpH), the absolute Cartesian coordinates of the frozen atoms cannot be kept fixed. In this case, their fractional cell coordinates are maintained at the original values.

# 4.9.3 (Re-)Starting a simulation

The state of a system at the beginning of a simulation is defined by the positions and momenta of all atoms. The positions can be set in the input or loaded from a file as described under *Geometry, System definition* (page 27). Initial velocities are then supplied using the InitialVelocities block.

Probably the most common way to start up a simulation is to draw the initial velocities from a Maxwell-Boltzmann distribution by setting Type=Random and Temperature to a suitable value. Alternatively, velocities can be loaded from an ams.rkf file produced by an earlier simulation using Type=FromFile and File. This is the recommended way to start a production simulation from the results of a short preparation/equilibration run.

Velocities of all atoms in units of Å/fs can also be explicitly defined in the Values block after setting Type=Input. This is mainly useful to repeat or extend simulations done by other programs. For example, velocities can be extracted from the vels or moldyn.vel files used by the standalone ReaxFF program. A simple AWK script is supplied in scripting/standalone/reaxff-ams/vels2ams.awk to help with the conversion.

# MolecularDynamics

# InitialVelocities

Type Block

**Description** Sets the frequency for printing to stdout and storing the molecular configuration on the .rkf file.

#### File

Type String

Description AMS RKF file containing the initial velocities.

#### Temperature

Type Float

Unit Kelvin

GUI name Initial temperature

**Description** Sets the temperature for the Maxwell-Boltzmann distribution when the type of the initial velocities is set to random, in which case specifying this key is mandatory. AMSinput will use the first temperature of the first thermostat as default.

#### Туре

Type Multiple Choice

Default value Random

Options [Zero, Random, FromFile, Input]

GUI name Initial velocities

**Description** Specifies the initial velocities to assign to the atoms. Three methods to assign velocities are available. Zero: All atom are at rest at the beginning of the calculation. Random: Initial atom velocities follow a Maxwell-Boltzmann distribution for the temperature given by the [MolecularDynamics%InitialVelocities%Temperature] keyword. FromFile: Load the velocities from a previous ams result file. Input: Atom's velocities are set to the values specified in the [MolecularDynamics%InitialVelocities%Values] block, which can be accessed via the Expert AMS panel in AMSinput.

# Values

Type Non-standard block

**Description** This block specifies the velocity of each atom, in Angstrom/fs, when [MolecularDynamics%InitialVelocities%Type] is set to Input. Each row must contain three floating point values (corresponding to the x,y,z component of the velocity vector) and a number of rows equal to the number of atoms must be present, given in the same order as the [System%Atoms] block.

The MD module also supports exact restarts of interrupted simulations by pointing the Restart key to an ams.rkf file. This will restore the entire state of the MD module from the last available checkpoint (if the previous simulation was interrupted) or from the final state (if the previous simulation ended after NSteps). An earlier trajectory can thus be seamlessly extended by increasing NSteps and using Restart.

Note: Restart should be combined with LoadSystem from the same ams.rkf to restore the atomic positions.

**Warning:** The Restart feature is only intended for exact restarts, so the rest of the MolecularDynamics settings should be the same as in the original run. Only NSteps and engine settings (contents of the Engine block) can always be changed safely across restarts.

Although some MD settings (such as the trajectory sampling options) can in practice be changed without problems, changing others (such as thermostat or barostat settings) will cause the restart to fail or produce physically incorrect results. It is thus strongly recommended to only use Restart for exact continuation and InitialVelocities Type=FromFile together with LoadSystem otherwise.

# MolecularDynamics

Restart

Type String

GUI name Restart from

**Description** The path to the ams.rkf file from which to restart the simulation.

# 4.9.4 Thermostats and barostats

By default, the MD simulation samples the microcanonical (NVE) ensemble. Although this is useful to check energy conservation and other basic physical properties, it does not directly map to common experimental conditions. The canonical (NVT) ensemble can be sampled instead by applying a Thermostat, which serves as a simulated heat bath around the system, keeping its average temperature at a set value.

AMS offers two thermostats with drastically different properties, mode of operation, and applicability, selected using the Type key:

**Berendsen** The Berendsen friction thermostat drives the system to a particular target temperature by rescaling the velocities of all atoms in each step. This ensures rapid (exponential) convergence of the temperature with a time

constant Tau. However, this thermostat produces an incorrect velocity distribution and should thus be avoided in all situations where correct energy fluctuations are important. Additionally, using a too short time constant Tau tends to cause incorrect equipartition of energy between different degrees of freedom in the system, leading to the "flying ice cube" phenomenon. The time constant Tau should thus be set as large as possible to limit these artifacts while still providing sufficient temperature control. Common values of Tau for condensedphase systems lie between 100 fs (strong damping, rapid convergence) and 10 ps (weak coupling with minimal artifacts).

This thermostat is mainly useful for systems far from equilibrium, for example during the initial preparation and equilibration phase of a simulation. The NHC thermostat should be preferred where possible.

**NHC** This enables a chain of coupled Nosé-Hoover thermostats. This method introduces artificial degrees of freedom representing the heat bath and ensures correct sampling of the canonical ensemble. The combined total energy of the system and the heat bath is conserved and shown in the GUI as Conserved Energy. Checking this quantity for drift and artifacts thus offers a valuable test of the correctness of the simulation. This thermostat exhibits oscillatory relaxation with a period of Tau. It is thus not well suited for systems starting far from equilibrium, because the oscillations may take long to settle. The time constant Tau should be at least comparable to the period of some natural oscillation of the system to ensure efficient energy transfer. It is commonly on the order of hundreds of femtoseconds, although higher values may be used if weak coupling is desired.

Multiple independent thermostats can be used to separately control different non-overlapping regions of the system at the same time. This is done by first defining appropriate *Regions* (page 32) in the System block and then specifying the Thermostat block multiple times with the Region key of each thermostat set to an appropriate region expression.

# MolecularDynamics

#### Thermostat

Type Block

# Recurring True

**Description** This block allows to specify the use of a thermostat during the simulation. Depending on the selected thermostat type, different additional options may be needed to characterize the specific thermostat' behavior.

# BerendsenApply

Type Multiple Choice

Default value Global

**Options** [Local, Global]

# GUI name Apply Berendsen

**Description** Select how to apply the scaling correction for the Berendsen thermostat: - peratom-velocity (Local) - on the molecular system as a whole (Global).

#### ChainLength

Type Integer

Default value 10

GUI name NHC chain length

Description Number of individual thermostats forming the NHC thermostat

#### Duration

Type Integer List

GUI name Duration(s)

**Description** Specifies how many steps should a transition from a particular temperature to the next one in sequence take.

# Region

Type String

Default value \*

**Description** The identifier of the region to thermostat. The default '\*' applies the thermostat to the entire system. The value can by a plain region name, or a region expression, e.g. '\*-myregion' to thermostat all atoms that are not in myregion, or 'regionA+regionB' to thermostat the union of the 'regionA' and 'regionB'. Note that if multiple thermostats are used, their regions may not overlap.

Tau

Type Float

Unit Femtoseconds

GUI name Damping constant

Description The time constant of the thermostat.

### Temperature

Type Float List

Unit Kelvin

**GUI name** Temperature(s)

**Description** The target temperature of the thermostat. You can specify multiple temperatures (separated by spaces). In that case the Duration field specifies how many steps to use for the transition from one T to the next T (using a linear ramp). For NHC thermostat, the temperature may not be zero.

# Туре

Type Multiple Choice

Default value None

Options [None, Berendsen, NHC]

GUI name Thermostat

**Description** Selects the type of the thermostat.

Just like using a Thermostat to control the temperature of the system, a Barostat can be applied to keep the pressure constant by adjusting the volume. This enables sampling the isenthalpic-isobaric (NpH) ensemble by using only a barostat or the isothermal-isobaric (NpT) ensemble by combining a barostat and a thermostat. Unlike thermostats, a barostat always applies to the entire system and there can thus be at most one barostat defined.

AMS offers two barostats with similar properties to the related thermostats:

- **Berendsen** The Berendsen friction-like isobaric ensemble method rescales the system in each step to drive the pressure towards a target value. Similarly to the Berendsen thermostat, the relaxation is exponential with a time constant Tau. Similar considerations for the choice of Tau apply as in the case of the thermostat, but the value of Tau for the barostat is usually at least several times higher than the corresponding Tau used for the thermostat. This barostat does not have any conserved quantity.
- MTK This enables the Martyna-Tobias-Klein extended Lagrangian barostat, which generates a true isobaric ensemble by integrating the cell parameters as additional degrees of freedom. This barostat is derived from the Andersen-Hoover isotropic barostat and the Parrinello-Rahman-Hoover anisotropic barostat. Like the NHC thermostat,

it exhibits oscillatory relaxation unsuitable for systems far from equilibrium. This barostat must always be combined with a NHC thermostat. One instance of such thermostat coupled to the atoms as usual, while a second instance is created internally and coupled to the cell degrees of freedom.

### MolecularDynamics

# Barostat

Type Block

**Description** This block allows to specify the use of a barostat during the simulation.

#### BulkModulus

Type Float

**Default value 220000000.0** 

# Unit Pascal

**Description** An estimate of the bulk modulus (inverse compressibility) of the system for the Berendsen barostat. This is only used to make Tau correspond to the true observed relaxation time constant. Values are commonly on the order of 10-100 GPa (1e10 to 1e11) for solids and 1 GPa (1e9) for liquids (2.2e9 for water). Use 1e9 to match the behavior of standalone ReaxFF.

# ConstantVolume

Type Bool

Default value No

**Description** Keep the volume constant while allowing the box shape to change. This is currently supported only by the MTK barostat.

#### Duration

Type Integer List

**Description** Specifies how many steps should a transition from a particular pressure to the next one in sequence take.

# Equal

Type Multiple Choice

Default value None

Options [None, XYZ, XY, YZ, XZ]

**Description** Enforce equal scaling of the selected set of dimensions. They will be barostatted as one dimension according to the average pressure over the components.

#### Pressure

Type Float List

Unit Pascal

**Description** Specifies the target pressure. You can specify multiple pressures (separated by spaces). In that case the Duration field specifies how many steps to use for the transition from one p to the next p (using a linear ramp).

# Scale

Type Multiple Choice

Default value XYZ

Options [XYZ, Shape, X, Y, Z, XY, YZ, XZ]

**Description** Dimensions that should be scaled by the barostat to maintain pressure. Selecting Shape means that all three dimensions and also all the cell angles are allowed to change.

Tau

Type Float Unit Femtoseconds

GUI name Damping constant

Description Specifies the time constant of the barostat.

Туре

Type Multiple Choice Default value None Options [None, Berendsen, MTK] GUI name Barostat Description Selects the type of the barostat.

#### Temperature and pressure regimes

Arbitrary temperature and pressure regimes can be generated by setting Temperature or Pressure to a list of values, corresponding to the successive set points. This needs to be accompanied by a Duration key specifying the length of each regime segment in steps:

```
Thermostat

Temperature 0 300 300 500 500 300

Duration 100 200 100 200 100

End
```

Note that there is always N-1 Duration values for N Temperature values. The target temperature of the thermostat in this example will evolve as follows:

- 1. Increase linearly from 0 to 300 K over 100 steps.
- 2. Stay constant at 300 K for 200 steps.
- 3. Increase linearly from 300 to 500 K over 100 steps.
- 4. Stay constant at 500 K for 200 steps.
- 5. Decrease linearly from 500 to 300 K over 100 steps.
- 6. Stay constant at 300 K for the rest of the simulation.

# 4.9.5 Trajectory sampling and output

A basic principle of the numerical integration of motion in MD is that the changes in the state of the system between successive time steps are small. This means that storing the results of every step is not useful, because all the data is strongly correlated. Instead, a snapshot of the system is taken every N steps, where N is set low enough to still capture the fastest motion of interest but high enough to avoid wasting space due to correlations. The resulting sequence of snapshots is then commonly called the trajectory.

AMS writes the trajectory to the <code>History</code> and <code>MDHistory</code> sections of <code>ams.rkf</code>, according to the settings in the <code>Trajectory</code> block. A snapshot of the system and various MD variables is stored every <code>SamplingFreq</code> timesteps. By default, this frequency is also used to print basic thermodynamic parameters of the simulation to the output and log file. Set <code>PrintFreq</code> to override this.

Frequently sampling a long trajectory can generate large volumes of data. If the space usage becomes a concern, one can selectively disable storing some parts of the trajectory to save space using the Write\* keys. Note however that this will make it impossible to use some analysis methods on the resulting trajectory:

- WriteBonds is necessary for reaction network analysis (ChemTraYzer). Disabling WriteBonds also makes AMSmovie show only guessed bonds instead of those calculated by the engine.
- WriteMolecules is required by the Molecule Fractions panel in AMSmovie.
- WriteVelocities is required to calculate the velocity autocorrelation functions needed for diffusivity and IR spectra.

The trajectory itself contains only the data needed for subsequent analysis of the dynamics of the system. However, much more data is usually generated on every integration step. This includes, for example, the internal data used by an engine when evaluating the energies and forces. This information is normally discarded after each step, because it is often very large. However, a Checkpoint containing the complete internal state of the MD driver together with a result file generated by the engine is stored every Frequency steps. An interrupted simulation can then be restarted from this checkpoint using the Restart keyword. Additionally, the engine result files called MDStep\*.rkf can also be used to extract various engine-specific details about the system, such as the orbitals for QM engines.

# MolecularDynamics

### Trajectory

Type Block

**Description** Sets the frequency for printing to stdout and storing the molecular configuration on the .rkf file.

#### PrintFreq

Type Integer

GUI name Printing frequency

**Description** Print current thermodynamic properties to the output every N steps. By default this is done every SamplingFreq steps.

#### SamplingFreq

Type Integer

Default value 100

GUI name Sample frequency

**Description** Write the molecular geometry (and possibly other properties) to the .rkf file once every N steps.

# TProfileGridPoints

Type Integer

#### Default value 0

**Description** Number of points in the temperature profile. If TProfileGridPoints > 0, a temperature profile along each of the three unit cell axes will be written to the .rkf file. By default, no profile is generated.

#### WriteBonds

Type Bool

Default value Yes

Description Write detected bonds to the .rkf file.

# WriteCharges

Type Bool

Default value Yes

**Description** Write current atomic point charges (if available) to the .rkf file. Disable this to reduce trajectory size if you do not need to analyze charges.

#### WriteGradients

Type Bool

Default value No

Description Write gradients (negative of the atomic forces) to the .rkf file.

#### WriteMolecules

Type Bool

Default value Yes

Description Write the results of molecule analysis to the .rkf file.

#### WriteVelocities

Type Bool

Default value Yes

**Description** Write velocities to the .rkf file. Disable this to reduce trajectory size if you do not need to analyze the velocities.

#### Checkpoint

Type Block

**Description** Sets the frequency for storing the entire MD state necessary for restarting the calculation.

#### Frequency

Type Integer

Default value 1000

GUI name Checkpoint frequency

**Description** Write the MD state and engine-specific data to the respective .rkf files once every N steps.

# CalcPressure

Type Bool

#### Default value No

**Description** Calculate the pressure in periodic systems. This may be computationally expensive for some engines that require numerical differentiation. Some other engines can calculate the pressure for negligible additional cost and will always do so, even if this option is disabled.

Print

Type Block

Description This block controls the printing of additional information to stdout.

System

Type Bool

Default value No

Description Print the chemical system before and after the simulation.

Velocities

Type Bool

Default value No

Description Print the atomic velocities before and after the simulation.

# 4.9.6 Lattice deformations (volume regimes)

The Deformation block can be used to gradually deform the periodic lattice of the system during a MD simulation. This block can be repeated to define multiple deformations, which will be applied on every MD step in the order in which they are listed in the input.

# See also:

Example demonstrating various lattice deformations (page 260)

**Warning:** While a Deformation and a Barostat can be used at the same time, remember to set the Scale parameter of the barostat so that no dimension is simultaneously being deformed and barostatted.

Each Deformation block will be active on MD steps between StartStep and StopStep.

The time dependence of the lattice parameters is defined by the  $\ensuremath{\mathtt{Type}}$  key:

- Linear This deformation type adds the same constant amount to the selected lattice parameters on every simulation step. When used with TargetLattice, LatticeVelocity, or StrainRate, the lattice matrix H evolves as  $H(t) = H_0 + \Delta H \cdot t$ , where  $H_0$  is the lattice at StartStep.
- **Exponential** When used with StrainRate, this type strains the lattice by the given strain matrix \epsilon on every step, so that the lattice matrix H evolves as  $H(t) = H_0(1 + \epsilon)^t$ . When used with LengthRate, the length of each lattice vector evolves as  $l(t) = l_0(1 + r)^t$ .
- **Sine** This is a periodic deformation going from the starting value of the selected lattice parameters to a set target, and then with the same amplitude to the opposite direction from the starting lattice.
- Cosine This periodic deformation oscillates between the starting lattice and a defined target.

The period of the oscillation for the Sine and Cosine types must be set using the Period key.

The extent of the deformation is defined by setting one of the six mutually exclusive input keys. These belong to two groups, depending on whether they operate on the lattice matrix as a whole, or just on the lengths of the individual lattice vectors:

**TargetLattice, Velocity, and Rate** These input keys expect a "lattice-like" matrix of numbers, consisting of up to three rows containing up to three values each. Each row contains the components of a single lattice vector and corresponds to a row of the *Lattice block* (page 28). For systems with 1D or 2D periodicity the matrix may be padded to 3x3 with zeros.

TargetLength, LengthVelocity, and LengthRate These input keys expect a list of up to three values, defining the desired length of each lattice vector or the (absolute or relative) rate of its change.

#### MolecularDynamics

#### Deformation

Type Block

Recurring True

Description Deform the periodic lattice of the system during the simulation.

# LatticeVelocity

Type Non-standard block

**Description** Velocity of individual lattice vector components in Angstrom/fs. The format is identical to the System%Lattice block. For Type Sine and Cosine, this defines the maximum velocity (at the inflection point).

#### LengthRate

Type Float List

**Default value** [0.0, 0.0, 0.0]

Description Relative rate of change of each lattice vector per step.

# LengthVelocity

Type Float List

**Default value** [0.0, 0.0, 0.0]

Unit Angstrom/fs

**Description** Change the length of each lattice vector with this velocity. With Type=Exponential, LengthVelocity is divided by the current lattice vector lengths on Start-Step to determine a LengthRate, which is then applied on all subsequent steps. For Type Sine and Cosine, this defines the maximum velocity (at the inflection point).

#### Period

Type Float

Unit Femtoseconds

Description Period of oscillation for Type Sine and Cosine.

#### ScaleAtoms

Type Bool

Default value Yes

**Description** Scale the atomic positions together with the lattice vectors. Disable this to deform only the lattice, keeping the coordinates of atoms unchanged.

# StartStep

Type Integer

Default value 1

Description First step at which the deformation will be applied.

### StopStep

Type Integer

**Default value** 0

**Description** Last step at which the deformation will be applied. If unset or zero, nSteps will be used instead.

#### StrainRate

Type Non-standard block

**Description** Strain rate matrix to be applied on every step. The format is identical to the System%Lattice block.

#### TargetLattice

Type Non-standard block

**Description** Target lattice vectors to be achieved by StopStep. The format is identical to the System%Lattice block.

#### TargetLength

Type Float List

**Default value** [0.0, 0.0, 0.0]

Unit Angstrom

**Description** Target lengths of each lattice vector to be achieved by StopStep. The number of values should equal the periodicity of the system. If a value is zero, the corresponding lattice vector will not be modified.

#### Туре

Type Multiple Choice

Default value Linear

**Options** [Linear, Exponential, Sine, Cosine]

**Description** Function defining the time dependence of the deformed lattice parameters. Linear increments the lattice parameters by the same absolute amount every timestep. Exponential multiplies the lattice parameters by the same factor every timestep. Only Strain-Rate, LengthRate, and LengthVelocity are supported for Type=Exponential. Sine deforms the system from the starting lattice to TargetLattice/TargetLength and then by the same amount to the opposite direction, while Cosine deforms the system from the starting lattice to the target and back.

Example: Transition from the initial lattice to a 10 Å cube over 1000 steps:

```
MolecularDynamics

Deformation

StopStep 1000

TargetLattice

10.0 0.0 0.0

0.0 10.0 0.0

End

End

End

End
```

Example: Oscillate the length of the c lattice vector between the initial value and 20 Å, leaving the a and b vectors unchanged:

```
MolecularDynamics
Deformation
Type Cosine
Period 100
TargetLength 0 0 20
End
End
```

Example: Stretch the box in the "z" direction by a true exponential strain of 10 ppm per timestep while barostatting the remaining dimensions:

```
MolecularDynamics

Barostat Type=MTK Pressure=1e5 Tau=1000 Scale=XY

Deformation

Type Exponential

StrainRate

0.0 0.0 0.0

0.0 0.0 0.0

0.0 0.0 1e-5

End

End

End
```

# 4.9.7 Molecule Gun: adding molecules during simulation

The molecule gun allows you to "shoot" (add with velocity) a molecule into the simulation box.

# See also:

The GUI tutorial on the molecule gun.

Molecules can be continuously added to the simulation or only once. The initial position can be pre-set or be random within the simulation box or a part thereof. It can be defined either in the Cartesian or fractional coordinates. The initial velocity can be specified either directly (in Angstrom per femtosecond) or as translational temperature or kinetic energy. Possible applications of the molecule gun include e.g. the simulation of enforced collisions or deposition processes on surfaces.

# MolecularDynamics

# AddMolecules

Type Block

Recurring True

GUI name Add molecules

**Description** This block controls adding molecules to the system (a.k.a. the Molecule Gun). Multiple occurrences of this block are possible. By default, molecules are added at random positions in the simulation box with velocity matching the current system temperature. The initial position can be modified using one of the following keywords: Coords, CoordsBox, FractionalCoords, FractionalCoordsBox. The Coords and FractionalCoords keys can optionally be accompanied by CoordsSigma or FractionalCoordsSigma, respectively.

# AtomTemperature

Type Float

Default value 0.0

Unit Kelvin

**Description** Add random velocity corresponding to the specified temperature to individual atoms of the molecule. The total momentum of the added molecule is not conserved.

#### Coords

Type Float List

Unit Angstrom

**Description** Place molecules at or around the specified Cartesian coordinates. This setting takes precedence over other ways to specify initial coordinates of the molecule: [Coords-Box], [FractionalCoords], and [FractionalCoordsBox].

#### CoordsBox

Type Float List

Unit Angstrom

**Description** Place molecules at random locations inside the specified box in Cartesian coordinates. Coordinates of the box corners are specified as: Xmin, Xmax, Ymin, Ymax, Zmin, Zmax. This setting is ignored if Coords is used. In AMSinput, if this field is not empty it will be used instead of the default Coords.

# CoordsSigma

Type Float List

Unit Angstrom

**Description** Sigma values (one per Cartesian axis) for a Gauss distribution of the initial coordinates. Can only be used together with Coords.

#### Energy

Type Float

Unit Hartree

Description Initial kinetic energy of the molecule in the shooting direction.

#### EnergySigma

Type Float

Default value 0.0

Unit Hartree

**Description** Sigma value for the Gauss distribution of the initial kinetic energy around the specified value. Should only be used together with Energy.

# FractionalCoords

Type Float List

**Description** Place molecules at or around the specified fractional coordinates in the main system's lattice. For non-periodic dimensions a Cartesian value in Angstrom is expected. This setting is ignored if [Coords] or [CoordsBox] is used.

# FractionalCoordsBox

Type Float List

**Description** Place molecules at random locations inside the box specified as fractional coordinates in the main system's lattice. Coordinates of the box corners are specified as: Xmin, Xmax, Ymin, Ymax, Zmin, Zmax. For non-periodic dimensions the Cartesian value in Angstrom is expected. This setting is ignored if [Coords], [CoordsBox], or [FractionalCoords] is used.

#### FractionalCoordsSigma

#### **Type** Float List

**Description** Sigma values (one per axis) for a Gauss distribution of the initial coordinates. For non-periodic dimensions the Cartesian value in Angstrom is expected. Can only be used together with FractionalCoords.

#### Frequency

Type Integer

#### Default value 0

**Description** A molecule is added every [Frequency] steps after the StartStep. There is never a molecule added at step 0.

#### MinDistance

Type Float

# Default value 0.0

Unit Angstrom

**Description** Keep the minimal distance to other atoms of the system when adding the molecule.

#### NumAttempts

Type Integer

#### Default value 10

**Description** Try adding the molecule up to the specified number of times or until the MinDistance constraint is satisfied. If all attempts fail a message will be printed and the simulation will continue normally.

#### Rotate

Type Bool

### Default value No

Description Rotate the molecule randomly before adding it to the system.

### StartStep

Type Integer

# Default value 0

**Description** Step number when the first molecule should be added. After that, molecules are added every Frequency steps. For example, ff StartStep=99 and Frequency=100 then a molecule will be added at steps 99, 199, 299, etc... No molecule will be added at step 0, so if StartStep=0 the first molecule is added at the step number equal to [Frequency].

#### StopStep

Type Integer

**Description** Do not add this molecule after the specified step.

# System

Type String

**Description** String ID of the [System] that will be added with this 'gun'. The lattice specified with this System is ignored and the main system's lattice is used instead. AMSinput adds the system at the coordinates of the System (thus setting Coords to the center of the System).

# Temperature

Type Float

Unit Kelvin

**Description** Initial energy of the molecule in the shooting direction will correspond to the given temperature.

# TemperatureSigma

Type Float

Default value 0.0

Unit Kelvin

**Description** Sigma value for the Gauss distribution of the initial temperature the specified value. Should only be used together with TemperatureSigma.

#### Velocity

Type Float

Unit Angstrom/fs

Description Initial velocity of the molecule in the shooting direction.

# VelocityDirection

Type Float List

**Description** Velocity direction vector for aimed shooting. It will be random if not specified. In AMSinput add one or two atoms (which may be dummies). One atom: use vector from center of the system to add to that atom. Two atoms: use vector from the first to the second atom.

# VelocitySigma

Type Float

Default value 0.0

Unit Angstrom/fs

**Description** Sigma value for the Gauss distribution of the initial velocity around the specified value. Should only be used together with Velocity.

# 4.9.8 Removing molecules during simulation

Molecules *detected* (page 186) by the AMS driver can also be removed from the system. This feature can, for example, be used to remove reaction products.

#### MolecularDynamics

#### RemoveMolecules

Type Block

Recurring True

GUI name Remove molecules

**Description** This block controls removal of molecules from the system. Multiple occurrences of this block are possible.

#### Formula

Type String

**Description** Molecular formula of the molecules that should be removed from the system. The order of elements in the formula is very important and the correct order is: C, H, all other elements in the strictly alphabetic order. Element names are case-sensitive, spaces in the formula are not allowed. Digit '1' must be omitted. Valid formula examples: C2H6O, H2O, O2S. Invalid formula examples: C2H5OH, H2O1, OH, SO2. Invalid formulas are silently ignored.

#### Frequency

Type Integer

### **Default value** 0

**Description** The specified molecules are removed every so many steps after the StartStep. There is never a molecule removed at step 0.

# SafeBox

Type Block

**Description** Part of the simulation box where molecules may not be removed. Only one of the SinkBox or SafeBox blocks may be present. If this block is present a molecule will not be removed if any of its atoms is within the box. For a periodic dimension it is given as a fraction of the simulation box (the full 0 to 1 range by default). For a non-periodic dimension it represents absolute Cartesian coordinates in atomic units.

#### Amax

Type Float

Description Coordinate of the upper bound along the first axis.

#### Amin

Type Float

**Description** Coordinate of the lower bound along the first axis.

#### Bmax

Type Float

**Description** Coordinate of the upper bound along the second axis.

#### Bmin

Type Float

Description Coordinate of the lower bound along the second axis.

# Cmax

Type Float

Description Coordinate of the upper bound along the third axis.

Cmin

Type Float

Description Coordinate of the lower bound along the third axis.

### SinkBox

# Type Block

**Description** Part of the simulation box where molecules will be removed. By default, molecules matching the formula will be removed regardless of their location. If this block is present a molecule will be removed if any of its atoms is within the box. For a periodic dimension it is given as a fraction of the simulation box (the full 0 to 1 range by default). For a non-periodic dimension it represents absolute Cartesian coordinates in atomic units.

#### Amax

Type Float

Description Coordinate of the upper bound along the first axis.

#### Amin

Type Float

**Description** Coordinate of the lower bound along the first axis.

#### Bmax

Type Float

Description Coordinate of the upper bound along the second axis.

#### Bmin

Type Float

Description Coordinate of the lower bound along the second axis.

#### Cmax

Type Float

Description Coordinate of the upper bound along the third axis.

# Cmin

Type Float

Description Coordinate of the lower bound along the third axis.

### StartStep

Type Integer

# Default value 0

**Description** Step number when molecules are removed for the first time. After that, molecules are removed every [Frequency] steps. For example, if StartStep=99 and Frequency=100 then molecules will be removed at steps 99, 199, 299, etc... No molecule will be removed at step 0, so if StartStep=0 the first molecules are removed at the step number equal to [Frequency].

# StopStep

Type Integer

**Description** Do not remove the specified molecules after this step.

**Warning:** When there is a Molecules%AdsorptionSupportRegion defined, the molecule formulas depend on whether the molecule is adsorbed or not.

# 4.9.9 Accelerated dynamics

#### The PLUMED library support in AMS

PLUMED (http://www.plumed.org/) is a plugin that works with various MD programs and is also available in AMS. It can be used for on-the-fly analysis of the dynamics, or to perform a wide variety of free energy methods. The interface with the plugin is really simple: you just need to specify the PLUMED input in the MolecularDynamics%Plumed%Input block and it will be passed to the library "as is". At each MD step, the current state of the system will be passed to the plugin to be updated according to the PLUMED input.

# MolecularDynamics

#### Plumed

Type Block

Description Input for PLUMED. The parallel option is still experimental.

#### Input

Type Non-standard block

Description Input for PLUMED. Contents of this block is passed to PLUMED as is.

#### Parallel

#### Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

#### nCoresPerGroup

Type Integer

GUI name Cores per group

Description Number of cores in each working group.

#### nGroups

Type Integer

GUI name Number of groups

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

### nNodesPerGroup

Type Integer

GUI name Nodes per group

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

# Metadynamics for Conformer-Rotamer Ensemble Sampling (CREST-MTD)

This is a very specific implementation of metadynamics that is only meant for exploration of conformer space, as used in the Conformer-Rotamer Ensemble Sampling (CREST) approach. It is an RMSD-based metadynamics that places a new 1-dimensional Gaussian on the potential energy surface every NSteps steps. The Gaussian is a function of the RMSD from the current geometry, which will always be zero at the moment of placement. All Gaussians have the same maximum height (Height) and the same width (Width). There is an upper limit of NGaussiansMax to the number of Gaussians present in the system, and when this is exceeded the oldest Gaussian is removed. By default the Gaussians are gradually introduced, using a scaling function that increases from 0 to 1 with the simulation time. The keyword ScalingSlope in the inputblock GaussianScaling determines the slope of the scaling function with respect to time. The default value of  $0.03 \ steps^{-1}$  yields a scaling factor of nearly 1 after 100 steps. The keyword ScaleGaussians in the inputblock GaussianScaling determines whether the Gaussians are scaled at all.

#### MolecularDynamics

#### CRESTMTD

Type Block

GUI name CREST\_MTD

Description Input for CREST metadynamics simulation.

#### AddEnergy

Type Bool

**Description** Add the bias energy to the potential energy (to match the gradients)

# GaussianScaling

Type Block

**Description** Options for gradual introduction of the Gaussians

# ScaleGaussians

Type Bool

Default value Yes

**Description** Introduce the Gaussians gradually, using a scaling function

#### ScalingSlope

Type Float

Default value 0.03

Description Slope of the scaling function for the Gaussians with respect to time

# Height

Type Float

Unit Hartree

Description The height of the Gaussians added

# NGaussiansMax

Type Integer

Description Maximum number of Gaussians stored

#### NSteps

Type Integer

Description Interval of Gaussian placement

# RestartFile

Type String

Description Filename for file from which to read data on Gaussians placed previously.

Width

Type Float

Unit Bohr

Description The width of the Gaussians added in terms of the RMSD

By default the gradients of the Gaussians with respect to the atom coordinates are added to the state gradients, but the value of the Gaussians is not added to the energy, which is common in metadynamics. For testing purposes it can be useful to add the Gaussian value to the energy, and this can be done with the keyword AddEnergy. Irrespective of this choice, the energy value of the Gaussian at each geometry is printed in the ams.rkf file in the section CrestMTDHistory. It is also possible to use Gaussians from an earlier CREST-MTD simulation, using the keyword RestartFile.

# Collective Variable-driven HyperDynamics (CVHD)

The Collective Variable-driven HyperDynamics is a molecular dynamics acceleration method that allows observation of rare events by filling energy minima with a bias potential. In this sense it is similar to metadynamics. The difference of the hyperdynamics is that it ensures that the bias disappears in the transition state region. This difference allows hyperdynamics to calculate the rate of slow processes, for example the ignition phase of combustion.

# See also:

The GUI tutorial on CVHD.

The CVHD implementation in AMS follows the algorithm described in K.M. Bal, E.C. Neyts, JCTC, 11 (2015) (https://doi.org/10.1021/acs.jctc.5b00597)

The StartStep, Frequency, StopStep, and WaitSteps keys define when and how often the bias potential is added, and when it is removed. The Bias block defines parameters of the bias potential peaks and the ColVarBB block describes parameters of the bond-breaking collective variable.

#### MolecularDynamics

CVHD

Type Block

Recurring True

GUI name CVHD

Description Input for the Collective Variable-driven HyperDynamics (CVHD).

Bias

Type Block

**Description** The bias is built from a series of Gaussian peaks deposited on the collective variable axis every [Frequency] steps during MD. Each peak is characterized by its (possibly damped) height and the RMS width (standard deviation).
DampingTemp

Type Float

Default value 0.0

Unit Kelvin

GUI name Bias damping T

**Description** During well-tempered hyperdynamics the height of the added bias is scaled down with an exp(-E/kT) factor [PhysRevLett 100, 020603 (2008)], where E is the current value of the bias at the given CV value and T is the damping temperature DampingTemp. If DampingTemp is zero then no damping is applied.

#### Delta

Type Float

Description Standard deviation parameter of the Gaussian bias peak.

#### Height

Type Float

Unit Hartree

Description Height of the Gaussian bias peak.

#### ColVarBB

Type Block

Recurring True

GUI name Collective Variable

**Description** Description of a bond-breaking collective variable (CV) as described in [Bal & Neyts, JCTC, 11 (2015)]. A collective variable may consist of multiple ColVar blocks.

#### at1

Type Block

Description Specifies the first bonded atom in the collective variable.

#### region

Type String

## Default value \*

**Description** Restrict the selection of bonded atoms to a specific region. If this is not set, atoms anywhere in the system will be selected.

## symbol

Type String

**Description** Atom type name of the first atom of the bond. The name must be as it appears in the System block. That is, if the atom name contains an extension (e.g C.1) then the full name including the extension must be used here.

#### at2

Type Block

Description Specifies the second bonded atom in the collective variable.

## region

Type String

Default value \*

**Description** Restrict the selection of bonded atoms to a specific region. If this is not set, atoms anywhere in the system will be selected.

## symbol

Type String

**Description** Atom type name of the second atom of the bond. The value is allowed to be the same as [at1], in which case bonds between atoms of the same type will be included.

## cutoff

Type Float

Default value 0.3

GUI name Bond order cutoff

**Description** Bond order cutoff. Bonds with BO below this value are ignored when creating the initial bond list for the CV. The bond list does not change during lifetime of the variable even if some bond orders drop below the cutoff.

#### р

Type Integer

**Default value** 6

GUI name Exponent p

**Description** Exponent value p used to calculate the p-norm for this CV.

#### rmax

Type Float

Unit Angstrom

GUI name R max

**Description** Max bond distance parameter Rmax used for calculating the CV. It should be close to the transition-state distance for the corresponding bond.

#### rmin

Type Float

Unit Angstrom

GUI name R min

**Description** Min bond distance parameter Rmin used for calculating the CV. It should be close to equilibrium distance for the corresponding bond.

#### Frequency

Type Integer

**Description** Frequency of adding a new bias peak, in steps. New bias is deposited every [Frequency] steps after [StartStep] if the following conditions are satisfied: the current CV value is less than 0.9 (to avoid creating barriers at the transition state), the step number is greater than or equal to [StartStep], and the step number is less than or equal to [StartStep].

## StartStep

Type Integer

**Description** If this key is specified, the first bias will be deposited at this step. Otherwise, the first bias peak is added at the step number equal to the Frequency parameter. The bias is never deposited at step 0.

## StopStep

Type Integer

**Description** No bias will be deposited after the specified step. The already deposited bias will continue to be applied until the reaction event occurs. After that no new CVHD will be started. By default, the CVHD runs for the whole duration of the MD calculation.

## WaitSteps

## Type Integer

**Description** If the CV value becomes equal to 1 and remains at this value for this many steps then the reaction event is considered having taken place. After this, the collective variable will be reset and the bias will be removed.

During a CVHD calculation, the following variables are saved to the MDHistory section of the RKF file, in addition to other MD properties:

- BiasEnergy value the bias energy at the current MD step, in Hartree.
- *MaxBiasEnergy* max BiasEnergy since the last sampling step.
- *BoostFactor* the boost factor at the given MD step. The boost factor is calculated at each MD step as  $boost = e^{E_{bias}/kT}$ , where T is the MD ensemble temperature.
- MaxBoostFactor max BoostFactor value since the last sampling step.
- *HyperTime* boosted MD time, in femtoseconds, which is a sum of the hyper-time steps calculated from the current boost factor and the MD time step as  $\Delta t_{boost} = boost * \Delta t$ . In hyperdynamics, the hyper-time value is directly related to the rate of the process boosted by the corresponding collective variable.

## Temperature Replica Exchange

Sampling of rare events can be accelerated using the Replica Exchange Molecular Dynamics (REMD) method, also known as Parallel Tempering. This method runs multiple replicas (copies) of the simulated system in parallel, each in a different ensemble. In the case of Temperature REMD, these ensembles are all NVT or NpT, each at a different temperature. Periodically, Monte Carlo swaps are attempted between neighboring ensembles. If the current configuration of replica A has a sufficient Boltzmann probability in the ensemble of replica B (and vice versa), the two configurations will be swapped. This causes high-energy configuration to migrate into the high-temperature replicas while low-energy configurations eventually end up in the coldest ensemble. This facilitates the crossing of energy barriers in the high-temperature ensembles while keeping the coldest replica at a given temperature of interest. Because each replica always samples an unbiased ensemble, any property can be calculated using standard MD analysis methods without special preparation.

The method is controlled using the ReplicaExchange block:

## MolecularDynamics

## ReplicaExchange

Type Block

**Description** This block is used for (temperature) Replica Exchange MD (Parallel Tempering) simulations.

#### AllowWrongResults

Type Bool

## Default value No

**Description** Allow combining Replica Exchange with other features when the combination is known to produce physically incorrect results.

#### EWMALength

Type Integer

Default value 10

**Description** Length of the exponentially weighted moving average used to smooth swap probabilities for monitoring. This value is equal to the inverse of the EWMA mixing factor.

#### SwapFrequency

Type Integer

Default value 100

Description Attempt an exchange every N steps.

## TemperatureFactors

Type Float List

**Description** This is the ratio of the temperatures of two successive replicas. The first value sets the temperature of the second replica with respect to the first replica, the second value sets the temperature of the third replica with respect to the second one, and so on. If there are fewer values than nReplicas, the last value of TemperatureFactor is used for all the remaining replicas.

#### Temperatures

Type Float List

**Description** List of temperatures for all replicas except for the first one. This is mutually exclusive with TemperatureFactors. Exactly nReplicas-1 temperature values need to be specified, in increasing order. The temperature of the first replica is given by [Thermostat%Temperature].

### nReplicas

Type Integer

Default value 1

GUI name Number of replicas

Description Number of replicas to run in parallel.

The number of replicas set by nReplicas must never exceed the total number of processors used for the simulation. If possible, the total number of processors should be an integer multiple of nReplicas to ensure good load balancing.

The temperature of the base (coldest) replica is determined by the Thermostat input block, just like in an ordinary MD simulation. There are two ways to set the temperatures of the remaining replicas, either using Temperatures or TemperatureFactors. The latter is typically more convenient, as it makes it easy to set up the optimal geometric progression of temperatures. In the simplest case, it is enough to supply just a single value in TemperatureFactors, setting the common ratio of temperatures of any two adjacent replicas.

SwapFrequency should be set as low as practical for maximum efficiency. The value of this parameter isn't critical because it doesn't affect the validity of the results. However, setting it too high will decrease overall acceleration by missing some opportunities to exchange. Conversely, using a value that is too low will increase the communication overhead and lead to useless back-and-forth swaps between adjacent replicas. Ideally, SwapFrequency should be comparable to the correlation time of the system to ensure that individual exchange attempts are uncorrelated.

The trajectory of each replica is written to a separate RKF file: ams.rkf for the base replica and *replicaX.rkf* for the other replicas. One can easily switch between these files in the GUI using  $File \rightarrow Related$  Files. In addition to data present in any MD trajectory, these files also contain an extra section *ReplicaExchangeHistory* with the following data items written every SwapFrequency steps:

- *AvgSwapProbability* Average swap acceptance for each pair of replicas, smoothed using an exponentially weighted moving average with a mixing factor equal to the inverse of EWMALength.
- *{Min,Max,Mean,StdDev}PotentialEnergy* Statistics of the potential energy for each ensemble over the last SwapFrequency steps.
- SystemInEnsemble *i* Identifies the system (continuous trajectory) currently running in ensemble (replica) *i*.
- *EnsembleOfSystem i* Inverse mapping of *SystemInEnsemble*, giving the current replica number in which the system number *i* runs.
- *TemperatureOfSystem i* Equivalent to *EnsembleOfSystem* using temperatures instead of integer numbers to identify ensembles.

These data items can be plotted using the MD Replica Exchange menu in AMSmovie. For example, plotting *TemperatureOfSystem* or *EnsembleOfSystem* is useful to visualize the migration of each system through the space of ensembles, where each curve represents one continuous trajectory. Plotting potential energy statistics or average acceptances facilitates tuning the number of replicas and their temperatures to achieve efficient acceleration. The replica exchange method can only work when the potential energy distributions of adjacent ensembles have a sufficient overlap. This can be easily seen by comparing *MaxPotentialEnergy* of ensemble *i* with *MinPotentialEnergy* of ensemble i+1. The optimal degree of overlap is such that leads to approximately 20 % of swap attempts getting accepted. The acceptance of swaps can be monitored by plotting *AvgSwapProbability* and the corresponding TemperatureFactors can then be adjusted to keep it near the optimal value.

## **Bond Boost Method**

The bond boost method implemented here is described in<sup>3</sup>. In this method, the distances between atoms that are relevant to the reaction of interest are calculated to determine the orientation of the reactant molecules. If a suitable initial configuration is recognized, an additional restraint energy (possibly consisting of more than one term) is added to the system that is intended to stretch or compress bonds at a pre-defined rate such that this additional energy can help achieve the energy to cross the reaction barrier. A single term of the restraint energy is depends on the restraints type and its parameters, see *restraint definitions* (page 201) for details. If more than one suitable configuration is found then the one with the smallest sum of distances is used to create the restraints.

## See also:

The GUI tutorial on the Bond Boost Method.

## MolecularDynamics

BondBoost

Type Block

## Recurring True

<sup>&</sup>lt;sup>3</sup> A. Vashisth, C. Ashraf, W. Zhang, C.E. Bakis, and A.C.T. van Duin, Accelerated ReaxFF simulations for describing the reactive cross-linking of polymers, J. Phys. Chem. A, 122, 6633-6642 (2018) (https://doi.org/10.1021/acs.jpca.8b03826)

**Description** Forced reaction (bond boost) definitions. Multiple BondBoost blocks may be specified, which will be treated independently.

#### Chain

## Type Block

**Description** Specifications of a chain of atoms. When a chain is detected the distance restraints will be activated. No other chain of this type will be detected while any restraints for this chain is active.

## AtomNames

## Type String

**Description** Atom names specifying the chain. An atom name can optionally be followed by '@' and a region name, in this case only atoms of this type from the given region will be matched. A leading '@' followed by a number indicates that this position in the chain must be occupied by the atom found earlier at the specified position in the chain. For example "O H N C @1" indicates that the last atom in the chain of the five atoms must be the first oxygen, thus defining a 4-membered ring. This is the only way to define a ring because implicit rings will not be detected. For example, "O H N C O" does not include rings.

## MaxDistances

Type Float List

Unit Angstrom

**Description** Maximum distances for each pair of atoms in the chain. The number of distances must be one less than the number of AtomNames.

## MinDistances

Type Float List

Unit Angstrom

**Description** Minimum distances for each pair of atoms in the chain. The number of distances must be one less than the number of AtomNames.

#### DistanceRestraint

Type String

## Recurring True

**Description** Specify two atom indices followed by the distance in Angstrom, the ForceConstant (in a.u.) and, optionally, the profile type and F(Inf) (in a.u.). This restraint will try to keep the distance between the two specified atoms at the given value. For periodic systems this restraint follows the minimum image convention. Each index indicates position of the corresponding atom in the AtomNames key. Currently recognized restraint profile types: Harmonic (default), Hyperbolic, Erf.

#### NSteps

Type Integer

GUI name Boost lifetime

**Description** Number of steps the restraints will remain active until removed. Atoms participating in one reaction are not available for the given number of steps.

#### NumInstances

## Type Integer

Default value 1

GUI name Number of instances

**Description** Number of reactions of this type taking place simultaneously.

For example:

```
MolecularDynamics
  BondBoost
     NSteps 10000
     Chain
                    N.1 C.t
                                              @1
                                  0
                                        Н
        AtomNames
                     3.0 1.2 1.5
                                          0.8
        MinDistances
                                    2.5
                       3.8
                              3.0
                                          1.5
        MaxDistances
     End
                              R_0
                                     FC
#
     Restraints
                       i
                          Ź
     DistanceRestraint 1
                          2
                              1.5
                                    0.05
                                           Hyperbolic
                                                       0.7
     DistanceRestraint 2 3
                              3.5
                                    0.03
                                           Hyperbolic
                                                       0.5
     DistanceRestraint 3 4
                              1.0
                                    0.03
                                           Hyperbolic
                                                       0.5
  End
End
```

The AtomNames, MinDistances, and MaxDistances keys constitute the atom chain definitions for the initial configuration. Thus, the example above defines a chain of atoms N.1-C.t-O-H-N.1 with R(N.1-C) in the (3.0,3.8) range, R(C.t-O) in the (1.2,3.0) range, etc.. In this example, the last atoms in the chain is required to be the same as the fist one, thus defining a ring. The specified restraints will push pairs of atoms C-N and O-H close together, which will hopefully let them form a bond, and pull atoms C.t and O away from each other, thus breaking the C-O bond. The restraint type is set to Hyperbolic to avoid very large forces that would otherwise result from a harmonic potential at a large deviation.

**Note:** When detecting coordinates, the program uses the full atom name and not just the element name. An atom name consists of the element name optionally followed by a period and a suffix, just like N.1 and C.t in the example above. Using extended names for some atoms one may allow only a subset of bonds to be boosted.

# 4.9.10 Non-equilibrium MD (NEMD)

## T-NEMD for thermoconductivity: heat exchange

There are different methods to study thermal conductivity using non-equilibrium molecular dynamics (NEMD). A common feature of these methods is that they require the system to be divided into three or more zones, each with its own thermostat and other properties. One method maintains the temperature of the heat source and the heat sink zones at the given temperature using two different thermostats and measures the amount of heat transferred. These method does not require any special features besides a standard thermostat and a possibility to calculate the amount of heat accumulated by the thermostat per unit of time. The accumulated thermostat energies are available in the MDHistory section of ams.rkf file, in variables called 'XXXXstat#Energy', where XXXX is a 4-letter abbreviation of the thermo-/barostat ('BerT' for a Berendset thermostat, 'NHCT' for an NHC thermostat, 'NHTB' for an MTK barostat, etc.) and '#' is a 1-digit index of the thermo-/barostat.

In the other method, the heat flow is constant and the induced temperature gradient is measured. This method is

implemented in AMS in three variants: a simple heat exchange,  $HEX^1$  and  $eHEX^2$ . In the simple heat exchange method the atom velocities are scaled up (or down) by a factor corresponding to the amount of heat deposited to the heat source (or withdrawn from the heat sink) without paying attention to the conservation of the total momentum of the heat source (or sink). In the HEX method the velocities are scaled in such a way that the total momentum is conserved. This, however, introduces a small but measurable drift in the total energy. The eHEX algorithm improves upon the HEX by adding a third-order time-integration correction to remove the drift.

This method is controlled by the HeatExchange sub-block of the MolecularDynamics block:

#### MolecularDynamics

## HeatExchange

Type Block

Recurring True

GUI name Heat exchange

Description Input for the heat-exchange non-equilibrium MD (T-NEMD).

#### HeatingRate

Type Float

Unit Hartree/fs

**Description** Rate at which the energy is added to the Source and removed from the Sink. A heating rate of 1 Hartree/fs equals to about 0.00436 Watt of power being transfered through the system.

## Method

Type Multiple Choice

Default value Simple

Options [Simple, HEX, eHEX]

**Description** Heat exchange method used. Simple: kinetic energy of the atoms of the source and sink regions is modified irrespective of that of the center of mass (CoM) of the region (recommended for solids). HEX: kinetic energy of the atoms of these regions is modified keeping that of the corresponding CoM constant. eHEX: an enhanced version of HEX that conserves the total energy better (recommended for gases and liquids).

#### Sink

Type Block

**Description** Defines the heat sink region (where the heat will be removed).

#### AtomList

Type Integer List

GUI name Sink region

**Description** The atoms that are part of the sink. This key is ignored if the [Box] block or [Region] key is present.

#### Box

<sup>&</sup>lt;sup>1</sup> T. Ikeshoji and B. Hafskjold, Non-equilibrium molecular dynamics calculation of heat conduction in liquid and through liquid-gas interface Molecular Physics 81, 251-261 (1994) (https://doi.org/10.1080/00268979400100171)

<sup>&</sup>lt;sup>2</sup> P. Wirnsberger, D. Frenkel, and C. Dellago, An enhanced version of the heat exchange algorithm with excellent energy conservation properties Journal of Chemical Physics 143, 124104 (2015) (http://dx.doi.org/10.1063/1.4931597)

## Type Block

**Description** Part of the simulation box (in fractional cell coordinates) defining the heat sink. If this block is specified, then by default, the whole box in each of the three dimensions is used, which usually does not make much sense. Normally, you will want to set the bounds along one of the axes.

## Amax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the first axis.

### Amin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the first axis.

## $\mathtt{Bmax}$

Type Float

Default value 1.0

Description Coordinate of the upper bound along the second axis.

#### Bmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the second axis.

#### $\mathtt{Cmax}$

Type Float

Default value 1.0

Description Coordinate of the upper bound along the third axis.

#### Cmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the third axis.

#### Region

Type String

GUI name Sink region

Description The region that is the sink. This key is ignored if the [Box] block is present.

#### Source

Type Block

Description Defines the heat source region (where the heat will be added).

#### AtomList

Type Integer List

GUI name Source region

**Description** The atoms that are part of the source. This key is ignored if the [Box] block or [Region] key is present.

#### Box

## Type Block

**Description** Part of the simulation box (in fractional cell coordinates) defining the heat source. If this block is specified, then by default, the whole box in each of the three dimensions is used, which usually does not make much sense. Normally, you will want to set the bounds along one of the axes. This block is mutually exclusive with the FirstAtom/LastAtom setting.

## Amax

Type Float

Default value 1.0

**Description** Coordinate of the upper bound along the first axis.

#### Amin

Type Float

Default value 0.0

**Description** Coordinate of the lower bound along the first axis.

### Bmax

Type Float

Default value 1.0

**Description** Coordinate of the upper bound along the second axis.

## Bmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the second axis.

#### Cmax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the third axis.

## Cmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the third axis.

#### Region

Type String

GUI name Source region

Description The region that is the source. This key is ignored if the [Box] block is present.

#### StartStep

Type Integer

**Default value** 0

Description Index of the MD step at which the heat exchange will start.

#### StopStep

Type Integer

Description Index of the MD step at which the heat exchange will stop.

One should be careful when choosing a value for the HeatingRate because a too large value may lead to pyrolysis of the heat source or to an abnormal termination when all the kinetic energy of the heat sink has been drained. The optimal value depends on the size of the system, its heat conductivity and the desired temperature gradient value. The thermal conductivity *k* can be calculated by dividing the heat flow rate *W* by the temperature gradient grad(T) and by the flow cross-section area *S*:  $k = W/(S \cdot grad(T))$ . See the *trajectory sampling* (page 86) section above on how to obtain the temperature profile from which the grad(T) can be computed.

# 4.10 Grand Canonical Monte Carlo (GCMC)

Tip: Take a look at the GCMC tutorial and learn how to setup a GCMC calculation.

## 4.10.1 General info

#### About Monte Carlo / the Grand Canonical Ensemble

It is best to read a bit about Monte Carlo and ensembles before working with the GCMC code. Almost every book or review text on molecular simulations will do, for example: Frenkel D, Smit B. Understanding molecular simulation: from algorithms to applications. Academic Press; 2002. 672 p.

Wikipedia also has some pages of interest:

- http://en.wikipedia.org/wiki/Monte\_Carlo\_method
- http://en.wikipedia.org/wiki/Grand\_canonical\_ensemble

It is important to note that this method heavily relies on random numbers, and simulations are thus non-repeatable in detail, but should converge to the same answer.

## About the AMS GCMC code

The GCMC code was originally developed for standalone Reaxff by Thomas Senftle, working as a Graduate Student at Penn State University under the supervision of Dr. Adri van Duin<sup>12</sup>. The original version was a wrapper code that called an external executable to perform the reaxff minimization step and energy calculation, and relied on file modification and parsing to steer the reaxff code and get the results back.

The code was later rewritten by Hans van Schoot (SCM), in close collaboration with Thomas Senftle, to integrate it directly into the ADF-ReaxFF code. The current version is an AMS re-implementation so the method can be used

<sup>&</sup>lt;sup>1</sup> T.P. Senftle, R.J. Meyer, M.J. Janik, A.C.T. van Duin, *Development of a ReaxFF potential for Pd/O and application to palladium oxide formation*, J. Chem. Phys. 139, 044109 (2013) (https://doi.org/10.1063/1.4815820)

<sup>&</sup>lt;sup>2</sup> T.P. Senftle, A.C.T. van Duin, M.J. Janik, *Determining in situ phases of a nanoparticle catalyst via grand canonical Monte Carlo simulations with the ReaxFF potential*, Catalysis Communications 52, 72–77 (https://doi.org/10.1016/j.catcom.2013.12.001)

with almost any engine supported by AMS (support for 3D periodic boundary conditions by the engine is currently a requirement).

## 4.10.2 Method Details

## Overview

The GCMC method will perform a number of Grand Canonical Monte Carlo trial moves (set by the Iterations option of the GCMC input block), and accept or reject them based on the energy produced by the geometry optimization of the trial geometry for the given engine. The Monte Carlo algorithm will always accept a step if it results in a decrease of the energy, and accept steps that go up in energy with a probability. This section will give some details about how the method works.

## MC Moves (Insert/Delete/Displace/ChangeVolume)

The GCMC method currently supports 4 types of MC Moves: Insert, Delete, Displace (sometimes also called Move), and ChangeVolume. The first three MC moves are always available and the ChangeVolume becomes available only ChangeVolume option is set to True. The first three move types change coordinates of atoms in the system, while the ChangeVolume move changes the lattice only.

On every MC iteration, the method first selects one of the molecules defined by the Molecule input blocks at random and then selects an applicable MC move type. If there are no molecules of this type in the system then no Delete or Displace is attempted. If the selected molecule has the NoAddRemove option set then the Insert and Delete moves will not be attempted. If no MC move is possible with the selected molecule type then another one is selected or a VolumeChange is attempted, if allowed. If no moves with any of the provided molecules is possible (i.e. if all molecules have NoAddRemove set to True, there is nothing to displace and the volume is fixed) then the program will stop.

The Insert and Displace MC move will rotate the molecule randomly and put it at a random position, and then check if the minimum interatomic distance between the molecule and the rest of the system is within the MinDistance and MaxDistance boundaries. If the condition is not satisfied, a new set of coordinates is generated and the check is performed again. This is repeated up to NumAttempts times before stopping with an error.

The volume change is controlled by the VolumeChangeMax keyword. This sets the volume change limit, and it should be a value between between 0 and 1. The new volume will be calculated as:  $V_{new} = exp(random(-1:1)*VolumeChangeMax)*V_{old}$ .

## Calculating the chemical potential

The chemical potential of the molecule (or atom) reservoir is used when calculating the Boltzmann accept/reject criteria after a MC move is executed. This value can be derived from first principles using statistical mechanics, or equivalently, it can be determined from thermochemical tables available in literature sources.

For example, the proper chemical potential for a GCMC simulation in which single oxygen atoms are exchanged with a reservoir of O2 gas, should be equal to 1/2 the chemical potential of O2 at the temperature and pressure of the reservoir<sup>1</sup>:

$$\mu_{O}^{MC}(T,P) = \frac{1}{2}\mu_{O2}^{MC}(T,P) = \frac{1}{2} \left[ \mu_{O2}^{ref}(T,P_{ref}) + kTln\left(\frac{P}{P_{ref}}\right) - E_{O2}^{diss} \right]$$

where the reference chemical potential  $\mu_{O2}^{ref}(T, P_{ref})$  is the experimentally determined chemical potential of O2 at T and P<sub>ref</sub>,  $kTln\left(\frac{P}{P_{ref}}\right)$  is the pressure correction to the free energy, and  $E_{O2}^{diss}$  is the dissociation energy of the O<sub>2</sub> molecule.

## **Calculating energies**

Because the GCMC simulation adds and deletes atoms or molecules during the runtime, it cannot directly compare the AMS energies for the MC acceptance criteria: inserting a molecule will usually lower the total energy of the system, causing the MC to always accept it, and always reject a deletion. To compensate this, the GCMC method calculates

a "corrected" MC energy to compare the trial energy with, consisting of the previously accepted AMS energy and a correction depending on the move:

$$\begin{split} E_{old}^{MC} &= E_{old}^{AMS} + \mu^{MC} \text{ for an Insert move;} \\ E_{old}^{MC} &= E_{old}^{AMS} - \mu^{MC} \text{ for a Delete move;} \\ E_{old}^{MC} &= E_{old}^{AMS} \text{ for a Displace move;} \\ E_{old}^{MC} &= E_{old}^{AMS} - P(V_{new} - V_{old}) + N_{inserted} ln\left(\frac{V_{avail}}{V_{avail}}\right) kT \text{ for a ChangeVolume move.} \end{split}$$

Here,  $\mu^{MC}$  is the chemical potential of the inserted/deleted molecule, P is the pressure, V is the volume, and N<sub>inserted</sub> is the total number of MC molecules. The "new" and "old" subscripts refer to the current and the last accepted values. The V<sup>avail</sup> values are calculated from the MC-available volume as described below.

#### **Calculating volumes**

The available volume can be calculated in a few different ways, depending on the VolumeOption setting:

- Free: volume = total volume occupied volume specified vacuum volume (NonAccessibleVolume)
- *Total*: volume = total cell volume
- Accessible: volume = specified accessible volume (AccessibleVolume)
- FreeAccessible: volume = specified accessible volume (AccessibleVolume) occupied volume

Here, the occupied volume is calculated as a sum of volumes of atoms that do not belong to the MC part of the system (i.e. that were not inserted during calculation and are not Removables). The volume of an atom is calculated using the average of the covalent and the Van der Waals radii of the atom defined in the atominfo module used throughout AMS.

The AccessibleVolume and NonAccessibleVolume keywords can be used to get a more accurate available volume.

#### Acceptance criteria

An MC move is always accepted if the AMS energy is lower than the corrected MC energy of the last accepted MC move, or if the energy increase is small enough. If the new energy is higher, the code generates a random number between 0 and 1, and accepts the move if the random number is greater than:

prob = preFactor \* exp(-Beta\*deltaE)

The prefactor is calculated (for insert and delete moves) using the deBroglie wavelength of the inserted molecules, the number of inserted molecules and the available MC volume of the system.

## 4.10.3 Input

The GCMC functionality in AMS is triggered using the following Task key:

Task GCMC

```
GCMC
AccessibleVolume float
Box
Amax float
Amin float
Bmax float
Bmin float
Cmax float
```

(continues on next page)

(continued from previous page)

```
Cmin float
   End
   Ensemble [Mu-VT | Mu-PT]
   Iterations integer
   MapAtomsToOriginalCell Yes/No
   MaxDistance float
   MinDistance float
   Molecule
      ChemicalPotential float
      NoAddRemove Yes/No
      SystemName string
   End
   NonAccessibleVolume float
   NumAttempts integer
   Pressure float
   Removables # Non-standard block. See details.
      . . .
   End
   Restart string
   Temperature float
   UseGCPreFactor Yes/No
   VolumeChangeMax float
   VolumeOption [Free | Total | Accessible | FreeAccessible]
End
```

The following keys are common for all GCMC calculations and should always be specified. The ChemicalPotential value should correspond to the  $\mu^{MC}$  expression above, and not to the experimental chemical potential  $\mu^{ref}$ , which means it should include the (engine-dependent) free molecule's energy.

## GCMC

## Molecule

Type Block

Recurring True

GUI name Molecules

**Description** This block defines the molecule (or atom) that can be inserted/moved/deleted with the MC method. The coordinates should form a reasonable structure. The MC code uses these coordinates during the insertion step by giving them a random rotation, followed by a random translation to generate a random position of the molecule inside the box. Currently, there is no check to make sure all atoms of the molecule stay inside the simulation box. The program does check that the MaxDistance/MinDistance conditions are satisfied.

#### ChemicalPotential

Type Float

Unit Hartree

**Description** Chemical potential of the molecule (or atom) reservoir. It is used when calculating the Boltzmann accept/reject criteria after a MC move is executed. This value can be derived from first principles using statistical mechanics, or equivalently, it can be determined from thermochemical tables available in literature sources. For example, the proper chemical potential for a GCMC simulation in which single oxygen atoms are exchanged with a reservoir of O2 gas, should equal 1/2 the chemical potential of O2 at the temperature and pressure of the reservoir: cmpot =  $Mu_O(T,P) = 1/2*Mu_O2(T,P) = 1/2$  \* [Mu\_ref(T,P\_ref) + kT\*Log(P/Pref) - E\_diss] where the reference chemical potential

[Mu\_ref(T,P\_ref)] is the experimentally determined chemical potential of O2 at T and Pref; kT\*Log(P/Pref) is the pressure correction to the free energy, and E\_diss is the dissociation energy of the O2 molecule.

## NoAddRemove

Type Bool

Default value No

GUI name Fix molecule

**Description** Set to True to tell the GCMC code to keep the number of molecules/atoms of this type fixed. It will thus disable Insert/Delete moves on this type, meaning it can only do a displacement move, or volume change move (for an NPT ensemble).

#### SystemName

Type String

GUI name Molecule

**Description** String ID of a named [System] to be inserted. The lattice specified with this System, if any, is ignored and the main system's lattice is used instead.

## Iterations

Type Integer

GUI name Number of GCMC iterations

Description Number of GCMC moves.

#### Temperature

Type Float

Default value 300.0

Unit Kelvin

**Description** Temperature of the simulation. Increase the temperature to improve the chance of accepting steps that result in a higher energy.

The following keys are related to Insert and Displace moves.

#### GCMC

## NumAttempts

Type Integer

Default value 1000

GUI name Max tries

**Description** Try inserting/moving the selected molecule up to the specified number of times or until all constraints are satisfied. If all attempts fail a message will be printed and the simulation will stop. If the MaxDistance-MinDistance interval is small this number may have to be large.

#### MinDistance

**Type** Float

**Default value** 0.3

Unit Angstrom

GUI name Add molecules not closer than

**Description** Keep the minimal distance to other atoms of the system when adding the molecule.

## MaxDistance

Type Float Default value 3.0 Unit Angstrom GUI name Add molecules within

Description The max distance to other atoms of the system when adding the molecule.

The following keys influence computation of the acceptance probability and of the MC energy correction.

#### GCMC

#### UseGCPreFactor

Type Bool

Default value Yes

GUI name Use GC prefactor

Description Use the GC pre-exponential factor for probability.

#### VolumeOption

Type Multiple Choice

Default value Free

**Options** [Free, Total, Accessible, FreeAccessible]

GUI name Volume method

**Description** Specifies the method to calculate the volume used to calculate the GC preexponential factor and the energy correction in the Mu-PT ensemble: Free: V = totalVolume - occupiedVolume - NonAccessibleVolume; Total: V = totalVolume; Accessible: V = AccessibleVolume; FreeAccessible: V = AccessibleVolume - occupiedVolume. The AccessibleVolume and NonAccessibleVolume are specified in the input, the occupiedVolume is calculated as a sum of atomic volumes.

## AccessibleVolume

Type Float

Default value 0.0

**Description** Volume available to GCMC, in cubic Angstroms. AccessibleVolume should be specified for "Accessible" and "FreeAccessible" [VolumeOption].

## NonAccessibleVolume

Type Float

Default value 0.0

GUI name Non-accessible volume

**Description** Volume not available to GCMC, in cubic Angstroms. NonAccessibleVolume may be specified for the "Free" [VolumeOption] to reduce the accessible volume.

The following keys apply to the ensemble choice and options for the Mu-PT ensemble.

GCMC

## Ensemble

Type Multiple Choice

Default value Mu-VT

Options [Mu-VT, Mu-PT]

**Description** Select the MC ensemble: Mu-VT for fixed volume or Mu-PT for variable volume. When the Mu-PT ensemble is selected the [Pressure] and [VolumeChangeMax] should also be specified.

#### VolumeChangeMax

Type Float

Default value 0.05

**Description** Fractional value by which logarithm of the volume is allowed to change at each step. The new volume is then calculated as Vnew = exp(random(-1:1)\*VolumeChangeMax)\*Vold

#### Pressure

Type Float

Default value 0.0

Unit Pascal

**Description** Pressure used to calculate the energy correction in the Mu-PT ensemble. Set it to zero for incompressible solid systems unless at very high pressures.

The GCMC code can insert multiple atom/molecule types in a single simulation, so it needs to keep track of what atom belongs to which insert. This information is automatically stored and updated when insertion/deletion/moving of atoms or molecules during the simulation, but is by default unknown for the atoms of the starting geometry. The GCMC code will therefore by default not modify the atoms in the original input in the MC trial moves. The Restart key and the Removables block are two ways to provide information about Deletable/Movable atoms/molecules in the input structure. If the Restart key is present the Removables block will be ignored.

## GCMC

Restart

Type String

**Description** Name of an RKF restart file. Upon restart, the information about the GCMC input parameters, the initial system (atomic coordinates, lattice, charge, etc.) and the MC molecules (both already inserted and to be inserted) are read from the restart file. The global GCMC input parameters and the MC Molecules can be modified from input. Any parameter not specified in the input will use its value from the restart file (i.e. not the default value). Molecules found in the restart file do not have to be present as named Systems in the input, however if there is a System present that matches the name of a molecule from restart then the System's geometry will replace that found in the restart file. It is also possible to specify new Molecules in the input, which will be added to the pool of the MC molecules from restart.

#### Removables

Type Non-standard block

**Description** The Removables can be used to specify a list of molecules that can be removed or moved during this GCMC calculation. Molecules are specified one per line in the format following format: MoleculeName atom1 atom2 ... The MoleculeName must match a name

specified in one of the [Molecule] blocks. The atom indices refer to the whole input System and the number of atoms must match that in the specified Molecule. A suitable Removables block is written to the standard output after each accepted MC move. If you do so then you should also replace the initial atomic coordinates with the ones found in the same file. If a [Restart] key is present then the Removables block is ignored.

An example of the Removables block:

```
Removables
Oatom 41
O2 44 45
Oatom 42
Oatom 43
End
```

This example specifies that 5 atoms belong to 4 different GCMC molecules of two different types, Oatom and O2. Thus in addition to the main input System there should be at least two additional Systems defined, one called "Oatom" (containing one atom) and the other "O2" (containing two atoms). The first one was inserted three times (atoms 41, 42, and 43) and the second one was inserted once.

Finally there are more technical keywords:

## GCMC

```
MapAtomsToOriginalCell
```

Type Bool

Default value Yes

**Description** Keeps the atom (mostly) in the original cell by mapping them back before the geometry optimizations.

Note that the GeometryOptimization block is also read by the GCMC task, and the settings used for the individual optimizations. The documentation for these keywords can be found in the *Geometry Optimization* (page 41) section of this manual.

## 4.10.4 Output

In addition to the standard KF variables in the "History" section on ams.rkf such as "Coords" and "Energy", the following GCMC-specific variables are also created for each accepted MC step:

- MCMove integer index of the MC move.
- *MCMoveType* string containing the type of the MC move.
- MCMolecule string containing the name of the inserted/displaced/removed molecule.
- Converged a Fortran logical value containing the convergence status of the given geometry.

Results of a GCMC calculation are stored in the GCMC section of the RKF file, in a number of variables. The following variables contain a summary of the MC statistics up to and including the latest step:

- NIterMCtried the latest iteration number.
- NIterMCaccept the number of accepted MC moves.
- NIterMCreject the number of rejected MC moves.
- NMCacceptAdd the number of accepted MC molecule insertions.
- NMCacceptRemove the number of accepted MC molecule removals.

- NMCacceptMove the number of accepted MC molecule moves.
- *NMCacceptVolume* the number of accepted volume changes.
- *NMCrejectAdd* the number of rejected MC molecule insertions.
- NMCrejectRemove the number of rejected MC molecule removals.
- NMCrejectMove the number of rejected MC molecule moves.
- *NMCrejectVolume* the number of rejected volume changes.

The following variables (actually arrays of the size Iterations) in the GCMC section contain the detailed information about all MC moves in the current simulation. Only the first *NIterMCtried* elements of each array contain valid data.

- HistoryAccepted MC move status value (1 accepted, 0 rejected, -1 not done yet).
- *HistoryAMSEnergy* the AMS energy (the  $E^{AMS}$  above).
- *HistoryMCEnergy* the corrected MC energy ( $E^{MC} = E^{AMS} \Sigma \mu_i^{MC}$ , where  $\Sigma \mu_i^{MC}$  is the total chemical potential of all inserted molecules).
- *HistoryVolume* the simulation box volume.
- *HistoryMoveType* the MC move type index (0 insert, 1 delete, 2 displace, 3 change volume). The name of the move type with index *i* can be found in the *MoveType*(*i*) variable.
- *HistoryMoleculeType* the inserted/deleted/displaced molecule type index. The name of the molecule type with index *i* can be found in the *MoleculeName(i)* variable.
- *HistoryMoleculeIndex* the inserted/deleted/displaced molecule index within its type.

# **GRADIENTS, HESSIAN, STRESS TENSOR, ELASTICITY**

No matter what application the AMS driver is used for, in one way or another it always explores the potential energy surface (PES) of the system. One can furthermore ask AMS to calculate additional properties of the PES in the points that are visited. These are mostly (but not exclusively) derivatives of the energy, e.g. we can ask AMS to calculate the gradients or the Hessian in the visited points. In general all these PES point properties are requested through the Properties block in the AMS input:

```
Properties
Gradients Yes/No
StressTensor Yes/No
Hessian Yes/No
SelectedRegionForHessian string
PESPointCharacter Yes/No
ElasticTensor Yes/No
End
```

This properties described on this page in the AMS manual are all related to derivatives of the energy.

Note that because these properties are tied to a particular point on the potential energy surface, they are found on the *engine output files* (page 15). Note also that the properties are not always calculated in every PES point that the AMS driver visits during a calculation. By default they are only calculated in *special* PES points, where the definition of special depends on the *task* (page 39) AMS is performing: For a *geometry optimization* (page 41) properties would for example only be calculated at the final, converged geometry. This behavior can often be modified by keywords special to the particular running task.

# 5.1 Nuclear gradients

The first derivative with respect to the nuclear coordinates can be requested as follows:

```
Properties
Gradients Yes/No
End
```

Properties

Gradients

Type Bool

Default value No

GUI name Nuclear gradients

Description Calculate the nuclear gradients.

Note that these are gradients, not forces, the difference being the sign. The gradients are printed in the output and written to the *engine result file* (page 15) belonging to the particular point on the PES in the AMSResults%Gradients variable as a  $3 \times n_{\text{atoms}}$  array in atomic units (Hartree/Bohr).

# 5.2 Hessian

The calculation of the second derivative of the total energy with respect to the nuclear coordinates is enabled by:

```
Properties
Hessian Yes/No
SelectedRegionForHessian string
End
```

Properties

Hessian

Type Bool

Default value No

Description Whether or not to calculate the Hessian.

#### SelectedRegionForHessian

Type String

GUI name Hessian only for

**Description** Compute the Hessian matrix elements only for the atoms in a particular region. If not specified, the Hessian will be computed for all atoms.

The Hessian is not printed to the text output, but is saved in the engine result file as variable AMSResults%Hessian. Note that this is just the plain partial second derivatives (no mass-weighting) of the total energy. The  $3 \times N_{\text{atoms}}$  columns/rows of the matrix are grouped by atom: the first three rows/columns correspond to the first atom, etc..

Note that the AMS driver also supports the *Mobile Block Hessian* (page 134) (MBH) method, which allows treating parts of the system as rigid blocks.

Often one is not interested in the Hessian matrix itself, but just in using it for the calculation of IR frequencies or to characterize a PES point (as e.g. a local minimum or a saddle point). For these application, see the following pages in the manual:

- IR frequencies (page 131)
- PES point character (page 122)

# 5.3 PES point character

A PES point can according to the slope and curvature of the PES at that point be classified in the following categories:

- A local minimum on the PES with vanishing nuclear gradients and no negative frequencies.
- A transition state with vanishing nuclear gradients and exactly one negative frequency, i.e. a first order saddle point on the PES.
- A higher order saddle point, i.e. a stationary point on the PES with vanishing nuclear gradients but more than one imaginary frequency.
- A non-stationary point on the PES. Here the gradients are non-zero.

This classification can easily be done if both the gradients and the normal modes have already been calculated. However, calculating the full Hessian needed for the entire set of normal modes is very expensive and undesirable if one only wants to know the character of a PES point. The AMS driver can quickly, and without calculating the full Hessian, characterize a PES point into one of the above categories. This can be used to confirm the success of e.g. a *transition state search* (page 55) or *geometry optimization* (page 41). A PES point can be characterized by requesting PESPointCharacter as a property:

```
Properties
PESPointCharacter Yes/No
End
```

## Properties

#### PESPointCharacter

Type Bool

Default value No

GUI name Characterize PES point

**Description** Determine whether the sampled PES point is a minimum or saddle point. Note that for large systems this does not entail the calculation of the full Hessian and can therefore be used to quickly confirm the success of a geometry optimization or transition state search.

This will calculate the few lowest normal modes using an iterative diagonalization of the Hessian<sup>1</sup> based on a Davidson algorithm implemented in the PRIMME library<sup>2</sup>. The procedure has been optimized for finding a small number of low-lying eigenvalues in as few matrix-vector multiplications (and thus single point calculations) as possible. This is facilitated by performing the iterative method using a pre-conditioning matrix based on an approximation of the Hessian. The approximate Hessian is obtained from the full Hessian at a lower level of theory. This calculation also provides the initial guesses for the desired normal modes. What the lower level of theory is depends on the main engine used in the calculation: DFTB with the GFN1-xTB model is used as the lower level of theory for relatively slow engines, e.g. DFT based engines. For semi-empirical engines like DFTB or MOPAC, the lower level of theory is currently UFF. It is currently not possible to change the engine used to obtain the preconditioning Hessian and the approximate modes.

- Note that the iterative calculation of the normal modes is skipped when ...
  - 1. ... the nuclear gradients are so large that the PES point is considered non-stationary. The calculation of the modes is then just not necessary for classifying it.
  - 2. ... the full normal modes or Hessian have also been requested. The iterative calculation is then not necessary, as all modes are already known.
  - 3. ... the molecule is very small. (For small systems the iterative calculation of the few lowest normal modes is not faster than the full calculation of all modes, so all modes are calculated instead.)
- The classification as a stationary or non-stationary point uses the gradient convergence criterion from the geometry optimization (page 41). This makes sure that the criterion for what is considered converged/stationary is always in sync between the optimizer and the PES point characterization.
- For periodic systems the PES point characterization does not take the lattice degrees of freedom into account. A PES point where the nuclear gradients are small enough would for example be classified as a stationary point, even if the system is under stress.

Details of the iterative procedure can be configured in the PESPointCharacter block:

<sup>&</sup>lt;sup>1</sup> P. Deglmann and F. Furche, *Efficient characterization of stationary points on potential energy surfaces*, J. Chem. Phys. 117, 9535 (2002) (https://doi.org/10.1063/1.1523393)

<sup>&</sup>lt;sup>2</sup> A. Stathopoulos and J. R. McCombs, *PRIMME: PReconditioned Iterative MultiMethod Eigensolver: Methods and software description*, ACM Transactions on Mathematical Software, Vol. 37, No. 2, (2010), 21:1–21:30. (https://doi.org/10.1145/1731022.1731031)

```
PESPointCharacter
Displacement float
NegativeFrequenciesTolerance float
NumberOfModes integer
Tolerance float
End
```

## PESPointCharacter

Type Block

Description Options for the characterization of PES points.

#### Displacement

Type Float

### Default value 0.04

**Description** Controls the size of the displacements used for numerical differentiation: The displaced geometries are calculated by taking the original coordinates and adding the mass-weighted mode times the reduced mass of the mode times the value of this keyword.

## NegativeFrequenciesTolerance

Type Float

Default value -10.0

Unit cm-1

**Description** The threshold in frequency below which a mode is considered imaginary, i.e. indicating a transition state. This is a small negative number, as very small negative frequencies are normally due to numerical noise on an essentially flat PES and do not indicate true transition states.

#### NumberOfModes

Type Integer

Default value 2

Description The number of (lowest) eigenvalues that should be checked.

## Tolerance

Type Float

Default value 0.016

Description Convergence tolerance for residual in iterative Davidson diagonalization.

- Note that the residual tolerance that can be achieved is limited by the numerical differentiation that is performed. The default values should apply in most cases, but if convergence becomes a problem one may choose to increase the tolerance or to increase the step size (slightly). Note that the default residual tolerance is lower than for the other mode selective methods. This is because PRIMME uses a different convergence criteria than mode tracking/refinement. The higher value used as a default will therefore not result in decreased levels of accuracy. The method will bail if the number of iterations exceeds the number of normal modes as at this point still achieving convergence becomes unlikely, in part due to the next point.
- In order to avoid producing the known and irrelevant rigid modes, the method searches for normal modes orthogonal to six (or five) rigid modes. Imperfections due to the numerical differentiation may mean that the translational and rotational rigid modes are not exact eigenmodes of the Hessian that is constructed. As a result, some part of the lowest vibrational normal mode may lie in the span of the theoretical rigid modes and

therefore be inaccessible to the Davidson method. This places a lower bound on the residual tolerance that can be achieved, which is directly related to the numerical differentiation accuracy. The take-away: do not set the tolerance too low, the default usually suffices.

• Behind the scenes, the method actually computes a few more modes than requested. In the case of multiplicities, eigenvalues may still converge out of order. These additional eigenvalues essentially guarantee that the obtained modes are indeed the lowest ones.

# 5.4 Thermodynamics, gas phase Gibbs free energy

At the end of a completed IR Frequencies (normal modes) calculation, a survey is given of thermodynamic properties: entropy, internal energy, constant volume heat capacity, enthalpy and Gibbs free energy, see:

- *IR frequencies* (page 131)
  - Thermodynamics (page 151)
  - Gibbs free energy change for a gas phase reaction (page 154)

## 5.5 Stress tensor

For periodic systems (chains, slabs, bulk) one can also request the clamped-ion stress tensor (note: the clamped-ion stress is only part of the *true* stress tensor):

```
Properties
StressTensor Yes/No
End
```

#### Properties

StressTensor

Type Bool

Default value No

GUI name Stress tensor

**Description** Calculate the stress tensor.

The clamped-ion stress tensor  $\sigma_{\alpha}$  (Voigt notation) is computed via numerical differentiation of the energy E WRT a strain deformations  $\epsilon_{\alpha}$  keeping the atomic fractional coordinates constant:

$$\sigma_{\alpha} = \frac{1}{V_0} \left. \frac{\partial E}{\partial \epsilon_{\alpha}} \right|_{\rm constant \ atomic \ fractional \ coordinates}$$

where  $V_0$  is the volume of the unit cell (for 2D periodic system  $V_0$  is the area of the unit cell, and for 1D periodic system  $V_0$  is the length of the unit cell).

The clamped-ion stress tensor (in Cartesian notation) is written to the engine result file in AMSResults%StressTensor.

# 5.6 Elastic tensor

The elastic tensor  $c_{\alpha,\beta}$  (Voigt notation) is computed via second order numerical differentiation of the energy E WRT strain deformations  $\epsilon_{\alpha}$  and  $\epsilon_{\beta}$ :

$$c_{\alpha,\beta} = \frac{1}{V_0} \frac{\partial^2 E}{\partial \epsilon_\alpha \partial \epsilon_\beta}$$

where  $V_0$  is the volume of the unit cell (for 2D periodic system  $V_0$  is the area of the unit cell, and for 1D periodic system  $V_0$  is the length of the unit cell).

For each strain deformation  $\epsilon_{\alpha}\epsilon_{\beta}$ , the atomic positions will be optimized. The elastic tensor can be computed for any periodicity, i.e. 1D, 2D and 3D.

#### See also:

Example: Elastic tensor (page 279)

To compute the elastic tensor, request it in the Properties input block of AMS:

```
Properties
ElasticTensor Yes/No
End
```

**Note:** The elastic tensor should be computed at the fully optimized geometry. One should therefore perform a geometry optimization of all degrees of freedom, **including the lattice vectors**. It is recommended to use a tight gradient convergence threshold for the geometry optimization (e.g. 1.0E-4). Note that all this can be done in one job by combining the *geometry optimization task* (page 41) with the elastic tensor calculation.

The elastic tensor (in Voigt notation) is printed to the output file and stored in the *engine result file* (page 15) in the AMSResults section (for 3D system, the elastic tensor in Voigt notation is a 6x6 matrix; for 2D systems is a 3x3 matrix; for 1D systems is just one number).

Options for the numerical differentiation procedure can be specified in the ElasticTensor input block:

```
ElasticTensor
MaxGradientForGeoOpt float
StrainStepSize float
End
```

#### ElasticTensor

Type Block

Description Options for numerical evaluation of the elastic tensor.

#### MaxGradientForGeoOpt

Type Float

Default value 0.0001

Unit Hartree/Angstrom

GUI name Maximum nuclear gradient

**Description** Maximum nuclear gradient for the relaxation of the internal degrees of freedom of strained systems.

StrainStepSize

## Type Float

Default value 0.001

**Description** Step size (relative) of strain deformations used for computing the elastic tensor numerically.

*Pressure* (page 198) or *non-isotropic external stress* (page 199) can be included in your simulation via the corresponding *engine addons* (page 195).

The elastic tensor calculation supports AMS' *double parallelization* (page 17), which can perform the calculations for the individual displacements in parallel. This is configured automatically, but can be further tweaked using the keys in the NumericalDifferentiation%Parallel block:

```
ElasticTensor
Parallel
nCoresPerGroup integer
nGroups integer
nNodesPerGroup integer
End
End
```

## ElasticTensor

#### Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

#### nCoresPerGroup

Type Integer

Description Number of cores in each working group.

#### nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

#### nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

# 5.7 Numerical differentiation options

The following options apply whenever AMS computes gradients, Hessians or stress tensors via numerical differentiation.

```
NumericalDifferentiation
NuclearStepSize float
StrainStepSize float
End
```

#### NumericalDifferentiation

## Type Block

**Description** Define options for numerical differentiations, that is the numerical calculation of gradients, Hessian and the stress tensor for periodic systems.

#### NuclearStepSize

Type Float

Default value 0.005

Unit Bohr

Description Step size for numerical nuclear gradient calculation.

## StrainStepSize

Type Float

Default value 0.001

Description Step size (relative) for numerical stress tensor calculation.

AMS may use symmetry (key NumericalDifferentiation%UseSymmetry) in case of numerical differentiation calculations. If symmetry is used only symmetry unique atoms are displaced. Symmetry is only recognized if the starting geometry has symmetry. Symmetry is only used for molecules if the molecule has a specific orientation in space, like that the z-axis is the main rotation axis. If the GUI is used one can click the Symmetrize button (the star), such that the GUI will (try to) symmetrize and reorient the molecule. There are some cases that even after such symmetrization, the orientation of the molecule is not what is needed for the symmetry to be used in case of numerical differentiation calculations. If that is the case or if key NumericalDifferentiation%UseSymmetry is set to 'False', then no symmetry will be used.

The numerical differentiation calculation supports AMS' *double parallelization* (page 17), which can perform the calculations for the individual displacements in parallel. This is configured automatically, but can be further tweaked using the keys in the NumericalDifferentiation%Parallel block:

```
NumericalDifferentiation
Parallel
nCoresPerGroup integer
nGroups integer
nNodesPerGroup integer
End
End
```

### NumericalDifferentiation

#### Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

#### nCoresPerGroup

Type Integer

Description Number of cores in each working group.

nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

## nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

# VIBRATIONAL SPECTROSCOPY

# 6.1 General

The starting point is the Hessian of the system, being the second derivative of the energy with respect to the atomic coordinates.

The eigenvalues of the Hessian are the frequencies and the eigen vectors are the normal modes.

As the calculation of the full Hessian is very expensive there are several ways to avoid it, so that you only get a part of the full spectrum, or only modes for a region of the system, see *IR frequencies and normal modes* (page 131) section.

A full, partial, or approximate Hessian in itself can be useful for a (Hessian-based) geometry optimization or a transition state search.

Vibrational spectra are obtained by differentiating a property along the normal modes at a (local) minimum of the PES. So for spectra you need to optimize the geometry first, otherwise you get negative frequencies.

The Normal modes and or vibrational spectra can be requested via the Properties block

```
Properties
NormalModes Yes/No
Raman Yes/No
VROA Yes/No
VCD Yes/No
Phonons Yes/No
End
```

When requesting the normal modes, the IR intensities are calculated, as they are very cheap.

## See also:

Tutorials on Vibrational Spectroscopy

## 6.1.1 Where are the results?

Because the results of a vibrational spectroscopy calculation are tied to a particular point on the potential energy surface, they are found on the *engine output files* (page 15). Note also that the properties are not always calculated in every PES point that the AMS driver visits during a calculation. By default they are only calculated in *special* PES points, where the definition of special depends on the *task* (page 39) AMS is performing: For a *geometry optimization* (page 41) properties would for example only be calculated at the final, converged geometry. This behavior can often be modified by keywords special to the particular running task.

# 6.2 IR frequencies and normal modes

## 6.2.1 All vibrational Modes

The calculation of the normal modes of vibration can be requested with:

```
Properties
NormalModes Yes/No
End
```

Typically used icw with *Task SinglePoint* (page 39), *Task GeometryOptimization* (page 41), or *Task TransitionState-Search* (page 55). In case of geometry optimization or transition state search the normal modes will only be calculated at the final, converged geometry.

## Properties

## NormalModes

Type Bool

Default value No

**GUI name** Frequencies

**Description** Calculate the frequencies and normal modes of vibration, and for molecules also the corresponding IR intensities if the engine supports the calculation of dipole moments.

The molecular normal modes are normally calculated within the harmonic oscillation model. If the molecule is in its equilibrium conformation, it sits in the lowest point (at least locally) on the PES. The cross-section of the PES profile close to this point can then be assumed to be approximately parabolic, such that the second derivative of the energy w.r.t a nuclear coordinate can be interpreted as a force constant for the harmonic oscillation of an atom along this coordinate. Since molecular vibrations in polyatomics involve the simultaneous displacement of multiple atoms, this harmonic oscillator model can be generalized to multiple nuclear coordinates. The normal modes and their frequencies then become eigenvectors and eigenvalues of a force constant matrix, the Hessian:

$$H_{ij} = \frac{\partial^2 E}{\partial R_i \partial R_j}$$

The (non-mass-weighted) Hessian is saved in the engine result file as variable AMSResults%Hessian. It is not printed to the text output. The column/row indices are ordered as: x-component of atom 1, y-component of atom 1, z-component of atom 1, x-component of atom 2, etc.

Most *engines* (page 187) cannot calculate the Hessian analytically. The Hessian is then constructed column-wise through numerical differentiation of the energy gradients w.r.t. each nuclear coordinate. AMS will set up 2 single-point calculations (1 for the positive displacement, 1 for the negative displacement), and the requested engine will return the energy gradients at these displacements. These gradients are calculated analytically for most engines.

**Note:** Numerical calculation of the full Hessian requires 6N single points calculation, which can take a considerable amount of time for large systems. A mode selective method can be a fast alternative, see *mode scanning* (page 137), *mode refinement* (page 138), and *mode tracking* (page 141).

When requesting the normal modes calculation, integrated IR intensities are simultaneously calculated during the finite differentiation steps when constructing the Hessian (as long as dipole moments are supported by the engine). These IR intensities are calculated from the numerical dipole gradients:

$$I_{IR} = \frac{N\pi}{3c^2} \sum_{\alpha} \left( \sum_j \frac{\partial \mu_{\alpha}}{\partial R_j^m} Q_j^m \right)^2$$

Where  $\alpha$  denotes the x-,y- and z-components of the dipole moment  $\mu$ , and  $Q^m$  is the mass-weighted vibrational normal mode.

The resulting IR spectrum can be visualized by opening the engine result file with AMSspectra. The normal modes of vibration and the IR intensities are saved to the *engine result file* (page 15) in the Vibrations section.

**Note:** The calculation of the normal modes of vibration needs to be done the system's equilibrium geometry. So one should either run the normal modes calculation using an already optimized geometry, or combine both steps into one job by using the *geometry optimization task* (page 41) together with the Properties%NormalModes keyword.

Symmetry labels of the normal modes may be calculated if AMS uses symmetry in the calculation (key UseSymmetry). If symmetry is used, the normal modes are projected against symmetric displacements for each irrep. If that is not successful the symmetry label is 'MIX'. Symmetry is only recognized if the geometry is (almost) perfectly symmetric and has a specific orientation in space. You can use the Symmetrize key in the *System block* (page 27) to symmetrize and reorient the molecule. If the AMSinput GUI module is used, one can click the Symmetrize button (the star) and the GUI will try to symmetrize and reorient the molecule.

## **Rescanning Imaginary modes**

The ReScanModes keyword can be used to calculate more accurately frequencies of specific modes after a normal modes calculation. It is identical to the ScanFreq option that was available for older versions of ADF and BAND. Primarily used to identify spurious imaginary modes, and is on by default for this purpose. See also the *Mode Scanning* (page 137) task, which is an extension of this method, but which is not on by default.

```
NormalModes
ReScanModes Yes/No
ReScanFreqRange float_list
End
```

## NormalModes

#### ReScanModes

Type Bool

Default value Yes

GUI name Re-scan modes

**Description** Whether or not to scan imaginary modes after normal modes calculation has concluded.

#### ReScanFreqRange

Type Float List

**Default value** [-10000000.0, 10.0]

Unit cm-1

Recurring True

GUI name Re-scan range

**Description** Specifies a frequency range within which all modes will be scanned. 2 numbers: an upper and a lower bound.

## 6.2.2 Symmetric Displacements

```
NormalModes
Displacements Symmetric
End
```

Specify Displacements Symmetric to calculate the energy Hessian using finite differences in symmetryadapted displacements, and the corresponding normal modes.

```
NormalModes
SymmetricDisplacements
Type [All | Infrared | Raman | InfraredAndRaman]
End
End
```

If Type InfraRed or Type Raman is specified then only irreps that result in non-zero intensities for the corresponding spectroscopy will be included in the calculation. Using this feature may save a lot of time for large symmetric molecules by skipping calculation of normal modes that would not contribute to the spectrum anyway. If Type InfraRedAndRaman is specified then vibrations that have a non-zero IR or Raman intensity will be calculated. If Type All is specified then all vibrations will be calculated. For multi-dimensional irreps (such as E and T) only the first component will be computed. For any component beyond the first, the frequencies and intensities will be copied from the first one.

## NormalModes

#### SymmetricDisplacements

### Type Block

**Description** Configures details of the calculation of the frequencies and normal modes of vibration in symmetric displacements.

## Туре

Type Multiple Choice

Default value All

Options [All, Infrared, Raman, InfraredAndRaman]

GUI name Symm Frequencies

**Description** For symmetric molecules it is possible to choose only the modes that have nonzero IR or Raman intensity (or either of them) by symmetry. In order to calculate the Raman intensities the Raman property must be requested.

**Warning:** Specifying Type Raman alone does not trigger calculation of the Raman intensities. In order to calculate the Raman spectrum one should also specify Raman True.

**Note:** Displacements Symmetric will also produce a *3N*-by-*3N* Hessian matrix but if the Type key's argument is not All then this matrix will likely have many zero eigenvalues.

## 6.2.3 Mobile Block Hessian (MBH)

```
NormalModes
Displacements Block
End
```

Specify Displacements Block for the Block Normal Modes option (also known as **Mobile Block Hessian**, or **MBH**<sup>12</sup>). MBH is useful when calculating vibrational frequencies of a small part of a very large system (molecule or cluster). Calculation of the full spectrum of such a system may be inefficient and is unnecessary if one is interested in one particular part. Besides, it may be difficult to extract normal modes related to the interesting sub-system out of the whole spectrum. Using Block Normal Modes it is possible to treat parts of the system as rigid blocks. Each block will usually have only six frequencies related to its rigid motions compared to 3\*N for when each atom of the block is treated separately.

MBH is suitable to calculate frequencies in partially optimized structures. Assume a geometry optimization is performed with the Block key in the Constraints input block [see constrained geometry optimizations]. During the geometry optimization, the shape of the block is not changed. The internal geometry of the block is kept fixed, but the block as a whole can still translate or rotate.

At the end of such a partial geometry optimization, the position and orientation of the block is optimized, thus the total force on the block is zero. However, there might be still some residual forces within a block, since those degrees of freedom were not optimized. A traditional frequency calculation performed on this partially optimized structure might result in non-physical imaginary frequencies without a clear interpretation. Therefore one should use an adapted formulation of normal mode analysis: the Mobile Block Hessian method. MBH does not consider the internal degrees of freedom of the block (on which residual forces) apply, but instead uses the position/orientation of the block as coordinates. In the resulting normal mode eigenvectors, all atoms within the same block move collectively.

Of course, MBH can also be applied on a fully optimized structure.

## Accuracy

```
NormalModes

BlockDisplacements

AngularDisplacement float

BlockAtoms integer_list

BlockRegion string

Parallel

nCoresPerGroup integer

nGroups integer

End

RadialDisplacement float

End

End
```

## NormalModes

## BlockDisplacements

Type Block

**Description** Configures details of a Block Normal Modes (a.k.a. Mobile Block Hessian, or MBH) calculation.

## AngularDisplacement

<sup>1</sup> A. Ghysels, D. Van Neck, V. Van Speybroeck, T. Verstraelen and M. Waroquier, *Vibrational Modes in partially optimized molecular systems*, Journal of Chemical Physics 126, 224102 (2007) (https://doi.org/10.1063/1.2737444)

<sup>&</sup>lt;sup>2</sup> A. Ghysels, D. Van Neck and M. Waroquier, *Cartesian formulation of the Mobile Block Hessian Approach to vibrational analysis in partially optimized systems*, Journal of Chemical Physics 127, 164108 (2007) (https://doi.org/10.1063/1.2789429)

Type Float

Default value 0.5

Unit Degree

**Description** Relative step size for rotational degrees of freedom during Block Normal Modes finite difference calculations. It will be scaled with the characteristic block size.

#### BlockAtoms

Type Integer List

Recurring True

Description List of atoms belonging to a block. You can have multiple BlockAtoms.

#### BlockRegion

Type String

## Recurring True

**Description** The region to to be considered a block. You can have multiple BlockRegions, also in combination with BlockAtoms.

#### Parallel

Type Block

Description Configuration for how the individual displacements are calculated in parallel.

#### nCoresPerGroup

Type Integer

Description Number of cores in each working group.

#### nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

#### nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

#### RadialDisplacement

Type Float

Default value 0.005

Unit Angstrom

**Description** Step size for translational degrees of freedom during Block Normal Modes finite difference calculations.

The second derivatives of the energy with respect to Cartesian displacements of the free atoms and those with respect to block motions (3 translation plus 3 rotations) are calculated by numerical differentiation of the gradient. The accuracy of the second derivatives is determined by the accuracy of the gradient evaluation and the step size in the numerical
differentiation. The RadialDisplacement and AngularDisplacement parameters can be specified to set the step size for Cartesian displacements (translations) and block rotations respectively. The step size for angles is automatically scaled with the block size.

Note: Blocks should consist of at least 3 atoms (i.e. block of 1 or 2 atoms are not supported).

## 6.2.4 Mode Scanning

Mode Scanning can be used to obtain more accurate approximations for properties obtained by numerical differentiation along the vibrational normal modes (frequencies, intensities, Raman, etc.), without changing the modes themselves. Mode Scanning is an extension of the frequency scanning options (ScanFreq) that were part of ADF and BAND in earlier versions of the Amsterdam Modeling Suite. These latter options are still available as the ReScanModes keyword in the NormalModes block, if these are requested during a calculation.

- Primarily used to identify spurious imaginary modes.
- Improve numerical accuracy of normal mode properties.
- Rescanning modes using a different level of theory.

## Theory

Vibrational normal modes are usually obtained as eigenvectors of the Hessian matrix. A common problem with this scheme however, is that due to numerical errors in constructing this Hessian, low-frequency vibrations may be reported to have imaginary frequencies instead. The Mode Scanning task allows for re-calculation of the frequency of these modes. The Mode Scanning task does not change the normal modes itself, only its properties. This Mode Scanning task allows you to confirm whether reported imaginary frequencies are attributed to transition states or whether they are simply due to numerical errors.

Given a user-supplied mode Q, the frequency is calculated from the force constant:

$$k = \frac{\partial^2 E}{\partial^2 Q}$$
$$\nu = \frac{1}{2\pi c} \sqrt{\frac{k}{\mu_r}}$$

This is again done by numerical differentiation of the energy gradients, requiring AMS to set up 2 single point calculations per selected normal mode. Integrated IR intensities are also calculated simultaneously (if dipole moments are supported by the *engine* (page 187)):

$$I_{IR} = \frac{N\pi}{3c^2} \sum_{\alpha} \left(\frac{\partial\mu_{\alpha}}{\partial Q^m}\right)^2$$

Where the derivative is with respect to the mass-weighted normal mode.

It is also possible to use this method to selectively re-calculate the normal mode properties for different engine settings. This has two distinct uses:

• If the modes were originally generated using a finite difference method, a different stepsize can be used. For strong vibrations (high frequencies), large stepsizes may cause inaccuracies due to increasing anharmonic contributions. For weak vibrations (low frequencies) on the other hand, stepsizes can often be too small. The displacements associated with these vibrations are small, which can give incorrect sampling of the PES profile. This should be compensated for by choosing a larger stepsize. The stepsize can be set using the Displacement key.

• Users can also recalculate modes using higher levels of theory. Modes generated from a full frequency analysis using e.g. DFTB can be recalculated using e.g. LDA DFT to obtain more realistic integrated IR intensities. The method used for the single point calculations can be set in the *Engine block* (page 187).

## Input

A numerical frequency calculation is performed by requesting the VibrationalAnalysis task with Type ModeScanning:

```
Task VibrationalAnalysis
VibrationalAnalysis
Type ModeScanning
Displacement 0.001
NormalModes
ModeFile adf.rkf
# select all modes with imaginary frequencies
ModeSelect
ImFreq true
End
End
End
```

The Mode Scanning tasks uses only the NormalModes block for its input handling. Here, ModeFile specifies the AMS output file containing the normal modes for which you want to calculate the frequencies. The ModeSelect block is used to specify which of the modes in this file should be recalculated, since we are often only interested in a select few of them. A more detailed overview of this block is given in the section *Selecting Modes* on the *main page* (page 149). Finally, Displacement can be used to specify the stepsize (in Bohr) for the finite differences. The stepsize is provided for displacements along the Cartesian normal modes.

The Mode Scanning module is the main driving force for the *Mode Tracking* (page 141) and *Vibrational Mode Refinement* (page 138) tasks, which provide more advanced options for refining not only the properties of the modes, but also the modes themselves. Consult the relevant pages for more information. Alternatively, a simplified version of Mode Scanning is available which follows the old implementation in ADF and BAND (as the ScanFreq option). This version can be enabled when doing a full frequency analysis by enabling the Properties%NormalModes keyword. See the *Full Analysis* (page 132) page for further details.

# 6.2.5 Mode Refinement

With this option you can improve the normal modes, by importing previously calculated modes and then applying a more accurate engine, or more accurate settings, typically for only part of the spectrum. The vibrational Mode Refinement method not only refines frequencies from a previous calculation, but also tries to correct the vibrational modes themselves.

- Refinement of spectral regions requires a sufficient number of modes in the basis to be accurate.
- 1-step refinement. No iterative improvement possible. (Unless followed by a separate Mode Tracking calculation.)
- Quality of the results depends on accuracy of the selected guess modes.

If we start from e.g. a semi-empirical method such as in MOPAC, we can get approximations for the vibrational modes. Mode Refinement then re-calculates part of the Hessian for a subset of these modes using a more accurate method such as GGA DFT, and updates the normal modes themselves to fit this more accurate method. It is intended to circumvent the expensive calculation of the Hessian if you are only interested in a (small) part of the full spectrum.

This is based on the method in reference<sup>3</sup>.

Because the Mode Refinement method uses linear combinations of the guess modes, its accuracy depends on the set of modes that is supplied.

- If we want to e.g. obtain a mode which includes a C=O stretch, then the initial set must contain a mode which has this C=O stretch, otherwise this cannot be included in the refined modes.
- If we are refining a region containing many similar modes, e.g. vibrations of aromatic ring backbones, and we only use part of this spectral region for the initial set, the set of refined modes will "drift" towards the centre of the spectral region as a results of mode-mixing. This is again an artefact of missing character in the modes.
- This mode-mixing may result in reduced accuracy for some of the modes, as this procedure minimizes the total error for all of the modes. Instead of having a couple of modes with large errors, mode-mixing tends to spread out the error across multiple normal modes. Adding 1 "bad" mode to the basis can then negatively affect your results.
- The advantage of Mode Refinement over Mode Tracking is the ability to refine entire spectral regions at once. If we have a good basis, Mode Refinement can be less computationally expensive than Mode Tracking. If you want to refine larger sections of the spectrum, Mode Refinement is therefore recommended. If you only want to calculate a select few modes, use Mode Tracking to avoid basis dependence and to assure accuracy of the obtained modes.
- For characteristic peaks, Mode Tracking shows very good convergence, and will thus be cheaper to use than Mode Refinement. For (semi-)degenerate modes however, Mode Refinement works better due to the poor tracking performance for these modes.

#### See also:

The GUI tutorial on Mode Refinement.

## Theory

We are going to start from a set of normal modes *b*, obtained from e.g. a semi-empirical or force-field method. First, this task runs the *numerical frequency* (page 137) calculation for all selected normal modes, but this time using an ab initio method such as DFT. During the finite difference steps, we also calculate the projection of the Hessian onto the normal modes:

$$\sigma_i = H^m \cdot b_i^m = \frac{\partial^2 E}{\partial R_i^m \partial b^m}$$

This term is calculated through finite differences on the analytical gradients of the electronic energy along the massweighted normal modes  $b^m$ . The index *i* denotes the 3N nuclear coordinates. These projections are then used to construct a Rayleigh matrix:

$$\tilde{H}^m = B^{mT} \cdot H^m \cdot B^m = B^{mT} \cdot \Sigma$$

Here,  $B^m$  and  $\Sigma$  are matrices containing the  $b^m$  and  $\sigma$  vectors. The eigenvectors of  $\tilde{H}^m$  give us the coefficient series for linear combinations of the normal modes  $b^m$  such that we obtain a new set of modes q:

$$q^m = \sum_k c_k \cdot b_k^m$$

These modes q are the closest approximation to the DFT-modes that we could obtain from a linear combination of the approximate modes b. In other words: the approximate modes b are used as a basis for finding the modes from a more sophisticated theory.

<sup>&</sup>lt;sup>3</sup> T.Q. Teodoro, M.A.J. Koenis, S.E. Galembeck, V.P. Nicu, W.J. Buma, L. Visscher, *A frequency range selection method for vibrational spectra*, J. Phys. Chem. Lett., 9 (23), 6878 (2018) (https://doi.org/10.1021/acs.jpclett.8b02963)

## Input

This method inherently features a trade-off:

- The computational benefit comes from only performing the finite difference calculations for the selected modes. By only selecting a small set of modes that we are interested in, we minimize computational expense.
- The more modes we select, the larger the basis for constructing the refined modes. Running for a larger number of modes yields better results. (In the extreme case, running for all 3N modes equates to constructing the full Hessian.)

In practice, Mode Refinement requires you to select a reasonable portion of the spectrum to get accurate results. Specifically, you should select all modes in a region of the spectrum which look similar. Ring structures for instance often feature broad frequency regions with many ring distortions. Even if you are only interested in a couple of these, you should still select all modes in this region, to assure sufficient basis size. Vibrational modes involving ring substituents can however be omitted, which is where we save computation time.

If you are interested only in IR-active vibrations, you could further minimize the basis by only selecting the approximate modes which are IR-active (since adding the non-active modes to the linear expansion does not affect the IR-intensity of the refined modes). Do note that if the semi-empirical method used for calculating the approximate modes yields poor approximations for the dipole gradients, it may be safer to include also modes with very low IR intensity. This is because their low IR-activity may have only been due to the low accuracy of the approximate method.

## See also:

A tutorial showing this basis representability.

A Mode Refinement calculation is set up by requesting the VibrationalAnalysis task with the Type ModeRefinement:

```
Task VibrationalAnalysis
VibrationalAnalysis
Type ModeRefinement
Displacement 0.001
NormalModes
ModeFile adf.rkf
ModeSelect
...
End
ScanModes true
End
End
```

The details of the calculation are specified in the NormalModes block. Here, ModeFile specifies the AMS output file containing the normal modes for which you want to calculate the frequencies. The ModeSelect block is used to specify which of the modes in this file will be selected for refinement. A more detailed overview of this block is given in the section *Selecting modes* on the *main page* (page 149). Finally, Displacement can be used to specify the stepsize (in Bohr) for the finite differences. The stepsize is provided for displacements along the Cartesian normal modes.

The ScanModes key in the NormalModes block can be used to automatically run a *numerical frequencies* (page 137) calculation on the new modes q. Mode Refinement uses a linear combination of modes and properties, all obtained through finite differences. These results may still contain some minor errors due to the accumulation of numerical errors from the linear expansion, or stepsize issues in the numerical frequency calculations. While commonly not necessary, it is possible to run an additional numerical refinement calculation on the new modes to minimize these errors. Only in exceptional cases will these errors be significant. Running this additional refinement step is therefore only necessary if you need complete certainty that the results are accurate.

## 6.2.6 Mode Tracking

The Mode Tracking task is an interface for mode- and intensity-tracking methods, adapted from the MoViPac suite<sup>4</sup>-<sup>5</sup>. These methods can be used to obtain select normal modes, without having to calculate the entire vibrational spectrum. It does this through an iterative procedure.

- Calculations are conducted for each mode separately. Converges fastest for characteristic (non-highly degenerate) modes.
- Iterative approximation to the true modes. Guaranteed to give the correct normal modes if the procedure converges.
- Will not necessarily reproduce the entire spectrum as multiple guess modes can converge to the same normal mode.

Mode Tracking uses information about the known parts of the Hessian to expand its basis iteratively:

- Missing C-O stretch character can thus be recovered in this procedure, and there is no basis dependency.
- For large regions with similar modes however, it is possible that multiple guess modes converge to the same normal mode. Running mode tracking for all modes in this region might not reproduce all unique normal modes.
- The advantage of Mode Refinement over Mode Tracking is the ability to refine entire spectral regions at once. If we have a good basis, Mode Refinement can be less computationally expensive than Mode Tracking. If you want to refine larger sections of the spectrum, Mode Refinement is therefore recommended. If you only want to calculate a select few modes, use Mode Tracking to avoid basis dependence and to assure accuracy of the obtained modes.
- For characteristic peaks, Mode Tracking shows very good convergence, and will thus be cheaper to use than Mode Refinement. For (semi-)degenerate modes however, Mode Refinement works better due to the poor tracking performance for these modes.

Mode Tracking starts with a *numerical frequency* (page 137) calculation, which refines the initial guess  $b^m$  for the selected mode. The error of this mode with respect to the true Hessian eigenvector is calculated. This error is used in a (Jacobi-)Davidson algorithm to generate an additional mode. In subsequent iterations, we use these modes as approximations to the true normal modes. In this way, the error of the mode is minimized iteratively, yielding a closer approximation to true normal modes. This is how Mode Tracking differs from the Mode Refinement methods, in that it guarantees that the obtained modes are correct (assuming the procedure has converged).

### See also:

The GUI tutorial on Mode Tracking.

## Theory

During the numerical frequency calculation, we obtain also the projection of the Hessian onto this mode:

$$\sigma_i = H^m \cdot b_i^m = \frac{\partial^2 E}{\partial R_i^m \partial b^m}$$

This term is calculated through finite differences on the analytical gradients of the electronic energy along the massweighted normal modes  $q^m$ . The index *i* denotes the 3N nuclear coordinates. From this projection a Rayleigh matrix

<sup>&</sup>lt;sup>4</sup> T. Weymuth, M.P. Haag, K. Kiewisch, S. Luber, S. Schenk, C.R. Jacob, C. Herrmann, J. Neugebauer, M. Reiher, *MoViPac: Vibrational Spectroscopy with a Robust Meta-Program for Massively Parallel Standard Inverse Calculations*, Journal of Computational Chemistry 33, 2186 (2012) (https://doi.org/10.1002/jcc.23036)

<sup>&</sup>lt;sup>5</sup> S. Luber, J.Neugebauer, M. Reiher, *Intensity tracking for theoretical infrared spectroscopy of large molecules*, Journal of Chemical Physics 130, 064105 (2009) (https://doi.org/10.1063/1.3069834)

is generated:

$$\tilde{H}^m = B^{mT} \cdot \Sigma$$

Here,  $B^m$  and  $\Sigma$  are matrices containing the  $b^m$  and  $\sigma$  vectors for all foregoing iterations. During each iteration k, if we have not converged, we generate an updated guess vector  $b_k^m$ , and so the number of vectors in the matrices above is equal to the number of iterations k. The eigenvectors of  $\tilde{H}^m$  give us the coefficient series for linear combinations of the guess modes  $b^m$  such that we obtain approximations for the true normal modes:

$$Q^m = \sum_k c_k \cdot b_k^m$$

Each iteration, we expand the vector basis  $B^m$ , which allows this series expansion to come closer to the true normal modes each time. We can also calculate the error of this mode with respect to how close it is to being an eigenvalue of the real Hessian:

$$r = \sum_{k} c_k \cdot \left[ \sigma_k - \lambda \cdot b_k \right]$$

Here,  $\lambda$  is the corresponding eigenvalue of  $\tilde{H}^m$ . r is the residual vector, giving the error for each vector element. It should be zero if the mode is an exact eigenvector of the true Hessian.

Since  $\tilde{H}^m$  may give multiple eigenvectors, several approximate modes will be generated during those iterations. Out of these, 1 mode is identified as the mode of interest according to the specified *tracking method* (page 145). If the residual of this mode has been minimized sufficiently, the procedure has converged. If not, we generate a new guess vector  $b_k^m$ . There are 2 algorithms for generating this new guess, set by UpdateMethod in the ModeTracking block:

#### **Davidson method**

The Davidson method uses a pre-conditioner D to generate a new guess mode from the residual vector of the mode selected by the tracking method:

$$b_k^m = D^{-1} \cdot r$$

This preconditioner is constructed from an approximation of the Hessian:

$$D = H_A - \lambda \cdot I$$

The Davidson method works reasonably well, but can have trouble converging if the approximate modes or the Hessian are too accurate. This results as the new vectors that are generated do not necessarily extend the span of the basis.<sup>6</sup>

## vdVorst-Sleijpen-Jacobi-Davidson

This variant of the Jacobi-Davidson scheme from Sleijpen & vdVorst<sup>6</sup> automatically makes the new guess vector orthogonal to the normal mode selected by the tracking method:

$$b_k^m = \left(\frac{Q^m D^{-1} r}{Q^m D^{-1} Q^m}\right) D^{-1} Q^m - D^{-1} r$$

The new vector is therefore guaranteed to extend the span of the basis as much as possible, and thus also eliminates the aforementioned issue with the Davidson method. In general, it is therefore recommended to use this Jacobi-Davidson method since it is found to converge faster, and be more reliable, as a result of yielding better guess modes.

<sup>&</sup>lt;sup>6</sup> G.L.G. Sleijpen, H.A. van der Vorst, A Jacobi-Davidson Iteration Method for Linear Eigenvalue Problems, SIAM Journal on Matrix Analysis and Applications 17, 401 (1996) (https://doi.org/10.1137/S0895479894270427)

Input

```
Task VibrationalAnalysis
VibrationalAnalysis
   Type ModeTracking
   . . .
  ModeTracking
      HessianGuess [Unit | File | UFF | Inline]
      HessianInline # Non-standard block. See details.
      End
      HessianPath string
      UpdateMethod [JD | D | I]
      MaxIterations integer
      . . .
      GramSchmidt [True | False]
      GramSchmidtIterations integer
      GramSchmidtTolerance float
   End
End
```

There are 4 methods to obtain the approximate Hessian  $H_A$ , used by both update methods. They are set by HessianGuess:

### HessianGuess [Unit | File | UFF | Inline]

- **UFF** is the default, which generates the approximate Hessian using UFF. While this Hessian may not yield the correct modes by itself, it produces good results as a preconditioner since it correctly represents the molecular structure.
- **File** will read the Hessian from an AMS output file, which can be specified in HessianPath. Using a Hessian from a more advanced method will generally yield better results for the Jacobi-Davidson method. The Davidson method will however experience difficulties with convergence as the Hessian becomes too accurate.<sup>6</sup>
- **Inline** will read a Hessian specified in the input file, in the HessianInline block. This allows you to use Hessians generated in external programs:

```
Task VibrationalAnalysis
VibrationalAnalysis
  Type ModeTracking
  ModeTracking
    HessianGuess Inline
    # Approximate Hessian for H20: 3 x nAtoms = 9 so 9x9 Hessian
    HessianInline
        0.62088786 0.0000000 0.0000000 -0.31044393
                                                        0.00000000
                                                                   -0.
↔21902068 -0.31044393 0.00000000 0.21902068
        0.0000000 0.0000000 0.0000000
                                            0.00000000
                                                        0.00000000
                                                                    0.
→00000000
          0.00000000 0.0000000 0.0000000
        0.00000000 0.0000000 0.32143213 -0.15284008
                                                        0.0000000 -0.
          0.15284008 0.00000000 -0.16071607
→16071607
       -0.31044393 0.0000000 -0.15284008
                                           0.33598889
                                                        0.00000000
                                                                    0.
↔18593038 -0.02554496 0.0000000 -0.03309030
        0.0000000 0.0000000
                               0.00000000
                                                        0.00000000
                                            0.00000000
                                                                    0.
↔00000000 0.0000000 0.0000000 0.0000000
       -0.21902068 0.0000000 -0.16071607
                                           0.18593038
                                                        0.00000000
                                                                    0.
→15761846
0.03309030
0.00000000
0.00309761
       -0.31044393 0.0000000 0.15284008 -0.02554496
                                                        0.0000000
                                                                    0.
 03309030 0.33598889 0.00000000 -0.18593038
                                                           (continues on next page)
```

(continued from previous page)

```
0.00000000
                   0.00000000
                                0.0000000
                                                         0.0000000
                                             0.0000000
                                                                     0.
↔00000000
           0.0000000 0.0000000
                                   0.0000000
        0.21902068 0.0000000 -0.16071607 -0.03309030
                                                         0.0000000
                                                                     0
↔00309761 -0.18593038
                        0.00000000
                                    0.15761846
    End
  End
End
```

**Unit** uses the unit matrix. This is evidently not a good approximation for the Hessian, and is not intended to be used for proper Mode Tracking runs. However: using a poor approximation for the Hessian can result in basis vectors being generated that we would not obtain otherwise. Running Mode Tracking with this option can allow you to "probe" the vector space to obtain guesses for normal modes, which can be used as starting points for proper Mode Tracking calculations. It is however generally recommended to instead do e.g. a DFTB or UFF run if your goal is to obtain guess modes.

## UpdateMethod [JD | D | I]

- JD vdVorst-Sleijpen variant of Jacobi-Davidson (Mode tracking default).
- **D** Davidson
- **I** No preconditioner (VST default). This is not recommended for typical mode tracking applications, but is useful for a variation of mode tracking, *Vibronic-Structure Tracking* (page 176).

In later iterations, the basis  $B^m$  will become larger. In order to improve the guess modes even further, an iterative Gram-Schmidt procedure is used to orthogonalize the new guess mode to the existing basis. An iterative procedure is necessary to account for numerical noise.

- **GramSchmidt** [**True** | **False**] Expert key. Sets whether to perform this Gram-Schmidt orthogonalization step. It is True by default.
- **GramSchmidtTolerance float** Expert key. Sets the absolute tolerance for orthogonality of the basis. It is evaluated with respect to the norm of the overlap vector between the new guess mode and the basis of the previous iteration  $||b_k^m B^m||$ .
- **GramSchmidtIterations** Expert key. Sets the maximum number of allowed iterations during the Gram-Schmidt procedure.

The default settings for the Gram-Schmidt procedure should work for almost all systems.

**MaxIterations integer** Finally, the Mode Tracking input block contains the MaxIterations key. It sets the maximum allowed number of iterations that the Mode Tracking calculation may go through. If this number is reached, the calculation will stop even if convergence was not achieved. If no value is supplied, a default of 3N/2 will be used. This is approximately the maximum number of iterations where the procedure remains computationally competitive with the construction of the full Hessian.

## Additional input parameters

```
Task VibrationalAnalysis
VibrationalAnalysis
Type ModeTracking
Displacement float
...
NormalModes
ScanModes [True | False]
...
```

(continues on next page)

		(continued from previous page)
End		
End		

- **Displacement float** is the displacement stepsize (in Bohr) that is used for calculating frequencies, IR intensities and the Hessian projections through finite differences. The stepsize is provided for displacements along the Cartesian normal modes.
- **ScanModes** [**True** | **False**] key (False by default) in the NormalModes vibrational analysis sub-block can be used to automatically run a *numerical frequencies* (page 137) calculation on the new modes Q after the Mode Tracking calculation has finished. Ritz vectors are obtained here as linear combinations of the guess modes, which in turn follow from finite difference calculations. This makes it possible for numerical errors to accumulate in the normal modes. Only in exceptional cases will these errors be significant, and running this additional refinement step is therefore only necessary if you need complete certainty that the results are accurate.

## Input: Tracking methods

The TrackingMethod parameter allows you to select what property of the normal modes you want to track. At the end of each iteration, we obtain a set of approximate normal modes. The tracking method identifies which of these modes fits best for some criterion, and either returns this mode as the calculation result, or, if convergence was not achieved, uses it to generating a new basis mode for the next iteration. In general these methods are distinguished in 3 categories:

```
Task VibrationalAnalysis
VibrationalAnalysis
Type ModeTracking
ModeTracking
TrackingMethod [OverlapInitial, DifferenceInitial, FreqInitial, IRInitial,
OverlapPrevious, DifferencePrevious, FreqPrevious, IRPrevious,
HighestFreq, HighestIR, LowestFreq, LowestResidual]
...
End
End
```

## **Mode Tracking**

The original tracking methods focus on obtaining as accurate as possible a normal mode for the system. This class of tracking methods focuses either on accuracy of the mode, or obtaining modes with particular vibrational character:

### TrackingMethod [OverlapInitial, DifferenceInitial, FreqInitial, OverlapPrevious, Difference

- **OverlapInitial** is the default tracking method. Here, we choose the mode which resembles most closely the guess mode that was initially supplied  $b_1^m$ . This is done by choosing the mode which has the greatest overlap with the initial guess vector. This method allows us to direct the optimization towards modes that e.g. involve particular atoms or include particular bending/stretching vibrations.
- **OverlapPrevious** instead chooses the mode which resembles closest the approximate mode of the previous iteration  $Q_k^m$ . This procedure allows a bit more flexibility in the optimization. Since we essentially "forget" about earlier iterations, this procedure allows the optimization to correct errors in the initial guess. (It is possible for instance that the initial guess included 2 different bond stretches which do not mutually occur in the true modes. This method will then converge quicker to a mode involving only 1 of these stretches, whereas OverlapInitial will take a much larger number of iterations to achieve this, if it does so at all.) Do note that this means that the final mode that you obtain does not necessarily represent the mode you initially supplied.

- **DifferenceInitial** works the same as OverlapInitial, except that it chooses the mode which has the smallest norm for the difference vector between the initial mode and the approximate normal modes of this iteration. The use of the difference vector prioritizes deviations in the dominant parts of the vibrational character. E.g. if a mode consists primarily of a CO stretch, plus some minor vibrations in a carbon backbone, it may be desired to prioritize getting the correct force constant for the dominant CO stretch. This is achieved using these difference vector methods. In general, overlap methods still work well in these situations, and the use of difference methods should only be necessary in extreme cases.
- **DifferencePrevious** is also the same as DifferenceInitial except for the use of the difference vector norm as the selection criterion.
- **FreqInitial** chooses the mode with the frequency closest to that of the initial guess. This allows us to direct the tracking towards modes in a particular frequency region of the spectrum. Note that convergence for these frequency-based methods is slightly slower since the character of the mode itself is not included in the selection criteria, allowing for larger differences in the modes between iterations.
- **FreqPrevious** is similar to FreqInitial except that we choose the mode with the frequency closest to that of the previous iteration. This allows the optimization more freedom to move away from the frequency region of the initial guess, and thus allows to correct somewhat for poor initial guesses.
- **HighestFreq** chooses the mode with the highest frequency. This method can be used if it is desired to track particular characteristic high-frequency vibrations.
- LowestResidual chooses the mode which has the smallest norm for the residual vector (see the 'Convergence' section below.) This method only focuses on obtain the most accurate mode, regardless of vibrational character or where it lies in the spectrum. This method should generally only be used as a pre-conditioner if you have very little information on what the normal modes should look like. (Since it is basically a non-directed optimization.) This method will then try and find the normal mode closest to your guess. The approximate normal mode obtained this way will most likely not have converged yet, but should give you an indication of what the normal modes may look like. You can use these modes to refine your initial guess, and then do a new Mode Tracking run using any of the other tracking parameters to obtain the desired mode. Although this strategy is possible, it is generally recommended to use an approximate method to get an initial guess for the normal modes instead (as shown in the *examples* (page 219)).

### **Intensity Tracking**

This class of methods focuses on tracking modes based on their intensity in e.g. the infrared spectrum, rather than focusing on getting a mode with a particular type of vibration.

**TrackingMethod** [IRInitial, IRPrevious, HighestIR] IRInitial chooses the mode with the IR intensity closest to that of the initial guess. This constrains the optimization to modes which are IR active, a property that may be lost when using mode tracking update methods.

IRPrevious similarly chooses the mode with the IR intensity closest to that of the previous iteration. This allows the method some more flexibility in varying the intensity of the vibration, and thus works better if the initial guess is not that good.

HighestIR chooses the mode with the highest IR intensity. This option can be used to find the modes associated with sharp peaks in the IR spectrum.

With Intensity Tracking, we essentially add an additional requirement to the modes: they must have a particular IR intensity. This constrained search has different convergence characteristics than conventional mode tracking, which you should take into account when setting up the mode tracking calculations.

• The majority of modes will have near-zero IR intensity. If we use a near-zero IR intensity mode as our initial guess, and request IRIntitial or IRPrevious, then we could be tracking any of one of these. Conversely,

convergence behavior will be poor since the generated basis modes are essentially random. If you are trying to obtain a high IR-intensity mode, use an IR-susceptible mode.

**Note:** In our conventional work-flow, we recommend starting mode tracking or refinement calculations from a set of approximate normal modes obtained from a semi-empirical or force-field method. Note however, that these method often do not produce accurate IR intensities. When selecting the initial guess mode, do **not** use the IRRange or related options in the ModeSelect block. This will cause you to miss vibrations which were incorrectly labeled with low IR intensity, or vice versa. Instead, rely on chemical intuition to identify the modes which contain commonly IR active vibrational components (such as C-O or N-H stretches). You can use AMSspectra in the GUI to visualize the vibrational modes, to help you in this process.

- To allow the intensity tracking procedure to converge faster, it is recommended to use the IRPrevious tag instead of the IRInitial tag. As discussed earlier, the former allows more flexibility in the optimization procedure, which counters the rigidity imposed by the intensity constraint. Intensity tracking methods often need this additional flexibility in generating guess modes to converge to the desired modes.
- Poor Initial Guesses: During each iteration, we still use the mode tracking methods to generate new basis
  modes. These basis modes try to expand the span of the basis with respect to the vibrational character of the
  modes. Note that this expansion does not guarantee that we will expand the basis specifically in the sub-span of
  IR-susceptible vibrations. If the initial guess for intensity tracking is correct, we already start our search in the
  sub-span vicinity of the normal modes. Basis expansion is then more efficient and there is a high chance that
  new guess modes sample the IR characteristic vibrations. For intensity tracking it is therefore discouraged to
  use poor initial guess modes.
- HighestIR is considered a "pure" intensity tracking method, in that it is used specifically to target characteristics of the IR spectrum irrespective of the underlying vibrational character. Consequently, the normal mode character can vary a lot between iterations. In order to assure that the procedure converges to the desired modes, it is recommended to use sufficiently strict tolerances (see the *Convergence* section). If the tolerances are too lax, the program may consider the modes to be "good enough" based on residual minimization, even though there may be another mode with a higher IR intensity. For this reason it is generally recommended to use ToleranceForNorm values 1 order of magnitude lower than the default, or around 0.00005.

### Input: Selecting modes

It is possible to track multiple modes in a single Mode Tracking calculation. The Mode Tracking task will then run the Mode Tracking algorithm for each mode in order.

The initial guess for the mode which will be tracked can be supplied in several ways. This is governed by ModeInputFormat:

```
Task VibrationalAnalysis
VibrationalAnalysis
VibrationalAnalysis
Type ModeTracking
NormalModes
ModeInputFormat [File | Inline | Hessian]
ModeFile string
ModeInline # Non-standard block. See details.
...
End
ModeSelect
...
End
MassWeighInlineMode [True | False]
End
End
```

#### ModeInputFormat [File | Inline | Hessian]

Inline will make the module read the mode from the input file. If this option is selected, you can supply the mode in the ModeInline block. It is possible to supply multiple modes by adding additional ModeInline blocks. The modes are given with one line for the x,y,z-displacement per atom, and in the same order, as the Atoms block in System:

```
ModeTracking
  TrackedMode Inline
  ModeInline
      0.0000000 0.0000000 -0.03815965
     -0.18888544 0.0000000 0.30281066
      0.18888544 0.0000000
                              0.30281066
  End
  ModeInline
      0.0000000 0.0000000
                            -0.02243153
                              0.17800237
      0.32132452 0.0000000
     -0.32132452
                0.0000000
                              0.17800237
  End
  . . .
End
```

- File will make the module read modes from an AMS or engine output file, specified by ModePath. Modes generated using DFTB can be read from the dftb.rkf file and optimised using Mode Tracking for example. When this option is selected, all the vibrational modes present in the file are read first. The ModeSelect block then specifies for which of these modes you want to perform the Mode Tracking calculation.
- **Hessian** will generate modes as the eigenvectors of the approximate Hessian selected for the preconditioner in HessianGuess. This also allows modes to be generated for Hessians obtained from external programs. ModeSelect specifies which of the generated vibrational modes are selected for Mode Tracking.
- Settings for the ModeSelect block are discussed on the main page (page 149).
- MassWeighInlineMode [True | False] decides whether the initial guess modes need to be mass-weighted (default True). As discussed above, Mode Tracking uses mass-weighted normal modes. In most cases, the normal modes are given in regular Cartesian coordinates however. By setting MassWeighInlineMode true, these Cartesian modes are converted into mass-weighted modes by the program. If you supply a mass-weighted mode through the ModeInline block however, you do not need the program to do the mass-weighing, and you should set MassWeighInlineMode false.

#### Input: Convergence

```
Task VibrationalAnalysis
VibrationalAnalysis
Type ModeTracking
ModeTracking
ToleranceForNorm float
ToleranceForResidual float
ToleranceForBasis float
End
```

In order to guide the Mode Tracking procedure, several convergence criteria are used:

**ToleranceForNorm float** is the absolute tolerance for convergence of the norm of the residual vector. The residual vector is a vector containing the error for each element of the normal mode, and we use the norm as a measure for the total error. If the total error is smaller than this threshold, we consider the mode to be a true

normal mode and we stop iterating. Since the value of this norm depends on the length of the residual vector hence the number of atoms in the system, this tolerance is scaled internally to the number of atoms. 0.0005 is used as a default value for which most systems will converge to reasonably accurate modes in not too many iterations. If you want a more accurate approximation, you can decrease this value by e.g. 1 order of magnitude. (Consider running using the default settings, and reading the norm at convergence from the logfile. The new norm can be chosen to be lower than this value to 'force' the method into another iteration.)

- **ToleranceForResidual float** is the absolute tolerance for the maximum component of the residual vector. Particularly in larger systems, where the vibration may be dominated by a small number of atoms, the error associated with the vibration of the majority of atoms may be small (the scaled residual norm will be small). The error for the atoms involved in the vibration may be comparatively large then, which is why we also check convergence for the maximum component of the error. Note that both the norm and this max. error are checked simultaneously. By varying strictness of the criteria for the norm and the max. error separately, you can prioritize either the total vibration or more localized character.
- **ToleranceForBasis float** checks that the basis mode generated in the previous iteration, through the (Jacobi-)Davidson method, contributes to the approximate normal mode. Since the approximate mode is taken as a linear combination of the basis modes, its linear expansion coefficient must be larger than this tolerance.

The iterative procedure is stopped in one of two cases. Either both the residual criteria are achieved, in which case the mode is deemed to be converged and the program exits normally. Alternatively, the basis criterion is met in which case a warning is broadcast indicating that the desired level of accuracy of the mode may not have been reachd yet, but the basis has stopped expanding. The default values for these parameters should be applicable for most cases, but can be adjusted if needed. If stricter criteria are required, it is recommended to adjust both ToleranceForNorm and ToleranceForResidual.

# 6.2.7 Selecting modes

Mode Scanning, Mode Refinement and Mode Tracking as well as VG-FC Vibronic-Structure, VG-FC Vibronic-Structure Refinement and VG-FC resonance Raman all require a set of normal modes to operate on. For Mode Scanning these are the modes that you want to calculate the properties of, for Mode Refinement these modes form the basis modes, and for Mode Tracking these are the initial guess modes. For the VG-FC based methods these modes are the modes responsible for the vibronic coupling to the electronic excitation (in VG-FC Vibronic-Structure Refinement they are refined first).

**Note:** VG-FC Vibronic-Structure Tracking does not require any normal modes and as such does not support the ModeSelect (nor does it support the NormalModes block for that matter).

These methods provide options to load a large set of modes, after which the program will filter out the modes of interest. This is done according to the keys set in the ModeSelect block.

**Note:** The ModeSelect block is part of the NormalModes block of the Vibrational Analysis input. All Vibrational Analysis methods share this block, with the exception of VG-FC Vibronic-Structure Tracking. The methods for obtaining the set of modes that we will filter can differ per method. Particularly Mode Tracking features a lot of additional options, and the vibronic variants feature more specialized options.

Below is an overview of all the available options for the ModeSelect block as they appear in the basic vibrational analysis tools. The vibronic variants are discussed in more detail on their respective documentation pages.

The options below are not mutually exclusive.

```
ModeSelect
DisplacementBound float
```

(continues on next page)

(continued from previous page)

```
FreqAndIRRange float_list
FreqRange float_list
Full Yes/No
HighFreq integer
IRRange float_list
ImFreq Yes/No
LargestDisplacement integer
LowFreq integer
LowFreqNoIm integer
LowIR integer
ModeNumber integer_list
End
```

#### ModeSelect

#### DisplacementBound

Type Float

**Description** Vibronic Structure (Refinement), Resonance Raman: Select all modes with a dimensionless oscillator displacement greater than the specified value.

#### FreqAndIRRange

Type Float List

Unit cm-1 and km/mol

### Recurring True

**Description** Specifies a combined frequency and IR intensity range within which all modes will be selected. First 2 numbers are the frequency range, last 2 numbers are the IR intensity range.

## FreqRange

Type Float List

Unit cm-1

Recurring True

**Description** Specifies a frequency range within which all modes will be selected. 2 numbers: an upper and a lower bound. Calculating all modes higher than some frequency can be achieved by making the upper bound very large.

#### Full

Type Bool

Default value No

GUI name All modes

Description Select all modes. This only make sense for Mode Scanning calculations.

## HighFreq

Type Integer

GUI name # High frequencies

Description Select the N modes with the highest frequencies.

## HighIR

Type Integer

GUI name # High IR

Description Select the N modes with the largest IR intensities.

## IRRange

Type Float List

Unit km/mol

Recurring True

**Description** Specifies an IR intensity range within which all modes will be selected. 2 numbers: an upper and a lower bound.

## ImFreq

Type Bool

Default value No

GUI name All imaginary frequencies

Description Select all modes with imaginary frequencies.

#### LargestDisplacement

Type Integer

**Description** Vibronic Structure (Refinement), Resonance Raman: Select the N modes with the largest VG-FC displacement.

#### LowFreq

Type Integer

**GUI name** # Low frequencies

**Description** Select the N modes with the lowest frequencies. Includes imaginary modes which are recorded with negative frequencies.

#### LowFreqNoIm

Type Integer

GUI name # Low positive frequencies

**Description** Select the N modes with the lowest non-negative frequencies. Imaginary modes have negative frequencies and are thus omitted here.

#### LowIR

Type Integer

GUI name # Low IR

Description Select the N modes with the smallest IR intensities.

#### ModeNumber

Type Integer List

GUI name Mode numbers

Description Indices of the modes to select.

## 6.2.8 Thermodynamics (ideal gas)

The following thermodynamic properties are calculated by default whenever normal modes are computed: entropy, internal energy, constant volume heat capacity, enthalpy and Gibbs free energy. Translational, rotational and vibrational contributions are calculated for entropy, internal energy and constant volume heat capacity.

The results are written to the output file (section: "Statistical Thermal Analysis") and to the engine binary results file (section: "Thermodynamics").

The thermodynamic properties are computed assuming an ideal gas, and electronic contributions are ignored. The latter is a serious omission if the electronic configuration is (almost) degenerate, but the effect is small whenever the energy difference with the next state is large compared to the vibrational frequencies. The thermal analysis is based on the temperature dependent partition function. The energy of a (non-linear) molecule is (if the energy is measured from the zero-point energy)

$$\frac{E}{NkT} = \frac{3}{2} + \frac{3}{2} + \sum_{j=1}^{3N-6} \left(\frac{h\nu_j}{2kT} + \frac{h\nu_j}{kT(e^{h\nu_j/(kT)} - 1)}\right) - \frac{D}{kT}$$

The summation is over all harmonic  $\nu_i$ , h is Planck's constant and D is the dissociation energy

$$D = D_0 + \sum_j \frac{h\nu_j}{2}$$

Contributions from low (less than 20 1/cm) frequencies to entropy, heat capacity and internal energy are excluded from the total values, but they are listed separately (so the user can add them if they wish).

As an alternative to outright excluding low-frequency contributions, a correction scheme is available that is based on interpolating between harmonic oscillator and free rotor values<sup>78</sup> (Li/Head-Gordon and Grimme). It can greatly reduce the impact of the inaccuracies of the harmonic oscillator model on thermodynamic properties at these low frequencies. The scheme corrects vibrational contributions to entropies, internal energies and constant volume heat capacities. This correction is applied automatically and its results are printed separately (in the text output, the corrected terms are marked with the symbol (c)). When applied, the correction considers all real frequencies, including those less than 20 1/cm.

The interpolation for a corrected thermodynamic property f at pressure p and temperature T in terms of harmonic oscillator terms  $f_{HO}$ , free rotor terms  $f_{FR}$ , and interpolator terms x for each harmonic oscillator frequency  $\nu_j$  is:

$$f(p,T) = \sum_{j} x(\nu_{j}) \cdot f_{HO}(p,T,\nu_{j}) + (1-x(\nu_{j})) \cdot f_{FR}(p,T,\nu_{j})$$
$$x(\nu_{j}) = \frac{1}{1 + \left(\frac{\nu_{0}}{\nu_{j}}\right)^{\alpha}}$$

Where  $\alpha$  is an arbitrary exponent and  $\nu_0$  is the harmonic oscillator frequency around which x interpolates, with x = 0.5when  $\nu_j = \nu_0$  and  $x \approx 1.0$  when  $\nu_j \gg \nu_0$ . While the free rotor terms used for internal energies and heat capacities are the standard ones, the terms used for entropies have to use the rotors' moments of inertia  $\mu_{FR}$  and symmetry  $\sigma$ , which formally cannot be calculated from harmonic frequencies alone. The correction scheme instead estimates each moment of inertia as being of a  $\sigma = 1$  free rotor whose first excited state has an energy equal to the given  $h\nu_j$ . After this, each obtained moment of inertia  $\mu_{FR}$  is modified by an averaging moment of inertia  $\mu_{av}$  to avoid grossly overestimating entropies at very small frequencies (less than around 1 1/cm):

$$\mu = \frac{\mu_{FR} \cdot \mu_{av}}{\mu_{FR} + \mu_{av}}$$

### **Input options**

<sup>&</sup>lt;sup>7</sup> Yi-Pei Li, Joseph Gomes, Shaama Mallikarjun Sharada, Alexis T. Bell, Martin Head-Gordon, *Improved Force-Field Parameters for QM/MM Simulations of the Energies of Adsorption for Molecules in Zeolites and a Free Rotor Correction to the Rigid Rotor Harmonic Oscillator Model for Adsorption Enthalpies*, J. Phys. Chem. C 2015, 119, 4, 1840-1850 (https://doi.org/10.1021/jp509921r)

<sup>&</sup>lt;sup>8</sup> Stefan Grimme, Supramolecular Binding Thermodynamics by Dispersion-Corrected Density Functional Theory, Chem. Eur. J., 18: 9955-9964 (https://doi.org/10.1002/chem.201200497)

```
Thermo
Temperatures float_list
Pressure float
LowFrequencyCorrector
Alpha float
Frequency float
MomentOfInertia float
End
```

### Thermo

## Type Block

**Description** Options for thermodynamic properties (assuming an ideal gas). The properties are computed for all specified temperatures.

#### Temperatures

Type Float List

Default value [298.15]

Unit Kelvin

**Description** List of temperatures at which the thermodynamic properties will be calculated.

### Pressure

Type Float

**Default value** 1.0

Unit atm

Description The pressure at which the thermodynamic properties are computed.

#### LowFrequencyCorrector

## Type Block

**Description** Options for the dampener-powered free rotor interpolator that corrects thermodynamic quantities for low frequencies. See DOI:10.1021/jp509921r and DOI:10.1002/chem.201200497.

#### Alpha

Type Float

Default value 4.0

Description The exponent term used in the dampener.

## Frequency

Type Float

Default value 100.0

Unit cm-1

**Description** The frequency around which the dampener interpolates between harmonic oscillator and free rotor quantities.

## MomentOfInertia

Type Float

Default value 1e-44

Unit kg m^2

GUI name Averaging Moment of Inertia

**Description** The moment of inertia used to restrict entropy results for very small frequencies (generally around less than 1 cm-1).

## Gibbs free energy change for a gas phase reaction

Here an example is given how to calculate the free energy change for a reaction. In the AMS output of a normal modes calculation you can find the electronic bonding energy and nuclear kinetic energies, at room temperature. Example part of the AMS output of a nonlinear molecule:

Zero-point energy (Hartree): 0.0333							
Temp →Vibrat	Total		Transl	Rotat			
	10001						
⇔							
298.15 ⊶137	Entropy (cal/mol-K): 46.052		34.441	11.474	0.		
⇔941	Nuclear Internal Energy (kcal/mol): →941 22.718		0.889	0.889	20.		
<u>→</u> 565	Constant Volume Heat 6.526	Capacity (cal/mol-K):	2.981	2.981	0.		
Summary of energy terms							
L.	54	hartree	eV	]	ccal/		
⊶mol	kJ/mol						
<b>⇔</b>							
Energy ⇔86	from Engine: -1953.36	-0.743995039793930	-20.2451		-466.		
Nuclear ⇔72	Internal Energy: 95.05	0.036203917534227	0.9852		22.		
Interna ⊶14	l Energy U: -1858.31	-0.707791122259703	-19.2599		-444.		
pV/n = : ⇔59	RT:	0.000944186013486	0.0257		0.		
Enthalp	y H: -1855_83	-0.706846936246217	-19.2343		-443.		
-T*S:	-57 45	-0.021880868282982	-0.5954		-13.		
⇒75 Gibbs f ⇔28		-0.728727804529199	-19.8297		-457.		

The Energy from Engine = -466.86 kcal/mol. It depends on the engine how this energy is calculated. In the ADF and BAND engines the energy is normally calculated with respect to (artificial) spherical averaged neutral atoms.

The Nuclear Internal Energy = zero point energy + 3 kT + small correction term = 22.72 kcal/mol. 3 kT = 3/2 kT for rotation, and 3/2 kT for translation (i.e. 1/2 kT for each degree of freedom). The small correction term is a term due to the vibration partition function, depending on the temperature not only the ground state vibrational levels are occupied, see also the previous discussion.

The Internal Energy U = Energy from Engine + Nuclear Internal Energy = -466.86 + 22.72 = -444.14 kcal/mol. Gas phase pV/n = RT = 8.314472 \* 298.15 / 4184 = 0.59 kcal/mol. The enthalpy H = U + pV = -444.14 + 0.59 = -443.55 kcal/mol. The Gibbs free energy G = H - TS = -443.55 - 298.15 \* 46.052/1000 = -457.28 kcal/mol.

For a calculation of the free energy change for reaction ( $\Delta$  G), you will have to do this for the reactant and product molecules, and add and subtract these energies, for each molecule proportional to the number of molecules that take place in the reaction. Application of ADF for obtaining enthalpy, entropy and Gibbs free energy can for instance be obtained in Refs.<sup>910</sup>.

# 6.2.9 Moments of inertia

In case normal modes are computed in AMS, AMS also reports the moments of inertia of the molecule in units of amu bohr<sup>2</sup> (amu = atomic mass unit) and its corresponding principal axes.

# 6.2.10 Partial Vibrational Spectra (PVDOS)

The Partial Vibrational Spectra (also known as PVDOS) is computed by default whenever normal modes are requested. The PVDOS  $P_{I,n}$  for atom I and normal mode n is defined as:

$$P_{I,n} = \frac{m_I |\vec{\eta}_{I,n}|^2}{\sum_n m_I |\vec{\eta}_{I,p}|^2}$$

where  $m_I$  is the nuclear weight of atom I, and  $\eta_{I,n}$  is the displacement vector for atom I in normal normal mode n.

**Tip:** The Partial Vibrational Spectra (PVDOS) can be visualized using the **AMSspectra** GUI module (**Vibrations**  $\rightarrow$  **Partial Vibrational Spectra (PVDOS**)). When plotting a partial vibrational spectrum, the IR intensity of normal modes is scaled by the corresponding PVDOS of the selected atoms.

The PVDOS matrix is not printed to the text output, but only saved to the engine binary output (.rkf) in the variable Vibrations%PVDOS.

# 6.3 Phonons

Collective oscillations of atoms around theirs equilibrium positions, giving rise to lattice vibrations, are called phonons. AMS can calculate phonon dispersion curves within standard harmonic theory, implemented with a finite difference method. Within the harmonic approximation we can calculate the partition function and therefore thermodynamic properties, such as the specific heat and the free energy.

### See also:

*Example: Phonons for graphene* (page 274), *Example: Phonons with isotopes* (page 275), *Example: User-defined Brillouin zone for phonon dispersion* (page 277) and diamond lattice optimization and phonons tutorial

The calculation of phonons is enabled in the Properties block.

```
Properties
Phonons Yes/No
End
```

<sup>9</sup> M. Swart, E. Rösler, and F. M. Bickelhaupt, *Proton affinities of maingroup-element hydrides and noble gases: Trends across the periodic table, structural effects, and DFT validation*, Journal of Computational Chemistry 27, 1486 (2006) (https://doi.org/10.1002/jcc.20431)

<sup>&</sup>lt;sup>10</sup> M. Swart, and F. M. Bickelhaupt, *Proton Affinities of Anionic Bases: Trends Across the Periodic Table, Structural Effects, and DFT Validation*, Journal of Chemical Theory and Computation 2, 281 (2006) (https://doi.org/10.1021/ct0502460).



Fig. 6.1: Example of partial vibrational spectrum (PVDOS). The dotted line is the full IR spectrum of 1-propanol. The solid line is the PVDOS-scaled IR spectrum of the OH group (IR spectrum computed using GFN1-xTB).

**Note:** Phonon calculations should be performed on optimized geometries, **including the lattice vectors**. This can be done by either using an already optimized system as input, or by combining the phonon calculation with the *geometry optimization task* (page 41) (you should set the GeometryOptimization%OptimizeLattice input option to True).

The details of the phonon calculations are configured in the NumericalPhonons block:

```
NumericalPhonons
   SuperCell # Non-standard block. See details.
      . . .
   End
   StepSize float
   DoubleSided Yes/No
   Interpolation integer
  NDosEnergies integer
   AutomaticBZPath Yes/No
   BZPath
      Path # Non-standard block. See details.
         . . .
      End
   End
   Parallel
      nCoresPerGroup integer
      nGroups integer
      nNodesPerGroup integer
   End
End
```

#### NumericalPhonons

SuperCell

Type Non-standard block

**Description** Used for the phonon run. The super lattice is expressed in the lattice vectors. Most people will find a diagonal matrix easiest to understand.

The most important setting here is the super cell transformation. In principle this should be as large as possible, as the phonon bandstructure converges with the size of the super cell. In practice one may want to start with a  $2x^2x^2$  cell and increase the size of the super cell until the phonon band structure converges:

```
NumericalPhonons

SuperCell

2 0 0

0 2 0

0 0 2

End

End
```

By default the phonon dispersion curves are computed for the standard path though the Brillouin zone (see https: //doi.org/10.1016/j.commatsci.2010.05.010). One can request the a different path using the following keywords (for an example of how to specify a user-defined path see *Example: User-defined Brillouin zone for phonon dispersion* (page 277)):

```
NumericalPhonons
AutomaticBZPath Yes/No
BZPath
Path # Non-standard block. See details.
...
End
End
End
```

NumericalPhonons

AutomaticBZPath

Type Bool

Default value Yes

GUI name Automatic BZ path

**Description** If True, compute the phonon dispersion curve for the standard path through the Brillouin zone. If False, you must specify your custom path in the [BZPath] block.

#### BZPath

#### Type Block

**Description** If [NumericalPhonons%AutomaticBZPath] is false, the phonon dispersion curve will be computed for the user-defined path in the [BZPath] block. You should define the vertices of your path in fractional coordinates (with respect to the reciprocal lattice vectors) in the [Path] sub-block. If you want to make a jump in your path (i.e. have a discontinuous path), you need to specify a new [Path] sub-block.

#### Path

Type Non-standard block

#### Recurring True

**Description** A section of a k space path. This block should contain multiple lines, and in each line you should specify one vertex of the path in fractional coordinates. Optionally, you can add text labels for your vertices at the end of each line.

Other keywords in the NumericalPhonons block modify the details of the numerical differentiation procedure and the accuracy of the results:

### NumericalPhonons

## StepSize

Type Float

Default value 0.04

Unit Angstrom

**Description** Step size to be taken to obtain the force constants (second derivative) from the analytical gradients numerically.

#### DoubleSided

Type Bool

## Default value Yes

**Description** By default a two-sided (or quadratic) numerical differentiation of the nuclear gradients is used. Using a single-sided (or linear) numerical differentiation is computationally faster but much less accurate. Note: In older versions of the program only the single-sided option was available.

### Interpolation

Type Integer

Default value 100

Description Use interpolation to generate smooth phonon plots.

#### NDosEnergies

Type Integer

Default value 1000

**Description** Nr. of energies used to calculate the phonon DOS used to integrate thermodynamic properties. For fast compute engines this may become time limiting and smaller values can be tried.

The numerical phonon calculation supports AMS' *double parallelization* (page 17), which can perform the calculations for the individual displacements in parallel. This is configured automatically, but can be further tweaked using the keys in the NumericalPhonons%Parallel block:

```
NumericalPhonons
Parallel
nCoresPerGroup integer
nGroups integer
nNodesPerGroup integer
End
End
```

## NumericalPhonons

#### Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better

parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

#### nCoresPerGroup

Type Integer

GUI name Cores per group

Description Number of cores in each working group.

#### nGroups

Type Integer

GUI name Number of groups

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

## nNodesPerGroup

Type Integer

GUI name Nodes per group

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

# 6.4 (Resonance) Raman

## 6.4.1 Raman

In this method the Raman scattering spectrum is calculated from the geometrical derivatives of the frequencydependent polarizability. Engine ADF is required. Raman scattering intensities and depolarization ratios for all or a selected number of molecular vibrations at a certain laser frequency can be calculated. The Raman scattering calculation is very similar to an IR intensity calculation. In fact, all IR output is automatically generated as well. At all distorted geometries the dipole polarizability tensor is calculated. This is time-consuming and is only feasible for small molecules.

```
Properties
Raman Yes/No
End
```

```
Raman
IncidentFrequency float
FreqRange float_list
End
```

If a FreqRange is included the Raman intensities are calculated for a range of vibrational frequencies only. Using this option is a fast alternative for calculating all Raman intensities.

#### Properties

Raman

Type Bool

Default value No

Description Requests calculation of Raman intensities for vibrational normal modes.

#### Raman

IncidentFrequency

Type Float

Default value 0.0

Unit eV

Description Frequency of incident light.

### FreqRange

Type Float List

Unit cm-1

Recurring True

GUI name Frequency range

**Description** Specifies a frequency range within which all modes will be scanned. 2 numbers: an upper and a lower bound.

# 6.4.2 Resonance Raman: excited-state finite lifetime

Resonance Raman spectroscopy uses incident light with a wavelength close to that of an electronic transition. In this method (Ref.<sup>11</sup>) the resonance Raman-scattering (RRS) spectra is calculated from the geometrical derivatives of the frequency-dependent polarizability. Engine ADF is required. The polarizability derivatives are calculated from resonance polarizabilities by including a finite lifetime (phenomenological parameter) of the electronic excited states.

```
Raman
FreqRange float_list
IncidentFrequency float
LifeTime float
End
```

Raman

FreqRange

Type Float List

Unit cm-1

Recurring True

GUI name Frequency range

**Description** Specifies a frequency range within which all modes will be scanned. 2 numbers: an upper and a lower bound.

IncidentFrequency

Type Float

Default value 0.0

Unit eV

<sup>&</sup>lt;sup>11</sup> L. Jensen, L. Zhao, J. Autschbach and G.C. Schatz, *Theory and method for calculating resonance Raman scattering from resonance polariz-ability derivatives*, Journal of Chemical Physics 123, 174110 (2005) (https://doi.org/10.1063/1.2046670)

Description Frequency of incident light.

LifeTime

Type Float

Default value 0.0

Unit hartree

**Description** Specify the resonance peak width (damping) in Hartree units. Typically the lifetime of the excited states is approximated with a common phenomenological damping parameter. Values are best obtained by fitting absorption data for the molecule, however, the values do not vary a lot between similar molecules, so it is not hard to estimate values. A typical value is 0.004 Hartree.

It is similar to the simple excited-state gradient approximation method (see next section) if only one electronic excited state is important, however, it is not restricted to only one electronic excited state. In the limit that there is only one possible state in resonance the two methods should give more or less the same results. However, for many states and high-energy states and to get resonance Raman profiles (i.e., Raman intensities as a function of the energy of the incident light beam) this approach might be more suitable. The resonance Raman profiles in this approach are averaged profiles since vibronic coupling effects are not accounted for.

# 6.4.3 Resonance Raman: VG-FC

According to a the time-dependent picture of resonance-Raman (RR) scattering the relative intensities of RR scattering cross sections are, under certain assumptions, proportional to the square of the excited-state energy gradients projected onto the ground-state normal modes of the molecule (see Ref.<sup>12</sup>). For an alternative implementation of RR scattering using a finite lifetime of the excited states, and a discussion of some of the differences, see the previous section. Engine ADF or DFTB is required.

The vertical gradient Franck-Condon (VG-FC) method, also called the Independent Mode Displaced Harmonic Oscillator (IMDHO) model, we use to calculate vibrationally resolved absorption spectra can also be applied to the calculation of resonance Raman spectra. In resonance Raman spectroscopy a molecule is excited from its ground state to some electronically excited state. After a short period of time, the molecule then relaxes back to its electronic ground state. However, when doing so, it might end up in a different vibrational state than it started off in. The result is an energy difference between the incident and emmitted photon. One can then plot the intensity for different energy differences to produce what is known as a Raman spectra. Resonance Raman spectroscopy uses incident light with a wavelength close to that of an electronic transition.

AMS supports the calculation of such spectra by modeling the vibronic coupling of electronic transitions using the VG-FC model. This model is discussed also on the *Vibronic-Structure* (page 174) documentation page. Here we will discuss the modifications necessary to use the VG-FC model for resonance Raman spectroscopy. It is worth noting that this VG-FC resonance Raman application does not support the mode selective options. As a result the VG-FC Resonance Raman application will always first perform a full frequency analysis to obtain its normal modes.

## Theory

While the basic theory behind the VG-FC model is explained in detail on the *Vibronic-Structure* (page 174) documentation page, we will briefly summarize the most important points here, as well as the modifications necessary for its application to resonance Raman spectroscopy. It applies the harmonic approximation to both the ground state and excited state PES and then goes on to assume that neither frequency changes nor normal mode rotations occur. Thus the excited state PES is a shifted version of the ground state PES. We do not include temperature effects (so all initial

<sup>&</sup>lt;sup>12</sup> J. Neugebauer, E.J. Baerends, E. Efremov, F. Ariese and C. Gooijer, *Combined Theoretical and Experimental Deep-UV Resonance Raman Studies of Substituted Pyrenes*, Journal of Physical Chemistry A 109, 2100 (2005) (https://doi.org/10.1021/jp045360d)

states will be ground states) and work at the Franck-Condon point. Under these assumptions, the Raman polarizability of a particular excited state n, for a transition between initial and final vibrational states I and F can be written as:

$$(\alpha_{n,ij})_{F\leftarrow I} = \mu_{n,i}\mu_{n,j}\int_0^\infty \langle F|I_n(t)\rangle e^{i[\omega - (E_{n,0} - E_{m,0})]t} \cdot e^{-\Gamma t}dt$$

Here, i, j label the components of the polarizability tensor and  $\langle F|I_n(t)\rangle$  denotes the overlap of the initial state I, propagated along the excited state PES with the final state F. Under the *assumptions of the VG-FC model* (page 166), this overlap is equal to:

$$\langle F|I_n(t)\rangle = \prod_{j=1}^{N_{modes}} \left\{ \frac{(-1)^{m_j} \Delta^{m_j}}{2^{m_j/2} m_j!} (1 - e^{-i\omega_j t})^{m_j} \right\} \exp\left[ -\frac{\Delta_{n,j}^2}{2} (1 - e^{-i\omega_j t}) \right]$$

Where the  $m_j$  denote the excitation number of mode j in final state F. For a more detailed discussion, we refer to<sup>13</sup>. The only parameters that appear in our expression are the dimensionless oscillator displacements  $\Delta_{n,j}$  that represent displacement of the excited state PES along normal mode j. Under the simplifying assumptions of the VG-FC, these can be obtained from the ground state normal modes and a single excited state gradient. The Raman intensity is then proportional to the square of the polarizabilities:

$$\sigma(\omega)_{F\leftarrow I} \propto \sum_{i,j} |\sum_{n} (\alpha_{n,ij})_{F\leftarrow I}|^2$$

A spectrum is then generated by including various different final states F, which are defined by different combinations of normal mode excitation numbers, and assigning a relative intensity to each transition equal to the above expression. AMS only supports spectra which display relative intensities so the results are plotted in arbitrary units and are normalized such that the largest peak reaches an intensity of 1.

## Input

The calculation setup for resonance Raman spectra largely proceeds as it does for *Absorption Spectra* (page 174). We need a set of ground state normal modes as well as an excited state gradient. The former are calculated at the start using the selected AMS engine, or, in case the user has a pre-calculated set of normal modes, these can be read from a .rkf file using the ModeFile key in the NormalModes sub-block. In this latter case, the engine is not used. The ModeSelect block can be used to select specific modes from the full set of normal modes for which the spectrum should be calculated. For details see the *Mode Select* (page 149) documentation on the main page. If one simply wants the spectrum for the full set of normal modes, the Full key in the ModeSelect block can be set to True. The excited state information is passed to the application via the ExcitationSettings block.

Another point to note is that since our states are labeled by discrete indices we will be calculating stick spectra (which can be homogeneously broadened in amsspectra). By contrast, the absorption spectra produced by VibronicStructure are raw x,y data. Due to this difference in nature of the Raman spectrum compared to the absorption spectrum, this method uses the ResonanceRaman block for input options related to its spectrum (as opposed to the AbsorptionSpectrum block).

The ExcitationSettings block is discussed on the *Vibronic-Structure* (page 182) page. One important difference with the latter is that Resonance Raman calculations are supported for more than one excitation at once. This is more important for the case of Raman spectra as the intensity associated with a set of transitions is not equal to the sum of their individual intensities (we sum over electronic states n before we square the polarizabilities). Here we will address settings specific to the Raman spectrum, all of which can be found in the ResonanceRaman block. A short example of how a typical input file might look is included at the end of this section.

<sup>&</sup>lt;sup>13</sup> T. Petrenko and F. Neese, Analysis and prediction of absorption band shapes, fluorescence band shapes, resonance Raman intensities, and excitation profiles using the time-dependent theory of electronic spectroscopy The Journal of Chemical Physics 127, 164319 (2007) (https://doi.org/10.1063/1.2770706)

```
Task VibrationalAnalysis
VibrationalAnalysis
Task ResonanceRaman
ResonanceRaman
IncidentFrequency float
LifeTime float
RamanOrder integer
RamanRange float_list
MaximumStates integer
End
...
End
```

IncidentFrequency float Frequency of incident light.

- **LifeTime float** sets the value of  $\Gamma$  (in Hartree) that controls the exponential damping in our integral. This phenomenological parameter can be interpreted as the (inverse) life time of the Raman excited state and can be used to help the results agree with experiment. The default value of 4.5e-4 is on the low end of reasonable values but should provide a good starting point for most cases.
- **RamanOrder integer** determines the set of final states and overtones to be included in the spectrum. It is an integer and the application considers only final states such that the sum of excitation numbers of all normal modes is less than or equal to this number. Setting this to 1 means we only include the fundamental band.
- **RamanRange float\_list** this keyword specifies the frequency range (in  $cm^{-1}$ ) the Raman shift is restricted to lie in. This prevents us from including excessively many states and overtones for high frequency modes. The default is [0, 2000]  $cm^{-1}$  but this can be changed to whatever is desired.
- MaximumStates integer Expert key. Due to the combinatorial explosion of included final states that occurs for combinations of large values of the raman order, large molecules and wide spectrum ranges, there is a maximum number of final states that can be included in the spectrum. This is to prevent the program from using excessive amounts of memory/computation times. The user can set this number using the MaximumStates key but this should be done with caution.

Finally we give an example of a typical VibrationalAnalysis block for a resonance Raman calculation. This also gives an idea of how the settings that were not explicitly mentioned above work:

```
VibrationalAnalysis
   Type ResonanceRaman
   NormalModes
     ModeSelect
       Full True
     End
   End
   ExcitationSettings
     ExcitationInfo File
     ExcitationFile ./your_excitation/dftb.rkf
     Singlet
       A 1 2 4
     End
   End
   ResonanceRaman
     RamanOrder 3
     RamanRange 0.0 3000.0
   End
End
```

# 6.5 VROA: (Resonance) vibrational Raman optical activity

The normal and resonance VROA spectra are calculated from geometric derivatives of the different generalized polarizabilities obtained using linear response theory which may include a damping term to account for the finite lifetime. Engine ADF is required. These polarizabilities are the electric dipole - electric dipole polarizability, the electric dipole - magnetic dipole polarizability, and the the electric dipole - electric quadrupole polarizability. For resonance VROA one should include a finite lifetime.

```
Properties
VROA Yes/No
End
```

Raman

```
FreqRange float_list
IncidentFrequency float
LifeTime float
End
```

#### Properties

## VROA

Type Bool

Default value No

**Description** Requests calculation of VROA for vibrational normal modes.

#### Raman

#### FreqRange

Type Float List

Unit cm-1

Recurring True

GUI name Frequency range

**Description** Specifies a frequency range within which all modes will be scanned. 2 numbers: an upper and a lower bound.

#### IncidentFrequency

Type Float

Default value 0.0

Unit eV

Description Frequency of incident light.

#### LifeTime

Type Float

Default value 0.0

Unit hartree

**Description** Specify the resonance peak width (damping) in Hartree units. Typically the lifetime of the excited states is approximated with a common phenomenological damping parameter. Values are best obtained by fitting absorption data for the molecule, however, the values do

not vary a lot between similar molecules, so it is not hard to estimate values. A typical value is 0.004 Hartree.

## 6.5.1 Engine ADF

In the ADF engine a method is implemented to calculate both on- and off-resonance vibrational Raman optical activities (VROAs) of molecules using time-dependent density functional theory, see Ref.<sup>14</sup>. This is an extension of a method to calculate the normal VROA by including a finite lifetime of the electronic excited states in all calculated properties. The method is based on a short-time approximation to Raman scattering and is, in the off-resonance case, identical to the standard theory of Placzek. The normal and resonance VROA spectra are calculated from geometric derivatives of the different generalized polarizabilities obtained using linear response theory which includes a damping term to account for the finite lifetime. Gauge-origin independent results for normal VROA have been ensured using either the modified-velocity gauge or gauge-included atomic orbitals. In ADF2016 the velocity gauge tensors required for the calculation of VROA are now correctly calculated with the life time damping parameter. With these complex tensors fixed, resonance VROA intensities are now origin invariant in the velocity gauge, see also Ref.<sup>15</sup>.

# 6.6 VCD: Vibrational Circular Dichroism

Vibrational circular dichroism (VCD) is the differential absorption of left and right circularly polarized infrared light by vibrating molecules. Most engines can be used to calculate VCD with the approximate Atomic polar tensor (APT) model. Engine ADF is required for the more accurate analytical VCD.

```
Properties
VCD Yes/No
End
```

Properties

VCD

Type Bool

Default value No

Description Requests calculation of VCD for vibrational normal modes.

*VCDtools* (page 215) is a program that can be used to do an analysis of the VCD spectrum. *VCDtools* (page 215) can be used with the AMS-GUI module AMSspectra.

# 6.6.1 Atomic polar tensor (APT) model

In the so-called atomic polar tensor (APT) model the atomic axial tensors (AATs) can be calculated from electric dipole gradients. Note that the APT model may not be very reliable for predicting VCD bands and its implementation should not be blindly applied beyond a quick assessment. Results using the engine DFTB can be found in<sup>16</sup>.

For the engines BAND and DFTB only the APT model can be used. In case of the engine ADF the default is to calculate the VCD analytically, see next section. One can calculate VCD using the APT model with the ADF engine if one includes:

<sup>&</sup>lt;sup>14</sup> L. Jensen, J. Autschbach, M. Krykunov, and G.C. Schatz, *Resonance vibrational Raman optical activity: A time-dependent density functional theory approach*, Journal of Chemical Physics 127, 134101 (2007) (https://doi.org/10.1063/1.2768533)

<sup>&</sup>lt;sup>15</sup> D.V. Chulhai and L. Jensen, Simulating Surface-Enhanced Raman Optical Activity Using Atomistic Electrodynamics-Quantum Mechanical Models, Journal of Physical Chemistry A 118, 9069 (2014) (https://doi.org/10.1021/jp502107f)

<sup>&</sup>lt;sup>16</sup> T.Q. Teodoro, M.A.J. Koenis, R. Rüger, S.E. Galembeck, W.J. Buma, V.P. Nicu, L. Vissche, *Use of Density Functional Based Tight Binding Methods in Vibrational Circular Dichroism*, Journal of Physical Chemistry A 122, 9435 (2018) (https://doi.org/10.1021/acs.jpca.8b08218)

```
NormalModes
Hessian Numerical
End
```

# 6.6.2 Analytical VCD in ADF

In the ADF engine the VCD intensities are calculated using Stephens' equations for VCD. For the calculation of the atomic axial tensors (AATs), analytical derivatives techniques and London atomic orbitals (the so called GIAO) are employed. As a result the calculated rotational strengths are origin independent, and therefore the common origin gauge is used<sup>17</sup>.

New in AMS2020 is that one can calculate analytical VCD also for open-shell systems in a spin-unrestricted calculation.

Calculation of the AATs requires an analytical frequencies calculation. This limits the choice of functionals that can be used for VCD calculations.

The accuracy of the vibrational rotational strengths are determined by the accuracy of the harmonic force field, atomic polar tensors (APTs) and AATs. The most critical parameter being the harmonic force field. Thus, for a fair comparison with experimental data, accurate geometries and functionals that yield accurate force fields (e.g. BP86, OLYP, etc) should be used. Our tests showed that the BP86 functional in combination with TZP basis sets is always a safe choice. For a comparison of VCD spectra calculated with various functionals (e.g BP86, OLYP, BJPW91 and BJLYP) see<sup>17</sup>. Regarding the geometries, we recommend the following strict settings, 10<sup>-4</sup> for the geometry convergence of the gradients, and BeckeGrid quality good. The default settings should be used for the calculation of the frequencies.

By default, only the vibrational rotational strengths are printed in the AMS output file. For a deeper insight regarding the origin of the VCD intensity of a given normal mode one can use the auxiliary program *VCDtools* (page 215). *VCDtools* (page 215) can be used with the ADF-GUI module ADFspectra.

<sup>&</sup>lt;sup>17</sup> V.P. Nicu J. Neugebauer S.K. Wolff and E.J. Baerends, A vibrational circular dichroism implementation within a Slater-type-orbital based density functional framework and its application to hexa- and hepta-helicenes, Theoretical Chemical Accounts 119, 245 (2008) (https://doi.org/10.1007/s00214-006-0234-x)

CHAPTER

SEVEN

# **VIBRATIONALLY RESOLVED ELECTRONIC SPECTRA**

# 7.1 AH-FC: Adiabatic Hessian Franck-Condon

Electronic spectra, such as absorption, emission, phosphorescence, and ionization, may contain a vibrational structure.

In the Adiabatic Hessian Franck-Condon (AH-FC) method one needs to do a frequency calculation both at the ground state as well as the excited state of interest. The model makes the following assumptions:

- 1. It employs the adiabatic (Born-Oppenheimer) approximation and treats the nuclei as moving in an effective potential defined by the electronic configuration.
- 2. It works at the Franck-Condon point and assumes that the transition occurs at the ground state equilibrium structure for absorption and at the excited state equilibrium structure for emission.
- 3. It applies the harmonic approximation to both the ground and excited state potential energy surfaces.

Franck-Condon factors can be calculated for the transition between the two electronic states, which can be done with the *FCF Module* (page 167), described below. These Franck-Condon factor can then be used to predict the relative intensities of absorption or emission lines in the electronic spectra. Note that the Herzberg-Teller effect is not taken into account.

In the vertical gradient Franck-Condon (VG-FC) method some extra assumptions are made compared to the AH-FC method. This approach is particularly effective for large molecules as it shows linear scaling with the number of normal modes.

• See the documentation of the VG-FC method (page 174).

# 7.1.1 FCF module: Franck-Condon Factors

*fcf* is an auxiliary program which can be used to calculate Franck-Condon factors from two vibrational mode calculations<sup>1</sup>.

*fcf* requires an ASCII input file where the user specifies the .rkf files from two vibrational mode calculations, carried out for two different electronic, spin or charge states of the same molecule. These calculations can be either numerical or analytical. The number of vibrational quanta that have to be taken into account for both states in the evaluation of the Franck-Condon factors have to be specified.

*fcf* produces a (binary) KF file TAPE61, which can be inspected using the KF utilities. Furthermore, *fcf* writes the frequencies, vibrational displacements and electron-phonon couplings for both states too the standard output, including any error messages.

<sup>&</sup>lt;sup>1</sup> J.S. Seldenthuis, H.S.J. van der Zant, M.A. Ratner and J.M. Thijssen, *Vibrational Excitations in Weakly Coupled Single-Molecule Junctions: A Computational Analysis*, ACS Nano 2, 1445 (2008) (https://doi.org/10.1021/nn800170h)

## Theory

Franck-Condon factors are the squares of the overlap integrals of vibrational wave functions. Given a transition between two electronic, spin or charge states, the Franck-Condon factors represent the probabilities for accompanying vibrational transitions. As such, they can be used to predict the relative intensities of absorption or emission lines in spectroscopy or excitation lines in transport measurements.

When a molecule makes a transition to another state, the equilibrium position of the nuclei changes, and this will give rise to vibrations. To determine which vibrational modes will be active, we first have to express the displacement of the nuclei in the normal modes:

$$\mathbf{k} = \mathbf{L'}^T \mathbf{m}^{1/2} (\mathbf{B}_0 \mathbf{x}_0 - \mathbf{x'}_0)$$

Here, k is the displacement vector, L is the normal mode matrix, m is a matrix with the mass of the nuclei on the diagonal, B is the zero-order axis-switching matrix and  $x_0$  is the equilibrium position of the nuclei. For free molecules, depending on symmetry constraints, the geometries of both states may have been translated and/or rotated with respect to each other. To remove the six translational and rotational degrees of freedom, we can center the equilibrium positions around the center of mass and rotate one of the states to provide maximum overlap. The latter is included with the zero-order axis-switching matrix B, implemented according to<sup>2</sup>.

When we have obtained the displacement vector, it is trivial to calculate the dimensionless electron-phonon couplings. They are given by:

$$\lambda = (\mathbf{\Gamma}/2)^{1/2}\mathbf{k}$$

Here,  $\Gamma = 2\pi\omega/h$  is a vector containing the reduced frequencies.<sup>3</sup>. The Huang-Rhys factor g is related to  $\lambda$  as:

$$\mathbf{g} = \lambda^2$$

The reorganization energy per mode is

$$\mathbf{E} = (h/2\pi) * \omega \lambda^2$$

When the displacement vector  $\mathbf{k}$ , the reduced frequencies  $\Gamma$  and  $\Gamma'$ , and the Duschinsky rotation matrix  $\mathbf{J} = \mathbf{L}'^T \mathbf{B}_0 \mathbf{L}$  have been obtained, the Franck-Condon factors can be calculated using the two-dimensional array method of Ruhoff and Ratner<sup>3</sup>.

There is one Franck-Condon factor for every permutation of the vibrational quanta over both states. Since they represent transition probabilities, all Franck-Condon factors of one state which respect to one vibrational state of the other state must sum to one. Since the total number of possible vibrational quanta, and hence the total number of permutations, is infinite, in practice we will calculate the Franck-Condon factors until those sums are sufficiently close to one. Since the number of permutations rapidly increases with increasing number of vibrational quanta, it is generally possible to already stop after the sum is greater than about two thirds. The remaining one third will be distributed over so many Franck-Condon factors that their individual contributions are negligible.

In the limiting case of one vibrational mode, with the same frequency in both states, the expression for the Franck-Condon factors of transitions from the ground vibrational state to an excited vibrational state are given by the familiar expression:

$$|l_{0,n}|^2 = e^{-\lambda^2} \lambda^{2n} / n!$$

<sup>&</sup>lt;sup>2</sup> G.M. Sando and K.G. Spears, *Ab Initio Computation of the Duschinsky Mixing of Vibrations and Nonlinear Effects*, Journal of Physical Chemistry A 105, 5326 (2001) (https://doi.org/10.1021/jp004230b)

<sup>&</sup>lt;sup>3</sup> P.T. Ruhoff and M.A. Ratner, *Algorithms for computing Franck-Condon overlap integrals*, International Journal of Quantum Chemistry 77, 383 (2000) (https://doi.org/10.1002/(SICI)1097-461X(2000)77:1%3C383::AID-QUA38%3E3.0.CO;2-0)

## Input

The input for *fcf* is keyword oriented and is read from the standard input. *fcf* recognizes several keywords, but only two have to be specified to perform the calculation. All input therefore contains at least two lines of the following form:

```
$AMSBIN/fcf << eor
STATES state1 state2
QUANTA 11 12
eor</pre>
```

Documentation for all keys of *fcf*:

```
$AMSBIN/fcf << eor
States state1 state2
Quanta l1 l2
Lambda float
Modes first last
Rotate [True | False]
Translate [True | False]
Spectrum FreqMin FreqMax NFreq
eor
```

#### Lambda

Type Float

#### Default value 0.0

**Description** Optional. The minimum value of the electron-phonon coupling for a mode to be taken into account in the calculation. Together with the MODES option, this provides a way to significantly reduce the total number of Franck-Condon factors. As with the MODES option, always check if the results do not change too much.

#### Modes

Type Integer List

**Default value** [0, 0]

**Description** Optional. The first and last mode to be taken into account in the calculation. By default, all modes are taken into account. This option can be used to effectively specify and energy range for the Franck-Condon factors. When using this options, always check if the results (electron-phonon couplings, ground state to ground overlap integral, average sum of Franck-Condon factors, etc.) do not change too much.

#### Quanta

Type Integer List

Default value [0, 0]

**Description** The maximum number of vibrational quanta to be taken into account for the two states. Franck-Condon factors will be calculated for every permutation of up to and including 11/12 quanta over the vibrational modes.

## Rotate

Type Bool

Default value No

**Description** Optional, recommended to be included. Rotate the geometries to maximize the overlap of the nuclear coordinates.

#### Spectrum

Type Float List

**Description** Optional. If included, the vibrational spectrum is calculated. A histogram of the spectrum is calculated for the frequency range defined by three numbers: FreqMin (minimum frequency for which the spectrum is calculated), FreqMax (maximum frequency for which the spectrum is calculated), NFreq (number of frequencies for which the spectrum is calculated). Usage example: 'Spectrum 0.0 2.0E4 1000'

#### States

Type String

**Description** The filenames of two results files of a numerical or analytical frequency calculation. The calculations must have been performed on the same molecule, i.e. the type, mass and order of occurrence of all the atoms (or fragments) has to be the same in both files.

#### Translate

Type Bool

### Default value No

**Description** Optional, recommended to be included. Move the center of mass of both geometries to the origin.

Only a few keys from the .rkf file are used for the calculation of the Franck-Condon factors. Disk space usage can be significantly reduced by extracting just these keys from the .rkf file before further analysis. The following shell script will extract the keys from the KF file specified by the first argument and store them in a new KF file specified by the second argument using the *cpkf* utility:

```
#!/bin/sh
cpkf $1 $2 General Molecule Vibrations
```

### **Result: TAPE61**

After a successful calculation, fcf produces a TAPE61 KF file. All results are stored in the Fcf section:

contents of TAPE61	comments		
firstmode, lastmode	the first and last vibrational mode taken into account		
lambda	the minimum value of the electron-phonon coupling		
max11, max12	maximum level (or maximum number of vibrational quanta) in		
	both states		
translate, rotate	whether the TRANSLATE and ROTATE options were specified		
	in the input		
natoms	number of atoms in the molecule		
mass	atomic mass vector ( <b>m</b> )		
xyz1, xyz2	equilibrium geometries of both states ( $\mathbf{x}_0$ and $\mathbf{x}_0$ ')		
b0	zero-order axis-switching matrix matrix ( $\mathbf{B}_0$ )		
nmodes	number of vibrational modes with a non-zero frequency		
gamma1, gamma2	reduced frequencies of both states ( $\Gamma$ and $\Gamma$ ')		
lmat1, lmat2	mass-weighted normal modes of both states (L and L')		
jmat	Duschinsky rotation matrix (J)		
kvec1, kvec2	displacement vectors for both states (k and k', kvec1 is used for		
	the calculation of the Franck-Condon factors)		
lambda1, lambda2	electron-phonon couplings for both states ( $\lambda$ and $\lambda$ ')		
maxp1, maxp2	maximum number of permutations of max11/max12 quanta over		
	the vibrational modes		
i0	ground state to ground state overlap integral $(I_{0,0})$		
freq1, freq2	frequencies of every permutation of the vibrational quanta for		
	both states		
fcf	maxp1 by maxp2 Franck-Condon factor matrix		
fcfsum1, fcfsum2	average sum of the Franck-Condon factors for both states		

In addition to producing a binary TAPE61 file, *fcf* also writes the frequencies, displacement vectors and electron-phonon couplings for both states to the standard output.

# 7.1.2 FCF example absorption and fluorescence

In this example it is assumed that the molecule has a singlet ground state  $S_0$ , and the interesting excited state is the lowest singlet excited state  $S_1$ . First one needs to a the ground state geometry optimization, followed by a frequency calculation. For the ground state frequency calculation we will use AMS\_JOBNAME=S0. Next one needs to do an excited state geometry optimization. Here it is assumed that the lowest singlet excited state  $S_1$  is of interest:

To get the frequencies for this excited state, numerical frequencies need to be calculated, at the optimized geometry of the first excited state:

```
AMS_JOBNAME=S1 $AMSBIN/ams <<eor
   . . .
   LoadSystem
      File S1_GEO.results/adf.rkf
   End
   Task SinglePoint
   Properties
      NormalModes True
   End
   Engine ADF
      . . .
      Excitation
         Onlysing
         Lowest 1
      End
      ExcitedGO
         State A 1
         Singlet
      End
   EndEngine
eor
```

Next for the absorption spectrum, we look at excitations from the lowest vibrational state of the electronic ground state to the vibrational levels of the first singlet excited state  $S_1$  ( $S_1 \leftarrow S_0$ ), using the *FCF program* (page 167), which calculates the Franck-Condon factors between the vibrational modes of the two electronic states, with input

```
$AMSBIN/fcf << eor
STATES S0.results/adf.rkf S1.results/adf.rkf
QUANTA 0 5
SPECTRUM 0 10000 1001
TRANSLATE
ROTATE
eor
```

The number of vibrational quanta for the excited state should be larger in case of small molecules. See *the description of FCF program* (page 167) for more details.

For the fluorescence spectrum, we look at excitations from the lowest vibrational state of the first singlet excited state  $S_1$  to the vibrational levels of the singlet ground state state  $S_0$  ( $S_1 \rightarrow S_0$ ). Input for the *FCF program* (page 167) is in this case:

```
$AMSBIN/fcf << eor
STATES S0.results/adf.rkf S1.results/adf.rkf
QUANTA 5 0
SPECTRUM -10000 0 1001
TRANSLATE
ROTATE
eor
```

The number of vibrational quanta for the ground state should be larger in case of small molecules.

Note that the FCF program calculates the spectrum relative to the 0-0 transition. Thus one should add to spectrum calculated with FCF the difference in energy of the lowest vibrational state of the ground state  $S_0$  and the lowest vibrational state of the electronically singlet excited state  $S_1$ .
## 7.1.3 FCF Example phosphorescence

In this example it is assumed that the molecule has a singlet ground state  $S_0$ , and the interesting excited state is the lowest triplet excited state  $T_1$ . Emission from a triplet state to a singlet state is spin forbidden, however, due to spin-orbit coupling such transitions may occur. In the following we assume that the geometry of the triplet excited state is not influenced much by spin-orbit coupling.

First one needs to a the ground state geometry optimization, followed by a frequency calculation, using AMS\_JOBNAME=S0. Next one needs to do an excited state geometry optimization of the lowest triplet excited state, followed by a frequency calculation.

```
AMS_JOBNAME=T1 $AMSBIN/ams <<eor
...
Task GeometryOptimization
Properties
NormalModes True
End
Engine ADF
Unrestricted
SpinPolarization 2.0
EndEngine
eor
```

For the phosphorescence spectrum, we look at excitations from the lowest vibrational state of the first triplet excited state  $T_1$  to the vibrational levels of the singlet ground state state  $S_0$  ( $T_1 \rightarrow S_0$ ). Input for the *FCF program* (page 167) is in this case:

```
$AMSBIN/fcf << eor
STATES S0.results/adf.rkf T1.results/adf.rkf
QUANTA 5 0
SPECTRUM -10000 0 1001
TRANSLATE
ROTATE
eor
```

The number of vibrational quanta for the ground state should be larger in case of small molecules.

Note that the FCF program calculates the spectrum relative to the 0-0 transition. Thus one should add to spectrum calculated with FCF the difference in energy of the lowest vibrational state of the ground state  $S_0$  and the lowest vibrational state of the electronically triplet excited state  $T_1$ .

Zero field splitting (ZFS) and the radiative rate constants (i.e. radiative phosphorescence lifetimes) could be calculated with spin-orbit coupled ZORA time-dependent density functional theory (ZORA-TDDFT). With the ADF engine spin-orbit coupling can be treated self-consistently (i.e. non perturbatively) during both the SCF and TDDFT parts of the computation.

An alternative to the use of the unrestricted formalism to calculate the lowest triplet excited state is to use the TDDFT formalism:

```
AMS_JOBNAME=T1_GEO $AMSBIN/ams <<eor
...
Task GeometryOptimization
Engine ADF
Excitation
Onlytrip
Lowest 1
End
ExcitedGO
```

(continues on next page)

```
State A 1
Triplet
End
EndEngine
```

eor

To get the frequencies for this excited state, numerical frequencies need to be calculated, at the optimized geometry of the first excited state.

```
AMS_JOBNAME=T1 $AMSBIN/ams <<eor
   . . .
   LoadSystem
      File T1_GEO.results/adf.rkf
   End
   Task SinglePoint
   Properties
      NormalModes True
   End
   Engine ADF
      . . .
      Excitation
         Onlytrip
         Lowest 1
      End
      ExcitedGO
         State A 1
         Triplet
      End
   EndEngine
eor
```

# 7.2 VG-FC: Vertical Gradient Franck-Condon

Electronic spectra, such as absorption, emission, phosphorescence, and ionization, may contain a vibrational structure.

In the vertical gradient Franck-Condon (VG-FC) method some extra assumptions are made compared to the Adiabatic Hessian Franck-Condon (AH-FC) method. VG-FC is also called the Independent Mode Displaced Harmonic Oscillator (IMDHO) model. The AH-FC model (used in the *FCF Module* (page 167)) makes the following assumptions:

- 1. It employs the adiabatic (Born-Oppenheimer) approximation and treats the nuclei as moving in an effective potential defined by the electronic configuration.
- 2. It works at the Franck-Condon point and assumes that the transition occurs at the ground state equilibrium structure for absorption and at the excited state equilibrium structure for emission.
- 3. It applies the harmonic approximation to both the ground and excited state potential energy surfaces.

The VG-FC model makes following additional assumptions:

- 4. It assumes the excited state PES has the same shape as that of the ground state, but it is displaced from it, i.e. both states have the same normal modes and frequencies but different equilibrium geometries.
- 5. The excited state equilibrium structure is found from a Newton-Raphson step from the ground state geometry using the excited state gradient at this point.

Under these simplifying approximations we can reduce the ingredients necessary for a spectrum calculation to an excited state gradient and a set of ground state normal modes. Furthermore it uses a time-domain description of the

absorption cross-section (this introduces no further approximations) so we do not need any explicit Franck-Condon factors as is done in the *FCF Module* (page 167). This approach is particularly effective for large molecules as it shows linear scaling with the number of normal modes. Further details on how to use this method, and the VG-FC model in general can be found below.

The approximations we make remove the need for an excited state geometry optimization and frequency analysis. This means the most expensive step in a typical calculation is actually the ground state frequency analysis. The ability of Mode Tracking to generate a set of approximate normal modes, without performing a full frequency analysis can be applied to the calculation of vibrationally resolved optical spectra. This is exactly what is done in *Vibronic-Structure Tracking* (page 176). On the other hand, the ability of Mode Refinement to refine entire spectral regions at once means this method can be transferred to calculating vibrationally resolved optical spectra from a select number of (vibronically active) approximate normal modes. This idea is applied in *Vibronic-Structure Refinement* (page 178). Under suitable assumptions, the computational cost of calculating an approximation of the vibronic-structure can be reduced significantly through the use of these two methods, allowing for application to large molecules inaccessible to more detailed methods. While they are clearly best suited for these large molecules, they can also serve as an efficient method to obtain quick, first approximations for more moderately sized molecule.

With the VG-FC model one can calculate the 0-0 energy difference between the ground state and excited state. In this VG-FC method the vibrationally resolved emission spectrum will be a mirror image of the vibrationally resolved absorption spectrum, in which the 0-0 transitions are the same.

**Note:** The VG-FC model uses certain approximations which may not always be valid. Something to look out for that may affect the reliability of VG-FC results are large changes in conformation upon excitation. In this case the normal modes of the excited state may be completely different from those of the ground state, at which point the model is not expected to produce reliable results. Should non-adiabatic effects become important, the BO approximation could potentially break down as well. In these cases the *FCF Module* (page 167) may provide a more suitable alternative to the VG-FC model.

#### See also:

Tutorials: Resonance Raman, Vibrationally resolved electronic spectra with ADF, Vibrationally resolved electronic spectra with DFTB

## 7.2.1 Theory

Here we will introduce the theory behind the model used to compute vibronic couplings. We use the so-called Vertical Gradient Franck-Condon (VG-FC) method, also called Independent Mode Displaced Harmonic Oscillator model (IMDHO). The VG-FC model as used here works as follows: The PES in the gound state is assumed parabolic (the harmonic approximation). Upon excitation, we assume that the only change to the PES that occurs is a shift in origin (i.e. no stretching or rotation of the parabolic well). This means, both the normal modes and their frequencies remain the same in both the ground and excited state. The result is that a spectrum can be calculated using only a single excited state gradient at the optimized ground state geometry. Hence we avoid the expensive excited state geometry optimization and frequency analysis used by methods such as the *FCF Module* (page 167).

Moving to a slightly more detailed discussion, we can start with an expression for the absorption cross-section in terms of a sum over contributions from different Franck-Condon factors, each homogeneously broadened with an assumed Lorentzian of linewidth  $\Gamma$ . For excitation from the vibrational ground state of electronic state m, to electronic state n we get the following expression (Hartree atomic units are used throughout):

$$\sigma_{n \leftarrow m}(\omega) = \frac{4\pi\omega}{3c} |\mu_{mn}|^2 \sum_{l_{n,1}} \dots \sum_{l_{n,N_{modes}}} \frac{\Gamma \prod_{i=1}^{N_{modes}} |\langle l_{n,i}| 0_{m,i} \rangle|^2}{\left(E_{n,0} + \sum_{i}^{N_{modes}} \omega_i l_{n,i} - E_{m,0} - \omega\right)^2 + \Gamma^2}$$

Here the  $\mu_{mn}$  is the transition dipole moment and the  $\prod_{i=1}^{N_{modes}} |\langle l_{n,i} | 0_{m,i} \rangle|^2$  denote the Franck-Condon factors for excitation from the vibrational ground state to the vibrationally excited state of the excited state PES, with quantum

numbers  $l_{n,i}$ . This sum-over-states formulation is what is also used to compute the spectrum in the *FCF Module* (page 167). While efficient pre-screening and thresholding techniques can make this method applicable to moderately sized molecules, it is still quite expensive for large molecules. We can derive an expression which is equivalent to the one above, but which enjoys significant computational benefits. This is done by writing the cross-section as an integral over time:

$$\sigma_{n \leftarrow m}(\omega) = \frac{4\pi\omega}{3c} |\mu_{mn}|^2 Re \int_0^\infty \langle i|i_n(t)\rangle e^{i[\omega + E_{m,0}]t} \cdot e^{-\Gamma t} dt$$

Here,  $\langle i|i_n(t)\rangle$  denotes the overlap of the initial state, propagated along the excited state PES with itself at time t = 0. This expression is still completely general, however, using the *assumptions of the VG-FC model* (page 166), this overlap admits a simple closed form expression:

$$\langle i|i_n(t)\rangle = \prod_{j=1}^{N_{modes}} \exp\left[-\frac{\Delta_{n,j}^2}{2}(1-e^{-i\omega_j t})\right]e^{-iE_{n,0}t}$$

This expression can be derived by different methods and admits a few interpretations, the details of which we will not go into here. The interested reader is referred to reference<sup>1</sup>. Instead we will focus on its numerical implementation. The great computational advantage of this expression is that it scales only weakly with the number of degrees of freedom. Compare this with the sum-over-states formalism above, where the number of FCF's needed to have a converged sum proliferates for large molecules and the advantage becomes clear.

The  $\Delta_{n,j}$  appearing in the expression are the dimensionless normal mode displacements at the equilibrium geometry in state n, compared to state m along normal mode j which has angular frequency  $\omega_j$ . They represent the change in origin of the PES along a particular normal mode. These are the parameters which control the shape of the spectrum. Under the assumptions we listed above they can be calculated from the excited state energy gradient at the ground state equilibrium structure as:

$$\Delta_j = \frac{q_j^m \cdot \nabla_m E}{\omega_j^{3/2}}$$

Where we have suppressed the n subscript. The gradient is with respect to mass weighted coordinates and the  $q_j^m$  denote the mass-weighted normal modes.

Essentially, we use the (assumed) parabolic shape of the PES in the harmonic approximation along each of the normal modes to determine the displacement from the gradient projection along that mode. An important consequence of the simplified VG-FC model is that the Franck-Condon factors can be expressed in closed form:

$$\langle l_i | 0_i \rangle |^2 = \frac{\left(\frac{\Delta_i}{2}\right)^2}{l_i!} e^{-\frac{\Delta_i^2}{2}}$$

Modes with the largest displacement are then expected to also have the largest impact on the structure of the vibrational progression in the spectrum. This gives us an obvious candidate for a tracking method to be used with the Mode Tracking protocol. This approach is taken in the *Vibronic-Structure Tracking* (page 176) module.

#### **Theory: Vibronic-Structure Tracking**

Vibronic-structure tracking (VST) is a method for obtaining quick and approximate vibrationally resolved optical spectra for larger sized molecules. It is based on the mode-tracking algorithm and works by tracking those modes that are expected to have the largest impact on the vibronic-structure of the spectrum. Its AMS implementation follows that in reference<sup>1</sup>.

<sup>&</sup>lt;sup>1</sup> J.R. Reimers, K.R. Wilson and E.J. Heller, *Complex time dependent wave packet technique for thermal equilibrium systems: Electronic spectra* The Journal of Chemical Physics 79, 4749 (1983) (https://doi.org/10.1063/1.445618)

The modes that are tracked by the mode tracking routine are used to generate a UV/vis spectrum. This is done using the Vertical Gradient Franck-Condon (VG-FC) method, also called the Independent Mode Displaced Harmonic Oscillator (IMDHO) model as described on the *Vibronic-Structure* (page 174) page. This model reduces the necessary TD-DFT calculations to a single excited state gradient performed at the ground state equilibrium geometry. At this point it is typically the ground state frequency analysis which becomes the bottleneck for large molecules. Through the use of Mode Tracking we can circumvent this step and calculate only those modes which will significantly impact the vibronic fine structure. This yields a very cheap and efficient method for obtaining vibrationally resolved optical spectra.

The use of Mode Tracking within the context of vibronic-structure calculations proceeds as follows: from an initial guess for a normal mode, we construct a new basis vector which we hope will improve the quality of our initial guess. We then iteratively expand the basis by refining those modes which have the largest impact on the vibronic fine structure. We keep iterating until we deem the spectrum to be converged. We'll address the technical details of this procedure in this section.

Let us first consider how we can gauge which modes will have a large impact on our spectrum. Over on the *Vibronic-Structure* (page 174) page, we showed that according to the VG-FC model, the Franck-Condon factors can be written as:

$$|\langle l_i|0_i\rangle|^2 = \frac{\left(\frac{\Delta_i}{2}\right)^2}{l_i!}e^{-\frac{\Delta_i^2}{2}}$$

This expression implies modes with large displacements  $\Delta_j$  will have the largest Franck-Condon factors. Thus, we expect that modes with large oscillator displacements will contribute most strongly to the vibronic fine structure of the spectrum. This suggests a tracking method in addition to those described in the *Mode Tracking* (page 141) documentation. Namely, to track the mode with the largest  $\Delta_j$ . This is the only setting for the TrackingMethod supported by Vibronic-Structure Tracking and is automatically set when choosing Vibronic-Structure Tracking as the Vibrational Analysis type. As a reminder, the oscillator displacements can be obtained from the assumed parabolic shape of the excited state PES and the known excited state gradient:

$$\Delta_j = \frac{q_j^m \cdot \nabla_m E}{\omega_j^{3/2}}$$

This expression for our normal mode displacements suggests that a reasonable guess for a hypothetical normal mode with a large effect on the spectrum is given by the normalized excited state gradient, as this maximizes the projection.

The choice of preconditioner is of course an important one. While better preconditioners such as the Jacobi-Davidson method can lead to fast and tightly directed convergence of the modes, this may not be ideal in the case of Vibronic-Structure Tracking, as we are not necessarily focused on obtaining converged modes but rather obtaining a converged spectrum. By default the method does not use any preconditioner for generating normal modes from the residual vectors to allow the procedure to more freely explore the entire space of modes. This means that the new basis vector produced on each iteration is the (normalized) residual vector of that iterations selected mode. Note that this is different from using the Davidson method with an identity matrix as guess for the Hessian. The user is still able to use any of the pre-conditioning options provided by the stand-alone mode tracking method, however we suggest the user uses the default I (for identity) as the UpdateMethod. This also conveniently means no approximate Hessian is required.

It is important to choose a suitable convergence criterion. When using Vibronic-Structure Tracking, the aim is to get an approximation of the progression in the spectrum. The convergence of the modes then takes a backseat to the convergence of the spectrum. Although the two usually go hand in hand, requiring that all modes be converged in the usual mode-tracking sense is likely to be far too restrictive. Thus the convergence criterion used for VST is the following: at every iteration, the previous iteration's spectrum is subtracted from the new one. The absolute difference is then integrated on the requested frequency range. Convergence is achieved once the so-obtained number drops below a pre-set convergence threshold. The result is that it may not be the case that all modes are exact normal modes yet, however further refinement will have a limited effect on the resulting spectrum, for example due to these modes having very small Franck-Condon factors.

Convergence according to this criterion is affected by the other options that the user chooses, see the section on how the adiabatic excitation energy is calculated.

#### **Theory: Vibronic-Structure Refinement**

Vibronic-Structure Refinement takes the idea of Mode Refinement, and applies it to the calculation of vibrationally resolved optical spectra. Under the assumptions of the Vibronic-Structure application, the most time-consuming step becomes the calculation of the ground state normal modes. However, we may not be interested in all normal modes that contribute to the Vibronic fine structure of a spectrum. By using Mode Refinement we can limit ourselves to the spectral region of interest, or to modes which we expect to have the largest impact on the fine structure. The details of the Mode Refinement protocol can be found on the relevant *documentation page* (page 138).

### Theory: Adiabatic excitation energy

The last aspect we will comment on here is the calculation of the adiabatic excitation energy. The number we get from an excited state calculation is the vertical excitation energy ( $\Delta E_{vert}$ ), this number is generally unobservable in spectra. The more interesting quantity is the adiabatic excitation energy ( $\Delta E_{ad}$ ). The former is the difference in energy between the two PES'es at the ground state geometry, the latter is the difference in energy between the ground and excited state vibrational ground states. Since we assumed both PES'es to have the same frequencies, the zeropoint energy of the two states are the same and hence, the adiabatic energy difference is the same as the 0-0 energy difference ( $\Delta E_{0-0}$ ). This is simply the difference between the bottom of the two PES'es. It can be reconstructed from the excited state gradients projected onto our normal modes, as well as their frequencies. For a molecule with N modes we obtain:

$$\Delta E_{ad} = \Delta E_{vert} - \sum_{j}^{N_{modes}} \frac{1}{2} \omega_j \Delta_j^2$$

Where the sum represents the reorganization energy stored in the excited oscillator modes. Depending on the desired information, one can use two different representations of the spectrum. The first is to simply plot the spectrum against an energy range with the ground state energy as an offset. This would represent a true absorption spectrum that can be compared directly with experiment. However, the energy difference  $\Delta E_{vert} - \Delta E_{ad}$  in the VG-FC model is reconstructed only from the ground state equilibrium structure and can thus be somewhat unreliable. If the user is primarily interested in the shape of the spectrum, using the 0-0 excitation energy as an offset may be a more suitable choice. The latter is the default setting in AMS, but the method supports both options.

Note that in case of a Vibronic-structure tracking (VST) calculation we only have access to a small set of approximate normal modes, we will have an approximation of  $\Delta E_{ad}$  that changes from iteration to iteration as new normal modes are introduced and the old normal modes are refined. If a spectrum relative of the 0-0 energy is requested, the shift caused by the iterative convergence of  $\Delta E_{ad}$  is not taken into account, although it will still have some effect on the spectrum as it also appears in the integral that defines the spectrum. If one is interested in a converged approximation of  $\Delta E_{0-0}$  it may be more reliable to provide an absolute frequency range for the spectrum as the shift in the peak locations will then also affect convergence.

## 7.2.2 Input: Vibronic-Structure all modes

As mentioned, we need both a set of ground state normal modes as well as an excited state gradient. All the normal modes are calculated using the AMS engine that was selected, or, in case the user has a pre-calculated set of normal modes, these can be read from a .rkf file using the ModeFile key in the NormalModes sub-block. In this latter case, the engine is not used. One can then use the keys in the ModeSelect block to filter out specific modes, or, simply select all modes using the Full key in this block. For further details on how to use the Mode Select block for more specialized selection options, see the *Mode Select* (page 149) documentation. As for the excited state information, this is passed to the application via the ExcitationSettings block. Additionally there are (optional) settings related to the appearance of the spectrum under the AbsorptionSpectrum block. For an overview of the input options see the list of keys at the end of this page.

For completeness we provide an example of what the user input may look like:

```
Task VibrationalAnalysis
VibrationalAnalysis
  Type VibronicStructure
   NormalModes
     # Select all modes present in the .rkf file
    ModeSelect
      Full True
     End
   End
   ExcitationSettings
     ExcitationInfo File
     ExcitationFile ./your_excitation.t21
     Singlet
      B1.u 2
     End
   End
   AbsorptionSpectrum
     LineWidth 100.0
     AbsorptionRange -500.0 4000.0
   End
End
```

## 7.2.3 Input: Vibronic-Structure Tracking

VST is notably the only Vibrational Analysis task that does not support the NormalModes block as no initial normal modes are necessary. The excited state gradient fills this role instead. Furthermore, the recommended default of using the identity matrix as a preconditioner means that no approximate Hessian is required either.

One thing to note regarding the Mode Tracking settings is that VST features a convergence criterion not present in standard Mode Tracking. The tolerance for the convergence of the spectrum is set using the ToleranceForSpectrum keyword in the ModeTracking block. The default is set to 0.01 and should be sufficient for most purposes, but *restarting* (page 180) with a lower value may improve the reliability of the convergence.

**Note:** The usual Mode Tracking tolerances are still present in addition to the spectral tolerance mentioned above. This is so we do not track modes that have already converged. Instead, once a mode is converged in the usual mode tracking sense, we switch to the next mode that satisfies our tracking criterion. The defaults should normally apply, but may be loosened a bit to allow for more free subspace exploration.

A typical Vibronic-Structure Tracking run may be setup as follows:

```
Task VibrationalAnalysis
VibrationalAnalysis
Type VibronicStructureTracking
# Select our excited state energy+gradient from a previous calculation
ExcitationSettings
ExcitationInfo File
ExcitationFile ./your_excitation.t21
End
# Tuning how our spectrum will look
AbsorptionSpectrum
LineWidth 250.0
AbsorptionRange -500.0 6000.0
End
ModeTracking
```

(continues on next page)

```
UpdateMethod I
End
End
```

## **Input: Restarting VST**

The Vibronic-Structure Tracking task is fully restartable from a previously completed VST run. The main reason why it may be useful to perform a restart is related to the way the spectrum is generated. Most modules/applications in AMS that calculate a spectrum usually produce a stick spectrum. This stick spectrum is then convoluted against either a gaussian or lorentzian of specified width or area. This allows one to tweak the homogeneous broadening post-calculation to improve agreement with experiments. VST operates differently, in that the Lorentzian linewidth is specified at the start of the calculation as an essential input parameter. If upon convergence it becomes clear that a different linewidth would have been better suited for the spectrum using these new settings and repeats the last iteration of the previous run. The latter is done because the linewidth directly influences the convergence (larger linewidths tend to converge more easily as the features are not as sharply resolved). If the spectrum does not change after the first iteration does not pass the convergence test, the program keeps iterating until the spectrum converges for this new linewidth.

In addition to changing the linewidth post-calculation, the user is also free to change the spectral range that is to be computed as well as its resolution through the FrequencyGridPoints keywords. Alternatively, the tolerance used may be lowered if tighter convergence is required. Importantly however, none of the previous run's input settings are retrieved upon restart, so any settings the user doesn't want to change have to be same in the new run script as in the old. The easiest way to restart is to simply re-use the previous run's runscript under a new name and then changing the relevant settings.

The restart functionality is controlled by the RestartPath keyword. One simply states the path to a previous calculation's .rkf file from which the relevant data is to be read. The .rkf file of any VST runs contains a section labeled VSTRestart which contains the data needed to restart from that run. Restarting is then a simple one-liner in the VibrationalAnalysis block:

VSTRestartFile ./previous\_run.results/ams.rkf

## 7.2.4 Input: Vibronic-Structure Refinement

Vibronic-Structure Refinement (VSR) assumes that the modes relevant to the vibronic-structure are, at least in an approximate sense, known a priori. The VSR method takes these approximate modes and refines them via the Mode Refinement algorithm. The approximate normal modes should thereby span a subspace containing the exact normal modes and, the more similar they are to the exact mode, the better they allow to reproduce the spectrum of a full ground state frequency analysis. Naturally, the refinement becomes more accurate with increasing dimensionality of the spanned subspace, although this this increases the computational costs. In any case, the subspace needs to contain those modes that are most relevant for the vibronic progression for the entire approach to work, which leads to the question, how do we select such modes?

This is done using familiar ModeSelect block from the mode selective analysis tasks tasks. While all the usual options are available, for which further details can be found on the *Vibrational Analysis* (page 149) page, there are two selection methods that are geared specifically towards VSR. Within the VG-FC model, the parameters defining the effect a mode will have on the spectrum are the oscillator displacements  $\Delta_j$ . We can determine these values from the projection of the approximate normal modes onto the gradient in combination with their respective frequencies. We are then interested in those modes with the largest displacement. To select these, two options are available:

```
Task VibrationalAnalysis
VibrationalAnalysis
Type VibronicStructureRefinement
NormalModes
ModeSelect
LargestDisplacement integer
DisplacementBound float
...
End
...
End
...
End
```

- LargestDisplacement integer sets an integer value N, to select the N modes with the largest displacements as calculated within the VG-FC model. This method limits the total number of modes we refine and thus places an upper bound on the computational cost required by the method, but it does not ensure that all relevant modes have been selected. In particular there may still be modes with large displacements that have been omitted and as such peaks or progressions may be missing from the spectrum.
- **DisplacementBound float** Alernatively this selects all approximate modes with a displacement greater than the supplied lower bound. An appropriate choice for this value ensures all modes which visibly affect the spectrum (at least at the lower level of theory used to produce the approximate modes) are included in the subspace basis used for Mode Refinement. The displacements  $\Delta_j$  are dimensionless and the largest ones will typically be of order one. Based on this, a value of 0.01 for this parameter will generally select all relevant modes. This of course comes at the trade-off that for general molecules it is not known how many such modes there will be and the computational cost may be larger than expected. But, if one is not discouraged by this, this will of course yield the most accurate results. Values up to 0.05 may still provide reasonable results while minimizing computation times. Using larger linewidths (AbsorptionSpectrum%LineWidth) for the homogeneous broadening can help to correct for the non-resolved modes.

The calculation setup for Vibronic-Structure Refinement is essentially the same as that for Vibronic-Structure, the only difference being that the modes that we provide will first be refined, before a spectrum is computed. A detailed description is given on the *Vibronic-Structure* (page 174) page. A typical VSR calculation can be setup as follows:

```
Task VibrationalAnalysis
VibrationalAnalysis
  Type VibronicStructureRefinement
   # Select our normal modes from a previous calculation
  NormalModes
    ModeFile ams.rkf
    ModeSelect
       # select all modes with VG-FC displacements over 0.01
       DisplacementBound 0.01
    End
  End
  # Select our excited state energy+gradient from a previous calculation
  ExcitationSettings
    ExcitationInfo File
    ExcitationFile ./your_excitation.t21
    # Select second singlet excitation with symmetry label A
    Singlet
      A 2
    End
  End
  # Tuning how our spectrum will look
  AbsorptionSpectrum
```

(continues on next page)

```
LineWidth 250.0
     AbsorptionRange -500.0 6000.0
   End
End
```

## 7.2.5 Input: Excited State

Both the excited state gradient at the ground state equilibrium structure as well as the vertical excitation energy are necessary to obtain a spectrum. These can be provided by most TD-DFT programs, including ADF. Unfortunately, the AMS driver does not yet support computing these properties on the fly. As a result they are currently required as user input. One can use ADF or DFTB to compute them and then either read them from the produced output file, or copy and paste them to an inline input block.

```
Task VibrationalAnalysis
VibrationalAnalysis
   Type ...
   ExcitationSettings
      ExcitationInputFormat [File | Inline]
      ExcitationFile string
      EnergyInline float
      GradientInline # Non-standard block. See details.
      End
      Singlet # Non-standard block. See details.
      End
      Triplet # Non-standard block. See details.
      End
   End
End
```

- **ExcitationInputFormat** [File | Inline] this keyword is used to specify whether the gradient and energy are to be read from a kf file or if one chooses to use the inline block for them. Currently, no TD-DFT engine is implemented in the AMS driver. Furthermore, ADF uses the old tape21 format for its output which is quite different from the more streamlined AMS format. The inline option allows one to bypass any possible confusion here. The File option requires the specification of the specific excitation to read, which we will discuss below.
- GradientInline is the block where the gradient can be specified if the inline option is selected. The format can be either a N by 3 block (N rows, 3 columns) or a 3N long column vector if one happens to have a gradient in this format. The gradient values should be in the unit Hartree/Bohr.
- **EnergyInline float** is used to specify the excitation energy. This will just be a single floating point number (in Hartree).

The resulting input would look like:

```
Task VibrationalAnalysis
VibrationalAnalysis
   Туре ...
   . . .
   ExcitationSettings
     ExcitationInfo Inline
     # Excited state gradient for transhexatriene 14 atoms x 3 coordinates (to be.
  provided in Hartree/Bohr)
```

	GradientInline			
	-0.03786125	0.01786798	0.00003833	
	0.05322148	-0.00798712	-0.00004152	
	-0.06658803	0.01373495	0.00004727	
	0.06656379	-0.01374825	0.00002398	
	-0.05318451	0.00799875	-0.00002097	
	0.03783718	-0.01786722	0.00001362	
	0.00382226	0.00327391	0.00001046	
	-0.00046176	-0.00499971	-0.00003583	
	0.00014312	0.00534412	-0.00001335	
	0.00011081	-0.00558254	-0.00002107	
	-0.00011074	0.00558350	-0.00000933	
	-0.00014517	-0.00534330	0.0000839	
	-0.00381513	-0.00327314	-0.0000248	
	0.00046796	0.00499807	0.0000250	
	End			
	<pre># The vertical</pre>	excitation energy i	s simply a float	(to be provided in Hartree)
	EnergyInline 0	.17062882		
En	ıd			
End				

- **ExcitationFile string** If ExcitationInputFormat is set to File one also has to set the ExcitationFile keyword to provide a path to the file from which the info should be read.
- **Singlet or Triplet** In case the excited state information should be read directly from a file, one should specify which excitation AMS should read. This is done analogously to how this is done for excited state gradients in ADF. One chooses either the Singlet or Triplet blocks (for singlet-singlet or singlet-triplet excitations respectively). Once such a block is chosen, a line containing the symmetry label, followed by the number of the excitation of that symmetry. As for the symmetry labels, the notational convention used can be found in the ADF manual appendix on symmetry labels. If symmetry was disabled, either explicitly by the user or when using TD-DFTB which does not support symmetry, when calculating the excited state properties, the symmetry label A should be used. Vibronic-structure calculations are only supported for one excitation at a time.

The resulting input block looks something like this:

```
ExcitationSettings
ExcitationInputFormat File
ExcitationFile ./ExcitedState.results/myengine.rkf
Singlet
A 1
End
End
```

## 7.2.6 Input: Producing the spectrum

To go from normal modes to an actual spectrum, we have to solve the integral that we introduced in the first section, the details of which will be discussed here.

For long times, the integrand is dominated by the exponential damping term. We can define a suitable cut-off by demanding that this damping term be smaller than some threshold. As mentioned, the integral is evaluated using a Chebyshev quadrature. The number of Chebyshev nodes used for the integral can then be determined from this integration limit and the time step size. This time step size should be large enough to accurately represent the highest frequencies that are present in the highly oscillatory integrand. The Nyquist frequency can be used as a guide here: the highest frequency should be represented by at least two samples each period. Note however, that in principle there is no upper bound to the frequencies present in the integrand due to the fact that modes can be excited to arbitrarily high

energy levels. Fortunately, the Franck-Condon factors will quickly act to dampen these high frequencies, restricting their significance. The user may either supply a time step size directly or leave this up to the program to determine. If the latter is chosen the highest relevant frequency is estimated by assuming that oscillator excitations are relevant up to about twice the Huang-Rhys parameter (which follow directly from the displacements). This default should in most cases be sufficient to produce a converged integral.

The keywords associated with the different input parameters are collected under the AbsorptionSpectrum block. It contains the following keys:

```
Task VibrationalAnalysis
VibrationalAnalysis
Type ...
AbsorptionSpectrum
AbsorptionRange float_list
FrequencyGridPoints integer
LineWidth float
SpectrumOffset [absolute | relative]
End
End
```

- **LineWidth float** sets the lorentzian line width and thus has a large impact on the appearance of the final spectrum. It may be used to improve the agreement of the computed spectrum with experiment. Another purpose that the linewidth may serve is that some low frequency modes may not be represented very accurately within the VG-FC model. However, these modes do not result in particularly distinct vibronic progressions but rather cause an unspecific broadening of existing peaks. Applying a homogeneous peak broadening by increasing the value of  $\Gamma$  may be an effective (albeit a little ad hoc) way of resolving such issues, should they occur. The default value is  $200 cm^{-1}$  but it is recommended to do some experimentation with this value.
- **AbsorptionRange float\_list** keyword specifies the frequency range (in  $cm^{-1}$ ) that is to be computed by VST.
- **SpectrumOffset** [absolute | relative] specifies whether the range provided by the AbsorptionRange keyword is relative to the 0-0 excitation energy (Relative), or relative to the vertical excitation energy (Absolute). Due to the limited accuracy of the VG-FC model at predicting  $\Delta E_{0-0}$  the default is Relative with a AbsorptionRange of [-500,4000].
- **FrequencyGridPoints integer** sets the number of points we use on our frequency grid. It is set to 400 by default which generally produces a smooth looking spectrum.

The current implementation of VG-FC vibronic-structure supports only spectra for one excitation at a time. The spectrum is normalized such that the highest peak is equal to 1 in arbitrary units. For this reason the prefactor of the integral is irrelevant and transition dipole moments do not affect the appearance of the spectrum.

CHAPTER

EIGHT

# **DIPOLE MOMENT, POLARIZABILITY, BOND ORDERS**

This page in the AMS manual describes the calculation of the dipole moment, the polarizability, and bond orders.

```
Properties
DipoleMoment Yes/No
Polarizability Yes/No
BondOrders Yes/No
End
```

Note that because these properties are tied to a particular point on the potential energy surface, they are found on the *engine output files* (page 15). Note also that the properties are not always calculated in every PES point that the AMS driver visits during a calculation. By default they are only calculated in *special* PES points, where the definition of special depends on the *task* (page 39) AMS is performing: For a *geometry optimization* (page 41) properties would for example only be calculated at the final, converged geometry. This behavior can often be modified by keywords special to the particular running task.

# 8.1 Charges, Dipole Moment, Polarizability

```
Properties
Charges Yes/No
DipoleMoment Yes/No
DipoleGradients Yes/No
Polarizability Yes/No
End
```

Properties

#### Charges

Type Bool

Default value No

Description Requests the engine to calculate the atomic charges.

DipoleMoment

Type Bool

Default value No

**Description** Requests the engine to calculate the electric dipole moment of the molecule. This can only be requested for non-periodic systems.

#### DipoleGradients

Type Bool

Default value No

**Description** Requests the engine to calculate the nuclear gradients of the electric dipole moment of the molecule. This can only be requested for non-periodic systems.

Polarizability

Type Bool

Default value No

Description Requests the engine to calculate the polarizability tensor of the system.

## 8.2 Bond orders & Molecule detection

Many engines can determine bond orders between atoms. For engines based on force fields, these might just be the bond orders used internally by the force field, while for quantum mechanical engines the bond orders are usually determined by analyzing the results of the quantum mechanical calculation, e.g. the electronic density. We refer users to the manuals of the respective engine for details.

```
Properties
BondOrders Yes/No
End
```

#### Properties

BondOrders

Type Bool

Default value No

**Description** Requests the engine to calculate bond orders. For MM engines these might just be the defined bond orders that go into the force-field, while for QM engines, this might trigger a bond order analysis based on the electronic structure.

Based on the bond orders, the AMS driver can analyze the atomic connectivity graph in order to determine which sets of atoms together constitute molecules. This allows for example to monitor the changes in molecular composition during a reactive molecular dynamics calculation. For *molecular dynamics* (page 75) calculations this option is enabled by default. For other *tasks* (page 39) the molecular analysis can explicitly be requested in the Properties block.

```
Properties
Molecules Yes/No
End
```

#### Properties

Molecules

Type Bool

Default value No

**Description** Requests an analysis of the molecular components of a system, based on the bond orders calculated by the engine.

Details of the molecule detection are configured in a dedicated block:

```
Molecules
AdsorptionSupportRegion string
BondOrderCutoff float
End
```

### Molecules

## Type Block

**Description** Configures details of the molecular composition analysis enabled by the Properties%Molecules block.

### AdsorptionSupportRegion

Type String

GUI name Adsorption support region

**Description** Select region that will represent a support for adsorption analysis. Adsorbed molecules will receive an '(ads)' suffix after name of the element bonded to the support. Such elements will be listed separate from atoms of the same element not bonded to the support, for example, HOH(ads) for a water molecule bonded to a surface via one of its H atoms.

### BondOrderCutoff

Type Float

Default value 0.5

**Description** Bond order cutoff for analysis of the molecular composition. Bonds with bond order smaller than this value are neglected when determining the molecular composition.

## CHAPTER

## NINE

# ENGINES

The engines are the core of the Amsterdam Modeling Suite: While the AMS driver steers the calculation over the potential energy surface in e.g. a *geometry optimization* (page 41) or *molecular dynamics* (page 75) calculation, the engines calculate energies and gradients and in this way *define* the PES on which the driver works.

Engine header

### Engine

Type Block

**Description** The input for the computational engine. The header of the block determines the type of the engine.

The engine used for an AMS calculation is selected and configured with the special Engine block in the AMS input:

```
Engine DFTB
   ... input for the DFTB engine ...
EndEngine
```

Here the type of engine, e.g. DFTB as in the example above, is specified on the line that opens the block. Note that the Engine block ends with EndEngine, and is in this way different from all the other blocks in the AMS input, which close just with End. The content of the engine block is what we call the "engine input". Generally the engine input consists of a series of blocks and keywords, and looks just like the AMS driver input. However, many engines have a lot of options and keywords, which are documented in a separate engine manual. In other words: This AMS driver manual documents all the keywords outside of the Engine block, while the individual engine manuals document the contents of the engine block.

# 9.1 Available engines

The following engines are available in the 2020 release of the Amsterdam Modeling Suite:

- ADF A DFT engine particularly strong in understanding and predicting structure, reactivity, and spectra of molecules.
- **BAND** An atomic-orbital based DFT engine aimed at periodic systems (crystals, slabs, chains) but supporting also molecular systems.
- **DFTB** An engine implementing Density Functional based Tight-Binding, a fast approximation to DFT.
- **ReaxFF** An engine for modeling chemical reactions with atomistic potentials based on the reactive force field approach.
- MLPotential Machine learning potentials (machine learning force fields).

- **ForceField** An engine implementing classical non-reactive force fields such as UFF (a non-reactive force field covering the entire periodic table).
- Hybrid Hybrid engine, for embedding and QM/MM calculations that combine multiple engines.
- **MOPAC** An engine wrapping the MOPAC code, a general-purpose semiempirical molecular orbital package for the study of solid state and molecular structures and reactions.
- *External* (page 191) A flexible scripting interface that allows advanced users to use external modeling programs as engines in AMS.
- Lennard Jones (page 195) A simple toy engine implementing a Lennard-Jones potential.

# 9.2 Summary of engine capabilities

Some options/properties can only be computed in combination with some of the engines (i.e., not all engines support all features). These tables summarize the capabilities of the engines of the Amsterdam Modeling Suite:

Feature	ADF	BAND	DFTB	ReaxFF	MLPot.	ForceField	MOPAC
All elements available	$\checkmark$	$\checkmark$	$\checkmark^1$			$\checkmark^2$	$\checkmark$
Non-periodic systems (page 27)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark^3$	$\checkmark$	$\checkmark$
1D periodic systems (page 27)		$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$
2D periodic systems (page 27)		$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$
3D periodic systems (page 27)		$\checkmark$	$\checkmark$	$\checkmark$	$\sqrt{3}$	$\checkmark$	$\checkmark$
Charged molecular systems	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$
External electric field	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$
External point charges	$\checkmark$	$\checkmark$	$\checkmark$				

Table 9.1: Engine support for AMS driver options

<sup>1</sup> All elements are available for the GFN1-xTB model, but other DFTB parameter sets are not parametrized for all elements.

<sup>2</sup> All elements are available with UFF (universal force field), but other force fields are not parametrized for all elements.

<sup>3</sup> MLPotential backends may support non-periodic and/or 3D-periodic calculations.

Feature	ADF	BAND	DFTB	ReaxFF	MLPot.	Force- Field	MOPAC
Atomic charges (page 185)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$
Bond orders (page 186)	$\checkmark$		$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$
Dipole gradients (page 185)	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$
Dipole moment (page 185)	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$
Elastic tensor (page 126)		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Gradients / Forces (page 121)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Hessian (page 122)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Infrared spectra (page 131)	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$
Molecule detection	$\checkmark$		$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$
Normal modes (page 131)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
PES point character (page 122)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Phonons (page 155)		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Polarizability (page 185)	$\checkmark$						
Raman (page 159)	$\checkmark$						
Stress tensor (page 125)		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Thermodynamic properties	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
(page 151)							
<i>VCD</i> (page 165)	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$
VROA (page 163)	$\checkmark$						

Table 9.2: Engine support for AMS driver properties

**Note:** The features/options in the following tables are **engine-specific**, and are described in the corresponding engine's manual. The input for these options should be specified in the *Engine block* (page 7) section of the input file.

Tueste vier Engine Speenie eupuenides									
Feature	ADF	BAND	DFTB	ReaxFF	MLPot.	ForceField	MOPAC		
EFG	✓ (doc)	✓ (doc)							
Electronic transport	✓ (doc)	✓ (doc)	✓ (doc)						
Energy decomposition analysis	✓ (doc)	✓ (doc)							
ESR/EPR	✓ (doc)								
Hyperpolarizabilities	✓ (doc)								
NMR	✓ (doc)								
Orbital energies	$\checkmark$	$\checkmark$	$\checkmark$				$\checkmark$		
рКа							✓ (doc)		
Solvation models	✓ (doc)	✓ (doc)	✓ (doc)				✓ (doc)		
Spin-polarization	✓ (doc)	✓ (doc)	✓ (doc)				✓ (doc)		
UV-VIS optical spectra	✓ (doc)		✓ (doc)						

Table 9.3: Engine-specific capabilities

Table 9.4:	Engine	-specific	capabilities	for	periodic	systems
------------	--------	-----------	--------------	-----	----------	---------

Feature	ADF	BAND	DFTB	ReaxFF	MLPot.	ForceField	MOPAC
Density of states (DOS)		✓ (doc)	✓ (doc)				
Dielectric function		✓ (doc)					
Effective mass		✓ (doc)	✓ (doc)				
Electronic band structures		✓ (doc)	√ (doc)				
k-space sampling		✓ (doc)	✓ (doc)				
X-ray form factors		✓ (doc)					

# 9.3 External programs as engines

The AMS driver allows running external programs as an engine. In this way users can combine the functionality in the AMS driver (tasks and PES point properties) with the energies and gradients of any molecular modeling program they have access to. For example, the graphical user interface supports setting up VASP as an external engine to the AMS driver.

In general, the interfacing between the AMS driver and the external program has to be done by the user in form of a small script, which allows users to hook up any external program without access to the source code of AMS. The graphical user interface of the Amsterdam Modeling Suite can then be used to analyze the results of these calculations.

An external engine is specified with Engine External. The keyword Execute is used to specify the command that is run to execute the external program:

```
Engine External
    Execute /path/to/my_interface_script.sh
EndEngine
```

The command can in principle be anything, as it will just be executed as is by the system shell. However, it should not use relative paths (e.g. to files in the directory where the input file is). We recommend writing the interfacing script in Python and using the Python interpreter that ships with AMS:

```
Engine External
    Execute "$AMSBIN"/amspython /path/to/my_python_interface_script.py
EndEngine
```

AMS then starts running and for every geometry prepares a folder in which the external engine is supposed to run. This is the folder in which the interface script specified with the Execute key is executed (so any relative paths are relative to that folder). AMS puts two files into this folder:

system.xyz request.json

The system.xyz just contains the geometry AMS wants the external engine to calculate. It is an *extended format XYZ file* (page 285) with the VEC1, VEC2, VEC3 extension at the end for periodic systems, e.g. diamond would look like this:

2			
С	-0.51292147	-0.51292147	-0.51292147
С	0.51292147	0.51292147	0.51292147
VEC1	0.0000000	2.05168587	2.05168587
VEC2	2.05168587	-0.00000000	2.05168587
VEC3	2.05168587	2.05168587	0.0000000

The request.json file is just a small JSON file that specifies what exactly AMS wants the external engine to calculate:

```
"title": "GOStep28",
"quiet": false,
"gradients": true,
"stressTensor": false,
"hessian": true,
"dipoleMoment": false,
"properties": true,
```

(continues on next page)

"prevResults": "GOStep27"

The job of the interfacing script is now to read these files, run the external program and convert its output into a format understood by AMS. Generally these are simple text files with the name of the property and the extension .txt. The bare minimum the interfacing script needs to produce is the file energy.txt containing a single number, i.e. the total energy in atomic units (Hartree). Other properties are optional, and it is easiest to go through the request.json entries one by one to see what AMS might request and what the interfacing script could produce in response.

- title Just a title for this particular engine run. It can be passed on to the external program if desired, or can just be ignored.
- **quiet** Whether AMS wants the external engine to write to standard output. This can be ignored in principle, but that might lead to really incomprehensible text output files of AMS if the external engine has to be called many times, e.g. for numerical derivatives.
- gradients Whether or not to calculate nuclear gradients. The interface script should put the gradients in a file called gradients.txt with nAtoms lines of 3 real numbers each, in atomic units, i.e. Hartree/Bohr. Note that AMS wants the gradients, not forces (beware the sign!).
- **stressTensor** Whether to calculate the stressTensor for periodic systems. Should be written to stresstensor.txt in atomic units.
- **hessian** Whether to calculate the Hessian, that is just the second derivative of the energy with respect to the nuclear coordinates, *without* applying any mass weighing to it. If the Hessian has been calculated, it should be put in hessian.txt as a 3 nAtoms x 3 nAtoms matrix in atomic units.
- **dipoleMoment** If true, calculate the dipole moment and put it in dipolemoment.txt in atomic units, in one line with three numbers.
- **properties** This is set to true if AMS considers this "geometry" important and wants the engine to calculate further properties that the user might be interested in. In practice this is set to "true" for e.g. the final converged step in a geometry optimization, so that the user can then let the engine calculate e.g. the band structure, which one would not want to do at all the steps *during* the optimization. AMS can't do anything with the properties that the engine might calculate, but the files will remain on disk for people to inspect them.
- prevResults This is the title of a previous similar calculation that the engine has already performed. These results can be accessed in ../\$prevResults/, so for the example above GOStep28 can access the results from the previous step in the geometry optimization in ../GOStep27/. This is just the directory in which the interfacing script was run when the title field was set to GOStep27, so files that were written back then are still accessible. They can in principle be used to restart for example the SCF of the engine from step to step. Of course all of that has to be done by the interfacing script. The AMS driver does not know anything about how to restart the external program and can only point the interfacing script to the right location.

That is really all there is to the external engine: AMS prepares a folder with system.xyz and request.json and runs the user's interfacing script in there, which has to take care of preparing the input for the external engine, running it, and putting the results in the text files that AMS expects, e.g. gradients.txt.

Note for properties that are in one way or another derivatives of the energy, it is generally ok if the external engine does not calculate what was requested by the AMS driver in request.json. If AMS requests, for example, the gradients from the external engine, but then does not find the gradients.txt in the directory after the interfacing script has run, it will just assume that the engine was not capable of calculating the gradient analytically. AMS will then just do the gradient numerically by rerunning the external engine for displaced geometries, reading only the energy from energy.txt. In this sense it is only absolutely required for the external engine do as much as possible, especially if it implements analytical derivatives. Note that currently AMS can not calculate the Hessian numerically for engines that do not provide gradients. This is just a technical limitation, as it is of course possible to do a second derivative numerically, but it is just not implemented in AMS yet. (And it would also be a very slow way to calculate a Hessian.)

In addition to the Execute keyword that specifies the interfacing script, it is also possible to use the ExecuteAtEnd keyword to specify another command to be run at the end of the calculation, for example after the last step of a geometry optimization or molecular dynamics simulation. This command is run in the results directory.

Moreover, the Engine External block can also contain some information about the capabilities of the external engines:

```
Engine External
Execute {...}
ExecuteAtEnd {...}
Supports
DipoleMoment {true|false}
PeriodicityNone {true|false}
PeriodicityChain {true|false}
PeriodicitySlab {true|false}
PeriodicityBulk {true|false}
End
EndEngine
```

The normal engines that come with AMS (e.g. DFTB and BAND) produce the engine output files with extension .rkf in the results directory, see *here* (page 15). These files are also produced when an external engine is used and the information on them (anything related to the shape of the PES at that point, e.g. normal modes, phonons, ...) can be visualized normally with the graphical interface. In addition to each engine output .rkf file, external engines will also produce a correspondingly named folder per engine file, which is just the working directory of the interfacing script for that particular invocation of the external program. These folders just contain the full output of the external program and anything that the interfacing script might have produced. In this way users still have access to all results from the external program, even if these results were not communicated back to the AMS driver.

This last point is probably best illustrated with a simple example. Consider the following job that uses an external engine to do a linear transit calculation of ethane, going from the staggered to the eclipsed configuration, calculating normal modes at all converged points along the path:

```
AMS JOBNAME=ethane torsion $AMSBIN/ams << EOF
Task PESScan
System
  Atoms
                      0.00000000
          0.0000000
                                         0.76576000
     С
     С
           0.00000000
                         0.0000000
                                         -0.76576000
          -0.88668938
                         0.51193036
                                         1.16677000
     Н
           0.88668938
                         0.51193036
     Н
                                         1.16677000
     Н
           0.0000000
                        -1.02386071
                                         1.16677000
                        1.023000
           0.0000000
     Н
                                         -1.16677000
     Н
           -0.88668938
                                         -1.16677000
           0.88668938
                        -0.51193036
                                         -1.16677000
     Н
  End
End
PESScan
  CalcPropertiesAtPESPoints True
  ScanCoordinate
     nPoints 5
     Dihedral 3 1 2 6 60.0 0.0
  End
End
Properties
```

(continues on next page)

```
NormalModes True
End
Engine External
...
EndEngine
EOF
```

If we run this job and look into the results folder, we will find the standard ams.log and ams.rkf as well as the usual engine result files PESPoint(1).rkf to PESPoint(5).rkf. Just as if we had used one of the native AMS engines, like DFTB. Each of these files can be opened in AMSspectra to visualize the normal modes for this particular point. For an external engine we additionally have one folder per engine file, so for this example we would have PESPoint(1)/ to PESPoint(5)/. These are the folders in which the interfacing script ran for these particular points, so they contain all the native output files of the external program.

# 9.4 Toy engines

The AMS driver comes with a simple built-in toy engine that implements a Lennard-Jones potential. This can sometimes be useful for testing, as many properties of the Lennard-Jones gas/liquid/solid can be calculated analytically and compared to the results from AMS. Note that the potential is exactly the same for all elements, i.e. the N-N bond has exactly the same strength as the He-He bond.

The Lennard-Jones engine only has three keywords, which define the shape of the potential:

```
Engine LennardJones
RMin float
Eps float
Cutoff float
EndEngine
```

#### Cutoff

Type Float

Default value 15.0

Unit Angstrom

**Description** The distance at which the interaction is truncated.

#### Eps

Type Float

**Default value** 1.0

Unit Hartree

**Description** The depth of the potential well.

#### RMin

Type Float

Default value 1.0

Unit Angstrom

Description The distance of the potential minimum.

# 9.5 Engine add-ons

Engine add-ons can be used to augment the results returned from an engine.

## 9.5.1 Dispersion corrections

For engines that do not natively support Grimme's D3 and D4 dispersion corrections, the D3Dispersion and D4Dispersion engine add-ons can be used to add such corrections.

```
EngineAddons
D3Dispersion
```

```
Damping [BJ | Zero]
     Enabled Yes/No
     Functional string
      al float
      a2 float
     s6 float
     s8 float
     sr6 float
   End
   D4Dispersion
      Enabled Yes/No
      Functional [...]
      Verbosity [Silent | Normal | Verbose | VeryVerbose]
      al float
      a2 float
      s6 float
      s8 float
      s9 float
   End
End
```

### EngineAddons

### D3Dispersion

### Type Block

**Description** This block configures the add-on that adds the Grimme D3 dispersion correction to the engine's energy, gradients, and stress tensor.

### Damping

Type Multiple Choice

Default value BJ

Options [BJ, Zero]

**Description** Type of damping: BJ (Becke-Johnson) or Zero. BJ is recommended for most applications.

#### Enabled

Type Bool

Default value No

Description Enables the D3 dispersion correction addon.

#### Functional

#### Type String

## Default value PBE

**Description** Use the D3 parameterization by Grimme for a given xc-functional. Accepts the same values as the –func command line option of the official dftd3 program. Note: the naming convention is different from elsewhere in the AMS suite. For example, BLYP should be called b-lyp.

#### a1

#### Type Float

**Description** The al parameter. Only used if Damping is set to BJ. If set, it overwrites the al value for the chosen functional.

#### a2

#### Type Float

**Description** The a2 parameter. Only used if Damping is set to BJ. If set, it overwrites the a2 value for the chosen functional.

### s6

#### Type Float

**Description** The s6 parameter, global scaling parameter. If set, it overwrites the s6 value for the chosen functional.

#### s8

Type Float

Description The s8 parameter. If set, it overwrites the s8 value for the chosen functional.

#### sr6

Type Float

**Description** The sr6 parameter. Only used if Damping is set to Zero. If set, it overwrites the sr6 value for the chosen functional.

#### D4Dispersion

Type Block

**Description** This block configures the addon that adds the Grimme D4(EEQ) dispersion correction to the engine's energy and gradients.

#### Enabled

Type Bool

Default value No

Description Enables the D4 dispersion correction addon.

#### Functional

Type Multiple Choice

#### Default value PBE

Options [HF, BLYP, BPBE, BP86, BPW, LB94, MPWLYP, MPWPW91, OLYP, OPBE, PBE, RPBE, REVPBE, PW86PBE, RPW86PBE, PW91, PW91P86, XLYP, B97, TPSS, REVTPSS, SCAN, B1LYP, B3LYP, BHLYP, B1P86, B3P86, B1PW91, B3PW91, O3LYP, REVPBE0, REVPBE38, PBE0, PWP1, PW1PW, MPW1PW91, MPW1LYP, PW6B95,

TPSSH, TPSS0, X3LYP, M06L, M06, OMEGAB97, OMEGAB97X, CAM-B3LYP, LC-BLYP, LH07TSVWN, LH07SSVWN, LH12CTSSIRPW92, LH12CTSSIFPW92, LH14TCALPBE, B2PLYP, B2GPPLYP, MPW2PLYP, PWPB95, DSDBLYP, DSDPBE, DSDPBEB95, DSDPBEP86, DSDSVWN, DODBLYP, DODPBE, DODPBEB95, DODP-BEP86, DODSVWN, PBE02, PBE0DH, B1B95, MPWB1K, REVTPSSH, GLYP, REVPBE0DH, REVTPSS0]

Description Use the D4 parameterization by Grimme for a given xc-functional.

#### Verbosity

Type Multiple Choice

Default value Silent

**Options** [Silent, Normal, Verbose, VeryVerbose]

**Description** Controls the verbosity of the dftd4 code. Equivalent to the –silent, –verbose, and –very-verbose command line switches of the official dftd4 program.

a1

#### Type Float

**Description** The a1 parameter, see D4 article. The physically reasonable range for a1 is [0.0,1.0]. If set, it overwrites the a1 value for the chosen functional.

### a2

Type Float

**Description** The a2 parameter, see D4 article. The physically reasonable range for a2 is [0.0,7.0]. If set, it overwrites the a2 value for the chosen functional.

s6

Type Float

**Description** The s6 parameter, see D4 article. The physically reasonable range for s6 is [0.0,1.0]. If set, it overwrites the s6 value for the chosen functional.

#### s8

#### Type Float

**Description** The s8 parameter, see D4 article. The physically reasonable range for s8 is [0.0,3.0]. If set, it overwrites the s8 value for the chosen functional.

#### s9

Type Float

**Description** The s9 parameter, see D4 article. If set, it overwrites the s9 value for the chosen functional.

## 9.5.2 Pressure

Pressure can be included using the following keyword (this can only be used for 3D periodic systems):

```
EngineAddons
Pressure float
End
```

#### EngineAddons

#### Pressure

Type Float
Default value 0.0
Unit GPa
Description Add a hydrostatic pressure term to the engine's energy and stress tensor. Can only be used for 3D periodic boundary conditions.

The engine's energy will include the following extra term:  $P \cdot V$ , where V is the volume of the unit cell. The engine's stress tensor will include the following extra term:  $P \cdot \mathbb{H}$ , where  $\mathbb{H}$  is the identity matrix. This can only be used for 3D periodic boundary conditions (i.e. bulk). The energy and stress tensor printed in output and written to the .rkf binary file will include these extra terms (i.e. the printed stress tensor is the sum of the internal stress and the external stress do to pressure).

When studying the effect that pressure has on the structure and properties of your system, one should generally start by *optimizing the structure* (page 41) **including the lattice vectors** under pressure. Properties such as *phonons* (page 155) or *elastic tensor* (page 126) can then be computed at the relaxed geometry. If you are investigating phase transitions under pressure (or if you simply expect some symmetry breaking) you should disable symmetry and/or perturb the initial geometry of your system.

#### See also:

Example: Periodic lattice optimization under pressure (page 221)

**Warning:** If you want to include pressure in *molecular dynamics* (page 75) calculations, you should not use this engine addon, but use the MD-specific pressure option.

## 9.5.3 Non-isotropic external stress

An **non-isotropic external stress tensor** can be included by using the following keywords:

```
EngineAddons
ExternalStress
StressTensorVoigt float_list
UpdateReferenceCell Yes/No
End
End
```

#### EngineAddons

#### ExternalStress

Type Block

**Description** This block configures the addon that adds external stress term to the engine's energy and stress tensor.

#### StressTensorVoigt

Type Float List

Unit a.u.

GUI name External stress tensor

**Description** The elements of the external stress tensor in Voigt notation. One should specify 6 numbers for 3D periodic system (order: xx,yy,zz,yz,xz,xy), 3 numbers for 2D periodic systems (order: xx,yy,xy) or 1 number for 1D periodic systems.

### UpdateReferenceCell

Type Bool

Default value No

**Description** Whether ot not the reference cell should be updated every time the system changes (see documentation).

The energy and stress tensor printed in output and written to the .rkf binary file already include the corresponding extra terms, i.e. the printed stress tensor is the sum of the internal stress and the input-specified external stress.

When studying the effect that an external stress has on the structure and properties of your system, one should generally start by *optimizing the structure* (page 41) **including the lattice vectors** under the external stress (depending on the magnitute of the applied external stress, you might have to adjust the *stress energy per atom convergence threshold* (page 42)). If your system is symmetric, you should **disable symmetry** when optimizing structures under external stress. Be aware that the geometry optimization might go completely astray (e.g. the material will break apart) if you apply a) too large shear stress or b) too large tension stress (too large negative stresses for the diagonal values).

Following this paper<sup>4</sup> from Parrinello and Rahman, the extra energy term due to a non-isotropic external stress<sup>5</sup> is defined with respect to a *reference unit cell*, which in our case is the unit cell at the beginning of the simulation. If, during the simulation, large deformations of the unit cell occur, the above mentioned energy expression is only approximately consistent with the stress tensor. This affects the calculation differently depending on whether the stress tensor is computed by the engine or by AMS via numerical differentiation: a) If the stress tensor is computed directly by the engine, for large unit-cell deformations the energy might increase during the optimization; b) If the stress tensor is computed by AMS via numerical differentiation, the actual final value of the stress tensor might not match perfectly the external stress specified in the input (for large cell deformations, this error can be in the order of 10%).

After the optimization under external stress is converged, it is therefore good practice to validate the results. To do this, you should compute the *stress tensor* (page 125) at the optimized geometry by performing a *single point calculation* (page 39) **without** applying the external stress. The values in the computed stress tensor should have opposite sign compare to the external stress applied during the optimization. If the values differ too much, then you can run a second geometry optimization under external stress starting from the optimized geometry.

#### See also:

#### Example: Boron nitride optimization under external stress (page 223)

An alternative option is to set the key ExternalStress%UpdateReferenceCell to True; this will update the reference unit cell at every optimization step, effectively changing the definition of the energy expression at every geometry optimization step. The energy might not consistently go down during the optimization, but the resulting internal stress will match much better the applied external stress. This option should only be used during geometry optimizations (i.e. it should not be used when computing properties such as the elastic tensor).

#### See also:

### Example: Graphene optimization under external stress (page 225)

<sup>4</sup> M. Parrinello, and A. Rahman, *Polymorphic transitions in single crystals: A new molecular dynamics method*, Journal of Applied Physics 52, 7182 (1981) (https://doi.org/10.1063/1.328693)

<sup>5</sup> The energy term due to a non-isotropic external stress is:

$$E_{\text{stress}} = p_h(V - V_0) + \frac{1}{2} V_0 Tr[(\sigma - p_h \not \Vdash)(h_0^{T-1} h^T h h_0^{-1} - \not \Vdash)]$$

where:  $\sigma$  is the external stress tensor,  $p_h$  is the hydrostatic pressure associated with  $\sigma$  (i.e. the average of the diagonal elements of  $\sigma$ ), V is the volume of the unit cell (for 2D periodic systems this is the area of the cell, and for 1D periodic systems is the length of the cell),  $V_0$  is the volume of the *reference unit cell*, h are the lattice vectors in matrix form,  $h_0$  are the lattice vectors of the reference unit cell in matrix form and  $\mathbb{F}$  is the identity matrix.

## 9.5.4 Atom energies

This add-on adds an element-dependent energy for each atom in a system, effectively applying an energy shift. It does not affect any other property.

```
EngineAddons
AtomEnergies # Non-standard block. See details.
...
End
End
```

#### EngineAddons

Type Block

Description This block configures all the engine add-ons.

#### AtomEnergies

Type Non-standard block

**Description** Add an element-dependent energy per atom. On each line, give the chemical element followed by the energy (in atomic units).

The below example will add -0.5 Hartree for every H atom and -5.0 Hartree for every C atom in the system to the total energy. The add-on will ignore any atoms of other elements:

```
EngineAddons
AtomEnergies
H -0.5
C -5.0
End
End
```

## 9.5.5 Restraints

A restraint is potential energy function (a spring) attached to a certain coordinate, for example, an interatomic distance, the minimum of the potential energy being at the specified optimal value. A restraint can have one or two parameters: a ForceConstant and, for some types, a F(Inf) value. The ForceConstant parameter corresponds to second derivative of the restraint potential energy  $\frac{d^2V(x)}{dx^2}$  for any x (harmonic restraints) or only at x = 0 (other restraints). Here, x is a deviation from the restraint's optimal value.

Geometry restraints can be added using the following input block:

```
Restraints

Profile [Harmonic | Hyperbolic | Erf]

fInfinity float

Distance atomIdx1 atomIdx2 OptValue {Profile} {fInfinity}

Angle

Dihedral

SumDist

DifDist

End
```

The shape of the restraint potential function is defined by its profile type (Harmonic, Hyperbolic, or Erf) and the appropriate parameter(s). The Harmonic profile is most suitable for geometry optimizations but may result is very large forces that can be problematic in molecular dynamics. For MD simulations the Hyperbolic or Erf may be more suitable because the restraint force is bounded by a user-defined value. A Harmonic restraint is defined as  $V(x) = C\frac{x^2}{2}$ . A Hyperbolic restraint is defined as  $V(x) = a(\sqrt{1+(bx)^2}-1)$ . An Erf restraint is defined as  $V(x) = a(bx \cdot erf(bx) + \frac{e^{-(bx)^2}-1}{\sqrt{\pi}})$ , which corresponds to an indefinite integral of the error function  $\frac{dV(x)}{dx} = ab \cdot erf(bx)$ . The *a* and *b* parameters are calculated from the Finfinity and ForceConstant values. The Erf profile is very similar to Hyperbolic however its derivative converges to F(Inf) much faster.

The following keys define global parameters for all restraints.

#### Restraints

Profile

Type Multiple Choice

Default value Harmonic

Options [Harmonic, Hyperbolic, Erf]

GUI name Default restraint profile

**Description** Select the default type of restraint profile. The harmonic profile is most suitable for geometry optimizations but may result is very large forces that can be problematic in molecular dynamic. For MD simulations the Hyperbolic or Erf may be more suitable because the restraint force is bounded by a user-defined value. A per-restraint profile type can be specified after the ForceConstant value on the corresponding restraint line.

### FInfinity

Type Float

Default value 1.0

GUI name Default F(inf)

**Description** Specify the default asymptotic value for the restraint force for the Hyperbolic and Erf profiles, in Hartree/Bohr or Hartree/radian. A per-restraint value can be specified after the profile type on the corresponding restraint line.

The following keys define individual restraints. The force constant, profile type and the F(Inf) value can be set for each restraint individually.

#### Restraints

### Distance

Type String

Recurring True

**Description** Specify two atom indices followed by the distance in Angstrom and, optionally, by the ForceConstant (default is 1.0 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the distance between the two specified atoms at the given value. For periodic systems this restraint follows the minimum image convention.

### Angle

Type String

Recurring True

**Description** Specify three atom indices i j k followed by an angle in degrees and, optionally, by the ForceConstant (default is 0.3 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the i-j-k angle at the given value. For periodic systems this restraint follows the minimum image convention.

#### Dihedral

Type String

### Recurring True

**Description** Specify four atom indices i j k l followed by an angle in degrees and, optionally, by the ForceConstant (default is 0.1 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the i-j-k-l dihedral angle at the given value. For periodic systems this restraint follows the minimum image convention.

#### DifDist

Type String

Recurring True

**Description** Specify four atom indices i j k l followed by the distance in Angstrom and, optionally, by the ForceConstant (default is 1.0 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the difference R(ij)-R(kl) at the given value. For periodic systems this restraint follows the minimum image convention.

#### SumDist

Type String

#### Recurring True

**Description** Specify four atom indices i j k l followed by the distance in Angstrom and, optionally, by the ForceConstant (default is 1.0 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the sum R(ij)+R(kl) at the given value. For periodic systems this restraint follows the minimum image convention.

A default value for the force constant depends on the restraint type: 1.0 Hartree/Bohr for Distance, SumDist and DifDist, 0.3 Hartree/radian for Angle and 0.1 Hartree/radian for Dihedral.

Below is an example if the Restraints block.

```
Restraints
  Profile Hyperbolic  # Change the default profile type
                         # Change the asymptotic value for the restraint force away_
  fInfinity 10.0
⇔from optimum
                   OptValue FC
                                  Profile F(Inf)
# Type Atoms
  Distance 1 2
                    5.0
                             1.0 Erf
                                           1.0
  Angle 123
                    90.0
  Dihedral 4 1 2 3 180.0
                             0.1
  The next two together are equivalent to imposing two distance restraints: R(23) to.
\rightarrow 0.85 Angstrom and R(14) to 0.65 Angstrom
                           # Keep R(14)+R(23) as close to 1.5 Angstrom as possible
  SumDist 1 4 2 3
                     1.5
  DifDist 1 4 2 3
                      0.2
                             # Keep R(14) - R(23) as close to 0.2 Angstrom as possible
End
```

## CHAPTER

## TEN

# UTILITIES

# 10.1 ChemTraYzer

## **10.1.1 General information**

The ChemTraYzer scripts, developed in the Leonhard group (http://www.ltt.rwthaachen.de/forschung/molekulare\_thermodynamik/molekulare\_thermodynamik/project/ChemTraYzer\_Modellierung\_chemi/) at Aachen University, provide a methodology for deducing quantitative reaction models from reactive molecular dynamics (MD) simulations by identifying, quantifying, and evaluating elementary reactions of MD trajectories.

For usage with AMS, the scripts have been slightly modified and use RDKit instead of the openbabel-bindings. The original paper, Automated Discovery of Reaction Pathways, Rate Constants, and Transition States Using Reactive Molecular Dynamics Simulations, can be found here (http://dx.doi.org/10.1021/acs.jctc.5b00201).

## 10.1.2 Graphical user interface

See the combustion ReaxFF tutorial .

## 10.1.3 Command line execution

**1.** Open a terminal:

- on Linux: Ctrl+Alt+T usually works, otherwise browse your application menus
- on Windows: double click adf\_command\_line.bat in your ADF installation directory (e.g. C:/ADF2016.106), enter 'sh' to go to a shell

2. cd into the directory where your trajectories are located with the terminal

**3.** Assuming your trajectory file is located in directory called jobname.results, running ChemTraYzer is a two step procedure

• run the processing step (with default values)

\$AMSBIN/amspython \$AMSHOME/scripting/standalone/chemtrayzer/processing\_scm.py
jobname.results

• run the analysis step (with default values)

\$AMSBIN/amspython \$AMSHOME/scripting/standalone/chemtrayzer/analyzing\_scm.py
jobname.results

You will probably want to adjust the settings. A list of all available options is shown by calling the scripts without arguments, e.g. \$AMSBIN/amspython \$AMSHOME/scripting/standalone/chemtrayzer/analyzing\_scm.py

## 10.1.4 Results

ChemTraYzer creates several output files, the most relevant ones being:

#### reac.spec.tab

1st line: indices of the species, e.g. S1;S2;S3;etc...

2nd line: SMILES of the species, e.g. ;C;CC;CCO;CCOO;CO;O;[H];etc...

3rd - end: timestep, counts of the species, e.g. 150;0;0;2;... // step 150, current count of S3 == 2

#### reac.rate.tab

1st line: indices of the reactions, e.g. t [steps];R0;R0\*;R1;R1\*; // a "\*" marks the back reaction

2nd line: the reactions formulated with the species indices, e.g.  $S0 + S0 + S0 + S13 \rightarrow S65$ ; // see reac.spec.tab

3rd line: the reactions formulated with sum formulas, e.g. CH3 + H -> CH4, etc...

4th -...: timestep; rate constant of the reaction; rate constant of the back reaction; etc...

#### reac.pic/

folder containing the 2D structures (if obabel was able to create them) reac.pic/xyz/ xyz files of the species as they first appeared in the trajectory

# **10.2 Trajectory Analysis**

analysis is a standalone program that performs analysis of molecular dynamics trajectories created with AMS. It can produce histograms and radial distribution functions. It is also used under the hood in AMSmovie (**MD Properties** menu bar).

This is an example showing how to compute the oxygen-oxygen radial distribution function of a MD simulation using the analysis utility program:

```
$AMSBIN/analysis <<eor
Task RadialDistribution
TrajectoryInfo
Trajectory
KFFilename ams.results/ams.rkf
Range 1 1000 2
End
End
RadialDistribution
NBins 1000
AtomsFrom
Element 0
End
AtomsTo
Element 0
```

(continues on next page)

	End			
End				
eor				

The analysis program reads one or more trajectory files (filename.rkf) from an AMS molecular dynamics (MD) or a Grand Canonical Monte Carlo (GCMC) simulation. The file information is supplied in the TrajectoryInfo input block. In this block, a separate Trajectory subblock needs to be supplied for each trajectory file. The Trajectory subblock contains a mandatory keyword KFFilename, and an optional keyword Range. The latter contains the initial frame to be read, the final frame to be read, and optionally the stepsize. By default all frames on the trajectory file are read.

```
TrajectoryInfo
NBlocksToCompare integer
Trajectory
KFFilename string
Range integer_list
End
End
```

### TrajectoryInfo

#### Type Block

**Description** All the info regarding the reading of the trajectory files.

### NBlocksToCompare

Type Integer

#### Default value 1

**Description** Get an error estimate by comparing histograms for NBLocks time blocks of the trajectory.

### Trajectory

Type Block

Recurring True

Description All info regarding the reading of a single trajectory file.

#### KFFilename

Type String

Default value ams.rkf

Description The name of the AMS trajectory file.

#### Range

Type Integer List

Description Two or three values: start frame, end frame, step size.

All tools in the analysis program provide an option to obtain information on the equilibration of the simulation. If the optional keyword NBlocksToCompare in the TrajectoryInfo block is set to a value N higher than 1, the trajectory is divided into N blocks, and the analysis results for each block are compared. The variation in the analysis result is provided as a standard deviation.

## 10.2.1 Radial Distribution Function (RDF)

The Analysis tool computes radial distribution functions g(r) if the Task keyword is set to RadialDistribution.

```
Task [RadialDistribution | Histogram | AutoCorrelation]
```

### Task

Type Multiple Choice

**Options** [RadialDistribution, Histogram, AutoCorrelation]

Description The analysis task.

Further details on the radial distribution functions are then set in the RadialDistribution block. If more than one RadialDistribution block is present in the input, more than one radial distribution function will be computed. The result is printed to output as text, as well as stored in a binary file (analysis.kf).

## Description

A radial distribution function g(r), or pair correlation function, is a density of distances between particles, relative to the average distance density. The x-axis variable represents a distance r, while the y-axis represents the relative density of that distance. For a complete homogeneous system of particles the g(r) values for the distances between all particles equals 1 everywhere.

Two sets of atoms  $S_{\text{from}}$  and  $S_{\text{to}}$ , of length  $n_{\text{from}}$  and  $n_{\text{to}}$  respectively, are specified with the keywords AtomsFrom and AtomsTo in the RadialDistribution block. As a result the program computes  $n_{\text{from}} * n_{\text{to}}$  distances  $r_{ij}^s$  between atom i in  $S_{\text{from}}$  and atom j in  $S_{\text{to}}$  for each trajectory frame s out of a total of  $n_{\text{frames}}$  frames.

A normalized histogram is then computed from these distances, resulting in a function N(r).

$$N(r) = rac{1}{n_{\mathrm{frames}}} \sum_{s=1}^{n_{\mathrm{frames}}} \sum_{i=1}^{n_{\mathrm{from}}} \sum_{j=1}^{n_{\mathrm{to}}} \delta(r_{ij}^s - r).$$

This histogram is converted to a density, by dividing all values N(r) with the volume  $V(r) = 4\pi r^2 dr$  of a sphere-slice at radius r with thickness dr.

The density is further converted to a relative density by dividing with the total density of the system  $\rho_{\text{tot}} = \frac{n_{\text{from}} * n_{\text{tot}}}{V_{\text{tot}}}$ , yielding the final radial distribution function g(r).

$$g(r) = \frac{N(r)}{V(r)*
ho_{\mathrm{tot}}}$$

## Options

**Non-periodic systems** The above equation assumes that the volume  $V_{tot}$  of the system is a well-defined quantity. This assumption is correct for systems with 3D periodicity, where the  $V_{tot}$  is defined as the volume of the periodic cell. In such a system the value of r can be no larger than  $r_{max}$ , the radius of the largest sphere that can be placed inside the periodic cell.

If a system is non-periodic in one or more direction, then the program still computes a g(r), only if the radius  $r_{max}$  is supplied by the user with the Range keyword in the RadialDistribution block. The radius is the second value supplied.

```
RadialDistribution
Range float_list
End
```

## RadialDistribution

Type Block
Recurring True

Description All input related to radial distribution functions.

Range

Type Float List

**Description** Either one, two, or three real values. If one it is the stepsize. If two, it is the minimum value and the maximum value. If three, it is the minimum value, the maximum value, and the stepsize. The stepsize overrides NBins.

In this case the volume  $V_{\text{tot}}$  is assumed to be the volume of a sphere with radius  $r_{\text{max}}$ .

**NPT simulations** The above equation further assumes that the volume  $V_{tot}$  is constant throughout the simulation. The g(r) of the trajectory from an NPT simulation can still be computed, and in this case  $V_{tot}$  is the average value of the volume of the periodic cell.

Simulations with varying numbers of atoms The above equation also assumes that  $n_{\text{from}}$  and  $n_{\text{to}}$  remain constant throughout the simulation. However, in a Molecular Gun simulation particles can be added to the system, and in a GCMC simulation particles can be both added and removed from the system. Nonetheless, the program still computes a g(r) in these situations.

If the AtomsFrom and AtomsTo blocks contain element names (supplied with the recurring Element keyword), then every time atoms are added to or removed from the system, the sets of atoms  $S_{\text{from}}$  and  $S_{\text{to}}$  are re-evaluated.

If the AtomsFrom and AtomsTo blocks contain atom numbers (supplied with the recurring Atom keyword), these numbers are updated in the sets  $\mathbb{S}_{from}$  and  $\mathbb{S}_{to}$  every time atoms are added to or removed from the system. If one of the atoms from the set disappears, the number of distances contributing to the g(r) decreases.

Note: Currently, the values of  $n_{from}$  and  $n_{to}$  in the normalization factor are taken from the last frame of the simulation.

*Warning:* If multiple trajectories are supplied, and the number of atoms changes between the end of one trajectory and the beginning of another, this may result in an error in the atom numbers used by the program internally.

## 10.2.2 Histogram

The Analysis program computes histograms if the Task keyword is set to Histogram.

Task [RadialDistribution | Histogram | AutoCorrelation]

Task

Type Multiple Choice

Options [RadialDistribution, Histogram, AutoCorrelation]

**Description** The analysis task.

Further details on the histogram need to be specified in the Histogram block. If more than one Histogram block is present in the input, more than one histogram will be computed. The result is printed to output as text, as well as stored in a binary file (analysis.kf). By default the histogram contains the number of occurrences of a certain value, but the normalized occurrence is provided if the keyword Normalized in the Histogram block is specified.

```
Histogram
Normalized Yes/No
End
```

#### Histogram

Normalized

Type Bool Default value No Description Give the normalized histogram.

Histograms can be computed for every quantity stored on the molecular dynamics trajectory file (ams.rkf) in the section History. Example quantities are PotentialEnergy, KineticEnergy, TotalEnergy, Temperature. In the histogram block, this quantity is selected with the keyword Variable in the Axis subblock. If more than one Axis subblock is present, the dimensionality of the histogram is increased: Three Axis subblocks result in a 3D histogram.

For each histogram axis, the number of bins can be selected with the NBins keyword in the Axis block, in which case the range of values along each axis is automatically determined. The default NBins value is 100.

Alternatively, a range and a stepsize can be selected with the keyword Range in the Axis subblock. The keyword Range can contain one, two, or three values: 1: Only a stepsize. 2: A smallest value and a largest value. 3: A smallest value, a largest value, and the stepsize.

```
Histogram
Axes
Axis
NBins integer
Range float_list
Variable string
End
End
End
```

#### Histogram

Type Block

Recurring True

Description All input related to histograms.

#### Axes

Type Block

Description Specifications for the histogram axes.

#### Axis

Type Block

Recurring True

Description Specifications for a single histogram axis.

#### NBins

Type Integer

Default value 100

Description The number of bins along the histogram axis.

#### Range

Type Float List

**Description** Either one, two, or three real values. If one it is the stepsize. If two, it is the minimum value and the maximum value. If three, it is the minimum value, the maximum value, and the stepsize. The stepsize overrides NBins.

Variable

Type String

Description The quantity along the histogram axis.

### **10.2.3 Autocorrelation Functions**

The Analysis program computes autocorrelation functions (ACF) if the Task keyword is set to AutoCorrelation.

```
Task [RadialDistribution | Histogram | AutoCorrelation]
```

Task

Type Multiple Choice

**Options** [RadialDistribution, Histogram, AutoCorrelation]

**Description** The analysis task.

Further details need to be specified in the AutoCorrelation block. If more than one AutoCorrelation block is present in the input, more than one ACF will be computed. The result is printed to output as text, as well as stored in a binary file (analysis.kf).

```
AutoCorrelation
  Atoms
      Atom integer
      Element string
   End
   DataReading [Auto | AtOnce | BlockWise]
   InputValues
      Values float_list
   End
  MaxStep integer
  NPointsHighestFreq integer
  Normalized Yes/No
  Property [Velocities | DipoleMomentFromCharges | InputValues |_
→DiffusionCoefficient]
  TimeStep float
  UseTimeDerivative
     Enabled Yes/No
      ProjectOutRotations Yes/No
   End
End
```

#### AutoCorrelation

#### Atoms

Type Block

**Description** Relevant if Property is set to Velocities, DipoleMomentFromCharges, or DiffusionCoefficient. Atom numbers or elements for the set of atoms for which the property is read/computed. By default all atoms are used.

#### Atom

Type Integer

Recurring True

Description Atom number.

#### Element

Type String

Recurring True

Description Element Symbol Atom.

#### DataReading

Type Multiple Choice

Default value Auto

Options [Auto, AtOnce, BlockWise]

**Description** The KF data can be read in and handledt once, or blockwise. The former is memory intensive, but mostly faster. If Auto is selected, the data is read at once if it is less than 1 GB, and blockwise if it is more.

#### InputValues

Type Block

Description Relevant is Property is set to InputValues. All input values (a vector on each line).

#### Values

Type Float List

Recurring True

**Description** The values at each step (on a single line)

#### MaxStep

Type Integer

**Description** The maximum interval of the autocorrelation. The default is half of the number of provided frames.

### NPointsHighestFreq

Type Integer

### Default value 4

**Description** The number of points (timesteps) used for the highest frequency displayed in spectrum. This determines up to which frequency the spectrum is displayed. If the spacing between time-steps used for the ACF is 1 fs, then by default the maximum frequency displayed is 0.25 fs-1 (or 8339 cm-1). A higher number selected here, will result in a lower maximum frequency returned by the program. The default value is 4. and the lowest possible value (spectrum up to highest possible frequency) is 2.

### Normalized

Type Bool

### Default value Yes

**Description** Determines if the ACF is normalized. Keyword is overruled (set to False) if Property is set to DiffusionCoefficient.

### Property

Type Multiple Choice

Default value DipoleMomentFromCharges

Options [Velocities, DipoleMomentFromCharges, InputValues, DiffusionCoefficient]

**Description** Compute the ACF either from velocities (from rkf), the dipole moment (from atomic charges in rkf), or from values specified in input. If DiffusionCoefficient is selected the unnormalized velocity autocorrelation function is computed and integrated.

#### TimeStep

Type Float

**Description** Relevant if Property is set to InputValues. The time separating the entries (in fs). If Property is set to Velocities, DipoleMomentFromCharges, or DiffusionCoefficient, then the property can be obtained from an RKF file, and the timestep is read from the RKF file as well. The read value then overrides this keyword.

#### UseTimeDerivative

Type Block

**Description** Possibly use the time derivative of the selected property (e.g. velocity or dipole moments).

#### Enabled

Type Bool

Default value No

**Description** Enable the use of the time derivative of the property.

#### **ProjectOutRotations**

Type Bool

Default value No

**Description** Take the rotations out of the time derivative.

#### Description

An autocorrelation function C(t) describes the average correlation (overlap) of a (vector) property A with itself as a function of time.

 $C(t) = \langle \mathbf{A}(0) \cdot \mathbf{A}(t) \rangle \rangle$ 

The average runs over all time-intervals  $(t_0, t_0 + t)$ ,  $(t_1, t_1 + t)$ , ...,  $(t_N, t_N + t)$ , with  $t_N = t_n - t_m$ . Here *n* is the total number of simulation steps in the trajectory, and *m* is the number of discrete *t* values for which C(t) is computed. The value *m* can be set with the keyword MaxStep, and defaults to half the total number of simulation steps. If applicable, the average also runs over all possible contributions to **A** at each simulation timestep. The normalized autocorrelation function c(t) describes the decorrelation of the property with time, and always starts at 1.0 at t = 0.

 $c(t) = \frac{\langle \mathbf{A}(0) \cdot \mathbf{A}(t)) \rangle}{\langle \mathbf{A}(0) \cdot \mathbf{A}(0) \rangle \rangle}$ 

In most cases short timescale fluctuations are important, so frequent storage of the desired property is required (when preparing the molecular dynamics simulation, set the Frequency keyword in the Trajectory block of the MolecularDynanimcs settings low, preferably to 1).

A power spectrum is automatically computed by Fourier transform of the autocorrelation function, and provides information on the frequencies of the signal. When the selected property is the dipole moment, the power spectrum matches the IR spectrum.

#### **Options**

Autocorrelation functions can be computed for different simulation properties: 1) Dipole moments from atomic charges 2) Velocities 3) User provided values.

```
AutoCorrelation

Property [Velocities | DipoleMomentFromCharges | InputValues |

DiffusionCoefficient]

End
```

#### AutoCorrelation

Type Block

Recurring True

**Description** All input related to auto correlation functions.

#### Property

Type Multiple Choice

Default value DipoleMomentFromCharges

Options [Velocities, DipoleMomentFromCharges, InputValues, DiffusionCoefficient]

**Description** Compute the ACF either from velocities (from rkf), the dipole moment (from atomic charges in rkf), or from values specified in input. If DiffusionCoefficient is selected the unnormalized velocity autocorrelation function is computed and integrated.

With the keyword Normalized a normalized ACF is computed, and with the keyword MaxStep the number of values n in the autocorrelation function ( $t = [0, t_1, t_2, ..., t_n]$ ) can be set. The default value is half of the total number of simulation steps used.

A subset of atoms for which the property A should be selected/computed can be provided in the block Atoms. The block can contain element names (recurring keyword Element), or individual atom numbers (recurring keyword Atom).

```
AutoCorrelation
Atoms
Atom integer
Element string
End
End
```

#### AutoCorrelation

Type Block

Recurring True

Description All input related to auto correlation functions.

#### Atoms

Type Block

**Description** Relevant if Property is set to Velocities, DipoleMomentFromCharges, or DiffusionCoefficient. Atom numbers or elements for the set of atoms for which the property is read/computed. By default all atoms are used.

Atom

Type Integer

Recurring True

**Description** Atom number.

Element

Type String Recurring True Description Element Symbol Atom.

# **10.2.4 Diffusion Coefficient**

The diffusion coefficient can be computed as the integral over the velocity autocorrelation function.

$$D = \frac{1}{3} \int_{t=0}^{t=t_{max}} \langle \mathbf{v}(0) \cdot \mathbf{v}(t) ) \rangle dt$$

The factor  $\frac{1}{3}$  corrects for the dimension of the system, which we assume to be always 3.

The diffusion coefficient is computed if the task AutoCorrelation is selected, and if in the AutoCorrelation block *DiffusionCoefficient* is selected as the Property.

```
$AMSBIN/analysis <<eor
Task AutoCorrelation
AutoCorrelation
Property DiffusionCoefficient
End
eor
```

### AutoCorrelation

Type Block

Recurring True

Description All input related to auto correlation functions.

### Property

Type Multiple Choice

Default value DipoleMomentFromCharges

Options [Velocities, DipoleMomentFromCharges, InputValues, DiffusionCoefficient]

**Description** Compute the ACF either from velocities (from rkf), the dipole moment (from atomic charges in rkf), or from values specified in input. If DiffusionCoefficient is selected the unnormalized velocity autocorrelation function is computed and integrated.

Again, a subset of atoms can be selected with the sublock Atoms.

The value of the diffusion coefficient is written to the output, as well as to the KF file.

# 10.3 VCD Analysis: VCDtools

The auxiliary program *VCDtools* provides insight regarding the origin of the VCD intensity of a given normal mode. This is done by analyzing and visualizing the various contributions to the total Electric (E) and Magnetic (M) Dipole Transition Moments (DTM). *e.g.*, the contributions from atoms, electrons, nuclei and molecular fragments. The *VCDtools* program is accessible through the AMS-GUI and allows one to:

- 1) Scale the size of the atoms with the magnitude of their atomic EDTM or MDTM contributions.
- 2) Visualize the various contributions to the EDTMs and MDTMs by arrows.
- 3) Perform a General Coupled Oscillator (GCO) analysis to evaluate the interactions between the different parts of a molecule.
- Decompose the total EDTM and MDTM into nuclear and electronic contributions to gain insight into effects induced in the VCD spectra by charge transfer.
- 5) Perform a VCD robustness analysis.

#### See also:

Tutorial: Analysis of the VCD spectrum of Oxirane with VCDtools

*VCDtools* requires only the standard result file adf.rkf from an VCD calculation using the *ADF* engine. Once this file is opened with *AMSspectra* the *VCDtools* program will be accessible through the standard user interface of *AMSspectra*.

*VCDtools* produces one ASCII file, VCDtools.out where all output from the program is printed. This file will be located in the *.results* folder in the directory of the opened adf.rkf result file. Additionally, VCDtools will run on the background every time a new normal mode is selected. It then computes the atomic EDTM and MDTM which can be displayed inside *AMSspectra* in various ways.

The following references should be cited when publishing results obtained with VCDtools Refs.<sup>123</sup>.

### **10.3.1 General Theory**

The VCD intensity associated with the fundamental vibrational transition for a given normal mode j is given by the rotational strength (RS):

$$R_{01}(j) = -i\vec{E}_{01}^{tot}(j) \cdot \vec{M}_{10}^{tot}(j)$$

where  $E_{01}^{tot}(j)$  and  $M_{10}^{tot}(j)$  are the total EDTM and MDTM of normal mode j, i is the unit imaginary number.

Whiten the harmonic approximation the total EDTM and MDTM can be written as sums of atomic contributions:

$$\vec{E}_{01}^{tot}(j) = \sum_{\lambda=1}^{N} \vec{E}_{01}^{\lambda}(j)$$
$$\vec{M}_{10}^{tot}(j) = \sum_{\lambda=1}^{N} \vec{M}_{10}^{\lambda}(j)$$

where N is the total number of atoms in the molecule and  $\lambda$  runs over all atoms.

### **10.3.2 General Coupled Oscillator Analysis**

The GCO analysis computes the contribution to total rotational strength from the interaction of two molecular fragments. This information provides important insight regarding the source of the VCD intensity and the robustness of the computed VCD sign. In the following, a brief summary of the GCO theory originally published in Ref.<sup>1</sup> is given.

Following the original coupled oscillator VCD mechanism, the atoms of a molecule are grouped into three fragments: **A**, **B**, and **R**. The fragments **A** and **B** represent the important Coupling Oscillator (CO) fragments, while fragment

<sup>&</sup>lt;sup>1</sup> V.P. Nicu, *Revisiting an old concept: the coupled oscillator model for VCD. Part 1: the generalised coupled oscillator mechanism and its intrinsic connection to the strength of VCD signals*, Physical Chemistry Chemical Physics 18, 21202 (2016) (https://doi.org/10.1039/C6CP01282E)

<sup>&</sup>lt;sup>2</sup> V.P. Nicu, J. Neugebauer and E.J. Baerends, *Effects of Complex Formation on Vibrational Circular Dichroism Spectra*, Journal of Physical Chemistry A 112, 6978 (2008) (https://doi.org/10.1021/jp710201q)

<sup>&</sup>lt;sup>3</sup> M.A.J. Koenis, O. Visser, L. Visscher, W.J. Buma, V.P. Nicu, *GUI Implementation of VCDtools, A Program to Analyze Computed Vibrational Circular Dichroism Spectra*, J. Chem. Inf. Model 60, 259 (2020) (https://pubs.acs.org/doi/abs/10.1021/acs.jcim.9b00956)

 $\mathbf{R}$  is the ancillary fragment containing the rest of the atoms. As a result, the total RS can be decomposed in three components:

$$R_{01}(j) = R_{01}^{\mathbf{GCO}}(j) + R_{01}^{\mathbf{IF}}(j) + R_{01}^{\mathbf{R}}(j)$$

The first contribution  $R_{01}^{GCO}(j)$ , is the GCO contribution to the RS and is given by the interaction between the EDTM and MDTM of fragments **A** and **B**:

$$R_{01}^{\mathbf{GCO}}(j) = -i \cdot \left[ \vec{E}_{01}^{\mathbf{A}}(j) \cdot \vec{M}_{10}^{\mathbf{B}}(j) + \vec{E}_{01}^{\mathbf{B}}(j) \cdot \vec{M}_{10}^{\mathbf{A}}(j) \right]$$

The  $R_{01}^{IF}(j)$  term represents the contribution from the individual fragments (IF) **A** and **B** without any interaction with other fragments:

$$R_{01}^{\mathbf{IF}}(j) = -i \cdot \left[ \vec{E}_{01}^{\mathbf{A}}(j) \cdot \vec{M}_{10}^{\mathbf{A}}(j) + \vec{E}_{01}^{\mathbf{B}}(j) \cdot \vec{M}_{10}^{\mathbf{B}}(j) \right]$$

and the  $R_{01}^{\mathbf{R}}(j)$  contribution contains all contributions from fragment **R**:

$$\begin{aligned} R_{01}^{\mathbf{R}}(j) &= -i \cdot \left[ \vec{E}_{01}^{\mathbf{A}}(j) \cdot \vec{M}_{10}^{\mathbf{R}}(j) + \vec{E}_{01}^{\mathbf{R}}(j) \cdot \vec{M}_{10}^{\mathbf{A}}(j) \right] \\ &- i \cdot \left[ \vec{E}_{01}^{\mathbf{B}}(j) \cdot \vec{M}_{10}^{\mathbf{R}}(j) + \vec{E}_{01}^{\mathbf{R}}(j) \cdot \vec{M}_{10}^{\mathbf{B}}(j) + \vec{E}_{01}^{\mathbf{R}}(j) \cdot \vec{M}_{10}^{\mathbf{R}}(j) \right] \end{aligned}$$

In the above equations  $\vec{E}_{01}^{\mathbf{X}}(j)$  and  $\vec{M}_{01}^{\mathbf{X}}(j)$ , with  $\mathbf{X} = (\mathbf{A}, \mathbf{B}, \mathbf{R})$ , are the EDTM and MDTM associated with the three fragments.

Using the origin dependency of the MDTM, the GCO contribution can be rewritten in a form similar to the original CO term:

$$R_{01}^{\mathbf{GCO}}(j) = \frac{\pi\nu_j}{c} \cdot \vec{Y}^{\mathbf{GCO}}(j) \cdot \left[\vec{E}_{01}^{\mathbf{A}}(j) \times \vec{E}_{01}^{\mathbf{B}}(j)\right]$$

where  $\vec{Y}^{GCO}(j)$  is the general coupled oscillator vector.

As shown in ref.<sup>1</sup> most normal modes of a molecule (i.e. not just the carbonyl stretching modes) can be interpreted in terms of the GCO mechanism. That is, for most normal modes one can define the GCO fragments **A** and **B** fragments in such a way that the  $R_{01}^{\text{GCO}}(j)$  term represent the dominant contributions to the rotational strengths. This second expression for  $R_{01}^{\text{GCO}}(j)$  does not depend on the MDTM (which is origin dependent). As such, it allows one to interpret the VCD intensity of a mode in terms of interacting EDTMs that are associated with the various moieties of a molecule and their relative orientation.

The identification of the GCO fragments (which are normal mode dependent) is not always trivial, especially, in molecules without symmetry. Consequently, *VCDtools* offers several options for dividing the molecule into fragments. In *AMSspectra* these fragments are referred as '*Regions*' in analogy to the regions in the *AMSinput* program. Under the **Regions**-menu there are many options to set, alter and save the fragments. Additionally, *VCDtools* is able to make a guess for fragments **A** and **B** as will be discussed in more detail below.

### 10.3.3 Available options

Beside the standard calculation and visualization of the atomic contributions to the normal mode (NM) motion, EDTM and MDTM, *VCDtools* offers three more advanced tools that can be utilized when analyzing VCD spectra inside *AMSspectra*:

- GCO Analysis
- Guess GCO Fragments
- NM Localization on Regions

The first option, "*GCO Analysis*", uses the above equations to decompose the RS in its different contributions. Printing both the values and important angles between the vectors. Before this option can be run two regions inside the molecule should be defined as fragments **A** and **B**. It is important that these regions do not contain the same atoms. Also since the decomposition is different for each NM, a specific NM should be selected.

The second option, "Guess Fragments", guesses which atoms belong the fragments **A** and **B** for a selected normal mode. In doing so the  $R_{01}^{GCO}(j)$  is maximized while keeping  $R_{01}^{\mathbf{R}}(j)$  low. Additionally, it ensures that the fragments are localized on a part of the molecule.

The third option, "*NM Localization on Regions*", computes the percentage of the mass-weighed normal mode motion that is located on the atoms in the selected regions. One or multiple regions can be computed at the same time and the localizations are determined for all modes within a selected frequency window.

## CHAPTER

## ELEVEN

# **EXAMPLES**

# 11.1 Geometry optimization

### 11.1.1 Example: Simple geometry optimization

Download GO\_formaldehyde\_noSCC.run

```
#!/bin/sh
$AMSBIN/ams << EOF</pre>
  Task GeometryOptimization
  System
      Atoms [Bohr]
        C 0.0 0.0 -1.0
         0 0.0 0.0 1.247
        Н 0.0 -1.738 -2.097
         н 0.0 1.738 -2.097
      End
  End
  Engine DFTB
      ResourcesDir Dresden
      Model DFTB0
      DispersionCorrection Auto
  EndEngine
EOF
```

# 11.1.2 Example: Two-stage geometry optimization with initial Hessian

Download 2StepGO.run

```
# DFTB and use that as the initial Hessian for the Quasi-Newton based
# optimization at the DFT level. DFTB is so fast compared to DFT, that all of
# this is basically instantaneous. Our goal here is really just to reduce the
# number of steps in the DFT geometry optimization. If we save just a single
# step there, the initial DFTB calculation will already have paid for itself \ldots
AMS_JOBNAME=dftb_preopt $AMSBIN/ams << EOF
  # Specify the system geometry: Aspirin
  System
      Atoms
          С
                  0.000000 0.000000 0.000000
          С
                  1.402231 0.000000 0.000000
                  2.091015 1.220378 0.000000
          С
          С
                   1.373539 2.425321 0.004387
                  -0.034554 2.451759 0.016301
          С
          С
                 -0.711248 1.213529 0.005497
                  -0.709522 3.637718 0.019949
          0
                  -2.141910 1.166077 -0.004384
          С
                  -2.727881 2.161939 -0.690916
          0
                  -0.730162 4.530447 1.037168
          С
                  -0.066705 4.031914 2.307663
          С
                 -0.531323 -0.967191 -0.007490
          Н
          Н
                  1.959047 -0.952181 -0.004252
          Н
                   3.194073 1.231720 -0.005862
          Н
                   1.933090 3.376356 -0.002746
          0
                  -2.795018 0.309504 0.548870
                  -2.174822 2.832497 -1.125018
          Н
          0
                  -1.263773 5.613383 0.944221
                  -0.337334 4.693941 3.161150
          Н
                   1.041646 4.053111 2.214199
          H
                  -0.405932 3.005321 2.572927
          Н
      End
  End
  # Do a geometry optimization.
  Task GeometryOptimization
  # Also compute the Hessian at the optimized geometry.
  Properties
     Hessian True
  End
  # Settings for the DFTB engine:
  Engine DFTB
     Model DFTB3
     ResourcesDir DFTB.org/3ob-3-1
  EndEngine
EOF
# Geometry optimization with DFT
# _____
AMS_JOBNAME=dft_opt $AMSBIN/ams << EOF
```

```
# Start from the geometry that is already optimized at the DFTB level.
  LoadSystem
     File dftb_preopt.results/dftb.rkf
  End
   # (equivalent to loading the system from dftb_preopt.results/ams.rkf)
  Task GeometryOptimization
  GeometryOptimization
     InitialHessian
        # Load the DFTB Hessian as the initial Hessian for the
        # Quasi-Newton based optimizer.
        Type FromFile
        File dftb_preopt.results
     End
  End
  # Settings for the BAND engine:
  Engine BAND
     Basis Type=TZP
     XC GGA=PBE
  EndEngine
EOF
```

## 11.1.3 Example: Periodic lattice optimization under pressure

Download Diamond\_under\_pressure.run

```
#! /bin/sh
# Calculate the phonon dispersion curves for diamond under pressure.
# Loop over pressure values (in GPa):
for P in -40 0 40 160 ; do
AMS_JOBNAME=pressure_$P $AMSBIN/ams << EOF
  Task GeometryOptimization
  System
      Atoms
        C -0.44625 -0.44625 -0.44625
          C 0.44625 0.44625 0.44625
      End
      Lattice
               1.785 1.785
          0.0
          1.785 0.0 1.785
          1.785 1.785 0.0
      End
  End
   GeometryOptimization
      OptimizeLattice Yes
      Convergence Gradients=1e-5 StressEnergyPerAtom=1E-5
      Method Quasi-Newton
```

```
End
   EngineAddons
       Pressure $P
   End
   Properties
       # Request the calculation of phonons at the optimized geometry.
       Phonons Yes
   End
   NumericalPhonons
       SuperCell
           2 0 0
           0 2 0
           0 0 2
       End
   End
   Engine DFTB
       Model SCC-DFTB
       ResourcesDir DFTB.org/mio-1-1
       KSpace
           Type Symmetric
           Symmetric KInteg=5
       End
       Technical AnalyticalStressTensor=False
   EndEngine
EOF
done
```

## 11.1.4 Example: Phase Transition Due To External Nonuniform Stress

Download Diamond\_from\_pressure.run

```
#!/bin/sh
# Starting from hexagonal graphite we optimize the crystal
# under the influence of a non-uniform stress tensor.
# The system will undergo a phase transition to a diamond structure
AMS_JOBNAME=Graphite2Diamond $AMSBIN/ams << eor
Task GeometryOptimization
EngineAddons
   ExternalStress
       StressTensorVoigt 0 0 0.01 0 -0.0003 0
   End
End
System
    Atoms
       C 0.0000 0.0000 1.6507
       C 0.0000 0.0000 -1.6507
       C 0.0000 -1.4225 1.6507
       C 0.0000 1.4225 -1.6507
```

```
End
   Lattice
       1.2319 -2.1338 0.0000
       1.2319 2.1338 0.0000
       0.0000 0.0000 6.6029
    End
End
GeometryOptimization
  MaxIterations 300
   OptimizeLattice Yes
   Convergence
       Energy 1.0e-6
       Gradients 1.0e-5
       Step 1.0e-4
   End
End
UseSymmetry No
Engine DFTB
   Model SCC-DFTB
   ResourcesDir DFTB.org/3ob-3-1
   DispersionCorrection D3-BJ
   KSpace
        Quality Basic
   End
EndEngine
eor
# The diamond structure is still compressed under the influence of the external.
\hookrightarrow stress.
# We now disable this term and relax the unit cell with the same DFTB model
AMS_JOBNAME=DiamondRelaxation $AMSBIN/ams << eor
Task GeometryOptimization
LoadSystem
   File Graphite2Diamond.results/ams.rkf
End
GeometryOptimization
   MaxIterations 300
   OptimizeLattice Yes
   Convergence
       Energy 1.0e-6
       Gradients 1.0e-5
       Step 1.0e-4
   End
End
UseSymmetry No
LoadEngine Graphite2Diamond.results/dftb.rkf
eor
```

## 11.1.5 Example: Boron nitride optimization under external stress

```
Download ExternalStress_BN.run
```

```
#!/bin/sh
# Some (fairly arbitrary) external stress in atomic units:
external_stress='0.001 0.0003 -0.0007 0.0005 0.0004 0.001'
# The external stress applied here will result in pretty large deformations.
# Since the external stress energy term becomes more and more approximate the
# larger the deformation from the initial cell is, after the first optimization,
# one should run a second geometry optimization starting from the converged
# results of the first one.
# It is always good practice to validate the optimization under external stress
# by computing the stress tensor of the final optimized geometry: the stress
# tensor at the optimized geometry should match the external stress applied during
# the optimization, but with reversed signs.
AMS_JOBNAME=first_go $AMSBIN/ams << eor
Task GeometryOptimization
System
   Atoms
       в 0.0 0.0 0.0
       N 0.905 0.905 0.905
   End
   Lattice
       0.0 1.81 1.81
       1.81 0.0 1.81
        1.81 1.81 0.0
   End
   SuperCell 2 2 2
End
GeometryOptimization
   OptimizeLattice Yes
    # The convergence threshold should be samller than the applied external stress:
   Convergence Gradients=1.0E-4
End
# We want the external stress to break the symmetry:
UseSymmetry No
EngineAddons
   ExternalStress
       StressTensorVoigt $external_stress
   End
End
Engine ForceField
EndEngine
eor
# The second geometry optimization starting from the results of the first one:
AMS_JOBNAME=second_go $AMSBIN/ams << eor
Task GeometryOptimization
```

```
LoadSystem
  File first_go.results/ams.rkf
End
GeometryOptimization
   OptimizeLattice Yes
   Convergence Gradients=1.0E-5
End
UseSymmetry No
EngineAddons
   ExternalStress
       StressTensorVoigt $external_stress
   End
End
LoadEngine first_go.results/forcefield.rkf
eor
# In the final calculation we simply compute the stress tensor of the final
# geometry, which should be the opposite of the external stress tensor applied
# during the optimization.
AMS_JOBNAME=sp $AMSBIN/ams << eor
Task SinglePoint
LoadSystem
   File second_go.results/ams.rkf
End
UseSymmetry No
Properties StressTensor=Yes
LoadEngine first_go.results/forcefield.rkf
eor
echo "Applied external stress tensor (order: xx,yy,zz,yz,xz,xy):"
echo $external_stress
echo "Resulting final stress tensor:"
$AMSBIN/amsreport sp.results/forcefield.rkf -r "AMSResults%StressTensor#12.6f##3"
```

### 11.1.6 Example: Graphene optimization under external stress

Download ExternalStress\_graphene.run

```
#!/bin/sh
# Here we perform an optimization under external stress using the
# UpdateReferenceCell option. When using this option the energy during
# the geometry optimization will not necessairly go down, but the final
# stress will match the ExternalStress with much hinger accuracy.
```

```
AMS_JOBNAME=go $AMSBIN/ams << eor
Task GeometryOptimization
System
   Atoms
      C 0.0 0.0 0.0
       C 1.23647204352 0.7138774661 0.0
   End
   Lattice
      1.23647204352 -2.1416324015 0.0
       1.23647204352 2.1416324015 0.0
   End
End
UseSymmetry no
EngineAddons
   ExternalStress
       StressTensorVoigt 0.01 0.02 0.003
       UpdateReferenceCell Yes
   End
End
GeometryOptimization
  Method SCMGO
   OptimizeLattice Yes
   Convergence Gradients=1.0E-4
End
Engine DFTB
  Model SCC-DFTB
   ResourcesDir DFTB.org/pbc-0-3
   KSpace Quality=Normal
EndEngine
eor
# Here we compute the stress tensor at the optimized geometry to validate
# the optimization under stress
AMS_JOBNAME=sp $AMSBIN/ams << eor
Task SinglePoint
LoadSystem
   File go.results/ams.rkf
End
UseSymmetry no
Properties
   StressTensor Yes
End
LoadEngine go.results/dftb.rkf
```

eor

```
echo "Resulting final stress tensor:"
$AMSBIN/amsreport sp.results/dftb.rkf -r "AMSResults%StressTensor#12.6f##2"
```

### 11.1.7 Example: Constrained optimizations

#### Download constraints.run

#!/bin/sh # This example demonstrates the setup of all different types of constraints. # Note that all constraints types can be combined with each other, as long as # the resulting set of constraints actually makes sense. (It must of course be # possible to satisfy all of them at the same time. AMS is not able to check # that and you might get really surprising results if that is not the case ...) # 1. Angle constraints # \_\_\_\_\_ AMS\_JOBNAME=angle "\$AMSBIN/ams" << EOF Task GeometryOptimization GeometryOptimization Convergence Step=1.0e-3 End System Atoms 0 0.001356 0.000999 0.000000 Н 0.994442 -0.037855 0.000000 н -0.298554 0.948531 0.000000 End End Constraints # Fix the H--O--H angle to 125 degrees. Angle 3 1 2 125.0 End Engine DFTB Model SCC-DFTB ResourcesDir Dresden DispersionCorrection Auto EndEngine EOF # 2. Distance constraints # \_\_\_\_\_\_ AMS\_JOBNAME=dist "\$AMSBIN/ams" << EOF

```
Task GeometryOptimization
   GeometryOptimization
     Convergence Step=1.0e-3
   End
   System
      Atoms
         0 0.001356 0.000999 0.000000
         H 0.994442 -0.037855 0.000000
         Н -0.298554 0.948531 0.000000
       End
   End
   Constraints
      \# Fix the O--H bond distances to 1.03 Angstrom.
     Distance 1 2 1.03
     Distance 1 3 1.03
   End
   Engine DFTB
      Model SCC-DFTB
       ResourcesDir Dresden
      DispersionCorrection Auto
   EndEngine
EOF
# 3. Dihedral angle constraint
# _____
AMS_JOBNAME=dihed "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
     Convergence Step=1.0e-3
   End
   System
       Atoms
         C -0.004115 -0.000021 0.000023
              1.535711 0.000022 0.000008
          С

        -0.399693
        1.027812
        -0.000082

        -0.399745
        -0.513934
        0.890139

          Η
          Н
          Н
             -0.399612 -0.513952 -0.890156
          Н
            1.931188 0.514066 0.890140
          Н
              1.931432 0.513819 -0.890121
         Н
              1.931281 -1.027824 0.000244
       End
   End
   Constraints
      # Fix the dihedral angle H(6) - C(2) - C(1) - H(3) to 20 degrees.
      Dihedral 6 2 1 3 20.00
```

```
Engine DFTB
     Model SCC-DFTB
      ResourcesDir Dresden
      DispersionCorrection Auto
  EndEngine
EOF
# 4a. Fixed atom constraint (Atoms keyword)
# _____
AMS JOBNAME=atom "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
    Convergence Energy=1.0e-6 Gradients=1.0e-4 Step=1.0e-3
     CoordinateType Cartesian
  End
  System
     Atoms
      C -0.2460249052 -1.70363153 0.0005128649944
       0 1.152833576 -1.81594932 -0.0004409224206
       C 1.489235475
                         0.61782051 10.0004771689226
       0 0.5700116914 0.627761615 10.0005491194077
     End
  End
  Constraints
    # Fix atom 1 and 2 at their initial positions.
    Atom 1
     Atom 2
  End
  Engine DFTB
    Model SCC-DFTB
    ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
# 4b. Fixed atom constraint (AtomList keyword)
# _____
AMS_JOBNAME=atomlist "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
     Convergence Energy=1.0e-6 Gradients=1.0e-4 Step=1.0e-3
     CoordinateType Cartesian
  End
```

(continues on next page)

End

```
System
     Atoms
        С
            -0.2460249052 -1.70363153
                                           0.0005128649944
             1.152833576-1.81594932-0.00044092242061.4892354750.6178205110.0004771689226
        0
        С
            0.5700116914 0.627761615 10.0005491194077
        0
     End
  End
  Constraints
    # Fix atom 1 and 2 at their initial positions.
     AtomList 1:2
  End
  Engine DFTB
     Model SCC-DFTB
     ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
# 4c. Fixed atom constraint (FixedRegion keyword)
# ______
AMS_JOBNAME=region "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
     Convergence Energy=1.0e-6 Gradients=1.0e-4 Step=1.0e-3
     CoordinateType Cartesian
  End
  System
     Atoms
       C -0.2460249052 -1.70363153 0.0005128649944 region=fixed
O 1.152833576 -1.81594932 -0.0004409224206 region=fixed
        C 1.489235475 0.61782051 10.0004771689226
        0 0.5700116914 0.627761615 10.0005491194077
     End
  End
  Constraints
     # Fix all atoms in region "fixed"
     FixedRegion fixed
  End
  Engine DFTB
    Model SCC-DFTB
     ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
```

```
# 4d. Fixed atom constraint (overlapping combination)
# _____
AMS_JOBNAME=combination "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
    Convergence Energy=1.0e-6 Gradients=1.0e-4 Step=1.0e-3
     CoordinateType Cartesian
  End
  System
     Atoms
       C -0.2460249052 -1.70363153 0.0005128649944 region=fixed
0 1.152833576 -1.81594932 -0.0004409224206 region=fixed
           1.489235475 0.61782051 10.0004771689226
       С
          0.5700116914 0.627761615 10.0005491194077
       0
     End
  End
  Constraints
    Atom 1
    AtomList 1 2
    FixedRegion fixed
  End
  Engine DFTB
    Model SCC-DFTB
     ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
# 5. Fixed coordinate constraint
# _____
AMS_JOBNAME=coord "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
     Convergence Energy=1.0e-6 Gradients=1.0e-4 Step=1.0e-3
     CoordinateType Cartesian
  End
  System
     Atoms
      C -0.2460249052 -1.70363153 0.0005128649944
       0 1.152833576 -1.81594932 -0.0004409224206
       C 1.489235475
                          0.61782051 10.0004771689226
       0 0.5700116914 0.627761615 10.0005491194077
     End
  End
  Constraints
```

# Fix the x-coordinate of all atoms.

(continued from previous page)

```
Coordinate 1 x
    Coordinate 2 x
    Coordinate 3 x
    Coordinate 4 x
  End
  Engine DFTB
    Model SCC-DFTB
    ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
# 6. Fixed atom constraint (in periodic system)
# _____
AMS_JOBNAME=pbcatom "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  GeometryOptimization
   Convergence Step=1.0e-3
  End
  System
     Atoms
         C -1.23 -0.710140830 0.0
         C -1.23 -0.710140830 3.8
         C 0.0 0.0
                              0.4
         C 0.0 -1.42028166 3.355
     End
     Lattice
       1.23 -2.130422493309719 0.0
         1.23 2.130422493309719 0.0
     End
  End
  Constraints
     # Fix atom 1 and 3 at their initial positions.
    Atom 1
    Atom 3
  End
  Engine DFTB
    Model SCC-DFTB
    ResourcesDir DFTB.org/mio-1-1
    KSpace Quality=GammaOnly
  EndEngine
EOF
# 7. Block constraints (with listing the atoms in a block)
# _____
```

Task Geome	tryOptimization		
System			
Atoms			
С	0.5584839616765542	0.5023705181144142	-0.4625483159356394
C	1.07173137896726	0.2125484528111251	-1.892767990599312
C	1.699248504588085	-1.006061067555322	-2.191856791501442
C	2.242484629452111	-1.236470028363516	-3.455616615521399
C	2.18874580207099	-0.2444337131062739	-4.435483595049287
С	1.604409798904145	0.9866950282217637	-4.135465239465763
С	1.061086793296828	1.217355116664161	-2.871773146851866
Н	1.763625603740592	-1.780903563899969	-1.431707209662057
Н	2.716038261390732	-2.190869049673275	-3.672115451399807
Н	2.611833078693977	-0.4241619800888815	-5.420308290235123
Н	1.578029796368043	1.774138556616255	-4.884624561698751
Н	0.6247213391616491	2.187200330357715	-2.64521108544713
С	1.303528070245188	-0.1416812092038768	0.7303699949711653
С	0.8164830922475474	-1.314631142230651	1.326337082260565
С	1.531799364672407	-1.947399963062604	2.342825210379356
С	2.757684862125068	-1.432061688813837	2.765634667957531
С	3.271640455523863	-0.2897364031184506	2.150731553729188
С	2.556535912403799	0.3432056352653093	1.134221563049466
Н	-0.128925843064934	-1.7366201913903	0.9939642396630857
Н	1.133600273086767	-2.849990046242235	2.799740694330775
Н	3.31486005979636	-1.925049398411132	3.557912279830031
Н	4.236604921323707	0.1064455961800578	2.457138367063388
Н	2.976510069814392	1.222131876866508	0.6510413538003352
С	-0.930165749820548	0.9153412637395284	-0.5420710991631585
С	-1.791729737216814	0.6892660986048864	0.5418285200469819
С	-3.111373625199894	1.139542032267652	0.5090625363459357
С	-3.586568528476239	1.843983986018719	-0.5977864609101087
С	-2.726152821786783	2.111108432452229	-1.663369105880468
С	-1.406454626777386	1.660929752085611	-1.63085383469072
Н	-1.428888457076976	0.1571120160719108	1.417905619994904
Н	-3.76723983501283	0.9462006794587581	1.35432032282366
Н	-4.614972346570283	2.194578435055282	-0.6233521468909432
Н	-3.080200905921361	2.678981846821393	-2.520207901691867
Н	-0.7413545301831963	1.891248563160919	-2.459672151335554
С	1.235557647765805	1.735720249011045	0.1803884343948648
С	1.377191890012647	1.826646222422494	1.573181692925026
С	1.905898822116255	2.975086608901246	2.16214311213053
С	2.280792642899383	4.061906342938987	1.371311861877147
С	2.105006642447361	3.998471351380415	-0.0115253875199488
С	1.576317094651283	2.850163227898022	-0.6007264381779673
Н	1.072424817958776	0.9937816064904853	2.202306496283991
Н	2.017471491684088	3.023369029562452	3.242524256706377
Н	2.693031233132915	4.956641734238467	1.830324484771476
Н	2.372569859099136	4.8485771293401	-0.6342066225733602
Н	1.427765851939196	2.820397327218896	-1.677480576376967
End			
End			
-			
GeometryOp	timization		

ſ

```
Energy 1.0e-6
          Gradients 1.0e-4
          Step 1.0e-4
      End
  End
  Constraints
     # Create blocks from the 4 phenyl groups by specifying the atom indices
     # explicitly. (The indices follow the order in the System%Atoms block,
     # where we happen to have the atoms belonging to the different phenyl
     # groups consecutive.)
     BlockAtoms 2 3 4 5 6 7 8 9 10 11 12
     BlockAtoms 13 14 15 16 17 18 19 20 21 22 23
     BlockAtoms 24 25 26 27 28 29 30 31 32 33 34
     BlockAtoms 35 36 37 38 39 40 41 42 43 44 45
  End
  Engine DFTB
      Model DFTB3
      ResourcesDir DFTB.org/3ob-3-1
      DispersionCorrection D3-BJ
  EndEngine
EOF
# 8. Block constraints (with named blocks)
# ______
AMS_JOBNAME=block_names "$AMSBIN/ams" << EOF
  Task GeometryOptimization
  System
      Atoms
        C 0.5584839616765542 0.5023705181144142 -0.4625483159356394
         C 1.07173137896726 0.2125484528111251 -1.892767990599312
→region=phenyl1
         C 1.699248504588085 -1.006061067555322 -2.191856791501442
→region=phenyl1
         C 2.242484629452111 -1.236470028363516 -3.455616615521399
⇔region=phenyl1
        C 2.18874580207099 -0.2444337131062739 -4.435483595049287
→region=phenyl1
      C 1.604409798904145 0.9866950282217637 -4.135465239465763
⇔region=phenyl1
        C 1.061086793296828 1.217355116664161
                                                    -2.871773146851866
→region=phenyl1
         Н 1.763625603740592 -1.780903563899969 -1.431707209662057
→region=phenyl1
         Н 2.716038261390732
                                -2.190869049673275 -3.672115451399807
→region=phenyl1
         H 2.611833078693977 -0.4241619800888815 -5.420308290235123
→region=phenyl1
         H 1.578029796368043 1.774138556616255
                                                    -4.884624561698751
                                                                           <u>ц</u>
⇔region=phenyl1
         H 0.6247213391616491 2.187200330357715 -2.64521108544713
                                                                   (continues on next page)
```

→region=phenyl1

		(continued fro	m previous page)
C 1.303528070245188	-0.1416812092038768	0.7303699949711653	L
C 0.8164830922475474	-1.314631142230651	1.326337082260565	<b></b>
→region=pheny12 C 1.531799364672407	-1.947399963062604	2.342825210379356	
→region=phenyl2 C 2.757684862125068	-1.432061688813837	2.765634667957531	<b>.</b>
→region=phenyl2 C 3.271640455523863	-0.2897364031184506	2.150731553729188	
→region=phenyl2	0 3432056352653093	1 134221563049466	
↔region=phenyl2	1 7266201012002	0.0020642206620857	
H -0.128925843064934 →region=phenyl2	-1./300201913903	0.9939642396630857	
H 1.133600273086767	-2.849990046242235	2.799740694330775	<b>—</b>
Н 3.31486005979636	-1.925049398411132	3.557912279830031	<b>_</b>
H 4.236604921323707	0.1064455961800578	2.457138367063388	<b>_</b>
→region=pheny12 H 2.976510069814392	1.222131876866508	0.6510413538003352	
→region=phenyl2 C -0.930165749820548	0.9153412637395284	-0.5420710991631585	
→region=phenyl3 C -1.791729737216814	0.6892660986048864	0.5418285200469819	
<pre></pre>	1 120542022267652	0 5000625262450257	_
→region=phenyl3	1.139342032207032	0.3090023303439337	
C -3.586568528476239 →region=phenyl3	1.843983986018719	-0.5977864609101087	
C -2.726152821786783 →region=phenyl3	2.111108432452229	-1.663369105880468	<b>_</b>
C -1.406454626777386	1.660929752085611	-1.63085383469072	<b>_</b>
H -1.428888457076976	0.1571120160719108	1.417905619994904	<b>_</b>
→region=pheny13 H -3.76723983501283	0.9462006794587581	1.35432032282366	
→region=phenyl3 H -4.614972346570283	2.194578435055282	-0.6233521468909432	
→region=phenyl3 H -3.080200905921361	2.678981846821393	-2.520207901691867	
→region=phenyl3	1 8012/8563160010	-2 459672151335554	
↔region=phenyl3	1.091240505100919	2.439072131333334	
C 1.235557647765805 →region=phenyl4	1.735720249011045	0.1803884343948648	
C 1.377191890012647 →region=phenyl4	1.826646222422494	1.573181692925026	<b>L</b>
C 1.905898822116255	2.975086608901246	2.16214311213053	<b>L</b>
C 2.280792642899383	4.061906342938987	1.371311861877147	<b>_</b>
G 2.105006642447361	3.998471351380415	-0.0115253875199488	<b></b>
→region=phenyl4 C 1.576317094651283	2.850163227898022	-0.6007264381779673	
→region=phenyl4 H 1.072424817958776	0.9937816064904853	2.202306496283991	
→region=phenyl4		(contin	ues on next page)

```
(continued from previous page)
              2.017471491684088
                                   3.023369029562452
                                                        3.242524256706377
          Н
                                                                               Ξ.
⇔region=phenyl4
          Н 2.693031233132915
                                  4.956641734238467
                                                       1.830324484771476
→region=phenyl4
          Н 2.372569859099136
                                  4.8485771293401
                                                      -0.6342066225733602
→region=phenyl4
         Н 1.427765851939196
                                 2.820397327218896
                                                      -1.677480576376967
→region=phenyl4
      End
  End
  GeometryOptimization
      Convergence
          Energy 1.0e-6
          Gradients 1.0e-4
          Step 1.0e-4
      End
  End
  Constraints
     # Use the region from System%Atoms to set up the block constraints.
     Block phenyl1
     Block phenyl2
     Block phenyl3
     Block phenyl4
  End
  Engine DFTB
      Model DFTB3
      ResourcesDir DFTB.org/3ob-3-1
      DispersionCorrection D3-BJ
  EndEngine
EOF
# 9. Frozen strain components
# _____
AMS_JOBNAME=freezestrain "$AMSBIN/ams" << EOF
Task GeometryOptimization
GeometryOptimization
   OptimizeLattice Yes
   Convergence Step=1.0e-3
End
Constraints
 # Keeps first two lattice vectors orthogonal to the third. Also fixes the
  # length of the third vector, keeping the graphene layer compressed.
  FreezeStrain xz yz zz
End
System
   Atoms
       C 1.332002504889882e-05 -0.0005830055256093706 -8.209389319526933e-06
```

```
(continues on next page)
```

C 1 22700250000000 0 7102112012520200 2 201155005225205- 00
C -1.22/99350000696 -0./102112812520209 2.2811556853252050-06
C -0.0006872840163290542 -0.0003386565731411325 -1.981477647175959
C 1.2274512359848 0.7092866246929653 -1.981478017299119
C 2.455989750017203 -0.000767672446473915 -5.638209535859324e-06
C 1.227983749989149 -0.7105220051279582 3.556077144406634e-06
C 2 455553905980411 -0 0003697961984884611 -1 981476578954899
C 3.00349403397032 0.7093774139714127 - 1.90147330378415
C 4.912014119974971 -0.0004697689000645081 8.202057640607653e-06
C 3.68401303002027 -0.7103327188132248 -6.644074866545941e-06
C 4.911561265976663 -0.0002732185613776612 -1.98147535090646
C -3.685503114025999 0.7094747213946447 -1.98147447813657
C -2.457004890026731 -0.0008782302621621878 8.760751751649826e-06
C -3.684994169978904 -0.7103491590560944 -6.913500704937906e-06
C -2 45740142402999 -0 0002120088132086839 -1 981473170030486
C 9.4699843//II9545e-06 1.41/9/0232416515 5.12041/805695/29e-06
C 1.227229005981529 2.127790824745807 -1.981476944534885
C 2.45544009594217 2.837313001498961 -1.981464045820237
C 3.683977240012926 2.127396400995821 -4.237131224100653e-06
C 2.456019429974761 1.41770041892015 8.271514976735398e-06
C 3.683520895940616 2.127826615636785 -1.981463536474189
C 4.911484545967099 2.837408990674362 -1.981472216079415
C 6 140019459971655 2 127636216669431 9 289406940173374e-06
0.13932/913931306 2.12/92209326636 -1.961460530660031
C -2.45/504644023984 2.83/5060/84608/6 -1.9814/5136/85154
C -1.229001220032881 2.127025640069692 1.077705178964691e-05
C -2.457025360024441 1.417788944250494 8.010947395781608e-06
C -1.229428944072945 2.128012192586653 -1.981459091806229
c -0.001217694074323372 2.837543459113209 -1.981458639351295
C 2.455982410005773 4.255441598373883 -1.892083560740779e-06
C 1.228003499971814 3.545886142064043 9.237737681677788e-06
C 2.455221785970465 4.255792992279458 -1.981473318340598
C 3.684014579960917 3.545723396055813 1.2809158299516976-05
C 4.9115203/595508/ 4.255828023455356 -1.9814682/8811
C 1.227655395958869 -3.547614761418386 -1.98146951906497
C -2.457018900008975 4.255512695928259 2.943041159330732e-06
C 6.140026009993287 3.545891232143294 2.20060806891485e-06
C 7.367526315913146 4.255927240986645 -1.981454533470139
C -6.141340994042006 -3.547511474074143 -1.981469232026619
C -0.001002500050462096 4.255387679251578 1.654017565004685e-05
C -1 228981830007242 3 545851372434187 2 37503105142233e-06
C = 3.063044064049419 = 3.34447/032300220 =1.30140602460346
C -2.455832350038186 -2.838708610558109 1.251523005803983e-05
C -1.228620264037983 -2.129205540950233 -1.981470550283798
C -0.0004192140835714842 -1.419477849521901 -1.981455609514733
C 1.228193719957573 -2.129406616582517 1.390527520593389e-05
C 0.0001477699611014405 -2.839255138681037 1.274825905530347e-05
C 1.227684425953123 -2.129163359695275 -1.981467635427455
C 2.455626385910209 -1.419413169537493 -1.981453570671329
$C = 6 \ 140842350045955 = 2 \ 129322170430451 \ 1 \ 50622693828282425 = 05$
= 0.170072000709000 - 2.1290221040401 - 1.0002209002024200 - 00
C 7.4201224/220112 _7.002700710110200 1.4/1013220/020/6=00

C 3.683689305925244 -2.129064842197087 -1.981458500475127
C -4.913374384079035 -1.419316522220884 -1.981457095053834
C -3.684843340052955 -2.129350560151249 1.735547419229382e-05
C -4.912808430047692 -2.839071261955975 1.563048016823986e-05
C -3.685268534086676 -2.128978772839927 -1.98145459141338
C -2.45712732409351 -1.419353221465499 -1.981452351546793
C -2.996192032925579e-05 -0.000699242152149526 3.962939886687566
C -1.228003971875175 -0.7103778453492622 3.962925088122617
C -0.000700355908038296 -0.0003332148789394825 1.981452859744668
C 1.227439704045832 0.7092909964964251 1.981467977918086
C 2.455972288079895 -0.000591484995550912 3.962939812711258
C 1.228003298120663 -0.7104549535647978 3.962926452096982
C 2.455542044092204 -0.0003647244468015716 1.981452779807835
C 3.683482354040657 0.7093820057289575 1.981469673526022
C 4.912000348117807 -0.0004686671456845799 3.96292738718769
C 3.683997778083127 -0.7103249572456309 3.962938753391991
C 4.911548884078128 -0.0002680168299863262 1.981457393146928
C -3.685515265933381 0.7094801331342701 1.981461165904004
C -2.457014661901717 -0.0008761383582568633 3.962933787286889
C -3.685007531928472 -0.7103425373777408 3.962942555784025
C -2.457412705931413 -0.0002065770405354501 1.981460520958165
C -1.229211335932976 0.7095228343414065 1.98146103281522
C 1.227967348110556 2.127386193561453 3.962929764672974
C -4.281883530521391e-06 1.417919455416095 3.96292782690195
C 1.227218624090811 2.127796526544795 1.981453236878594
C 2.455427384033809 2.83731889320433 1.981471917810995
C 3.683966438104317 2.127406352683383 3.962931809362267
C 2.4560061/80/1116 1.41//408/9//5001 3.962942690498066
C 4.9114/28/4041424 2.83/414422441095 1.981469423240322
C = 0.1400001001001/3 = 2.12/040900304/34 = 3.902933107/0320
C = 4.512000540002544 1.417575155000755 5.502545500055221
$C = 2 \ 157516635928298 \ 2 \ 837511270211092 \ 1 \ 981459501137365$
C = 1 229012451945974 2 127104470095207 3 962948291371187
C = 2 457033631907664 1 417792836176979 3 962935736248542
C -1 229441855971066 2 128017364296635 1 981473516125039
C = 0.001231065982844282 2.83754798082405 1.981477377543141
C 2.455970918062962 4.255446730162459 3.962945363741043
C 1.227990978068837 3.5458888883850297 3.962943437699987
C 2.455207564067417 4.255798083939164 1.981460905296909
C -1.228397585936881 -3.54769452897796 1.981462310609218
C 4.911964488060532 4.255420650159205 3.962946159605713
C 3.684002968100828 3.545727757822979 3.962932953064215
C 4.911507194030889 4.25583213519047 1.981472875202606
C 1.22764468406568 -3.547609799614339 1.981461473160161
C -2.457027591902453 4.255510887977889 3.962934029953071
C 6.140011718057854 3.545898453762834 3.962947035832434
C 7.367513404018803 4.25593209270268 1.981476837527609
C -6.141352815949912 -3.547506482310592 1.981466583645511
C -0.001013701916043441 4.255385511194097 3.962938483249906
C -1.228995721948309 3.545866003895579 3.962949056786192
C -0.001438315946372004 4.256019262267359 1.98146542401001
C -3.68505504595736 -3.547472521146422 1.981469025022036
C -1.227817711946263 -2.129397354545356 3.962948386486201
C -2.45584/60189824 -2.838693919188594 3.962932647309199
C =1.22863031596877 =2.129200899095368 1.981472763926178

```
C -0.0004312259786934947 -1.419471387806733 1.981476015973903
       C 1.228183428085925 -2.129384525179524 3.962937836939656
       C 0.0001342880538246494 -2.839225227582055 3.962948356816577
       C 1.227671624025677 -2.129158527960262 1.981474583888431
       C 2.455614294015247 -1.419407657803005 1.981478001551132
       C -6.140855951953014 -2.129314868796188 3.962950599781267
       C 2.456139408049645 -2.839212628030558 3.962949726809414
       C 3.683677514018658 -2.12905939044384 1.98147688315868
       C -4.913386125957436 -1.419311390469788 1.981469050050141
       C -3.68485488196171 -2.129348928295441 3.96295344930467
       C -4.91282016191732 -2.839063750265042 3.962938899273355
       C -3.685281025994509 -2.12897353111013 1.981481200585353
       C -2.457141595966529 -1.419347949824808 1.981472029890663
   End
   Lattice
       9.825000579999999 0.0 0.0
       4.91182904 8.51302256 0.0
       0.0 0.0 8.0
   End
End
Engine DFTB
   Model DFTB
   ResourcesDir DFTB.org/mio-1-1
   KSpace
       Quality GammaOnly
   End
EndEngine
EOF
# 10. Equalized strain components
# _____
AMS_JOBNAME=equalstrain "$AMSBIN/ams" << EOF
Task GeometryOptimization
GeometryOptimization
   OptimizeLattice Yes
   Convergence Step=1.0e-3
End
Constraints
  # Keep the cell cubic, but allow the size of the cube to vary.
  FreezeStrain xy xz yz
  EqualStrain xx yy zz
End
System
   Atoms
       C -0.132285 3.230196 3.399625
       H 0.67231 2.571995 3.747816
       H -0.546925 3.782407 4.25108
       Н -0.921872 2.627955 2.935193
       Н 0.267346 3.938428 2.664409
```

С	2.647972 3.79511 0.161215
Н	2.745753 2.707187 0.254494
Н	2.108302 4.189352 1.030219
Н	2.093026 4.03506 -0.753279
Н	3.644808 4.248843 0.113424
С	-3.290954 -3.607704 -3.419879
Н	-4.099867 -4.000479 -4.046956
Н	-2.386445 -3.482146 -4.026458
Н	-3.088346 -4.309774 -2.602634
Н	-3.589157 -2.638419 -3.003466
С	-3.900392 1.971446 -2.092972
Н	-2.821972 1.97396 -1.895882
Н	-4.303924 2.977719 -1.930439
Н	-4.394183 1.265761 -1.414725
Н	-4.081488 1.668344 -3.130841
С	-3.143958 -3.520015 3.393796
Н	-3.128547 -4.088022 2.456262
Н	-3.994325 -3.845525 4.004334
Н	-3.24151 -2.450891 3.171766
Н	-2.211449 -3.695621 3.94282
С	-0.31406 -0.626145 3.522914
Н	-0.044022 0.228271 4.154493
Н	-1.353702 -0.912447 3.720437
Н	0.346904 -1.471041 3.749005
H	-0.205421 -0.349364 2.467723
С	3.411151 -3.454122 0.161835
H	2.877462 -2.569463 0.528433
H	4.211866 -3.141886 -0.518755
Н	2.711585 -4.107617 -0.372385
Н	3.843691 -3.997522 1.010048
Н	-3.283653 -0.451758 -4.172013
Н	-1.922139 0.650252000000001 -3.802207
Н	-2.586463 -0.412172 -2.523601
С	-2.360175 -0.332772 -3.593334
H	-1.648446 -1.11/408 -3.8/5514
С	3.046249 -3.33059 3.76859
H	2.414628 -3.18136 2.88506
Н	2.465863 -3.831302 4.55235
н	3.39517 -2.358701 4.136729
н	2.006400 2.72574 0.4620
L L L L L L L L L L L L L L L L L L L	-3.000400 $3.73374$ $0.4030$
п	-2.333803 3.390117 -0.403403
н	-3.469203.2.710618.0.397221
н	-3 922599 / /22208 0 611196
C	3.736451 0.338903 -0.234383
Н	4 139844 -0 659226 -0 441391
Н	4.286082 0.789262 0.600435000000001
н	2.675343 0.256879 0.028602
Н	3.844535 0.968696 -1.125179
C	-0.953217 3.761489 -3.029722
H	-0.738671 2.687271 -2.986546
H	-2.017033 3.913231 -3.24677
Н	-0.349572 4.223228 -3.819817
Н	-0.707592 4.222226 -2.065757
C	3.438238 3.368005 3.536049
	2 710060 2 020104 2 521622

Н	4.305113 3.831685 4.021198
Н	3.102844 2.509703 4.129906
Н	2.62603 4.10053 3.461459
С	-0.093351 2.447961 0.147782
Н	0.412783 2.191741 -0.790311
Н	-1.100739 2.015519 0.149423
Н	-0.163522 3.53817 0.239205
Н	0.478074 2.046413 0.99281
С	-0.0673789999999999999 -1.067744 -0.644773
Н	0.831493 -1.69444 -0.611303
Н	-0.920384 -1.630791 -0.248288
Н	-0.271712 -0.77696 -1.681851
Н	0.091087 -0.168785 -0.037648
С	-3.13266 0.095347 1.684164
Н	-2.468956 -0.758832 1.506078
Н	-3.797646 -0.127993 2.526632
Н	-3.731911 0.285949 0.786162
Н	-2.532126 0.982263 1.917783
С	-3.650862 -2.700373 -0.074687
Н	-4.155919 -2.467829 0.87013
Н	-2.740814 -3.276506 0.129574
Н	-3.385824 -1.767849 -0.58654
Н	-4.32089 -3.289309 -0.711913
С	3.803884 3.754796 -3.348637
Н	3.946713 2.667857 -3.350306
Н	2.769436 3.987861 -3.626912
Н	4.48894 4.214494 -4.07059
Н	4.010448 4.148971 -2.34674
С	2.868209 0.11231 2.894284
Н	2.317604 0.914041 2.388417
Н	2.406222 -0.0905500000000001 3.867554
Н	3.909847 0.420825 3.041412
Н	2.839161 -0.795073999999999 2.279753
С	-0.320765 -3.560008 1.887422
Н	-0.965068 -2.68183 2.011986
Н	0.02585 -3.901247 2.869895
Н	0.543227 -3.294315 1.26713
Н	-0.88707 -4.36264 1.400678
С	2.415398 -1.437717 -2.776235
Н	1.964383 -1.676188 -3.746573
Н	2.445411 -2.340763 -2.155392
Н	1.816728 -0.668767 -2.274091
Н	3.43507 -1.065149 -2.928883
С	-3.625996 2.934989 3.78523
Н	-4.070333 2.734452 2.803299
H	-3.043299 2.064066 4.107404
H	
н	
C	T.4772222 T.229242 -2.327017
H	$0.000400 \ 0.00054 \ -3.034200$
H	2.3202 1.000133 -4.321323
H	1.010409 1.921293 T2.92272 1.136949 9.368054 _4.588936
п	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
с ц	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
n u	-0.857142 -3.347281 -2.055678
п	0 888225 -3 744967 -2 034598
11	0.000220 0.111901 2.001090

```
Н -0.156019 -4.368152 -3.348409
   End
   Lattice
       10.0 0.0 0.0
       0.0 10.0 0.0
       0.0 0.0 10.0
   End
End
Engine DFTB
  Model DFTB
   ResourcesDir DFTB.org/mio-1-1
   KSpace
       Quality GammaOnly
   End
EndEngine
EOF
```

# 11.2 Transition state search

## 11.2.1 Example: TS search starting from initial Hessian

Download COChainFreqTS.run

```
#! /bin/sh
# This example demonstrates in the first step how to calculate the Hessian.
# The second run uses the pre-calculated Hessian and performs a transition
# state search along the frequency mode with the smallest frequency.
# First run: Calculate Hessian
# _____
AMS_JOBNAME=hessian $AMSBIN/ams << EOF
  Task SinglePoint
  Properties
    Hessian True
  End
  System
     Atoms
      C 0.0 0.0 0.0
       0 1.5 0.5 0.0
     End
     Lattice
       3.2 0.0 0.0
     End
  End
  Engine Band
                                                                     (continues on next page)
```

```
Basis Type=DZP
     KSpace Quality=Good
  EndEngine
EOF
# Second run: TS search with initial Hessian
# _____
AMS_JOBNAME=TS $AMSBIN/ams << EOF
  Task TransitionStateSearch
  System
     Atoms
       C 0.0 0.0 0.0
       0 1.5 0.5 0.0
     End
     Lattice
       3.2 0.0 0.0
     End
  End
  GeometryOptimization
     Convergence Gradients=1.0e-4
     InitialHessian
        # Load the pre-calculated Hessian as the initial Hessian for the
        # transition state search using the Quasi-Newton based optimizer.
        Type FromFile
        File hessian.results/band.rkf
     End
  End
  Properties
     # Also calculate normal modes in the end, so we can see if we actually
     # found a transition state.
     NormalModes True
  End
  Engine Band
     Basis Type=DZP
     KSpace Quality=Good
  EndEngine
EOF
```

## 11.2.2 Example: PES scan and TS search for H2 on graphene

Download PESScan\_and\_TS\_H2\_on\_Graphene.run

```
#! /bin/sh
# First we do a 2D PES scan varying the z-coordinate of the two hydrogen atoms
# In this example we will keep the graphene slab fixed. From a physical/chemical
```

```
# standpoint this is not a good approximation. The graphene slab is
# intentionally not perfectly symmetric.
AMS_JOBNAME=PESScan $AMSBIN/ams << EOF
  Task PESScan
  System
     Atoms
       H 0.0 1.53633037 1.1
H 0.0 -0.11341359 1.1
        C 0.001 1.42028166 0.0
        C 1.230 2.13042249 0.0
        C 1.230 -0.71014083 0.0
        C 2.460 0.0000000 0.0
        C 2.460 1.42028167 0.0
        C 0.000 0.0000000 0.0
     End
     Lattice
             -2.13042249 0.0
       3.69
       0.00 4.26084499 0.0
     End
  End
  PESScan
     ScanCoordinate
       nPoints 10
       Coordinate 1 Z 1.1 2.0
     End
     ScanCoordinate
       nPoints 10
        Coordinate 2 Z 1.1 2.0
     End
  End
  GeometryOptimization
    Convergence Step=1.0e-3
  End
  Constraints
    # Fix the entire graphene slab.
     Atom 3
     Atom 4
     Atom 5
     Atom 6
     Atom 7
     Atom 8
  End
  Engine DFTB
    Model DFTB
     ResourcesDir DFTB.org/3ob-3-1
     DispersionCorrection D3-BJ
     KSpace
       Type Symmetric
       Symmetric KInteg=3
     End
```
```
EndEngine
EOF
# A human looks at the PES scan and picks a reasonable starting point for the
# TS search. (Normally you would do that in AMSMovie by looking at the PES and
# then exporting the geometry into an xyz file.)
#
          _
#
          _))
                      [ / \
#
        ) //0
                       | | ]
#
       (
           >
                       1 1 1
#
     (0) \
                       1 1 1
#
     [/] /
          ()
                      [___]/_
#
     [ \]  ( )
#
     [/]|
            \ \
#
     [\]/
           \___
                E/88/1
#
     [/] | =====_____
                  (
cat << EOF > initial_geometry_for_TS.xyz
8
   0.4145668856457391 1.72927656037925 1.100000023839768 region=H2
н
Н
 -0.05533871972549955
                          -0.06805093626643093 1.500000013242627
                                                                      region=H2
С
   0.001
                           1.42028166
                                                 0.0
С
   1.230
                           2.13042249
                                                 0.0
                          -0.71014083
                                                 0.0
С
   1.230
С
   2.460
                           0.00000000
                                                 0.0
С
   2.460
                           1.42028167
                                                 0.0
С
   0.000
                                                 0.0
                           0.00000000
VEC1 3.69 -2.13042249 0.0
VEC2 0.0 4.26084499 0.0
EOF
# Compute the partial initial Hessian to be used in the transition state
# search. (The Hessian will be computed only for the hydrogen atoms.)
AMS_JOBNAME=Hessian $AMSBIN/ams << EOF
  Task SinglePoint
  System
     # Load the geometry we just saved.
     GeometryFile initial_geometry_for_TS.xyz
  End
  Properties
     # Calculate the Hessian (implied when calculating normal modes) ...
     NormalModes True
     # ... but only the part related to the hydrogen atoms.
     SelectedRegionForHessian H2
  End
  Engine DFTB
     Model DFTB
```

```
ResourcesDir DFTB.org/3ob-3-1
     DispersionCorrection D3-BJ
     KSpace
       Type Symmetric
       Symmetric KInteg=3
      End
   EndEngine
EOF
echo "Extract the frequencies from the kf file using amsreport:"
$AMSBIN/amsreport Hessian.results/dftb.rkf -r "Vibrations%Frequencies[cm-1]##1"
# Do a transition state search using the initial Hessian just computed (the
# Graphene slab is constrained). Also compute the final Hessian for the
# hydrogen atoms to validate the TS.
AMS_JOBNAME=TS $AMSBIN/ams << EOF
  Task TransitionStateSearch
  System
      # Load the geometry we just saved.
     GeometryFile initial_geometry_for_TS.xyz
  End
  GeometryOptimization
     Ouasi-Newton
        Step TrustRadius=0.05
     End
      Convergence Gradients=1.0e-4
      InitialHessian
          # Load previously calculated Hessian as initial Hessian for a
          # transition state search with the Quasi-Newton optimizer.
          Type FromFile
          File Hessian.results/dftb.rkf
      End
  End
   TransitionStateSearch
       # Follow the mode with the smallest frequency.
      ModeToFollow 1
       # (This is also the default, we wouldn't need to specify this.)
  End
   Constraints
     # Fix the entire graphene slab.
     Atom 3
     Atom 4
     Atom 5
     Atom 6
     Atom 7
     Atom 8
  End
  Properties
```

```
NormalModes Yes
SelectedRegionForHessian H2
End
Engine DFTB
Model DFTB
ResourcesDir DFTB.org/3ob-3-1
DispersionCorrection D3-BJ
KSpace
Type Symmetric
Symmetric KInteg=3
End
EndEngine
EOF
echo "Extract energy from the rkf file using amsreport:"
$AMSBIN/amsreport TS.results/dftb.rkf -r "AMSResults%Energy"
```

# 11.3 Nudged Elastic Band (NEB)

### 11.3.1 Nudged Elastic Band (NEB) Examples

Here are a few examples showing how the NEB method can be used to obtain the the path and transition state of a reaction.

#### See also:

Nudged Elastic Band (NEB) Documentation (page 62)

#### **HCN** isomerization reaction with NEB

Download NEB\_HCN.run

#### H2 dissociation on graphene

Download NEB\_H2\_on\_graphene.run

#### **Running multiple NEB calculations using PLAMS**

Download NEB.plms This example should be executed using PLAMS. See also: PLAMS documentation and tutorial

# 11.4 Intrinsic reaction coordinate (IRC)

## 11.4.1 Example: IRC for HCN

Download IRC\_HCN.run

```
#!/bin/sh
# == IRC scan of the reaction path ==
# The IRC calculation is split in two steps to illustrate the Restart feature.
# In the first calculation only a few points are computed along the so-called
# 'forward' path. The definition of which is 'forward' and which is
# 'backward' depends on the sign of the largest component of the normal mode
# corresponding to the reaction coordinate.
# The RKF file from this partial IRC scan serves as restart file
# for the next calculations that will continue the IRC scan.
# The 'MaxPoints' key in the IRC block is used to limit the number of IRC
# points to compute.
AMS_JOBNAME=irc1 $AMSBIN/ams << eor
Task IRC
System
   Atoms
       С
              0.00000000000
                                   0.00000000000
                                                        0.000000000000
              0.000000000000
                                   0.000000000000
                                                        -1.182644220000
       N
              -1.103250760411
                                   0.00000000000
                                                        -0.322462130000
       Η
   End
End
IRC
   MaxPoints 5
   Direction Forward
   CoordinateType Cartesian
   InitialHessian
       Type Calculate
   End
End
Engine DFTB
   Model DFTB3
   ResourcesDir DFTB.org/3ob-3-1
EndEngine
eor
# In the second IRC run, the IRC scan is finished. We start with the RKF file
# from the previous run and omit the MaxPoints from the settings, which means
# that the default 100 will be used. Note that the 100 also includes any points
# computed in the previous calculation. The program starts on
# the forward path, continuing where the first calculation had stopped,
```

```
# and completes it. Since we set the Direction to Both
# then AMS proceeds to the backward path. After both paths are finished a summary
# of the path characteristics is printed at the end of the output file.
AMS_JOBNAME=irc2 $AMSBIN/ams << eor
Task IRC
System
   Atoms
             0.000000000000.00000000000.00000000000.000000000000.0000000000-1.182644220000-1.1032507604110.00000000000-0.322462130000
       С
       Ν
       Н
    End
End
TRC
    Restart
       File irc1.results/ams.rkf
    End
    ! Change options from the ones found in the restart file
    ! (MaxIRCPoints and MaxPoints will be reset to defaults automatically)
    Direction Both
End
Engine DFTB
   Model DFTB3
    ResourcesDir DFTB.org/3ob-3-1
EndEngine
eor
```

### 11.4.2 Example: TS and IRC for Claisen reaction

Download TS\_and\_IRC\_Claisen.run

```
C -1.9443405437492 -4.1131780924428 -0.3870796280948
     C -1.0139402388630 -3.8827189276564 0.5979937257975
       -0.7543606665660 -2.5518266272325 1.0788971265869
     C
     H -3.2590342954832 -0.9734836183880 -0.7410179986476
     Н
       -3.5074724102535 -3.2690301027846 -1.6324229738243
       -2.1044351565280 -5.1220091436618
                                        -0.7615296311573
     Н
       -0.4323033724363 -4.7107876462166 1.0021729248699
     Н
     н
       -0.3533176373037 -2.4841001370767
                                         2.0927436180830
     0 -1.5058234397159 -0.2844936546832 1.1029538316409
       0.1375150486634 0.3928947854321 0.4626789880484
     С
       1.0578648498087 -0.6180364119671 0.7737587143345
     C
     С
       0.8861173890663 -1.8991002496105 0.2125497351161
     H 0.5725481135101 -1.9591100578644 -0.8292382400922
     H -0.2171264706145 0.4859594211539 -0.5641152540806
     Н
       0.1902646842718 1.3359879065177 1.0025369222419
     н
       1.5779002347488 -0.5540482019233 1.7307360489935
       1.6031168776346 -2.6724262749099 0.4842127285858
     Н
  End
End
Properties NormalModes=Yes
GeometryOptimization
   InitialHessian Type=Calculate
End
Engine DFTB
  Model SCC-DFTB
   ResourcesDir DFTB.org/3ob-3-1
EndEngine
eor
AMS_JOBNAME=IRC_molecule $AMSBIN/ams << eor
Task IRC
TRC
  MaxIterations 1000
  InitialHessian
     Type FromFile
     File TS_molecule.results
  End
end
LoadSystem
   File TS_molecule.results/ams.rkf
End
Engine DFTB
  Model SCC-DFTB
   ResourcesDir DFTB.org/3ob-3-1
EndEngine
eor
# _____
```

```
(continues on next page)
```

# Claise	n rearrangement f	for a (periodic) po	lymer containing the same aromat	ic
# ======	======================================	======================================		==
'n				
AMS_JOBN	AME=TS_polymer \$A	MSBIN <b>/ams</b> << eor		
Task Tra	nsitionStateSearc	h		
System				
Atoms				
С	9.4367476128766	1.6156795441351	0.8542644025030	
C	8.6813349262903	0./3028655/5002	0.01/03/4963868	
C	9.3238583586638	-0.143841/5/4104	-0.8314//3024306	
C	10.741095802442	-0.1538813927018	-0.9297572682512	
C	11.499909556565	1 6861365363275	0 6817028097694	
C	7 1928255650616	0 6847044785103	0 0090333093191	
н	8 7472521390038	-0.8479982636647	-1 4293934603813	
Н	11.224348014063	-0.8771406786637	-1.5831686891584	
C	12.930798908912	0.8113031163622	-0.1971191730819	
Н	11.474366091679	2.0120169601893	1.5412690799783	
0	8.8401614254340	2.4539245100299	1.6503819438045	
С	9.0152184164720	4.1939147755043	0.9354292967913	
С	10.386800460742	4.3070474573655	0.6675488559614	
С	10.983233806221	3.4166811386158	-0.2473786535066	
Н	10.425317882010	3.1661173633779	-1.1491528320010	
Н	8.3301833043316	4.0024301166114	0.1091130317749	
Н	8.5863952766270	4.7863410214548	1.7409077918798	
Н	11.023307058306	4.7274400682699	1.4475913648158	
Н	12.053474297431	3.5124067242738	-0.4244212846926	
С	13.89/1913/3368	-0.0308805104165	-0./20640//08622	
C	15.26/346128343	0.22/4543686942	-0.4348415320624	
C	16.387786908031	-0.4341/104/7973	-0.8962646879782	
C	0.2040204493003 A 869A12330A551	0 1638639/19185	-0.3//2585289372	
C	3 7074226634814	-0 4584344973285	-0 8689206409329	
C	2 4653410147781	0.0102007864139	-0 4848760767909	
Н	16.298210512111	-1.2787892169088	-1.5797017846452	
Н	3.7984002771976	-1.2806821291979	-1.5787594353897	
Н	6.8240750625775	1.4435007199212	0.7047818340481	
Н	13.314194383001	1.6434188966421	0.3984353613768	
Н	13.626424975736	-0.8770823766787	-1.3528414377622	
Н	15.451469431625	1.0743039058568	0.2322503424471	
Н	2.4641684561885	0.8477050600186	0.2182734314177	
Н	6.4819803798672	-0.8741436365939	-1.3339335062636	
Н	4.6807561767470	0.9698264886906	0.3703766590249	
End				
Latti	ce			
15 End	.210 0.0 0.0			
End				
UTU				
Properti	es			
Norm	alModes Yes			
End				
Geometry	Optimization			
			(con	tinues on next page)

```
Method Quasi-Newton
    InitialHessian Type=Calculate
End
Engine DFTB
   Model SCC-DFTB
   ResourcesDir DFTB.org/3ob-3-1
EndEngine
eor
AMS_JOBNAME=IRC_polymer $AMSBIN/ams << eor
Task IRC
IRC
  MaxIterations 1000
  InitialHessian
     Type FromFile
     File TS_polymer.results
  End
  Direction Forward
end
LoadSystem
   File TS_polymer.results/ams.rkf
End
Engine DFTB
  Model SCC-DFTB
   ResourcesDir DFTB.org/3ob-3-1
EndEngine
eor
```

# 11.5 PES scan

## 11.5.1 Example: Linear transit

Download LinearTransit.run

```
#!/bin/sh
echo "============="
echo "HCN isomerization"
echo "==============="
echo
AMS_JOBNAME=HCN_isomerization $AMSBIN/ams << EOF
Task PESScan
# (Linear transit is just a PES scan with 1 scan coordinate.)</pre>
```

```
System
      Atoms
                 0.0000000
        С
                                     0.0000000
                                                          1.04219000
         Н
                  0.00000000
                                     0.0000000
                                                         -0.03324000
                                                          2.20064000
         Ν
                   0.00000000
                                     0.00000000
       End
   End
   PESScan
      ScanCoordinate
        nPoints 25
         Angle 2 1 3 180.0 0.0
      End
   End
   Engine DFTB
      Model DFTB0
      ResourcesDir DFTB.org/mio-1-1
   EndEngine
EOF
echo
echo "========"
echo "Water angle transit"
echo "==========="
echo
AMS_JOBNAME=water_angle $AMSBIN/ams << EOF
   Task PESScan
   System
      Atoms

        O
        0.0000000
        0.0000000
        0.59372000

        H
        0.0000000
        0.76544000
        -0.00836000

        H
        0.0000000
        -0.76544000
        -0.00836000

        0
      End
   End
   PESScan
      ScanCoordinate
         nPoints 25
         Angle 2 1 3 80.0 180.0
      End
   End
   GeometryOptimization
     ! Delocalized coordinates currently have a problem with linear systems.
      ! So we will use cartesian coordinates here.
      CoordinateType Cartesian
   End
   Engine DFTB
      Model DFTB0
```

```
ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
echo
echo "======================="
echo "Hydrocarbon reaction"
echo "========"
echo
AMS_JOBNAME=hydcarb $AMSBIN/ams << EOF
  Task PESScan
  System
     Atoms
              0.14667300-0.215035000.400538001.45297400-0.078369000.124244002.231197001.158681000.12912100
       С
        С
              2.23119700
                              1.15868100
        С
                                               0.12912100
        С
              1.78331500
                                              0.38779700
                              2.39701500
        н
             -0.48348000
                              0.63110600
                                              0.67664100
        Н
             -0.33261900
                            -1.19332100
                                              0.35411600
        Н
              2.01546300
                             -0.97840100
                                             -0.14506700
        Н
              3.29046200
                              1.03872500
                                             -0.12139700
        Η
              2.45728900
                              3.25301000
                                              0.35150400
        Н
              0.74193400
                              2.60120700
                                              0.64028800
              -0.75086900
        С
                              1.37782400
                                              -2.43303700
        С
                              2.51281000
                                              -2.41769100
              -0.05392100
        Η
              -1.78964800
                              1.33942600
                                              -2.09651100
        Η
              -0.30849400
                               0.43896500
                                              -2.76734700
        Н
              -0.49177100
                               3.45043100
                                              -2.06789100
                                              -2.74329400
        Η
              0.98633900
                               2.54913500
     End
  End
  PESScan
     ScanCoordinate
       nPoints 25
       Distance 1 11 3.36 1.538
       Distance 4 12 3.36 1.538
     End
  End
  Engine DFTB
     Model DFTB0
     ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
echo
```

echo "Retinal	trans -> 11-cis	isomerization"		· • • • •
echo "======		"		
echo				
AMS JOBNAME=r	etinal transcis \$	AMSBIN/ams << EOF		
Task PESSo	can			
System				
Atoms	0 10000470	1 50000700	0 20004512	
Н	-2.10968473	-1.58238733	0.78224517	
C	-2.10306857	-0.54058322	0.46363503	
U U	-0.09430993	1 05/22602	0.2002659	
п	-0.00000401	-0 59661192	-0.10000000	
C	1 53213446	-0.38661182	0.49036464	
н	1 /05189/9	1 08783970	-0 29205231	
н	3 05232192	-1 3//77/92	0.72115301	
C	2 88311454	-0 36358433	0.28105432	
C	3 96024700	0 37378345	-0 12385974	
Н	3.77965758	1.35231793	-0.56821856	
C	5.34627719	-0.04025647	-0.02249097	
C	6.32191717	0.80135945	-0.49190463	
Н	6.00090638	1.74979100	-0.92101391	
С	-4.46825064	-0.90426552	-0.39585925	
С	-5.87277429	-0.25303564	-0.45007491	
С	-3.41139545	0.06493448	0.19516310	
С	-3.67932839	1.38221399	0.41656971	
С	-5.81598497	1.19032366	-0.92660753	
С	-5.00049358	2.01922634	0.05561242	
С	-4.58391145	-2.18782901	0.46346394	
С	-4.01729542	-1.30039402	-1.82272212	
С	-2.72429960	2.32303313	1.10290124	
С	0.40919453	-1.96244629	1.09501374	
С	5.64155973	-1.38034133	0.59419110	
С	7.76996060	0.56699126	-0.48750226	
0	8.57693167	1.36615612	-0.92976322	
Н	-6.51997817	-0.84904979	-1.10100203	
Н	-6.32039371	-0.28079023	0.54871092	
Н	-5.36159995	1.23817633	-1.92112092	
Н	-6.82595442	1.60207678	-1.01946858	
Н	-5.58216571	2.18390764	0.97424181	
Н	-4.81292271	3.01993001	-0.35246294	
Н	-4.74166770	-1.94289144	1.51126095	
H	-5.43008715	-2.78247632	0.125/24/9	
H	-3.69644845	-2.81116549	0.38/05593	
H	-3.02900804	-1.75403268	-1.79820003	
н	-4.71056940	-2.01489741	-2.26202914	
н	-3.97070839	-0.42860260	-2.4/090348	
H U	-2.10409000	Z.9ZZ0IIUU 3.02207011	U.JOIII/30 1 72885222	
п u	-2 00/70188	J.UZZJ/JII 1 70265102	1 72726573	
п	-0 13689001	-1 9771707 <i>/</i>	2 03825359	
п ц	-0 0766/772	-2 6212/15/	0 43383303 2.03023333	
п	1 41837/01	-2 31391556	1 28591185	
н	5.15278730	-2.17622743	0.03222328	
H	6.70436647	-1.59729505	0.62729622	
**	0.,010001,	2.03/23000		

```
Н
                5.25700064
                              -1.42489613
                                               1.61313095
        Н
                8.12614442
                              -0.41441814
                                               -0.04549414
     End
  End
  PESScan
     ScanCoordinate
       nPoints 25
       Dihedral 6 9 10 12 180 0
       Dihedral 8 9 10 11 180 0
     End
  End
  Engine DFTB
     Model DFTB0
     ResourcesDir DFTB.org/mio-1-1
  EndEngine
EOF
```

#### 11.5.2 Example: 2D PES scan

Download PESScan.run

```
#!/bin/sh
echo "========="
echo "Ethane torsion"
echo "==========="
echo
AMS_JOBNAME=ethane_torsion $AMSBIN/ams << EOF
  Task PESScan
  System
     Atoms
                0.0
                           U./03/0
-0.76576
       С
          0.0
         0.0
                      0.0
       С
       Н -0.88668938 0.51193036 1.16677
       H 0.88668938 0.51193036 1.16677
       Н 0.0
                     -1.02386071 1.16677
       н 0.0
                      1.02386071 -1.16677
       Н -0.88668938 -0.51193036 -1.16677
       Н 0.88668938 -0.51193036 -1.16677
     End
  End
  PESScan
     # First scan coordinate: C--C bond distance
     ScanCoordinate
       nPoints 5
       Distance 1 2 1.3 1.7
     End
```

```
# Second scan coordinate: One of the H--C--C--H dihedral angles (others will_
\rightarrow follow naturally)
     ScanCoordinate
        nPoints 21
        Dihedral 3 1 2 6 60.0 0.0
      End
  End
  GeometryOptimization
     Convergence Step=1.0e-3
  End
  Engine DFTB
     Model DFTB3
     ResourcesDir DFTB.org/3ob-3-1
     DispersionCorrection D3-BJ
  EndEngine
EOF
echo "========"
echo "Ethene torsion"
echo "=========="
echo
AMS_JOBNAME=ethene_torsion $AMSBIN/ams << EOF
  Task PESScan
  System
     Atoms
           0.0 0.0 0.66687
- - - - 0.66687
        С
        C 0.0 0.0 -0.66687
H 0.0 0.92974 -1.23912
        Н 0.0 0.92974 1.23912
        Н 0.0 -0.92974 1.23912
        Н 0.0 -0.92974 -1.23912
     End
  End
  PESScan
      # First scan coordinate: C--C bond distance
      ScanCoordinate
        nPoints 5
        Distance 1 2 1.1 1.8
     End
     # Second scan coordinate: Two of the H--C--C--H dihedrals
     ScanCoordinate
       nPoints 21
        Dihedral 4 1 2 3 0.0 60.0
        Dihedral 5 1 2 6 0.0 60.0
     End
  End
  GeometryOptimization
     Convergence Step=1.0e-3
```

```
End
  Engine DFTB
     Model DFTB3
     ResourcesDir DFTB.org/3ob-3-1
     DispersionCorrection D3-BJ
  EndEngine
EOF
# Below are more technical examples, demonstrating the PES scan gap filling.
# The QUASINANO2015 parameter set shows some discontinuities in the PES,
# which leads to problems with convergence. The first job leaves the
# non-converged steps as is while the second job instructs AMS to
# attept a second optimization for non-converged point starting from
# a different initial geometry.
echo "Ethane gap filling test (1/2)"
echo
AMS_JOBNAME=ethane_nofillgaps $AMSBIN/ams << EOF
  Task PESScan
  System
     Atoms
        C -2.333834610464788 -2.268837915270455 -0.2417723425321957
        C -0.8081611038872945 -2.334371994724881 -0.04271045326758349
        Н
          -0.2505615773096904
                              -1.473443563856088 -0.38077110593546
          -0.3249814761083244 -3.235478579439597 -0.3904810245975267
        H
        H -0.583247370537557 -2.349691649662279 1.013499336841977
        H -2.817014238243758 -1.367731330555738 0.1059982287977475
        H -2.891434137042391 -3.129766346139247 0.09628831013568076
        H -2.558748343814525 -2.253518260333056 -1.297982132641757
     End
  End
  GeometryOptimization
     CoordinateType Cartesian
     Convergence Step=1.0e-3
  End
  PESScan
     FillUnconvergedGaps False
     CalcPropertiesAtPESPoints True
     ScanCoordinate
       nPoints 10
        Distance 1 2 1.4 1.7
     End
     ScanCoordinate
        nPoints 10
        Distance 7 1 1.0 1.2
        Dihedral 7 1 2 3 60.0 180.0
```

```
End
  End
  Engine DFTB
     Model SCC-DFTB
     ResourcesDir QUASINANO2015
  EndEngine
EOF
echo "================================="
echo "Ethane gap filling test (2/2)"
echo "========================"
echo
AMS_JOBNAME=ethane_fillgaps $AMSBIN/ams << EOF
  Task PESScan
   System
     Atoms
        C -2.333834610464788 -2.268837915270455 -0.2417723425321957
        C -0.8081611038872945 -2.334371994724881 -0.04271045326758349
        H -0.2505615773096904 -1.473443563856088 -0.38077110593546
        H -0.3249814761083244 -3.235478579439597 -0.3904810245975267
        H -0.583247370537557 -2.349691649662279 1.013499336841977
        H -2.817014238243758 -1.367731330555738 0.1059982287977475
        H -2.891434137042391 -3.129766346139247 0.09628831013568076
        H -2.558748343814525 -2.253518260333056 -1.297982132641757
     End
  End
  GeometryOptimization
     CoordinateType Cartesian
     Convergence Step=1.0e-3
  End
  PESScan
     FillUnconvergedGaps True
     CalcPropertiesAtPESPoints True
     ScanCoordinate
        nPoints 10
        Distance 1 2 1.4 1.7
     End
     ScanCoordinate
        nPoints 10
        Distance 7 1 1.0 1.2
        Dihedral 7 1 2 3 60.0 180.0
     End
  End
  Engine DFTB
     Model SCC-DFTB
     ResourcesDir QUASINAN02015
  EndEngine
```

EOF

(continued from previous page)

# **11.6 Molecular dynamics**

### 11.6.1 Example: Simple MD for H2

Download MD\_hydrogen\_longrun.run

```
#!/bin/sh
$AMSBIN/ams << eor</pre>
Task MolecularDynamics
MolecularDynamics
   nSteps 1000
   TimeStep 0.1
   InitialVelocities Type=zero
   Thermostat Type=none
   Trajectory SamplingFreq=100
End
System
  Atoms [Bohr]
     н -2.0 0.0 0.0
       Н 2.0 0.0 0.0
   End
End
Engine DFTB
 Model SCC-DFTB
  ResourcesDir Dresden
  Occupation Strategy=Fermi Temperature=5
  Repulsion
       forcePolynomial true
  End
  DispersionCorrection Auto
EndEngine
eor
```

### 11.6.2 Example: MD for a box of water

Download H20\_nreac.run

# 11.6.3 Example: Lattice deformations in MD

Download MD\_Deformation.run

```
#!/bin/sh
# This example consists of three MD runs exercising the Deformation feature in MD:
# 1. Simple deformations of a 2D slab
  2. Complex sequence of deformations of a 3D box including multiple simultaneous.
#
→ deformations
# 3. Combination of a uniaxial strain with barostatting the remaining directions
# This run first oscillates the lengths of the lattice vectors of the original non-
⇔orthogonal
# lattice of an argon slab to 20 and 12 Angstrom respectively and back to the.
→original values,
# with a period of 125 fs. Afterwards, it linearly morphs the lattice to a 5 by 5.
→Angstrom square.
AMS_JOBNAME=Ar-2D "$AMSBIN/ams" << EOR
# Set the random number seed to ensure reproducible results for testing. Omit this in,
⇔normal runs.
RNGSeed -2056449389 -1942239902 -422783941 -563204803 439708673 85320298 1328648683 -
⇔688288369
Task MolecularDynamics
MolecularDynamics
   nSteps 1000
   TimeStep 0.25
   CalcPressure True
   InitialVelocities Type=Zero
   Trajectory SamplingFreg=10
   Deformation
       StopStep 500
       Type Cosine
       TargetLength 20 12
       Period 125
   End
   Deformation
       StartStep 500
        Type Linear
        TargetLattice
           500
            0 5 0
        End
    End
End
System
   Atoms
       Ar 0.5 0.6 0.7
       Ar 3.0 3.2 3.4
   End
   Lattice
       10.0 2.0 0.0
        1.0 10.0 0.0
    End
End
Engine LennardJones
   Rmin 3.753962043231411
```

```
Eps 3.98e-4
   Cutoff 12.0
EndEngine
EOR
# Print the evolution of the lattice parameters in the "fort.59" format
# of old standalone reaxff for testing purposes.
echo "BEGIN fort59 nobarostat"
"$AMSBIN/amspython" "$AMSHOME/scripting/standalone/reaxff-ams/fort59.py" Ar-2D.results
echo "END fort59"
# This run applies a sequence of deformations to a box of Lennard-Jones argon atoms.
AMS_JOBNAME=Ar-box "$AMSBIN/ams" << EOR
# Set the random number seed to ensure reproducible results for testing. Omit this in.
⇔normal runs.
RNGSeed 1450250278 2029462689 827312839 1518230169 1408825437 1769469410 -406759494 -
↔1006638501
Task MolecularDynamics
MolecularDynamics
   nSteps 10000
   InitialVelocities Type=Random Temperature=300
   Thermostat Type=NHC Temperature=300 Tau=100
   Timestep 1
   Trajectory SamplingFreg=100
   CalcPressure True
    # Compress the a and b vectors to 20 Angstrom and stretch the c vector to 40.
→Angstrom over 2000 steps.
   Deformation
       StartStep 0
       StopStep 2000
       Type Linear
       TargetLength 20 20 40
   End
    \# Oscillate the c vector (z axis) from 40 to 30 Angstroms and back again with a_
→period of 1000 fs.
   Deformation
       StartStep 2000
       StopStep 3500
       Type Cosine
        TargetLattice
           20.0 0.0 0.0
           0.0 20.0 0.0
           0.0 0.0 30.0
        End
        Period 1000
   End
    # Shear the box by tilting the c vector 10 A away from the z axis in the xz plane.
   Deformation
       StartStep 3500
       StopStep 4000
       Type Linear
        TargetLattice
            20.0 0.0 0.0
            0.0 20.0 0.0
```

```
10.0 0.0 30.0
        End
   End
    # The following two blocks apply simultaneous cosine and sine oscillations to the
    # x and y components of the c vector. The amplitude of both oscillations is the
\rightarrow same,
    # indirectly defined by the maximum velocity (velocity at the inflection point).
    # The c vector thus traces out a cone around the z axis over 2000 fs.
   Deformation
       StartStep 4000
       StopStep 8000
       Type Cosine
       LatticeVelocity
           0.0 0.0 0.0
           0.0 0.0 0.0
          -3e-2 0.0 0.0
       End
        Period 2000
   End
    Deformation
        StartStep 4000
       StopStep 8000
       Type Sine
       LatticeVelocity
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 3e-2 0.0
        End
        Period 2000
   End
    # This un-shears the box again, returning the c vector to the z axis.
   Deformation
       StartStep 8000
       StopStep 8500
       Type Linear
        TargetLattice
           20.0 0.0 0.0
           0.0 20.0 0.0
           0.0 0.0 30.0
        End
   End
    # Finally, apply an exponential ("true") strain by compressing the c vector by 1/
\rightarrow1000th every step.
   Deformation
       StartStep 8500
        Type Exponential
       LengthRate 0.0 0.0 -1e-3
    End
End
System
   Atoms
       Ar 22.97333 5.533091 19.78068
       Ar 5.255777 10.75370 3.164254
       Ar 8.594419 0.957110 14.00514
       Ar 8.407438 7.306515 2.762099
       Ar 5.335001 18.10475 11.25000
```

```
(continued from previous page)
       Ar 13.84870 24.00523 1.485310
       Ar 18.65495 8.812906 24.08580
       Ar 23.50126 5.372569 24.77962
       Ar 20.32336 23.84153 3.669808
           7.692337 5.389691 10.57909
       Ar
       Ar 2.407753 7.779618 0.755645
           1.520220 15.74803 13.75000
       Ar
       Ar
           2.921397 15.34413 20.41981
       Ar 1.660913 18.10666 3.895263
       Ar 19.54458 18.76246 20.66279
       Ar 3.853819 4.537228 14.15265
       Ar 17.57174 15.47554 17.14501
       Ar 8.601399 2.836638 1.872337
       Ar 2.786004 3.601185 22.17957
       Ar 0.047572 18.11282 24.78959
       Ar 0.172965 0.035326 0.223982
       Ar 6.604983 7.254174 21.83257
       Ar 16.63254 15.02432 7.992034
       Ar 7.941898 9.130224 17.89523
           18.26063 20.70600 5.643385
       Ar
       Ar 22.63932 15.20789 23.33429
       Ar 1.138343 21.37810 13.97294
       Ar 0.461841 18.99572 6.401096
       Ar 22.67542 22.89935 9.344785
       Ar 7.215648 18.28717 3.411308
   End
   Lattice
       25.0 0.0 0.0
       0.0 25.0 0.0
       0.0 0.0 25.0
 end
end
Engine LennardJones
 Eps 3.67e-4
 RMin 3.4
 Cutoff 12.0
EndEngine
EOR
# Print the evolution of the lattice parameters in the "fort.59" format
# of old standalone reaxff for testing purposes.
echo "BEGIN fort59 nobarostat"
"$AMSBIN/amspython" "$AMSHOME/scripting/standalone/reaxff-ams/fort59.py" Ar-box.
→results | cut -c 1-86
echo "END fort59"
# This run simulates an experiment to measure the mechanical properties of an argon,
↔box
# by stretching the lattice in the z direction by 100 ppm per timestep (1 fs) while_
\leftrightarrow simultaneously
# keeping the x and y axes relaxed using a barostat.
AMS JOBNAME=Ar-box-barostat "$AMSBIN/ams" << EOR
# Set the random number seed to ensure reproducible results for testing. Omit this in_
⇔normal runs.
RNGSeed 877404503 1621112889 -559440914 -1857103174 -1082101960 -1842609385 -
                                                                        (continues on next page)
\leftrightarrow 845245172 - 279655974
```

```
Task MolecularDynamics
MolecularDynamics
   nSteps 8000
   InitialVelocities Type=Random Temperature=300
   Thermostat Type=NHC Temperature=300 Tau=100
   Barostat Type=MTK Pressure=1e7 Tau=1000 Scale=XY Equal=XY
   Timestep 1
   Trajectory SamplingFreq=100
   Deformation
       Type Exponential
       StrainRate
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 1e-4
       End
   End
End
System
   Atoms
       Ar 22.97333 5.533091 19.78068
       Ar 5.255777 10.75370 3.164254
       Ar 8.594419 0.957110 14.00514
       Ar 8.407438 7.306515 2.762099
       Ar 5.335001 18.10475 11.25000
       Ar 13.84870 24.00523 1.485310
       Ar 18.65495 8.812906 24.08580
       Ar 23.50126 5.372569 24.77962
       Ar 20.32336 23.84153 3.669808
       Ar 7.692337 5.389691 10.57909
           2.407753 7.779618 0.755645
       Ar
           1.520220 15.74803 13.75000
       Ar
       Ar 2.921397 15.34413 20.41981
       Ar 1.660913 18.10666 3.895263
       Ar 19.54458 18.76246 20.66279
       Ar 3.853819 4.537228 14.15265
       Ar 17.57174 15.47554 17.14501
       Ar 8.601399 2.836638 1.872337
       Ar 2.786004 3.601185 22.17957
       Ar 0.047572 18.11282 24.78959
       Ar 0.172965 0.035326 0.223982
       Ar 6.604983 7.254174 21.83257
       Ar 16.63254 15.02432 7.992034
          7.941898 9.130224 17.89523
       Ar
           18.26063 20.70600 5.643385
       Ar
       Ar 22.63932 15.20789 23.33429
       Ar 1.138343 21.37810 13.97294
       Ar 0.461841 18.99572 6.401096
       Ar 22.67542 22.89935 9.344785
       Ar 7.215648 18.28717 3.411308
   End
   Lattice
       25.0 0.0 0.0
       0.0 25.0 0.0
       0.0
           0.0 25.0
```

end

(continued from previous page)

```
end
Engine LennardJones
Eps 3.67e-4
RMin 3.4
Cutoff 12.0
EndEngine
EOR
# Print the evolution of the lattice parameters in the "fort.59" format
# of old standalone reaxff for testing purposes.
echo "BEGIN fort59 barostat"
"$AMSBIN/amspython" "$AMSHOME/scripting/standalone/reaxff-ams/fort59.py" Ar-box-
->barostat.results | cut -c 1-86
echo "END fort59"
```

# 11.7 Vibrational analysis

#### 11.7.1 Example: Mode Refinement

Download VATools\_dydrogesterone.run

```
#! /bin/sh
# This example shows a mode refinement of the band associated with the
# C=O and C=C stretch modes in the dydrogesterone molecule. This was one
# of the example calculations in the original paper on mode refinement:
#
#
    J. Phys. Chem. Lett., 2018, 9 (23), pp 6878-6882
# Step 1: Get DFTB modes at the optimized DFT geometry
# ______
AMS_JOBNAME=FREQ_DFTB $AMSBIN/ams << EOF
  System
     Atoms
        # Dydrogesterone geometry already optimized with DFT (BP86/TZP).
        C 0.179402320119871 1.1462568499773749 -1.34045805553897
        C -1.0397129548582973 1.4038864822738149 -0.42742864655449175
        C -1.9187723039939633 0.15407663507305838 -0.2887082902723144
        C 5.26339021966562 -0.0803229279006518 0.15901574737297627
        C 4.387948120190181 -1.2500901532169464 0.1985138671042922
        C 3.0305058270434313 -1.2006020082109579 0.06687676611264043
        C 2.2733069275281843 0.12162323554336309 -0.08656132560038762
        C 3.224495049036027 1.1562588842598234 -0.7427294996476081
        C 4.589580248129175 1.2673670000240371 -0.055599205726051454
        C -2.593712600512111 -0.1967276203097069 -1.6287069723510241
        C -2.011183672049868 -2.0904848406306247 0.6637924766824627
        C -3.24333170765593 -1.3040476226855777 1.1949612943873085
        C -2.9910039148987044 0.19289628304945772 0.8647395097635705
        C 1.8832501895313913 0.6066618903839885 1.3319251022509289
```

С	-1.021215985785471 -0.9883577256376227 0.2595406535945511
C	0.9505/291/185341 -2.49949/885394989 -0.1/845/95/42396
C	2.2010422013430333 -2.429293094019400 0.12990002227071012
C	1 0/2/57171/73/6/5 _0 111/82/31/60/55// _1 038115173251/077
C	
C	-4 2770282131623745 2 4457823410117943 0 8829249061723259
	-5 23153866013212 0 4348316478674291 0 006835058476534351
0	6 486629619384615 -0 1787206146841789 0 3101830744372818
U H	4 496419281348679 1 7413060958817603 0 9361407723186962
Н	4.889108126023183 -2.2103967123783246 0.34783394165927906
Н	-2.5053803890738937 0.6948315694295174 1.7185731459759712
Н	2.747947397670055 2.147017249296297 -0.7608107343500633
н	3.3788188981072294 0.8618896159833123 -1.7937886227370627
Н	5.278515387629924 1.9033945262275014 -0.6280820487414189
Н	
Н	2.7970964757389787 -3.3338033858599037 0.4312075853898926
н	0.4355882565839679 -3.460258453470549 -0.09628540747796212
Н	2.7788926695047853 0.7904363143118734 1.939504792825026
Н	1.3142558486724543 1.5445870277271823 1.281760102768146
Н	1.2817550339094792 -0.13839730232383732 1.865618408504196
Н	1.519857325493626 -0.37989780969443004 -1.9963570252289853
Н	-3.35526145791249 2.9466219355670638 0.5538746959825623
Н	-0.5844412872674496 -0.5966200898063014 1.193600037988863
Н	0.8128688006525261 2.043605920072621 -1.3496094336049678
Н	-0.18858319204633375 1.0503960522723468 -2.3730012879550473
Н	-1.6112343483079186 2.2461900431605373 -0.8495116990527544
Н	-0.710640496667605 1.7174589936204205 0.5760379282354502
Н	-1.5937002785491352 -2.7672883134736264 1.4205298630102559
Н	-2.2836000112559893 -2.709635208733019 -0.2046201598348845
Н	-3.3761548431942434 -1.4386051747789588 2.276540650869438
Н	-4.174084527825135 -1.628156544022731 0.7132317870749941
Н	-1.860827847389362 -0.4325042173503644 -2.4102132115597854
Н	-3.2757258446436426 -1.0505046678063983 -1.5382984747892279
Н	-3.1932088604642455 0.6502553019056425 -1.9892714020438331
Н	-4.314908973244162 2.55066722711135 1.9790476680048945
Н	-5.155478941054673 2.9311753898910595 0.44480850527661253
End	
End	
Task Si	nglePoint
Propert	ies
Nor	malModes Yes
End	
Engine	DFTB
Mod	el DFTB3
Res	ourcesDir DFTB.org/3ob-freg-1-2
EndEngi	ne
EOF	
# Stop 2.	Made refinement of the DETR C-O and C-C stratch bands at the DET lovel
# step 2: #	roue refinement of the Drib C-O and C-C Stretch Danus at the Dri level
π	

```
AMS_JOBNAME=ModeRefinement $AMSBIN/ams << EOF
  LoadSystem
    File FREQ_DFTB.results/dftb.rkf
   End
  Task VibrationalAnalysis
  VibrationalAnalysis
     Type ModeRefinement
     NormalModes
       ModeFile FREQ_DFTB.results/dftb.rkf
        ModeSelect
         FregRange 1500 1800
        End
     End
  End
  Engine BAND
     # Settings from the paper:
     XC
      GGA BP86
     End
     Basis
       Type TZP
     End
     Relativity Level=None
     # Just to make this test run faster:
     NumericalQuality Basic
  EndEngine
EOF
```

## 11.7.2 Example: Mode Tracking

Download VATools\_cyclohexanone.run

```
#! /bin/sh
# This example demonstrates the usage of the AMS vibrational analysis tools
# on the cyclohexanone molecule.
# 1. Optimization with DFT
# ______
AMS_JOBNAME=DFT $AMSBIN/ams << EOF
  System
     Atoms
              0.000000 0.000000 0.000000
      С
               0.978606 1.950589 -1.251328
      С
      С
              0.978606 1.950589 1.251328
      С
              0.812569 2.806732 0.000000
      С
             -0.039622 0.802151 1.272926
```

```
С
            -0.039622 0.802151 -1.272926
              0.062619 -1.251283 0.000000
       0
              0.123306 0.119861
                                    2.122758
       н
              -1.060012 1.229063 1.372200
       Η
               0.871524
                                     2.167037
                         2.560541
       Η
               2.001065
                                    1.263746
       Н
                           1.521810
              -0.196785
                                    0.00000
       Н
                           3.268312
               1.549313
                                     0.00000
       Н
                          3.631809
       Н
              0.871524 2.560541 -2.167037
       Н
              2.001065 1.521810 -1.263746
      Н
              0.123306 0.119861 -2.122758
      Н
              -1.060012 1.229063 -1.372200
     End
  End
  Task GeometryOptimization
  GeometryOptimization
   Convergence Gradients=1.0e-4
  End
  Engine BAND
  EndEngine
EOF
# 2. Obtain DFTB hessian and modes as preconditioner and guess
# --
AMS_JOBNAME=DFTB $AMSBIN/ams << EOF
  LoadSystem
   File DFT.results/ams.rkf
  End
  Task GeometryOptimization
  GeometryOptimization
   Convergence Gradients=1.0e-4
  End
  Properties
    NormalModes Yes
  End
  Engine DFTB
    Model DFTB3
     ResourcesDir DFTB.org/3ob-freq-1-2
  EndEngine
EOF
# 3. ModeScanning of DFTB C=O stretch mode with DFT
#
```

AMS\_JOBNAME=ModeScanning \$AMSBIN/ams << EOF

(continued from previous page)

```
LoadSystem
    File DFTB.results/ams.rkf
  End
  LoadEngine DFT.results/band.rkf
  Task VibrationalAnalysis
  VibrationalAnalysis
     Type ModeScanning
     NormalModes
       ModeFile DFTB.results/dftb.rkf
       ModeSelect
         HighIR 1 # This should select the C=O stretch
        End
     End
  End
EOF
# 4. Mode tracking with DFT starting from DFTB C=O stretch mode
# ______
AMS_JOBNAME=ModeTracking $AMSBIN/ams << EOF
  LoadSystem
    File DFT.results/ams.rkf
  End
  LoadEngine DFT.results/band.rkf
  Task VibrationalAnalysis
  VibrationalAnalysis
     Type ModeTracking
     ModeTracking
      HessianGuess File
       HessianPath DFTB.results
     End
     NormalModes
       ModeInputFormat File
       ModeFile DFTB.results/dftb.rkf
       ModeSelect
        HighIR 1 # This should select the C=O stretch
        End
     End
  End
EOF
# 5. Mode tracking with DFT starting from a pure C=O stretch
# --
AMS_JOBNAME=ModeTracking_COStretch $AMSBIN/ams << EOF
```

```
LoadSystem
     File DFT.results/ams.rkf
  End
  LoadEngine DFT.results/band.rkf
  Task VibrationalAnalysis
  VibrationalAnalysis
     Type ModeTracking
     NormalModes
        ModeInputFormat Inline
        ModeInline
           0.0 0.0 0.7071 \# This is the C attached to the O
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 -0.7071 # This is the O
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
           0.0 0.0 0.0
        End
        ModeSelect
           HighIR 1 # This should select the C=O stretch
        End
     End
     ModeTracking
        HessianGuess File
        HessianPath DFTB.results
        TrackingMethod OverlapPrevious
                     # ^-- Probably better than the default.
                     #
                              Our initial mode is not particularly close yet ...
                                                                                    <u>ب</u>
\rightarrow
     End
  End
EOF
```

### 11.7.3 Example: Vibronic-Structure Tracking

Download VST\_pyrene.run

#!/bin/sh

```
# This example demonstrates the usage of vibronic-structure tracking
# on the pyrene molecule. Excited state calculations are performed within AMS and
# at the DFTB level
```

```
# 1. Ground state optimization with DFTB
#---
                 _____
AMS_JOBNAME=GroundState $AMSBIN/ams << eor
System
   Atoms
             -0.01654981
0.00281590
      С

        1.36506537
        0.00802668

        -0.06808050
        0.00051978

        0.001072327
        0.001073277

       С
       С
              1.19835953
                               2.08859192
                                                0.00127327
       С
             -1.25056871
                               2.05539572
                                                0.02157814
       С
              1.23682878
                              -0.75840671
                                               -0.01375673
       С
              -1.21209870
                              -0.79160461
                                                0.00671225
       С
              2.44308841
                               1.35898462
                                               -0.01256813
       С
              1.16450680
                                3.48665407
                                                0.00772934
              -2.47512291
                                                0.02824995
                               1.29231475
       С
                                                0.02759561
              -1.25452039
                                3.45386389
       С
                                               -0.01984509
                               0.00467142
       С
              2.46138768
                             0.00467142
-2.15686407
-0.06199957
-2.18965609
4.15935390
-2.86234799
1.93801392
               1.24076149 -2.15686407
                                               -0.02209661
       С
       С
              -2.45682219
                                                0.02121310
              -1.17826305
       С
                                                -0.00177638
                                                0.02049748
             -0.05432386
       С
       С
              0.04055488
                                               -0.01620562
       Н
              3.36596464
                                               -0.01731234
       Н
              2.09583666
                               4.04986513
                                                0.00239299
       н
             -3.41330596
                               1.84611463
                                                0.03902307
       Н
              -2.20073438
                                3.99162601
                                                0.03767421
              3.39957176
                              -0.54912830
                                               -0.03053168
       H
                               -2.69462025
       Н
               2.18696724
                                               -0.03320657
                               -0.64102946
                                                0.02611688
       H
              -3.37969722
                              -2.752864220.002746625.248375010.02503968-3.95135857-0.02284989
              -2.10959908
       Η
       Н
              -0.06904859
               0.05526108
       H
   End
End
Task GeometryOptimization
Engine DFTB
   Model DFTB3
   ResourcesDir DFTB.org/3ob-freg-1-2
EndEngine
eor
# 2. Get lowest singlet-singlet excitation of pyrene and
# compute the excited state gradient at the optimized GS geometry
#-----
AMS_JOBNAME=ExcitedState $AMSBIN/ams << eor
Task SinglePoint
LoadSystem
 File ./GroundState.results/dftb.rkf
End
```

```
Properties
  Gradients yes
End
Engine DFTB
  Model DFTB3
   ResourcesDir DFTB.org/3ob-freq-1-2
   Properties
      Excitations
          TDDFTB
             Calc Singlet
              Lowest 1
           End
           TDDFTBGradients
              Excitation 1
           End
       End
   End
EndEngine
eor
# 3. Vibronic-structure tracking for this excitation
# _____
AMS_JOBNAME=VibronicStructure $AMSBIN/ams << eor
LoadSystem
 File ./GroundState.results/dftb.rkf
End
Task VibrationalAnalysis
VibrationalAnalysis
  Type VibronicStructureTracking
  ExcitationSettings
    ExcitationInputFormat File
    ExcitationFile ./ExcitedState.results/dftb.rkf
     Singlet
      A 1
     End
  End
  AbsorptionSpectrum
    AbsorptionRange -500.0 4000.0
     LineWidth 100
     FrequencyGridPoints 181
  End
End
Engine DFTB
  Model DFTB3
   ResourcesDir DFTB.org/3ob-freq-1-2
EndEngine
eor
# 4. Restart the VST run from the previous one
```

```
# We have changed our mind and we want
# the peaks to be a little sharper
# --
AMS_JOBNAME=VibronicStructureRestart $AMSBIN/ams << eor
LoadSystem
  File ./GroundState.results/dftb.rkf
End
Task VibrationalAnalysis
VibrationalAnalysis
  Type VibronicStructureTracking
  ExcitationSettings
     ExcitationInputFormat File
     ExcitationFile ./ExcitedState.results/dftb.rkf
     Singlet
      A 1
     End
  End
  VSTRestartFile ./VibronicStructure.results/ams.rkf
  AbsorptionSpectrum
    AbsorptionRange -500.0 4000.0
     LineWidth 50
     FrequencyGridPoints 181
  End
End
Engine DFTB
   Model DFTB3
   ResourcesDir DFTB.org/3ob-freq-1-2
EndEngine
eor
```

# 11.8 PES point properties

## 11.8.1 Example: Phonons for graphene

Download Phonons\_Graphene.run

```
#!/bin/sh
AMS_JOBNAME=graphene $AMSBIN/ams << EOF
Task GeometryOptimization
GeometryOptimization
! CoordinateType Cartesian
OptimizeLattice True
Convergence Gradients=1.0e-5
Method Quasi-Newton
End</pre>
```

```
Properties
     Phonons True
   End
   NumericalPhonons
     SuperCell
       2 0
       0 2
     End
   End
   System
      Atoms
               0.00000000 -0.00000000 0.00000
0.00000000 -1.420281662 0.00000
       С
       С
      End
      Lattice
        1.230000000-2.1304224930.0000000001.2300000002.1304224930.000000000
      End
   End
   Engine DFTB
     ResourcesDir Dresden
      Model DFTB0
      KSpace
       Type Symmetric
       Symmetric KInteg=9
      End
      Technical AnalyticalStressTensor=False # Not yet supported with symmetric k-
⇔space grid ...
  EndEngine
EOF
echo ""
echo "Begin TOC of result file"
$AMSBIN/dmpkf -n 1 graphene.results/dftb.rkf --toc
echo "End TOC of result file"
```

#### 11.8.2 Example: Phonons with isotopes

Download Phonons\_Isotopes.run

```
AMS_JOBNAME=defmasses $AMSBIN/ams << EOF
  Task SinglePoint
  Properties
     Phonons True
  End
  NumericalPhonons
     StepSize 0.01
     SuperCell
        4
     End
  End
  System
     Atoms
         C -2.42906152 -0.3445528299 -0.1353492062
C -1.146891508 -1.134644249 0.1353492061
         H -2.429062041 0.004468895147 -1.185797304
H -2.429062011 0.5753101439 0.4803683017
         H -1.146891017 -2.054507222
                                            -0.4803683019
         Н -1.146890987 -1.483665974
                                            1.185797304
     End
     Lattice
         2.564338467 0.0 0.0
     End
  End
  Engine DFTB
     ResourcesDir QUASINANO2015
     Model DFTB0
     KSpace
       Type Symmetric
      Symmetric KInteg=9
     End
  EndEngine
EOF
echo ""
echo "Begin TOC of result file"
$AMSBIN/dmpkf -n 1 defmasses.results/dftb.rkf --toc
echo "End TOC of result file"
# _____
# Phonons with two deuterium atoms:
# _____
AMS_JOBNAME=usermasses $AMSBIN/ams << EOF
  Task SinglePoint
  Properties
     Phonons true
```

```
End
  NumericalPhonons
     StepSize 0.01
     SuperCell
       4
     End
  End
  System
      Atoms
         C -2.42906152 -0.3445528299 -0.1353492062
         C -1.146891508 -1.134644249 0.1353492061
         Н -2.429062041 0.004468895147 -1.185797304
          Н -2.429062011 0.5753101439 0.4803683017
          Н -1.146891017 -2.054507222
                                          -0.4803683019
                                                        mass=2.014
          н -1.146890987 -1.483665974 1.185797304
                                                          mass=2.014
      End
      Lattice
          2.564338467 0.0 0.0
      End
  End
  Engine DFTB
    ResourcesDir QUASINANO2015
     Model DFTB0
     KSpace
       Type Symmetric
      Symmetric KInteg=9
     End
  EndEngine
EOF
echo ""
echo "Begin TOC of result file"
$AMSBIN/dmpkf -n 1 usermasses.results/dftb.rkf --toc
echo "End TOC of result file"
```

### 11.8.3 Example: User-defined Brillouin zone for phonon dispersion

Download Phonons\_UserBZPath.run

```
#!/bin/sh
# This example shows how to specify a user-defined path through the
# Brillouin zone (BZ) when computing the phonon dispersion curves.
# Note: when computing the phonons, you should first run a geometry
# optimization (including relaxation of lattice vectors).
# Here we use a pre-optimized structure.
AMS_JOBNAME=BoronNitrade $AMSBIN/ams << eor
Task SinglePoint</pre>
```

```
System
   Atoms
       в 0.0
                          0.0
                                          0.0
       N 0.92538708336681 0.92538708336681 0.92538708336681
   End
   Lattice
       0.0
                       1.8507741667336 1.8507741667336
       1.8507741667336 0.0 1.8507741667336
       1.8507741667336 1.8507741667336 0.0
   End
End
Properties
   Phonons Yes
End
NumericalPhonons
   SuperCell
       2 0 0
       0 2 0
       0 0 2
   End
   # Disable the automatically generated path though the BZ
   AutomaticBZPath No
   BZPath
       # In each 'Path' block you should define the vertices of the path
       # in fractional coordinates (with respect to the reciprocal lattice
       # vectors)
       # First segment: Gamma-X-W-K
       Path
            0.0 0.0 0.0 G
            0.5 0.0 0.5 X
            0.5 0.25 0.75 W
            0.375 0.375 0.75 К
       End
       # Second segment: Gamma-SomeRandomPoint
       Path
           0.0 0.0 0.0 G
           0.1 0.2 0.3 RandomPoint
       End
   End
End
Engine DFTB
   Model SCC-DFTB
   ResourcesDir DFTB.org/matsci-0-3
   KSpace Quality=Basic
EndEngine
eor
```

```
echo 'Content of phonon_curves section'
$AMSBIN/dmpkf BoronNitrade.results/dftb.rkf 'phonon_curves'
echo 'End content of phonon_curves section'
```

#### 11.8.4 Example: Elastic tensor

Download ElasticTensor.run

```
#! /bin/sh
# === Diamond ===
AMS_JOBNAME=Diamond $AMSBIN/ams << EOF
  Task GeometryOptimization
  Properties
      ElasticTensor Yes
  End
  System
     Atoms
        C 0.44625 0.44625 2.23125
          2.23125 2.23125 2.23125
        С
        C -2.23125 -2.23125 -2.23125
        C -0.44625 -0.44625 -2.23125
        C -0.44625 -2.23125 -0.44625
        C 1.33875 -0.44625 -0.44625
        C -2.23125 -0.44625 -0.44625
        C -0.44625 1.33875 -0.44625
        C -0.44625 -0.44625 1.33875
        C 1.33875 1.33875 1.33875
        C -1.33875 -1.33875 -1.33875
        C 0.44625 0.44625 -1.33875
        C 0.44625 -1.33875 0.44625
          2.23125 0.44625 0.44625
        С
        C -1.33875 0.44625 0.44625
          0.44625 2.23125 0.44625
        С
     End
     Lattice
       0.0 3.57 3.57
        3.57 0.0 3.57
        3.57 3.57 0.0
     End
  End
  GeometryOptimization
      OptimizeLattice Yes
      Convergence Gradients=1.0e-5 StressEnergyPerAtom=1.0e-5
  End
  Symmetry Tolerance=1e-6
  ElasticTensor MaxGradientForGeoOpt=1e-5
```

```
Engine DFTB
      Model DFTB
      ResourcesDir DFTB.org/mio-1-1
      KSpace
          Type Symmetric
          Symmetric KInteg=3
      End
      Technical AnalyticalStressTensor=False # Not yet supported with symmetric k-
⇔space grid.
  EndEngine
EOF
# === Boron-Nitride sheet ===
# 3x3 super-cell, default k-space sampling
AMS_JOBNAME=BN_sheet $AMSBIN/ams << EOF
  Task GeometryOptimization
  Properties
      ElasticTensor Yes
  End
  System
     Atoms
        N 3.76095075 0.723795
                                   0.0
        N 5.01460112 2.89518114 0.0
        B -3.76095112 -2.17138614 0.0
        в -2.50730075 0.0
                                   0.0
                      2.17138614
        B -1.25365038
                                   0.0
                                   0.0
        B -1.25365037 -2.17138614
        в 0.0
                      0.0
                                   0.0
                                  0.0
        B 1.25365037 2.17138614
        B 1.25365038 -2.17138614
                                  0.0
        в 2.50730075 0.0
                                   0.0
        B 3.76095112 2.17138614 0.0
        N -2.50730112 -1.44759114 0.0
        N -1.25365075 0.723795
                                   0.0
        N -3.8e-07
                      2.89518114 0.0
        N -3.7e-07
                      -1.44759114 0.0
        N 1.25365
                     0.723795
                                0.0
        N 2.50730037 2.89518114 0.0
        N 2.50730038 -1.44759114 0.0
     End
     Lattice
        7.52190225 0.0
        3.76095111 6.51415842
     End
  End
  GeometryOptimization
     OptimizeLattice Yes
     Convergence Gradients=1.0e-4
  End
```
(continued from previous page)

```
Engine DFTB
     Model SCC-DFTB
     ResourcesDir DFTB.org/matsci-0-3
  EndEngine
EOF
# === Polyoxyethylene ===
# primitive cell with k-space sampling
AMS_JOBNAME=Polyoxyethylene $AMSBIN/ams << EOF
  Task GeometryOptimization
  Properties
     ElasticTensor Yes
  End
  ElasticTensor
     StrainStepSize 0.002
     MaxGradientForGeoOpt 2.0e-4
  End
  System
     Atoms
           -0.279368361 -0.125344097 -0.026221791
        С
        0 0.840592835 -0.919621431 -0.193214154
        н -0.279527057 0.337014408 0.997733792
        н -0.281697417 0.707951120 -0.778297849
     End
     Lattice
       2.240292981
     End
  End
  GeometryOptimization
     OptimizeLattice Yes
     Convergence Gradients=1.0e-4
  End
  Engine DFTB
     Model SCC-DFTB
     ResourcesDir DFTB.org/3ob-3-1
     KSpace
         Type Symmetric
         Symmetric KInteg=5
     End
     Technical AnalyticalStressTensor=False # Not yet supported with symmetric k-
⇔space grid.
  EndEngine
EOF
```

(continues on next page)

(continued from previous page)

```
# Note: the elastic tensor is also printed to standard output.
echo ""
echo "Extract the elastic tensor of Diamond from the rkf file:"
$AMSBIN/amsreport Diamond.results/dftb.rkf -r "AMSResults%ElasticTensor#12.4f##6"
echo ""
echo "Extract the elastic tensor of Boron-Nitride from the rkf file:"
$AMSBIN/amsreport BN_sheet.results/dftb.rkf -r "AMSResults%ElasticTensor#12.4f##3"
echo ""
echo ""
echo "Extract the elastic tensor of Polyoxyethylene from the rkf file:"
$AMSBIN/amsreport Polyoxyethylene.results/dftb.rkf -r "AMSResults%ElasticTensor#12.4f#
$AMSBIN/amsreport Polyoxyethylene.results/dftb.rkf -r "AMSResults%ElasticTensor#12.4f#
$AMSBIN/amsreport Polyoxyethylene.results/dftb.rkf -r "AMSResults%ElasticTensor#12.4f#
```

# 11.9 Pipe interface

## 11.9.1 Example: ASE calculator as a pipe worker

Download Pipe\_ASEWorker.run

```
#!/bin/sh
# This example uses a Python pipe worker based on ASE to evaluate the Lennard-Jones_
\rightarrow potential.
# First we do a single-point calculation including the gradients and the stress_
\rightarrow tensor and then
# a short MD run similar to the Pipe_AMSonAMS example.
export NSCM=1
AMS_JOBNAME=SP "$AMSBIN/ams" << eor
RNGSeed -538839488 972444872 -468448621 535232319 -953628259 777353319 -1036072
↔ 387155213
Task SinglePoint
Properties
   Gradients True
    StressTensor True
End
System
    Atoms
             0.03374714 -0.02579634 -0.03864485
-2.47202773 -2.57754100 -0.02505375
       Ar
        Ar
                             -0.00587811
             -2.54465030
                                             -2.49637860
        Ar
             -4.98237672 -2.60930954
                                            -2.49495517
        Ar
             -0.03221746 -2.51967081
                                            -2.47883073
        Ar
        Ar
             -2.52675554 -5.12905072
                                            -2.48152312
        Ar
             -2.54445364 -2.45619404
                                            -5.02601013
             -4.95645981
                            -5.14903666
                                            -4.95752059
        Ar
   End
   Lattice
           0.00000000
                          5.00000000
                                          5.00000000
```

(continues on next page)

(continued from previous page)

```
5.00000000
                          0.00000000
                                         5.0000000
           5.00000000
                          5.20000000
                                         0.0000000
   End
End
Engine Pipe
   WorkerCommand "$AMSBIN/amspython" "$TEST_DIRECTORY/ASE-LJ-worker.py"
EndEngine
eor
AMS_JOBNAME=MD "$AMSBIN/ams" << eor
RNGSeed -538839488 972444872 -468448621 535232319 -953628259 777353319 -1036072
↔ 387155213
Task MolecularDynamics
MolecularDynamics
   nSteps 200
   TimeStep 5.0
   Thermostat Type=NHC Temperature=298.15 Tau=100
   Trajectory SamplingFreq=20
    InitialVelocities Type=random Temperature=200
End
System
   Atoms
       Ar 0.0 0.0 0.0
       Ar 1.605 0.9266471820493496 2.605
   End
   Lattice
       3.21 0.0 0.0
       1.605 2.779941546148048 0.0
       0.0 0.0 5.21
   End
   SuperCell 4 4 4
End
Engine Pipe
   WorkerCommand "$AMSBIN/amspython" "$TEST_DIRECTORY/ASE-LJ-worker.py"
EndEngine
eor
```

```
Download ASE-LJ-worker.py
```

```
from scm.amspipe import ASEPipeWorker
from ase.calculators.lj import LennardJones
calculator = LennardJones()
calculator.parameters.epsilon = 0.0102996
calculator.parameters.sigma = 3.4
calculator.parameters.rc = 12.0
engine = ASEPipeWorker(calculator=calculator)
engine.run()
```

## 11.9.2 Example: AMS as a pipe worker

Download Pipe\_AMSonAMS.run

```
#!/bin/sh
# This example runs two separate AMS processes communicating over AMSPipe. One of _
↔ them is the PipeWorker
# calculating Lennard-Jones energies and the other as the PipeMaster drives the MD_
\hookrightarrow simulation.
export NSCM=1
export NSCM_AMSEXTERNAL=1
cat - > worker.in << eor</pre>
Task Pipe
Engine LennardJones
   Rmin 3.81637
   Eps 3.785e-4
   Cutoff 12.0
EndEngine
eor
"$AMSBIN/ams" << eor
RNGSeed -538839488 972444872 -468448621 535232319 -953628259 777353319 -1036072
↔387155213
Task MolecularDynamics
MolecularDynamics
  nSteps 200
   TimeStep 5.0
   Thermostat Type=NHC Temperature=298.15 Tau=100
   Trajectory SamplingFreq=20
   InitialVelocities Type=random Temperature=200
End
System
  Atoms
       Ar 0.0 0.0 0.0
       Ar 1.605 0.9266471820493496 2.605
   End
   Lattice
       3.21 0.0 0.0
       1.605 2.779941546148048 0.0
       0.0 0.0 5.21
   End
   SuperCell 4 4 4
End
Engine Pipe
   WorkerCommand "$AMSBIN/ams" < ../../worker.in > worker.out 2>&1
EndEngine
eor
echo "Worker output:"
cat ams.results/*/worker.out
```

## CHAPTER

## TWELVE

# APPENDICES

# 12.1 Extended XYZ file format

The xyz file format is a simple text based format for molecular geometries. xyz files have the number of atoms in the first line, followed by a comment line, followed by one line per atom, specifying the element as well as the x, y, and z coordinates of this atom.

However, the standard .xyz file format does not include lattice vectors. AMS therefore uses an extended .xyz file format which is also suitable for periodic systems. In this extended format the lattice vectors are specified at the end of the .xyz file via the keys VEC1, VEC2 and VEC3. For 1D periodic systems (chains) only VEC1 is needed. For 2D periodic systems (slabs) only VEC1 and VEC2 are needed. An example extended .xyz for graphene looks like this:

2 С 0.0 0.0 0.0 С 1.23 0.71014 0.0 VEC1 0.0 2.46 0.0 VEC2 1.23 2.13042 0.0

Note that the extended .xyz format is also understood by the AMS GUI for importing and exporting geometries from/to .xyz files.

# 12.2 Developer options

```
Print
Timers [None | Normal | Detail | TooMuchDetail]
End
```

Print

Type Block

Description This block controls the printing of additional information to stdout.

Timers

Type Multiple Choice Default value None

Options [None, Normal, Detail, TooMuchDetail]

Description Printing timing details to see how much time is spend in which part of the code.

EngineDebugging
CheckInAndOutput Yes/No
ForceContinousPES Yes/No
IgnoreGradientsRequest Yes/No
IgnorePreviousResults Yes/No
IgnoreStressTensorRequest Yes/No
NeverQuiet Yes/No
RandomFailureChance float
RandomNoiseInEnergy float
RandomNoiseInGradients float
RandomStopChance float
End

## EngineDebugging

## Type Block

Description This block contains some options useful for debugging the computational engines.

## CheckInAndOutput

Type Bool

## Default value No

**Description** Enables some additional checks on the input and output of and engine, e.g. for NaN values.

#### ForceContinousPES

Type Bool

## Default value No

**Description** If this option is set, the engine will always run in continuous PES mode. For many engines this disables the use of symmetry, as this one always leads to a discontinuous PES around the symmetric points: Basically there is jump in the PES at the point where the symmetry detection starts classifying the system as symmetric. Normally the continuous PES mode of the engine (often disabling the symmetry) is only used when doing numerical derivatives, but this flag forces the engine to continuously run in this mode.

## IgnoreGradientsRequest

Type Bool

## Default value No

**Description** If this option is set, the engine will not do analytical gradients if asked for it, so that gradients will have to be evaluated numerically by AMS.

#### IgnorePreviousResults

Type Bool

### Default value No

**Description** If this option is set, the engine will not receive information from previous calculations. Typically this information is used to restart the self consistent procedure of the engine.

## IgnoreStressTensorRequest

Type Bool

## Default value No

**Description** If this option is set, the engine will not calculate an analytical stress tensor if asked for it, so that the stress tensor will have to be evaluated numerically by AMS.

#### NeverQuiet

Type Bool

Default value No

Description Makes the engine ignore the request to work quietly.

## RandomFailureChance

Type Float

Default value 0.0

**Description** Makes the engine randomly report failures, even though the results are actually fine. Useful for testing error handling on the application level.

## RandomNoiseInEnergy

Type Float

Default value 0.0

Unit Hartree

**Description** Adds a random noise to the energy returned by the engine. The random contribution is drawn from [-r,r] where r is the value of this keyword.

## RandomNoiseInGradients

Type Float

Default value 0.0

Unit Hartree/Angstrom

**Description** Adds a random noise to the gradients returned by the engine. A random number in the range [-r,r] (where r is the value of this keyword) is drawn and added separately to each component of the gradient.

## RandomStopChance

Type Float

**Default value** 0.0

Description Makes the engine randomly stop. Can be used to simulate crashes.

## 12.3 Symmetry

## 12.3.1 Schönfliess symbols and symmetry labels

A survey of all molecular point groups that are recognized by AMS is given below. The table contains the Schönfliess symbols together with the names of the subspecies of the irreducible representations as they are used by AMS to label normal modes.

Point	Schönfliess	Irreducible representations
Group	Symbol in AMS	
C <sub>1</sub>	NOSYM	A
$C_{\infty v}$	C(LIN)	Sigma Pi
$D_{\infty h}$	D(LIN)	Sigma.g Sigma.u Pi.g Pi.u
Ι	Ι	A T1 T2 G H
I <sub>h</sub>	I(H)	A.g A.u T1.g T1.u T2.g T2.u G.g G.u H.g H.u
0	0	A1 A2 E T1 T2
O <sub>h</sub>	O(H)	A1.g A1.u A2.g A2.u E.g E.u T1.g T1.u T2.g T2.u
Т	Т	AET
T <sub>h</sub>	T(H)	A.g A.u E.g E.u T.g T.u
T <sub>d</sub>	T(D)	A1 A2 E T1 T2
Ci	C(I)	A.g A.u
Sn	S(N)	n=4: A B E
		n=6: A.g A.u E.g E.u
		n=8: A B E1 E2 E3
C <sub>n</sub>	C(N)	n=2: A B
		n=4: A B E
		even n: A B E1 E2
		n=3: A E
		odd n: A E1 E2
C <sub>nv</sub>	C(NV)	n=2: A1 A2 B1 B2
		n=4: A1 A2 B1 B2 E
		even n: A1 A2 B1 B2 E1 E2
		n=3: A1 A2 E
		odd n: A1 A2 E1 E2
Cs	C(S)	A' A'
C <sub>nh</sub>	C(NH)	n=2: A.g A.u B.g B.u
		n=4: A.g A.u B.g B.u E.g E.u
		even n: A.g A.u B.g B.u E1.g E1.u E2.g E2.u
		n=3: A' A" E' E' '
		odd n: A' A" E1' E1" E2' E2"
D <sub>n</sub>	D(N)	n=2: A B1 B2 B3
		n=4: A1 A2 B1 B2 E
		even n A1 A2 B1 B2 E1 E2
		n=3: A1 A2 E
		odd n: A1 A2 E1 E2
D <sub>nd</sub>	D(ND)	n=2: A1 A2 B1 B2 E
		even n: A1 A2 B1 B2 E1 E2
		n=3: A1.g A1.u A2.g A2.u E.g E.u
		odd n: A1.g A1.u A2.g A2.u E1.g E1.u E2.g E2.u
D <sub>nh</sub>	D(NH)	n=2: A.g A.u B1.g B1.u B2.g B2.u B3.g B3.u
		n=4: A.g A.u B1.g B1.u B2.g B2.u B3.g B3.u E.g E.u
		even n: A.g A.u B1.g B1.u B2.g B2.u B3.g B3.u E1.g E1.u E2.g E2.u
		n=3: A1' A1" A2' A2" E' E'
		odd n: A1' A1" A2' A2" E1' E1" E2' E2"

Table 12.1: Schönfliess symbols and the labels of the irreducible representations.

The symmetry labeling may depend on the choice of coordinate system. For instance, B1 and B2 representations in  $C_{nv}$  are interchanged when you rotate the system by 90 degrees around the z-axis so that x-axis becomes y-axis and vice-versa (apart from sign).

## 12.3.2 Molecular orientation requirements

In order that AMS recognizes the (sub)symmetry of a molecule, the molecule has to have a specific orientation in space.

- The origin is a fixed point of the symmetry group.
- The z-axis is the main rotation axis.
- xy is the  $\sigma_h$  -plane (axial groups, C(s)).
- The x-axis is a C<sub>2</sub> axis (D symmetries).
- The xz-plane is a  $\sigma_v$  -plane (C<sub>nv</sub> symmetries).
- In  $T_d$  and  $O_h$  the z-axis is a fourfold axis (S<sub>4</sub> and C<sub>4</sub>, respectively) and the (111)-direction is a threefold axis.

If the system is symmetrized (and no symmetry is given in the System block key) the molecular structure is rotranslated into this standard orientation.

## CHAPTER

## THIRTEEN

# **REQUIRED CITATIONS**

# **13.1 General references**

When you publish results in the scientific literature that were obtained through the AMS driver program, you are required to include a reference to the program package with the appropriate release number:

AMS 2020, SCM, Theoretical Chemistry, Vrije Universiteit, Amsterdam, The Netherlands, http://www.scm.com. Optionally, you may add the following list of authors and contributors: R. Rüger, M. Franchini, T. Trnka, A. Yakovlev, E. van Lenthe, P. Philipsen, T. van Vuren, B. Klumpers, T. Soini

The engine used for a particular calculation might require you to include other references. Please refer to the specific *engine manuals* (page 189) for required citations.

In addition to these general references, certain AMS features require additional citations, in case you have used them. An overview of these is given in the *Feature references* section below.

**Note:** If you have used a modified (by yourself, for instance) version of the code, you should mention in the citation that a modified version has been used.

# **13.2 Feature references**

## 13.2.1 Frequencies, IR Intensities, Raman, VCD

Mode tracking M. Reiher, J. Neugebauer, A mode-selective quantum chemical method for tracking molecular vibrations applied to functionalized carbon nanotubes, Journal of Chemical Physics 118, 1634 (2003) (https://doi.org/10.1063/1.1523908)

M. Reiher, J. Neugebauer, *Convergence characteristics and efficiency of mode-tracking calculations on pre-selected molecular vibrations*, Physical Chemistry Chemical Physics 6, 4621 (2004) (http://dx.doi.org/10.1039/B406134A)

C. Herrmann, M. Reiher, J. Neugebauer, *Finding a needle in a haystack: direct determination of vibrational signatures in complex systems*, New Journal of Chemistry 31, 818 (2007) (http://dx.doi.org/10.1039/B618769M)

Mode tracking based on intensities M. Reiher, J. Neugebauer, A mode-selective quantum chemical method for tracking molecular vibrations applied to functionalized carbon nanotubes, Journal of Chemical Physics 118, 1634 (2003) (https://doi.org/10.1063/1.1523908)

S. Luber, J. Neugebauer, M. Reiher, *Intensity tracking for theoretical infrared spectroscopy of large molecules*, Journal of Chemical Physics 130, 064105 (2009) (https://doi.org/10.1063/1.3069834)

- Mode refinement T.Q. Teodoro, M.A.J. Koenis, S.E. Galembeck, V.P. Nicu, W.J. Buma, L. Visscher, A frequency range selection method for vibrational spectra, J. Phys. Chem. Lett., 9 (23), 6878 (2018) (https://doi.org/10.1021/acs.jpclett.8b02963)
- Mobile Block Hessian (MBH) A. Ghysels, D. Van Neck, V. Van Speybroeck, T. Verstraelen and M. Waroquier, *Vibrational Modes in partially optimized molecular systems* Journal of Chemical Physics 126, 224102 (2007) (https://doi.org/10.1063/1.2737444)
- Raman scattering S.J.A. van Gisbergen, J.G. Snijders and E.J. Baerends, *Application of time-dependent density functional response theory to Raman scattering*, Chemical Physics Letters 259, 599 (1996) (https://doi.org/10.1016/0009-2614(96)00858-5)
- **Resonance Raman: excited-state finite lifetime** L. Jensen, L. Zhao, J. Autschbach and G.C. Schatz, *Theory and method for calculating resonance Raman scattering from resonance polarizability derivatives*, Journal of Chemical Physics 123, 174110 (2005) (https://doi.org/10.1063/1.2046670)
- **Resonance Raman: excited-state gradient** J. Neugebauer, E.J. Baerends, E. Efremov, F. Ariese and C. Gooijer, *Combined Theoretical and Experimental Deep-UV Resonance Raman Studies of Substituted Pyrenes*, Journal of Physical Chemistry A 109, 2100 (2005) (https://doi.org/10.1021/jp045360d)
- VROA: (Resonance) vibrational Raman optical activity L. Jensen, J. Autschbach, M. Krykunov, and G.C. Schatz, *Resonance vibrational Raman optical activity: A time-dependent density functional theory approach*, Journal of Chemical Physics 127, 134101 (2007) (https://doi.org/10.1063/1.2768533)
- Vibrational Circular Dichroism (VCD) V.P. Nicu J. Neugebauer S.K. Wolff and E.J. Baerends, A vibrational circular dichroism implementation within a Slater-type-orbital based density functional framework and its application to hexa- and hepta-helicenes, Theoretical Chemical Accounts 119, 245 (2008) (https://doi.org/10.1007/s00214-006-0234-x)
- VCD analysis: VCDtools V.P. Nicu, J. Neugebauer and E.J. Baerends, *Effects of Complex Formation* on Vibrational Circular Dichroism Spectra, Journal of Physical Chemistry A 112, 6978 (2008) (https://doi.org/10.1021/jp710201q)

M.A.J. Koenis, O. Visser, L. Visscher, W.J. Buma, V.P. Nicu, *GUI Implementation of VCDtools, A Pro*gram to Analyze Computed Vibrational Circular Dichroism Spectra, J. Chem. Inf. Model 60, 259 (2020) (https://pubs.acs.org/doi/abs/10.1021/acs.jcim.9b00956)

V.P. Nicu, *Revisiting an old concept: the coupled oscillator model for VCD. Part 1: the generalised coupled oscillator mechanism and its intrinsic connection to the strength of VCD signals*, Physical Chemistry Chemical Physics 18, 21202 (2016) (https://doi.org/10.1039/C6CP01282E).

Franck-Condon factors J.S. Seldenthuis, H.S.J. van der Zant, M.A. Ratner and J.M. Thijssen, Vibrational Excitations in Weakly Coupled Single-Molecule Junctions: A Computational Analysis, ACS Nano 2, 1445 (2008) (https://doi.org/10.1021/nn800170h)

CHAPTER

# FOURTEEN

# **EXTERNAL PROGRAMS AND LIBRARIES**

Click here for the list of programs and/or libraries used in the AMS package. On some platforms optimized libraries have been used and/or vendor specific MPI implementations.

## CHAPTER

## FIFTEEN

# **KEYWORDS**

# 15.1 Links to manual entries

## ams:

- *Constraints* (page 67)
- *ElasticTensor* (page 126)
- *Engine* (page 189)
- EngineAddons (page 201)
- EngineDebugging (page 286)
- *GCMC* (page 113)
- GeometryOptimization (page 41)
- *IRC* (page 70)
- *LoadSystem* (page 35)
- MolecularDynamics (page 75)
- Molecules (page 187)
- *NEB* (page 64)
- NormalModes (page 133)
- NumericalDifferentiation (page 128)
- NumericalPhonons (page 156)
- PESPointCharacter (page 124)
- *PESScan* (page 59)
- *Print* (page 285)
- *Properties* (page 8)
- *Raman* (page 159)
- *Restraints* (page 202)
- *Symmetry* (page 31)
- System (page 27)
- System > ElectrostaticEmbedding (page 34)
- Task (page 8)
- *Thermo* (page 153)
- TransitionStateSearch (page 56)
- UseSymmetry (page 32)
- VibrationalAnalysis > NormalModes > ModeSelect (page 149)

## analysis:

- *AutoCorrelation* (page 211)
- *Histogram* (page 209)
- RadialDistribution (page 208)
- Task (page 208)
- TrajectoryInfo (page 207)

## pipe:

• WorkerCommand (page 25)

# 15.2 Summary of all keywords

## Constraints

Type Block

**Description** The Constraints block allows geometry optimizations and potential energy surface scans with constraints. The constraints do not have to be satisfied at the start of the calculation.

## Angle

Type String

Recurring True

**Description** Fix the angle between three atoms. Three atom indices followed by an angle in degrees.

#### Atom

Type Integer

Recurring True

**Description** Fix the position of an atom. Just one integer referring to the index of the atom in the [System%Atoms] block.

#### AtomList

Type Integer List

Recurring True

**Description** Fix positions of the specified atoms. A list of integers referring to indices of atoms in the [System%Atoms] block.

### Block

Type String

Recurring True

**Description** Name of the region to constrain as a rigid block. Regions are specified in the System%Atoms block.

#### BlockAtoms

Type Integer List

Recurring True

**Description** List of atom indices for a block constraint, where the internal degrees of freedom are frozen.

## Coordinate

Type String

Recurring True

**Description** Fix a particular coordinate of an atom. Atom index followed by (xlylz).

#### DifDist

Type String

Recurring True

**Description** Four atom indices i j k l followed by the distance in Angstrom. This will constrain the difference R(ij)-R(kl) at the given value.

## Dihedral

Type String

Recurring True

**Description** Fix the dihedral angle between four atoms. Four atom indices followed by an angle in degrees.

## Distance

Type String

Recurring True

**Description** Fix the distance between two atoms. Two atom indices followed by the distance in Angstrom.

## EqualStrain

Type String

**Description** Exclusively for lattice optimizations: Accepts a set of strain components [xx, xy, xz, yy, yz, zz] which are to be kept equal. The applied strain will be determined by the average of the corresponding stress tensors components. In AMSinput just check the corresponding check buttons.

## FixedRegion

Type String

Recurring True

Description Fix positions of all atoms in a region.

#### FreezeStrain

**Type** String

**Description** Exclusively for lattice optimizations: Freezes any lattice deformation corresponding to a particular component of the strain tensor. Accepts a set of strain components [xx, xy, xz, yy, yz, zz] to be frozen. In AMSinput just check the corresponding check buttons.

#### SumDist

Type String

Recurring True

**Description** Four atom indices i j k l followed by the distance in Angstrom. This will constrain the sum R(ij)+R(kl) at the given value.

#### ElasticTensor

Type Block

Description Options for numerical evaluation of the elastic tensor.

## MaxGradientForGeoOpt

Type Float

Default value 0.0001

Unit Hartree/Angstrom

GUI name Maximum nuclear gradient

**Description** Maximum nuclear gradient for the relaxation of the internal degrees of freedom of strained systems.

## Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

#### nCoresPerGroup

Type Integer

Description Number of cores in each working group.

#### nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

## nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

## StrainStepSize

Type Float

#### Default value 0.001

**Description** Step size (relative) of strain deformations used for computing the elastic tensor numerically.

#### Engine

Type Block

**Description** The input for the computational engine. The header of the block determines the type of the engine.

## EngineAddons

Type Block

**Description** This block configures all the engine add-ons.

#### AtomEnergies

Type Non-standard block

**Description** Add an element-dependent energy per atom. On each line, give the chemical element followed by the energy (in atomic units).

#### D3Dispersion

Type Block

**Description** This block configures the add-on that adds the Grimme D3 dispersion correction to the engine's energy, gradients, and stress tensor.

## Damping

Type Multiple Choice

Default value BJ

Options [BJ, Zero]

**Description** Type of damping: BJ (Becke-Johnson) or Zero. BJ is recommended for most applications.

#### Enabled

Type Bool

Default value No

Description Enables the D3 dispersion correction addon.

#### Functional

Type String

#### Default value PBE

**Description** Use the D3 parameterization by Grimme for a given xc-functional. Accepts the same values as the –func command line option of the official dftd3 program. Note: the naming convention is different from elsewhere in the AMS suite. For example, BLYP should be called b-lyp.

### a1

## Type Float

**Description** The al parameter. Only used if Damping is set to BJ. If set, it overwrites the al value for the chosen functional.

## a2

## Type Float

**Description** The a2 parameter. Only used if Damping is set to BJ. If set, it overwrites the a2 value for the chosen functional.

## s6

## Type Float

**Description** The s6 parameter, global scaling parameter. If set, it overwrites the s6 value for the chosen functional.

#### s8

## Type Float

Description The s8 parameter. If set, it overwrites the s8 value for the chosen functional.

#### sr6

## Type Float

**Description** The sr6 parameter. Only used if Damping is set to Zero. If set, it overwrites the sr6 value for the chosen functional.

#### D4Dispersion

Type Block

**Description** This block configures the addon that adds the Grimme D4(EEQ) dispersion correction to the engine's energy and gradients.

#### Enabled

Type Bool

Default value No

Description Enables the D4 dispersion correction addon.

#### Functional

Type Multiple Choice

## Default value PBE

**Options** [HF, BLYP, BPBE, BP86, BPW, LB94, MPWLYP, MPWPW91, OLYP, OPBE, PBE, RPBE, REVPBE, PW86PBE, RPW86PBE, PW91, PW91P86, XLYP, B97, TPSS, REVTPSS, SCAN, B1LYP, B3LYP, BHLYP, B1P86, B3P86, B1PW91, B3PW91, O3LYP, REVPBE0, REVPBE38, PBE0, PWP1, PW1PW, MPW1PW91, MPW1LYP, PW6B95, TPSSH, TPSS0, X3LYP, M06L, M06, OMEGAB97, OMEGAB97X, CAM-B3LYP, LC-BLYP, LH07TSVWN, LH07SSVWN, LH12CTSSIRPW92, LH12CTSSIFPW92, LH14TCALPBE, B2PLYP, B2GPPLYP, MPW2PLYP, PWPB95, DSDBLYP, DSDPBE, DSDPBEB95, DSDPBEP86, DSDSVWN, DODBLYP, DODPBE, DODPBEB95, DODP-BEP86, DODSVWN, PBE02, PBE0DH, B1B95, MPWB1K, REVTPSSH, GLYP, REVPBE0DH, REVTPSS0]

**Description** Use the D4 parameterization by Grimme for a given xc-functional.

## Verbosity

Type Multiple Choice

Default value Silent

Options [Silent, Normal, Verbose, VeryVerbose]

**Description** Controls the verbosity of the dftd4 code. Equivalent to the –silent, –verbose, and –very-verbose command line switches of the official dftd4 program.

#### a1

### Type Float

**Description** The a1 parameter, see D4 article. The physically reasonable range for a1 is [0.0,1.0]. If set, it overwrites the a1 value for the chosen functional.

#### a2

Type Float

**Description** The a2 parameter, see D4 article. The physically reasonable range for a2 is [0.0,7.0]. If set, it overwrites the a2 value for the chosen functional.

#### s6

Type Float

**Description** The s6 parameter, see D4 article. The physically reasonable range for s6 is [0.0,1.0]. If set, it overwrites the s6 value for the chosen functional.

s8

## Type Float

**Description** The s8 parameter, see D4 article. The physically reasonable range for s8 is [0.0,3.0]. If set, it overwrites the s8 value for the chosen functional.

s9

Type Float

**Description** The s9 parameter, see D4 article. If set, it overwrites the s9 value for the chosen functional.

#### ExternalEngine

Type Block

**Description** External engine as an addon

#### Execute

Type String

GUI name Execute

Description execute command

## ExternalStress

#### Type Block

**Description** This block configures the addon that adds external stress term to the engine's energy and stress tensor.

#### StressTensorVoigt

**Type** Float List

Unit a.u.

GUI name External stress tensor

**Description** The elements of the external stress tensor in Voigt notation. One should specify 6 numbers for 3D periodic system (order: xx,yy,zz,yz,xz,xy), 3 numbers for 2D periodic systems (order: xx,yy,xy) or 1 number for 1D periodic systems.

## UpdateReferenceCell

Type Bool

#### Default value No

**Description** Whether ot not the reference cell should be updated every time the system changes (see documentation).

## PipeEngine

Type Block

Description Pipe engine as an addon

#### WorkerCommand

Type String

GUI name Worker command

Description pipe worker command

#### Pressure

Type Float

Default value 0.0

Unit GPa

**Description** Add a hydrostatic pressure term to the engine's energy and stress tensor. Can only be used for 3D periodic boundary conditions.

## EngineDebugging

Type Block

Description This block contains some options useful for debugging the computational engines.

## CheckInAndOutput

Type Bool

#### Default value No

**Description** Enables some additional checks on the input and output of and engine, e.g. for NaN values.

## ForceContinousPES

Type Bool

## Default value No

**Description** If this option is set, the engine will always run in continuous PES mode. For many engines this disables the use of symmetry, as this one always leads to a discontinuous PES around the symmetric points: Basically there is jump in the PES at the point where the symmetry detection starts classifying the system as symmetric. Normally the continuous PES mode of the engine (often disabling the symmetry) is only used when doing numerical derivatives, but this flag forces the engine to continuously run in this mode.

#### IgnoreGradientsRequest

Type Bool

#### Default value No

**Description** If this option is set, the engine will not do analytical gradients if asked for it, so that gradients will have to be evaluated numerically by AMS.

#### IgnorePreviousResults

Type Bool

Default value No

**Description** If this option is set, the engine will not receive information from previous calculations. Typically this information is used to restart the self consistent procedure of the engine.

#### IgnoreStressTensorRequest

Type Bool

Default value No

**Description** If this option is set, the engine will not calculate an analytical stress tensor if asked for it, so that the stress tensor will have to be evaluated numerically by AMS.

#### NeverQuiet

Type Bool

Default value No

Description Makes the engine ignore the request to work quietly.

## RandomFailureChance

Type Float

#### Default value 0.0

**Description** Makes the engine randomly report failures, even though the results are actually fine. Useful for testing error handling on the application level.

## RandomNoiseInEnergy

Type Float

Default value 0.0

Unit Hartree

**Description** Adds a random noise to the energy returned by the engine. The random contribution is drawn from [-r,r] where r is the value of this keyword.

## RandomNoiseInGradients

Type Float

Default value 0.0

#### Unit Hartree/Angstrom

**Description** Adds a random noise to the gradients returned by the engine. A random number in the range [-r,r] (where r is the value of this keyword) is drawn and added separately to each component of the gradient.

## RandomStopChance

Type Float

Default value 0.0

Description Makes the engine randomly stop. Can be used to simulate crashes.

## EngineRestart

Type String

**Description** The path to the file from which to restart the engine. Should be a proper engine result file (like adf.rkf, band.rkf etc), or the name of the results directory containing it.

## GCMC

Type Block

**Description** This block controls the Grand Canonical Monte Carlo (GCMC) task. By default, molecules are added at random positions in the simulation box. The initial position is controlled by

## AccessibleVolume

Type Float

Default value 0.0

**Description** Volume available to GCMC, in cubic Angstroms. AccessibleVolume should be specified for "Accessible" and "FreeAccessible" [VolumeOption].

#### Box

## Type Block

**Description** Boundaries of the insertion space, i.e. coordinates of the origin of an inserted molecule (coordinates of an atom of the inserted system may fall outside the box). For a periodic dimension it is given as a fraction of the simulation box (the full 0 to 1 range by default). For a non-periodic dimension it represents absolute Cartesian coordinates in Angstrom (the system's bounding box extended by the MaxDistance value by default).

#### Amax

Type Float

Description Coordinate of the upper bound along the first axis.

#### Amin

**Type** Float

Description Coordinate of the lower bound along the first axis.

#### Bmax

**Type** Float

**Description** Coordinate of the upper bound along the second axis.

#### Bmin

Type Float

**Description** Coordinate of the lower bound along the second axis.

#### Cmax

Type Float

**Description** Coordinate of the upper bound along the third axis.

#### Cmin

Type Float

Description Coordinate of the lower bound along the third axis.

#### Ensemble

Type Multiple Choice

Default value Mu-VT

Options [Mu-VT, Mu-PT]

**Description** Select the MC ensemble: Mu-VT for fixed volume or Mu-PT for variable volume. When the Mu-PT ensemble is selected the [Pressure] and [VolumeChangeMax] should also be specified.

#### Iterations

Type Integer

GUI name Number of GCMC iterations

Description Number of GCMC moves.

#### MapAtomsToOriginalCell

Type Bool

Default value Yes

**Description** Keeps the atom (mostly) in the original cell by mapping them back before the geometry optimizations.

#### MaxDistance

Type Float

Default value 3.0

Unit Angstrom

GUI name Add molecules within

Description The max distance to other atoms of the system when adding the molecule.

#### MinDistance

Type Float

Default value 0.3

Unit Angstrom

GUI name Add molecules not closer than

**Description** Keep the minimal distance to other atoms of the system when adding the molecule.

## Molecule

Type Block

Recurring True

- GUI name Molecules
- **Description** This block defines the molecule (or atom) that can be inserted/moved/deleted with the MC method. The coordinates should form a reasonable structure. The MC code uses these coordinates during the insertion step by giving them a random rotation, followed by a random translation to generate a random position of the molecule inside the box. Currently, there is no check to make sure all atoms of the molecule stay inside the simulation box. The program does check that the MaxDistance/MinDistance conditions are satisfied.

## ChemicalPotential

Type Float

### Unit Hartree

**Description** Chemical potential of the molecule (or atom) reservoir. It is used when calculating the Boltzmann accept/reject criteria after a MC move is executed. This value can be derived from first principles using statistical mechanics, or equivalently, it can be determined from thermochemical tables available in literature sources. For example, the proper chemical potential for a GCMC simulation in which single oxygen atoms are exchanged with a reservoir of O2 gas, should equal 1/2 the chemical potential of O2 at the temperature and pressure of the reservoir: cmpot = Mu\_O(T,P) = 1/2\*Mu\_O2(T,P) = 1/2 \* [Mu\_ref(T,P\_ref) + kT\*Log(P/Pref) - E\_diss] where the reference chemical potential [Mu\_ref(T,P\_ref)] is the experimentally determined chemical potential of O2 at T and Pref; kT\*Log(P/Pref) is the pressure correction to the free energy, and E\_diss is the dissociation energy of the O2 molecule.

#### NoAddRemove

Type Bool

Default value No

GUI name Fix molecule

**Description** Set to True to tell the GCMC code to keep the number of molecules/atoms of this type fixed. It will thus disable Insert/Delete moves on this type, meaning it can only do a displacement move, or volume change move (for an NPT ensemble).

#### SystemName

Type String

GUI name Molecule

**Description** String ID of a named [System] to be inserted. The lattice specified with this System, if any, is ignored and the main system's lattice is used instead.

#### NonAccessibleVolume

Type Float

Default value 0.0

GUI name Non-accessible volume

**Description** Volume not available to GCMC, in cubic Angstroms. NonAccessibleVolume may be specified for the "Free" [VolumeOption] to reduce the accessible volume.

#### NumAttempts

Type Integer

Default value 1000

GUI name Max tries

**Description** Try inserting/moving the selected molecule up to the specified number of times or until all constraints are satisfied. If all attempts fail a message will be printed and the simulation will stop. If the MaxDistance-MinDistance interval is small this number may have to be large.

#### Pressure

Type Float

Default value 0.0

Unit Pascal

**Description** Pressure used to calculate the energy correction in the Mu-PT ensemble. Set it to zero for incompressible solid systems unless at very high pressures.

## Removables

Type Non-standard block

**Description** The Removables can be used to specify a list of molecules that can be removed or moved during this GCMC calculation. Molecules are specified one per line in the format following format: MoleculeName atom1 atom2... The MoleculeName must match a name specified in one of the [Molecule] blocks. The atom indices refer to the whole input System

and the number of atoms must match that in the specified Molecule. A suitable Removables block is written to the standard output after each accepted MC move. If you do so then you should also replace the initial atomic coordinates with the ones found in the same file. If a [Restart] key is present then the Removables block is ignored.

## Restart

## Type String

**Description** Name of an RKF restart file. Upon restart, the information about the GCMC input parameters, the initial system (atomic coordinates, lattice, charge, etc.) and the MC molecules (both already inserted and to be inserted) are read from the restart file. The global GCMC input parameters and the MC Molecules can be modified from input. Any parameter not specified in the input will use its value from the restart file (i.e. not the default value). Molecules found in the restart file do not have to be present as named Systems in the input, however if there is a System present that matches the name of a molecule from restart then the System's geometry will replace that found in the restart file. It is also possible to specify new Molecules in the input, which will be added to the pool of the MC molecules from restart.

#### Temperature

Type Float

Default value 300.0

Unit Kelvin

**Description** Temperature of the simulation. Increase the temperature to improve the chance of accepting steps that result in a higher energy.

## UseGCPreFactor

Type Bool

Default value Yes

GUI name Use GC prefactor

Description Use the GC pre-exponential factor for probability.

#### VolumeChangeMax

Type Float

#### Default value 0.05

**Description** Fractional value by which logarithm of the volume is allowed to change at each step. The new volume is then calculated as Vnew = exp(random(-1:1)\*VolumeChangeMax)\*Vold

#### VolumeOption

Type Multiple Choice

Default value Free

**Options** [Free, Total, Accessible, FreeAccessible]

GUI name Volume method

**Description** Specifies the method to calculate the volume used to calculate the GC preexponential factor and the energy correction in the Mu-PT ensemble: Free: V = totalVolume - occupiedVolume - NonAccessibleVolume; Total: V = totalVolume; Accessible: V = AccessibleVolume; FreeAccessible: V = AccessibleVolume - occupiedVolume. The AccessibleVolume and NonAccessibleVolume are specified in the input, the occupiedVolume is calculated as a sum of atomic volumes.

## GeometryOptimization

Type Block

Description Configures details of the geometry optimization and transition state searches.

### CalcPropertiesOnlyIfConverged

Type Bool

### Default value Yes

**Description** Compute the properties requested in the 'Properties' block, e.g. Frequencies or Phonons, only if the optimization (or transition state search) converged. If False, the properties will be computed even if the optimization did not converge.

## Convergence

#### Type Block

**Description** Convergence is monitored for up to 4 quantities: the energy change, the Cartesian gradients, the Cartesian step size, and for lattice optimizations the stress energy per atom. Convergence criteria can be specified separately for each of these items.

#### Energy

Type Float

Default value 1e-05

Unit Hartree

GUI name Energy convergence

**Description** The criterion for changes in the energy. The energy is considered converged when the change in energy is smaller than this threshold times the number of atoms.

## Gradients

Type Float

Default value 0.001

Unit Hartree/Angstrom

GUI name Gradient convergence

Description Threshold for nuclear gradients.

## Step

Type Float

Default value 0.01

Unit Angstrom

GUI name Step convergence

Description The maximum Cartesian step allowed for a converged geometry.

## StressEnergyPerAtom

Type Float

Default value 0.0005

Unit Hartree

**Description** Threshold used when optimizing the lattice vectors. The stress is considered 'converged' when the maximum value of stress\_tensor \* cell\_volume / number\_of\_atoms is smaller than this threshold (for 2D and 1D systems, the cell\_volume is replaced by the cell\_area and cell\_length respectively).

## CoordinateType

Type Multiple Choice

Default value Auto

**Options** [Auto, Delocalized, Cartesian]

GUI name Optimization space

**Description** Select the type of coordinates in which to perform the optimization. 'Auto' automatically selects the most appropriate CoordinateType for a given Method. If 'Auto' is selected, Delocalized coordinates will be used for the Quasi-Newton and SCMGO methods, while Cartesian coordinates will be used for all other methods.

## EngineAutomations

Type Block

**Description** The optimizer can change some settings of the engine, based for instance on the error. The idea is to allow the engine to be a bit quicker at the start, and more accurate towards the end. Automations are always engine specific.

#### Enabled

Type Bool

Default value Yes

**Description** Whether or not autotions are enabled at all.

## Gradient

Type Block

Recurring True

Description A gradient-based automation.

#### FinalValue

Type Float

Description This value will be used whenever the gradient is less than GradientLow

#### HighGradient

Type Float

Default value 1.0

Unit Hartree/Angstrom

**Description** Defines a large gradient. When the actual gradient is between GradientHigh and GradientLow a linear interpolation scheme is used for kT (on a log scale).

#### InitialValue

Type Float

**Description** This value will be used at the first geometry, and whenever the gradient is higher than GradientHigh

#### LowGradient

Type Float

Default value 1.0

Unit Hartree/Angstrom

Description Defines a small gradient, see GradientHigh

## UseLogInterpolation

Type Bool

Default value Yes

Description Whether to use interpolation on a log (y) scale or not

#### Variable

Type String

**Default value** 

Description variable to be tweaked for the engine.

## Iteration

Type Block

Recurring True

Description Geometry step based automation.

## FinalValue

Type Float

Description

## FirstIteration

Type Integer

#### Default value 1

**Description** When the actual gradient is between the first and last iteration, a linear interpolation is used.

## InitialValue

Type Float

**Description** This value will be used when the iteration number is smaller or equal to FirstIteration

#### LastIteration

Type Integer

Default value 10

Description Where the automation should reach the FinalValue

#### UseLogInterpolation

Type Bool

Default value Yes

**Description** Whether to use interpolation on a log (y) scale or not

## Variable

Type String

Default value

**Description** variable to be tweaked for the engine.

## FIRE

### Type Block

**Description** This block configures the details of the FIRE optimizer. The keywords name correspond the the symbols used in the article describing the method, see PRL 97, 170201 (2006).

## AllowOverallRotation

Type Bool

Default value Yes

**Description** Whether or not the system is allowed to freely rotate during the optimization. This is relevant when optimizing structures in the presence of external fields.

## AllowOverallTranslation

Type Bool

Default value No

**Description** Whether or not the system is allowed to translate during the optimization. This is relevant when optimizing structures in the presence of external fields.

#### MapAtomsToUnitCell

Type Bool

Default value No

Description Map the atoms to the central cell at each geometry step.

#### NMin

Type Integer

**Default value** 5

Description Number of steps after stopping before increasing the time step again.

#### alphaStart

Type Float

Default value 0.1

Description Steering coefficient.

## dtMax

Type Float

Default value 1.0

Unit Femtoseconds

Description Maximum time step used for the integration.

## dtStart

Type Float

Default value 0.25

Unit Femtoseconds

**Description** Initial time step for the integration.

## fAlpha

Type Float

Default value 0.99

Description Reduction factor for the steering coefficient.

#### fDec

Type Float

Default value 0.5

Description Reduction factor for reducing the time step in case of uphill movement.

## fInc

Type Float

Default value 1.1

Description Growth factor for the integration time step.

## strainMass

Type Float

Default value 0.5

**Description** Fictitious relative mass of the lattice degrees of freedom. This controls the stiffness of the lattice degrees of freedom relative to the atomic degrees of freedom, with smaller values resulting in a more aggressive optimization of the lattice.

## HessianFree

Type Block

**Description** Configures details of the Hessian-free (conjugate gradients or L-BFGS) geometry optimizer.

## Step

Type Block

Description

## MaxCartesianStep

Type Float

Default value 0.1

Unit Angstrom

Description Limit on a single Cartesian component of the step.

#### MinRadius

Type Float

Default value 0.0

Unit Angstrom

Description Minimum value for the trust radius.

## TrialStep

Type Float

Default value 0.0005

Unit Angstrom

**Description** Length of the finite-difference step when determining curvature. Should be smaller than the step convergence criterion.

## TrustRadius

Type Float

Default value 0.2

Unit Angstrom

**Description** Initial value of the trust radius.

## InitialHessian

Type Block

**Description** Options for initial model Hessian when optimizing systems with either the Quasi-Newton or the SCMGO method.

## File

Type String

GUI name Initial Hessian from

**Description** KF file containing the initial Hessian (or the results dir. containing it). This can be used to load a Hessian calculated in a previously with the [Properties%Hessian] keyword.

## Туре

Type Multiple Choice

Default value Auto

Options [Auto, UnitMatrix, Swart, FromFile, Calculate, CalculateWithFastEngine]

## GUI name Initial Hessian

**Description** Select the type of initial Hessian. Auto: let the program pick an initial model Hessian. UnitMatrix: simplest initial model Hessian, just a unit matrix in the optimization coordinates. Swart: model Hessian from M. Swart. FromFile: load the Hessian from the results of a previous calculation (see InitialHessian%File). Calculate: compute the initial Hessian (this may be computationally expensive and it is mostly recommended for TransitionStateSearch calculations). CalculateWithFastEngine: compute the initial Hessian with a faster engine.

## KeepIntermediateResults

Type Bool

Default value No

**Description** Whether the full engine result files of all intermediate steps are stored on disk. By default only the last step is kept, and only if the geometry optimization converged. This can easily lead to huge amounts of data being stored on disk, but it can sometimes be convenient to closely monitor a tricky optimization, e.g. excited state optimizations going through conical intersections, etc. ...

### MaxIterations

Type Integer

GUI name Maximum number of iterations

**Description** The maximum number of geometry iterations allowed to converge to the desired structure.

## Method

Type Multiple Choice

Default value Auto

Options [Auto, Quasi-Newton, SCMGO, FIRE, L-BFGS, ConjugateGradients]

GUI name Optimization method

**Description** Select the optimization algorithm employed for the geometry relaxation. Currently supported are: the Hessian-based Quasi-Newton-type BFGS algorithm, the experimental SCMGO optimizer, the fast inertial relaxation method (FIRE), the limited-memory BFGS method, and the conjugate gradients method. The default is to choose an appropriate method automatically based on the engine's speed, the system size and the supported optimization options.

## OptimizeLattice

Type Bool

Default value No

**Description** Whether to also optimize the lattice for periodic structures. This is currently only supported with the Quasi-Newton, FIRE, L-BFGS and SCMGO optimizers.

## PretendConverged

Type Bool

#### Default value No

**Description** Normally a non-converged geometry optimization is considered an error. If this keyword is set to True, the optimizer will only produce a warning and still claim that the optimization is converged. (This is mostly useful for scripting applications, where one might want to consider non-converged optimizations still successful jobs.)

#### Quasi-Newton

Type Block

Description Configures details of the Quasi-Newton geometry optimizer.

MaxGDIISVectors

Type Integer

Default value 0

**Description** Sets the maximum number of GDIIS vectors. Setting this to a number >0 enables the GDIIS method.

## Step

Type Block

Description

## TrustRadius

Type Float

**Description** Initial value of the trust radius.

## UpdateTSVectorEveryStep

Type Bool

Default value Yes

GUI name Update TSRC vector every step

**Description** Whether to update the TS reaction coordinate at each step with the current eigenvector.

## SCMGO

Type Block

Description Configures details SCMGO.

#### ContractPrimitives

Type Bool

#### Default value Yes

**Description** Form non-redundant linear combinations of primitive coordinates sharing the same central atom

#### NumericalBMatrix

Type Bool

## Default value No

**Description** Calculation of the B-matrix, i.e. Jacobian of internal coordinates in terms of numerical differentiations

#### Step

Type Block

Description

#### TrustRadius

Type Float

Default value 0.2

Description Initial value of the trust radius.

#### VariableTrustRadius

Type Bool

Default value Yes

Description Whether or not the trust radius can be updated during the optimization.

## logSCMGO

Type Bool

Default value No

Description Verbose output of SCMGO internal data

## testSCMGO

Type Bool

Default value No

Description Run SCMGO in test mode.

## IRC

## Type Block

Description Configures details of the Intrinsic Reaction Coordinate optimization.

#### Convergence

## Type Block

**Description** Convergence at each given point is monitored for two items: the Cartesian gradient and the calculated step size. Convergence criteria can be specified separately for each of these items. The same criteria are used both in the inner IRC loop and when performing energy minimization at the path ends.

#### Gradients

Type Float

Default value 0.001

Unit Hartree/Angstrom

GUI name Gradient convergence

Description Convergence criterion for the max component of the residual energy gradient.

## Step

Type Float

Default value 0.001

Unit Angstrom

GUI name Step convergence

**Description** Convergence criterion for the max component of the step in the optimization coordinates.

#### CoordinateType

Type Multiple Choice

Default value Cartesian

**Options** [Cartesian, Delocalized]

GUI name Coordinates used for optimization

**Description** Select the type of coordinates in which to perform the optimization. Note that the Delocalized option should be considered experimental.

## Direction

Type Multiple Choice
## Default value Both

**Options** [Both, Forward, Backward]

**Description** Select direction of the IRC path. The difference between the Forward and the Backward directions is determined by the sign of the largest component of the vibrational normal mode corresponding to the reaction coordinate at the transition state geometry. The Forward path correspond to the positive sign of the component. If Both is selected then first the Forward path is computed followed by the Backward one.

## InitialHessian

# Type Block

**Description** Options for initial Hessian at the transition state. The first eigenvalue of the initial Hessian defines direction of the first forward or backward step. This block is ignored when restarting from a previous IRC calculation because the initial Hessian found in the restart file is used.

## File

Type String

### GUI name File

**Description** If 'Type' is set to 'FromFile' then in this key you should specify the RKF file containing the initial Hessian (or the ams results dir. containing it). This can be used to load a Hessian calculated previously with the 'Properties%Hessian' keyword. If you want to also use this file for the initial geometry then also specify it in a 'LoadSystem' block.

# Туре

Type Multiple Choice

Default value Calculate

**Options** [Calculate, FromFile]

GUI name Initial Hessian

**Description** Calculate the exact Hessian for the input geometry or load it from the results of a previous calculation.

## KeepConvergedResults

Type Bool

## Default value Yes

**Description** Keep the binary RKF result file for every converged IRC point. These files may contain more information than the main ams.rkf result file.

### MaxIRCSteps

Type Integer

GUI name Maximum IRC steps

**Description** Soft limit on the number of IRC points to compute in each direction. After the specified number of IRC steps the program will switch to energy minimization and complete the path. This option should be used when you are interested only in the reaction path area near the transition state. Note that even if the soft limit has been hit and the calculation has completed, the IRC can still be restarted with a 'RedoBackward' or 'RedoForward' option.

## MaxIterations

Type Integer

Default value 300

GUI name Maximum iterations

**Description** The maximum number of geometry iterations allowed to converge the inner IRC loop. If optimization does not converge within the specified number of steps, the calculation is aborted.

### MaxPoints

Type Integer

Default value 100

GUI name Maximum points

**Description** Hard limit on the number of IRC points to compute in each direction. After the specified number of IRC steps the program will stop with the current direction and switch to the next one. If both 'MaxPoints' and 'MaxIRCSteps' are set to the same value then 'MaxPoints' takes precedence, therefore this option should be used to set a limit on the number of IRC steps if you intend to use the results later for a restart.

### MinEnergyProfile

Type Bool

Default value No

GUI name Minimum energy profile

Description Calculate minimum energy profile (i.e. no mass-weighting) instead of the IRC.

# MinPathLength

Type Float

Default value 0.1

Unit Angstrom

**Description** Minimum length of the path required before switching to energy minimization. Use this to overcome a small kink or a shoulder on the path.

#### Restart

Type Block

**Description** Restart options. Upon restart, the information about the IRC input parameters and the initial system (atomic coordinates, lattice, charge, etc.) is read from the restart file. The IRC input parameters can be modified from input. Except for 'MaxPoints' and 'Direction' all parameters not specified in the input will use their values from the restart file. The 'Max-Points' and 'Direction' will be reset to their respective default values if not specified in the input. By default, the IRC calculation will continue from the point where it left off. However, the 'RedoForward' and/or 'RedoBackward' option can be used to enforce recalculation of a part of the reaction path, for example, using a different 'Step' value.

#### File

Type String

GUI name Restart

**Description** Name of an RKF restart file generated by a previous IRC calculation. Do not use this key to provide an RKF file generated by a TransitionStateSearch or a SinglePoint calculation, use the 'LoadSystem' block instead.

## RedoBackward

Type Integer

### Default value 0

**Description** IRC step number to start recalculating the backward path from. By default, if the backward path has not been completed then start after the last completed step. If the backward path has been completed and the 'RedoBackward' is omitted then no point on the backward path will be recomputed.

# RedoForward

Type Integer

## Default value 0

**Description** IRC step number to start recalculating the forward path from. By default, if the forward path has not been completed then start after the last completed step. If the forward path has been completed and the 'RedoForward' is omitted then no point on the forward path will be recomputed.

# Step

Type Float

**Default value** 0.2

GUI name Step size

**Description** IRC step size in mass-weighted coordinates, sqrt(amu)\*bohr. One may have to increase this value when heavy atoms are involved in the reaction, or decrease it if the reactant or products are very close to the transition state.

# LoadEngine

# Type String

**Description** The path to the file from which to load the engine configuration. Replaces the Engine block.

#### LoadSystem

Type Block

### Recurring True

**Description** Block that controls reading the chemical system from a KF file instead of the [System] block.

### File

Type String

**Description** The path of the KF file from which to load the system. It may also be the results directory containing it.

#### Section

Type String

Default value Molecule

Description The section on the KF file from which to load the system.

## Log

Type Non-standard block

**Description** Configures the debugging loggers. Syntax: 'Level LoggerName'. Possible Levels: All, Debug, Info, Warning, Error, Fatal.

### MolecularDynamics

Type Block

**Description** Configures molecular dynamics (with the velocity-Verlet algorithm) with and without thermostats. This block allows to specify the details of the molecular dynamics calculation.

#### AddMolecules

Type Block

Recurring True

GUI name Add molecules

**Description** This block controls adding molecules to the system (a.k.a. the Molecule Gun). Multiple occurrences of this block are possible. By default, molecules are added at random positions in the simulation box with velocity matching the current system temperature. The initial position can be modified using one of the following keywords: Coords, CoordsBox, FractionalCoords, FractionalCoordsBox. The Coords and FractionalCoords keys can optionally be accompanied by CoordsSigma or FractionalCoordsSigma, respectively.

### AtomTemperature

Type Float

Default value 0.0

Unit Kelvin

**Description** Add random velocity corresponding to the specified temperature to individual atoms of the molecule. The total momentum of the added molecule is not conserved.

## Coords

Type Float List

Unit Angstrom

**Description** Place molecules at or around the specified Cartesian coordinates. This setting takes precedence over other ways to specify initial coordinates of the molecule: [Coords-Box], [FractionalCoords], and [FractionalCoordsBox].

#### CoordsBox

Type Float List

Unit Angstrom

**Description** Place molecules at random locations inside the specified box in Cartesian coordinates. Coordinates of the box corners are specified as: Xmin, Xmax, Ymin, Ymax, Zmin, Zmax. This setting is ignored if Coords is used. In AMSinput, if this field is not empty it will be used instead of the default Coords.

## CoordsSigma

Type Float List

Unit Angstrom

**Description** Sigma values (one per Cartesian axis) for a Gauss distribution of the initial coordinates. Can only be used together with Coords.

#### Energy

Type Float

Unit Hartree

Description Initial kinetic energy of the molecule in the shooting direction.

## EnergySigma

Type Float

Default value 0.0

Unit Hartree

**Description** Sigma value for the Gauss distribution of the initial kinetic energy around the specified value. Should only be used together with Energy.

## FractionalCoords

Type Float List

**Description** Place molecules at or around the specified fractional coordinates in the main system's lattice. For non-periodic dimensions a Cartesian value in Angstrom is expected. This setting is ignored if [Coords] or [CoordsBox] is used.

### FractionalCoordsBox

Type Float List

**Description** Place molecules at random locations inside the box specified as fractional coordinates in the main system's lattice. Coordinates of the box corners are specified as: Xmin, Xmax, Ymin, Ymax, Zmin, Zmax. For non-periodic dimensions the Cartesian value in Angstrom is expected. This setting is ignored if [Coords], [CoordsBox], or [FractionalCoords] is used.

# FractionalCoordsSigma

Type Float List

**Description** Sigma values (one per axis) for a Gauss distribution of the initial coordinates. For non-periodic dimensions the Cartesian value in Angstrom is expected. Can only be used together with FractionalCoords.

## Frequency

Type Integer

## **Default value** 0

**Description** A molecule is added every [Frequency] steps after the StartStep. There is never a molecule added at step 0.

#### MinDistance

Type Float

Default value 0.0

Unit Angstrom

**Description** Keep the minimal distance to other atoms of the system when adding the molecule.

### NumAttempts

Type Integer

Default value 10

**Description** Try adding the molecule up to the specified number of times or until the MinDistance constraint is satisfied. If all attempts fail a message will be printed and the simulation will continue normally.

## Rotate

Type Bool

Default value No

Description Rotate the molecule randomly before adding it to the system.

#### StartStep

Type Integer

Default value 0

**Description** Step number when the first molecule should be added. After that, molecules are added every Frequency steps. For example, ff StartStep=99 and Frequency=100 then a molecule will be added at steps 99, 199, 299, etc... No molecule will be added at step 0, so if StartStep=0 the first molecule is added at the step number equal to [Frequency].

### StopStep

Type Integer

**Description** Do not add this molecule after the specified step.

#### System

## Type String

**Description** String ID of the [System] that will be added with this 'gun'. The lattice specified with this System is ignored and the main system's lattice is used instead. AMSinput adds the system at the coordinates of the System (thus setting Coords to the center of the System).

### Temperature

Type Float

Unit Kelvin

**Description** Initial energy of the molecule in the shooting direction will correspond to the given temperature.

## TemperatureSigma

Type Float

Default value 0.0

Unit Kelvin

**Description** Sigma value for the Gauss distribution of the initial temperature the specified value. Should only be used together with TemperatureSigma.

#### Velocity

Type Float

Unit Angstrom/fs

**Description** Initial velocity of the molecule in the shooting direction.

# VelocityDirection

Type Float List

**Description** Velocity direction vector for aimed shooting. It will be random if not specified. In AMSinput add one or two atoms (which may be dummies). One atom: use vector from center of the system to add to that atom. Two atoms: use vector from the first to the second atom.

## VelocitySigma

Type Float

Default value 0.0

Unit Angstrom/fs

**Description** Sigma value for the Gauss distribution of the initial velocity around the specified value. Should only be used together with Velocity.

## Barostat

Type Block

Description This block allows to specify the use of a barostat during the simulation.

### BulkModulus

Type Float

Default value 220000000.0

Unit Pascal

**Description** An estimate of the bulk modulus (inverse compressibility) of the system for the Berendsen barostat. This is only used to make Tau correspond to the true observed relaxation time constant. Values are commonly on the order of 10-100 GPa (1e10 to 1e11) for solids and 1 GPa (1e9) for liquids (2.2e9 for water). Use 1e9 to match the behavior of standalone ReaxFF.

## ConstantVolume

Type Bool

Default value No

**Description** Keep the volume constant while allowing the box shape to change. This is currently supported only by the MTK barostat.

### Duration

Type Integer List

**Description** Specifies how many steps should a transition from a particular pressure to the next one in sequence take.

## Equal

Type Multiple Choice

Default value None

Options [None, XYZ, XY, YZ, XZ]

**Description** Enforce equal scaling of the selected set of dimensions. They will be barostatted as one dimension according to the average pressure over the components.

## Pressure

Type Float List

Unit Pascal

**Description** Specifies the target pressure. You can specify multiple pressures (separated by spaces). In that case the Duration field specifies how many steps to use for the transition from one p to the next p (using a linear ramp).

#### Scale

**Type** Multiple Choice

Default value XYZ

Options [XYZ, Shape, X, Y, Z, XY, YZ, XZ]

**Description** Dimensions that should be scaled by the barostat to maintain pressure. Selecting Shape means that all three dimensions and also all the cell angles are allowed to change.

#### Tau

Type Float

Unit Femtoseconds

GUI name Damping constant

Description Specifies the time constant of the barostat.

#### Туре

Type Multiple Choice

Default value None

Options [None, Berendsen, MTK]

GUI name Barostat

**Description** Selects the type of the barostat.

## BondBoost

Type Block

Recurring True

**Description** Forced reaction (bond boost) definitions. Multiple BondBoost blocks may be specified, which will be treated independently.

## Chain

# Type Block

**Description** Specifications of a chain of atoms. When a chain is detected the distance restraints will be activated. No other chain of this type will be detected while any restraints for this chain is active.

#### AtomNames

Type String

**Description** Atom names specifying the chain. An atom name can optionally be followed by '@' and a region name, in this case only atoms of this type from the given region will be matched. A leading '@' followed by a number indicates that this position in the chain must be occupied by the atom found earlier at the specified position in the chain. For example "O H N C @1" indicates that the last atom in the chain of the five atoms must be the first oxygen, thus defining a 4-membered ring. This is the only way to define a ring because implicit rings will not be detected. For example, "O H N C O" does not include rings.

# MaxDistances

Type Float List

Unit Angstrom

**Description** Maximum distances for each pair of atoms in the chain. The number of distances must be one less than the number of AtomNames.

## MinDistances

Type Float List

Unit Angstrom

**Description** Minimum distances for each pair of atoms in the chain. The number of distances must be one less than the number of AtomNames.

### DistanceRestraint

Type String

Recurring True

**Description** Specify two atom indices followed by the distance in Angstrom, the ForceConstant (in a.u.) and, optionally, the profile type and F(Inf) (in a.u.). This restraint will try to keep the distance between the two specified atoms at the given value. For periodic systems this restraint follows the minimum image convention. Each index indicates position of the corresponding atom in the AtomNames key. Currently recognized restraint profile types: Harmonic (default), Hyperbolic, Erf.

## NSteps

Type Integer

GUI name Boost lifetime

**Description** Number of steps the restraints will remain active until removed. Atoms participating in one reaction are not available for the given number of steps.

#### NumInstances

Type Integer

Default value 1

GUI name Number of instances

Description Number of reactions of this type taking place simultaneously.

#### CRESTMTD

Type Block

GUI name CREST\_MTD

Description Input for CREST metadynamics simulation.

## AddEnergy

## Type Bool

Description Add the bias energy to the potential energy (to match the gradients)

# GaussianScaling

Type Block

**Description** Options for gradual introduction of the Gaussians

# ScaleGaussians

Type Bool

**Default value** Yes

**Description** Introduce the Gaussians gradually, using a scaling function

## ScalingSlope

Type Float

Default value 0.03

Description Slope of the scaling function for the Gaussians with respect to time

## Height

Type Float

Unit Hartree

Description The height of the Gaussians added

## NGaussiansMax

Type Integer

Description Maximum number of Gaussians stored

# NSteps

Type Integer

Description Interval of Gaussian placement

## RestartFile

Type String

Description Filename for file from which to read data on Gaussians placed previously.

## Width

Type Float

Unit Bohr

Description The width of the Gaussians added in terms of the RMSD

# CVHD

Type Block Recurring True GUI name CVHD Description Input for the Collective Variable-driven HyperDynamics (CVHD).

### Bias

# Type Block

**Description** The bias is built from a series of Gaussian peaks deposited on the collective variable axis every [Frequency] steps during MD. Each peak is characterized by its (possibly damped) height and the RMS width (standard deviation).

# DampingTemp

Type Float

Default value 0.0

Unit Kelvin

GUI name Bias damping T

**Description** During well-tempered hyperdynamics the height of the added bias is scaled down with an exp(-E/kT) factor [PhysRevLett 100, 020603 (2008)], where E is the current value of the bias at the given CV value and T is the damping temperature DampingTemp. If DampingTemp is zero then no damping is applied.

#### Delta

Type Float

**Description** Standard deviation parameter of the Gaussian bias peak.

## Height

Type Float

Unit Hartree

Description Height of the Gaussian bias peak.

#### ColVarBB

Type Block

Recurring True

GUI name Collective Variable

**Description** Description of a bond-breaking collective variable (CV) as described in [Bal & Neyts, JCTC, 11 (2015)]. A collective variable may consist of multiple ColVar blocks.

### at1

Type Block

**Description** Specifies the first bonded atom in the collective variable.

## region

Type String

Default value \*

**Description** Restrict the selection of bonded atoms to a specific region. If this is not set, atoms anywhere in the system will be selected.

## symbol

Type String

**Description** Atom type name of the first atom of the bond. The name must be as it appears in the System block. That is, if the atom name contains an extension (e.g C.1) then the full name including the extension must be used here.

#### at2

Type Block

Description Specifies the second bonded atom in the collective variable.

## region

Type String

Default value \*

**Description** Restrict the selection of bonded atoms to a specific region. If this is not set, atoms anywhere in the system will be selected.

### symbol

Type String

**Description** Atom type name of the second atom of the bond. The value is allowed to be the same as [at1], in which case bonds between atoms of the same type will be included.

#### cutoff

Type Float

Default value 0.3

GUI name Bond order cutoff

**Description** Bond order cutoff. Bonds with BO below this value are ignored when creating the initial bond list for the CV. The bond list does not change during lifetime of the variable even if some bond orders drop below the cutoff.

## р

Type Integer

Default value 6

GUI name Exponent p

**Description** Exponent value p used to calculate the p-norm for this CV.

#### rmax

Type Float

Unit Angstrom

GUI name R max

**Description** Max bond distance parameter Rmax used for calculating the CV. It should be close to the transition-state distance for the corresponding bond.

## rmin

Type Float

Unit Angstrom

GUI name R min

**Description** Min bond distance parameter Rmin used for calculating the CV. It should be close to equilibrium distance for the corresponding bond.

## Frequency

## Type Integer

**Description** Frequency of adding a new bias peak, in steps. New bias is deposited every [Frequency] steps after [StartStep] if the following conditions are satisfied: the current CV value is less than 0.9 (to avoid creating barriers at the transition state), the step number is greater than or equal to [StartStep], and the step number is less than or equal to [StartStep].

## StartStep

Type Integer

**Description** If this key is specified, the first bias will be deposited at this step. Otherwise, the first bias peak is added at the step number equal to the Frequency parameter. The bias is never deposited at step 0.

# StopStep

Type Integer

**Description** No bias will be deposited after the specified step. The already deposited bias will continue to be applied until the reaction event occurs. After that no new CVHD will be started. By default, the CVHD runs for the whole duration of the MD calculation.

## WaitSteps

## Type Integer

**Description** If the CV value becomes equal to 1 and remains at this value for this many steps then the reaction event is considered having taken place. After this, the collective variable will be reset and the bias will be removed.

# CalcPressure

Type Bool

# Default value No

**Description** Calculate the pressure in periodic systems. This may be computationally expensive for some engines that require numerical differentiation. Some other engines can calculate the pressure for negligible additional cost and will always do so, even if this option is disabled.

## Checkpoint

### Type Block

**Description** Sets the frequency for storing the entire MD state necessary for restarting the calculation.

## Frequency

Type Integer

Default value 1000

GUI name Checkpoint frequency

**Description** Write the MD state and engine-specific data to the respective .rkf files once every N steps.

#### Deformation

Type Block

Recurring True

**Description** Deform the periodic lattice of the system during the simulation.

## LatticeVelocity

Type Non-standard block

**Description** Velocity of individual lattice vector components in Angstrom/fs. The format is identical to the System%Lattice block. For Type Sine and Cosine, this defines the maximum velocity (at the inflection point).

### LengthRate

Type Float List

Description Relative rate of change of each lattice vector per step.

### LengthVelocity

Type Float List

Unit Angstrom/fs

**Description** Change the length of each lattice vector with this velocity. With Type=Exponential, LengthVelocity is divided by the current lattice vector lengths on Start-Step to determine a LengthRate, which is then applied on all subsequent steps. For Type Sine and Cosine, this defines the maximum velocity (at the inflection point).

### Period

Type Float

Unit Femtoseconds

Description Period of oscillation for Type Sine and Cosine.

### ScaleAtoms

Type Bool

Default value Yes

**Description** Scale the atomic positions together with the lattice vectors. Disable this to deform only the lattice, keeping the coordinates of atoms unchanged.

#### StartStep

Type Integer

Default value 1

**Description** First step at which the deformation will be applied.

## StopStep

Type Integer

# **Default value** 0

**Description** Last step at which the deformation will be applied. If unset or zero, nSteps will be used instead.

## StrainRate

Type Non-standard block

**Description** Strain rate matrix to be applied on every step. The format is identical to the System%Lattice block.

### TargetLattice

Type Non-standard block

**Description** Target lattice vectors to be achieved by StopStep. The format is identical to the System%Lattice block.

## TargetLength

Type Float List

Unit Angstrom

**Description** Target lengths of each lattice vector to be achieved by StopStep. The number of values should equal the periodicity of the system. If a value is zero, the corresponding lattice vector will not be modified.

#### Туре

Type Multiple Choice

Default value Linear

**Options** [Linear, Exponential, Sine, Cosine]

**Description** Function defining the time dependence of the deformed lattice parameters. Linear increments the lattice parameters by the same absolute amount every timestep. Exponential multiplies the lattice parameters by the same factor every timestep. Only Strain-Rate, LengthRate, and LengthVelocity are supported for Type=Exponential. Sine deforms the system from the starting lattice to TargetLattice/TargetLength and then by the same amount to the opposite direction, while Cosine deforms the system from the starting lattice to the target and back.

### HeatExchange

Type Block

Recurring True

GUI name Heat exchange

Description Input for the heat-exchange non-equilibrium MD (T-NEMD).

### HeatingRate

Type Float

Unit Hartree/fs

**Description** Rate at which the energy is added to the Source and removed from the Sink. A heating rate of 1 Hartree/fs equals to about 0.00436 Watt of power being transfered through the system.

#### Method

Type Multiple Choice

Default value Simple

**Options** [Simple, HEX, eHEX]

**Description** Heat exchange method used. Simple: kinetic energy of the atoms of the source and sink regions is modified irrespective of that of the center of mass (CoM) of the region (recommended for solids). HEX: kinetic energy of the atoms of these regions is modified keeping that of the corresponding CoM constant. eHEX: an enhanced version of HEX that conserves the total energy better (recommended for gases and liquids).

Sink

Type Block

Description Defines the heat sink region (where the heat will be removed).

# AtomList

Type Integer List

GUI name Sink region

**Description** The atoms that are part of the sink. This key is ignored if the [Box] block or [Region] key is present.

## Box

Type Block

**Description** Part of the simulation box (in fractional cell coordinates) defining the heat sink. If this block is specified, then by default, the whole box in each of the three dimensions is used, which usually does not make much sense. Normally, you will want to set the bounds along one of the axes.

## Amax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the first axis.

### Amin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the first axis.

#### Bmax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the second axis.

### Bmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the second axis.

### Cmax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the third axis.

### Cmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the third axis.

## Region

Type String

GUI name Sink region

Description The region that is the sink. This key is ignored if the [Box] block is present.

### Source

Type Block

Description Defines the heat source region (where the heat will be added).

## AtomList

Type Integer List

GUI name Source region

**Description** The atoms that are part of the source. This key is ignored if the [Box] block or [Region] key is present.

# Вох

## Type Block

**Description** Part of the simulation box (in fractional cell coordinates) defining the heat source. If this block is specified, then by default, the whole box in each of the three dimensions is used, which usually does not make much sense. Normally, you will want to set the bounds along one of the axes. This block is mutually exclusive with the FirstAtom/LastAtom setting.

### Amax

Type Float

Default value 1.0

**Description** Coordinate of the upper bound along the first axis.

# Amin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the first axis.

### Bmax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the second axis.

# Bmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the second axis.

# Cmax

Type Float

Default value 1.0

Description Coordinate of the upper bound along the third axis.

#### Cmin

Type Float

Default value 0.0

Description Coordinate of the lower bound along the third axis.

#### Region

Type String

GUI name Source region

Description The region that is the source. This key is ignored if the [Box] block is present.

### StartStep

Type Integer

 $\textbf{Default value} \ 0$ 

Description Index of the MD step at which the heat exchange will start.

## StopStep

Type Integer

**Description** Index of the MD step at which the heat exchange will stop.

## InitialVelocities

Type Block

**Description** Sets the frequency for printing to stdout and storing the molecular configuration on the .rkf file.

## File

Type String

Description AMS RKF file containing the initial velocities.

### Temperature

Type Float

Unit Kelvin

GUI name Initial temperature

**Description** Sets the temperature for the Maxwell-Boltzmann distribution when the type of the initial velocities is set to random, in which case specifying this key is mandatory. AMSinput will use the first temperature of the first thermostat as default.

# Туре

Type Multiple Choice

Default value Random

**Options** [Zero, Random, FromFile, Input]

GUI name Initial velocities

**Description** Specifies the initial velocities to assign to the atoms. Three methods to assign velocities are available. Zero: All atom are at rest at the beginning of the calculation. Random: Initial atom velocities follow a Maxwell-Boltzmann distribution for the temperature given by the [MolecularDynamics%InitialVelocities%Temperature] keyword. FromFile: Load the velocities from a previous ams result file. Input: Atom's velocities are set to the values specified in the [MolecularDynamics%InitialVelocities%Values] block, which can be accessed via the Expert AMS panel in AMSinput.

## Values

Type Non-standard block

**Description** This block specifies the velocity of each atom, in Angstrom/fs, when [MolecularDynamics%InitialVelocities%Type] is set to Input. Each row must contain three floating point values (corresponding to the x,y,z component of the velocity vector) and a number of rows equal to the number of atoms must be present, given in the same order as the [System%Atoms] block.

## NSteps

Type Integer

Default value 1000

GUI name Number of steps

Description The number of steps to be taken in the MD simulation.

### Plumed

Type Block

Description Input for PLUMED. The parallel option is still experimental.

#### Input

Type Non-standard block

Description Input for PLUMED. Contents of this block is passed to PLUMED as is.

## Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

### nCoresPerGroup

Type Integer

**Description** Number of cores in each working group.

## nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

#### nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

#### Preserve

Type Block

**Description** Periodically remove numerical drift accumulated during the simulation to preserve different whole-system parameters.

### AngularMomentum

Type Bool

Default value Yes

GUI name : Angular momentum

**Description** Remove overall angular momentum of the system. This option is ignored for 2D and 3D-periodic systems.

## CenterOfMass

Type Bool

## Default value No

GUI name : Center of mass

**Description** Translate the system to keep its center of mass at the coordinate origin. This option is not very useful for 3D-periodic systems.

#### Momentum

Type Bool

Default value Yes

GUI name Preserve: Total momentum

Description Remove overall (linear) momentum of the system.

# Print

Type Block

Description This block controls the printing of additional information to stdout.

#### System

Type Bool

Default value No

Description Print the chemical system before and after the simulation.

## Velocities

Type Bool

Default value No

Description Print the atomic velocities before and after the simulation.

#### Remap

Type Block

Description Control periodic remapping (backtranslation) of atoms into the PBC box.

# Туре

Type Multiple Choice

Default value Atoms

**Options** [None, Atoms]

**Description** Select the method used to remap atoms into the unit cell. None: Disable remapping completely. Atoms: Remap any atoms that leave the unit cell.

# RemoveMolecules

Type Block

Recurring True

GUI name Remove molecules

**Description** This block controls removal of molecules from the system. Multiple occurrences of this block are possible.

#### Formula

#### Type String

**Description** Molecular formula of the molecules that should be removed from the system. The order of elements in the formula is very important and the correct order is: C, H, all other elements in the strictly alphabetic order. Element names are case-sensitive, spaces in the formula are not allowed. Digit '1' must be omitted. Valid formula examples: C2H6O, H2O, O2S. Invalid formula examples: C2H5OH, H2O1, OH, SO2. Invalid formulas are silently ignored.

## Frequency

Type Integer

Default value 0

**Description** The specified molecules are removed every so many steps after the StartStep. There is never a molecule removed at step 0.

#### SafeBox

#### Type Block

**Description** Part of the simulation box where molecules may not be removed. Only one of the SinkBox or SafeBox blocks may be present. If this block is present a molecule will not be removed if any of its atoms is within the box. For a periodic dimension it is given as a fraction of the simulation box (the full 0 to 1 range by default). For a non-periodic dimension it represents absolute Cartesian coordinates in atomic units.

#### Amax

Type Float

**Description** Coordinate of the upper bound along the first axis.

### Amin

Type Float

Description Coordinate of the lower bound along the first axis.

#### Bmax

Type Float

**Description** Coordinate of the upper bound along the second axis.

#### Bmin

Type Float

Description Coordinate of the lower bound along the second axis.

#### Cmax

Type Float

Description Coordinate of the upper bound along the third axis.

## Cmin

Type Float

**Description** Coordinate of the lower bound along the third axis.

#### SinkBox

# Type Block

**Description** Part of the simulation box where molecules will be removed. By default, molecules matching the formula will be removed regardless of their location. If this block is present a molecule will be removed if any of its atoms is within the box. For a periodic dimension it is given as a fraction of the simulation box (the full 0 to 1 range by default). For a non-periodic dimension it represents absolute Cartesian coordinates in atomic units.

#### Amax

Type Float

Description Coordinate of the upper bound along the first axis.

## Amin

Type Float

**Description** Coordinate of the lower bound along the first axis.

#### Bmax

Type Float

Description Coordinate of the upper bound along the second axis.

## Bmin

Type Float

Description Coordinate of the lower bound along the second axis.

### Cmax

Type Float

Description Coordinate of the upper bound along the third axis.

# Cmin

Type Float

Description Coordinate of the lower bound along the third axis.

### StartStep

Type Integer

## Default value 0

**Description** Step number when molecules are removed for the first time. After that, molecules are removed every [Frequency] steps. For example, if StartStep=99 and Frequency=100 then molecules will be removed at steps 99, 199, 299, etc... No molecule will be removed at step 0, so if StartStep=0 the first molecules are removed at the step number equal to [Frequency].

### StopStep

Type Integer

**Description** Do not remove the specified molecules after this step.

## ReplicaExchange

Type Block

**Description** This block is used for (temperature) Replica Exchange MD (Parallel Tempering) simulations.

#### AllowWrongResults

Type Bool

#### Default value No

**Description** Allow combining Replica Exchange with other features when the combination is known to produce physically incorrect results.

#### EWMALength

Type Integer

## Default value 10

**Description** Length of the exponentially weighted moving average used to smooth swap probabilities for monitoring. This value is equal to the inverse of the EWMA mixing factor.

## SwapFrequency

Type Integer

Default value 100

Description Attempt an exchange every N steps.

## TemperatureFactors

Type Float List

**Description** This is the ratio of the temperatures of two successive replicas. The first value sets the temperature of the second replica with respect to the first replica, the second value sets the temperature of the third replica with respect to the second one, and so on. If there are fewer values than nReplicas, the last value of TemperatureFactor is used for all the remaining replicas.

### Temperatures

Type Float List

**Description** List of temperatures for all replicas except for the first one. This is mutually exclusive with TemperatureFactors. Exactly nReplicas-1 temperature values need to be specified, in increasing order. The temperature of the first replica is given by [Thermostat%Temperature].

# nReplicas

Type Integer

Default value 1

GUI name Number of replicas

Description Number of replicas to run in parallel.

#### Restart

Type String

GUI name Restart from

**Description** The path to the ams.rkf file from which to restart the simulation.

#### Thermostat

Type Block

Recurring True

**Description** This block allows to specify the use of a thermostat during the simulation. Depending on the selected thermostat type, different additional options may be needed to characterize the specific thermostat' behavior.

# BerendsenApply

Type Multiple Choice

Default value Global

Options [Local, Global]

GUI name Apply Berendsen

**Description** Select how to apply the scaling correction for the Berendsen thermostat: - peratom-velocity (Local) - on the molecular system as a whole (Global).

## ChainLength

Type Integer

Default value 10

GUI name NHC chain length

Description Number of individual thermostats forming the NHC thermostat

## Duration

Type Integer List

GUI name Duration(s)

**Description** Specifies how many steps should a transition from a particular temperature to the next one in sequence take.

## Region

Type String

### Default value \*

**Description** The identifier of the region to thermostat. The default '\*' applies the thermostat to the entire system. The value can by a plain region name, or a region expression, e.g. '\*-myregion' to thermostat all atoms that are not in myregion, or 'regionA+regionB' to

thermostat the union of the 'regionA' and 'regionB'. Note that if multiple thermostats are used, their regions may not overlap.

Tau

Type Float

Unit Femtoseconds

GUI name Damping constant

Description The time constant of the thermostat.

# Temperature

Type Float List

Unit Kelvin

**GUI name** Temperature(s)

**Description** The target temperature of the thermostat. You can specify multiple temperatures (separated by spaces). In that case the Duration field specifies how many steps to use for the transition from one T to the next T (using a linear ramp). For NHC thermostat, the temperature may not be zero.

### Туре

Type Multiple Choice

Default value None

**Options** [None, Berendsen, NHC]

GUI name Thermostat

Description Selects the type of the thermostat.

### TimeStep

Type Float

Default value 0.25

Unit Femtoseconds

Description The time difference per step.

## Trajectory

Type Block

**Description** Sets the frequency for printing to stdout and storing the molecular configuration on the .rkf file.

### PrintFreq

Type Integer

GUI name Printing frequency

**Description** Print current thermodynamic properties to the output every N steps. By default this is done every SamplingFreq steps.

## SamplingFreq

Type Integer Default value 100 GUI name Sample frequency

**Description** Write the molecular geometry (and possibly other properties) to the .rkf file once every N steps.

## TProfileGridPoints

Type Integer

**Default value** 0

**Description** Number of points in the temperature profile. If TProfileGridPoints > 0, a temperature profile along each of the three unit cell axes will be written to the .rkf file. By default, no profile is generated.

### WriteBonds

Type Bool

Default value Yes

Description Write detected bonds to the .rkf file.

#### WriteCharges

Type Bool

Default value Yes

**Description** Write current atomic point charges (if available) to the .rkf file. Disable this to reduce trajectory size if you do not need to analyze charges.

## WriteGradients

Type Bool

Default value No

Description Write gradients (negative of the atomic forces) to the .rkf file.

# WriteMolecules

Type Bool

Default value Yes

Description Write the results of molecule analysis to the .rkf file.

### WriteVelocities

Type Bool

Default value Yes

**Description** Write velocities to the .rkf file. Disable this to reduce trajectory size if you do not need to analyze the velocities.

## Molecules

Type Block

**Description** Configures details of the molecular composition analysis enabled by the Properties%Molecules block.

## AdsorptionSupportRegion

Type String

GUI name Adsorption support region

**Description** Select region that will represent a support for adsorption analysis. Adsorbed molecules will receive an '(ads)' suffix after name of the element bonded to the support. Such elements will be listed separate from atoms of the same element not bonded to the support, for example, HOH(ads) for a water molecule bonded to a surface via one of its H atoms.

### BondOrderCutoff

Type Float

# Default value 0.5

**Description** Bond order cutoff for analysis of the molecular composition. Bonds with bond order smaller than this value are neglected when determining the molecular composition.

# NEB

Type Block

Description Configures details of the Nudged Elastic Band optimization.

#### Climbing

Type Bool

Default value Yes

GUI name Climb highest image to TS

**Description** Use the climbing image algorithm to drive the highest image to the transition state.

#### ClimbingThreshold

Type Float

Default value 0.0

Unit Hartree/Bohr

GUI name CI force threshold

**Description** Climbing image force threshold. If ClimbingThreshold > 0 and the max perpendicular force component is above the threshold then no climbing is performed at this step. This entry can be used to get a better approximation for the reaction path before starting the search for the transition state. A typical value is 0.01 Hartree/Bohr.

## Images

Type Integer

Default value 8

GUI name Number of images

**Description** Number of NEB images (not counting the chain ends). Using more images will result in a smoother reaction path and can help with convergence problems, but it will also increase the computation time.

## InterpolateInternal

Type Bool

Default value Yes

GUI name Interpolate in Internal coordinates

**Description** The initial NEB image geometries are calculated by interpolating between the initial and the final state. By default, for non-periodic systems the interpolation is performed in Internal coordinates but the user can choose to do it in the Cartesian ones. For periodic systems the interpolation is always done in Cartesian coordinates.

## InterpolateShortest

Type Bool

Default value Yes

GUI name Interpolate across cell boundary

**Description** Allow interpolation across periodic cell boundaries. Set to false if an atom is intended to move more than half across the simulation box during reaction.

#### Iterations

Type Integer

GUI name Maximum number of iterations

**Description** Maximum number of NEB iterations. The default value depends on the number of degrees of freedom (number of images, atoms, periodic dimensions).

### Jacobian

Type Float

GUI name Jacobian value

**Description** Scaling factor used to convert the lattice strain to a NEB coordinate value. Default value: sqrt(N)\*(V/N)^(1/d), where V - lattice volume (area for 2D, length for 1D), N - number of atoms, and d - number of periodic dimensions.

# MapAtomsToCell

Type Bool

Default value Yes

GUI name Map atoms to cell

**Description** Translate atoms to the [-0.5,0.5] cell before every step. This option cannot be disabled for SS-NEB.

### OldTangent

Type Bool

Default value No

GUI name Use old tangent

**Description** Turn on the old central difference tangent.

## OptimizeEnds

Type Bool

**Default value** Yes

GUI name Optimize reactants/products

Description Start the NEB with optimization of the reactant and product geometries.

## OptimizeLattice

Type Bool

Default value No

GUI name Optimize lattice

Description Turn on the solid-state NEB (SS-NEB).

# Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

### nCoresPerGroup

Type Integer

Description Number of cores in each working group.

#### nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

# nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

#### ReOptimizeEnds

Type Bool

Default value No

GUI name Re-optimize reactants/products

**Description** Re-optimize reactant and product geometries upon restart.

# Restart

Type String

GUI name Restart from

**Description** Provide an ams.rkf file from a previous NEB calculation to restart from. It can be an unfinished NEB calculation or one performed with different engine parameters.

# Skewness

Type Float

**Default value** 1.0

GUI name Skewness

**Description** Degree of how much images are shifted towards or away from the TS, which may help tackle problems with a long reaction path (for example involving a loose adsorption complex) without needing too many images. A value greater than 1 will make sure that

images are concentrated near the transition state. The optimal value depends on the path length, the number of images (larger [Skewness] may be needed for a longer path and fewer images). Technically [Skewness] is equal to the ratio between the optimized distances to the lower and the higher neighbor image on the path.

## Spring

Type Float

Default value 1.0

Unit Hartree/Bohr^2

GUI name Spring value

Description Spring force constant in atomic units.

#### NormalModes

Type Block

Description Configures details of a normal modes calculation.

#### BlockDisplacements

## Type Block

**Description** Configures details of a Block Normal Modes (a.k.a. Mobile Block Hessian, or MBH) calculation.

### AngularDisplacement

Type Float

Default value 0.5

Unit Degree

**Description** Relative step size for rotational degrees of freedom during Block Normal Modes finite difference calculations. It will be scaled with the characteristic block size.

## BlockAtoms

Type Integer List

Recurring True

Description List of atoms belonging to a block. You can have multiple BlockAtoms.

#### BlockRegion

Type String

Recurring True

**Description** The region to to be considered a block. You can have multiple BlockRegions, also in combination with BlockAtoms.

## Parallel

Type Block

Description Configuration for how the individual displacements are calculated in parallel.

## nCoresPerGroup

Type Integer

Description Number of cores in each working group.

## nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

### nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

#### RadialDisplacement

Type Float

Default value 0.005

Unit Angstrom

**Description** Step size for translational degrees of freedom during Block Normal Modes finite difference calculations.

#### Displacements

Type Multiple Choice

Default value Cartesian

**Options** [Cartesian, Symmetric, Block]

GUI name Displacements

**Description** Type of displacements. In case of symmetric displacements it is possible to choose only the modes that have non-zero IR or Raman intensity. Block displacements take rigid blocks into account.

## Hessian

Type Multiple Choice

Default value Auto

**Options** [Auto, Analytical, Numerical]

**Description** Default Auto means that if possible by the engine the Hessian will be calculated analytically, else the Hessian will be calculated numerically by AMS.

### ReScanFreqRange

Type Float List

**Default value** [-10000000.0, 10.0]

Unit cm-1

Recurring True

GUI name Re-scan range

**Description** Specifies a frequency range within which all modes will be scanned. 2 numbers: an upper and a lower bound.

#### ReScanModes

Type Bool

Default value Yes

GUI name Re-scan modes

**Description** Whether or not to scan imaginary modes after normal modes calculation has concluded.

# SymmetricDisplacements

Type Block

**Description** Configures details of the calculation of the frequencies and normal modes of vibration in symmetric displacements.

## Туре

Type Multiple Choice

Default value All

Options [All, Infrared, Raman, InfraredAndRaman]

**GUI name** Symm Frequencies

**Description** For symmetric molecules it is possible to choose only the modes that have nonzero IR or Raman intensity (or either of them) by symmetry. In order to calculate the Raman intensities the Raman property must be requested.

#### NumericalDifferentiation

Type Block

**Description** Define options for numerical differentiations, that is the numerical calculation of gradients, Hessian and the stress tensor for periodic systems.

#### NuclearStepSize

Type Float

Default value 0.005

Unit Bohr

Description Step size for numerical nuclear gradient calculation.

## Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

## nCoresPerGroup

Type Integer

**Description** Number of cores in each working group.

## nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

#### nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

### StrainStepSize

Type Float

Default value 0.001

Description Step size (relative) for numerical stress tensor calculation.

# NumericalPhonons

Type Block

**Description** Configures details of a numerical phonons calculation.

#### AutomaticBZPath

Type Bool

Default value Yes

GUI name Automatic BZ path

**Description** If True, compute the phonon dispersion curve for the standard path through the Brillouin zone. If False, you must specify your custom path in the [BZPath] block.

# BZPath

## Type Block

**Description** If [NumericalPhonons%AutomaticBZPath] is false, the phonon dispersion curve will be computed for the user-defined path in the [BZPath] block. You should define the vertices of your path in fractional coordinates (with respect to the reciprocal lattice vectors) in the [Path] sub-block. If you want to make a jump in your path (i.e. have a discontinuous path), you need to specify a new [Path] sub-block.

### Path

Type Non-standard block

# Recurring True

**Description** A section of a k space path. This block should contain multiple lines, and in each line you should specify one vertex of the path in fractional coordinates. Optionally, you can add text labels for your vertices at the end of each line.

#### BornEffCharge

Type Float

Default value 0.0

**Description** Input option to give the Born effective charges of the species.

DielectricConst

Type Float

Default value 1.0

Description Input option to give the static dielectric constant of the species.

## DoubleSided

Type Bool

Default value Yes

**Description** By default a two-sided (or quadratic) numerical differentiation of the nuclear gradients is used. Using a single-sided (or linear) numerical differentiation is computationally faster but much less accurate. Note: In older versions of the program only the single-sided option was available.

## Interpolation

Type Integer

Default value 100

Description Use interpolation to generate smooth phonon plots.

#### NDosEnergies

Type Integer

Default value 1000

**Description** Nr. of energies used to calculate the phonon DOS used to integrate thermodynamic properties. For fast compute engines this may become time limiting and smaller values can be tried.

#### Parallel

Type Block

**Description** Options for double parallelization, which allows to split the available processor cores into groups working through all the available tasks in parallel, resulting in a better parallel performance. The keys in this block determine how to split the available processor cores into groups working in parallel.

## nCoresPerGroup

Type Integer

Description Number of cores in each working group.

#### nGroups

Type Integer

**Description** Total number of processor groups. This is the number of tasks that will be executed in parallel.

# nNodesPerGroup

Type Integer

GUI name Cores per task

**Description** Number of nodes in each group. This option should only be used on homogeneous compute clusters, where all used compute nodes have the same number of processor cores.

## StepSize

Type Float

Default value 0.04

Unit Angstrom

**Description** Step size to be taken to obtain the force constants (second derivative) from the analytical gradients numerically.

## SuperCell

Type Non-standard block

**Description** Used for the phonon run. The super lattice is expressed in the lattice vectors. Most people will find a diagonal matrix easiest to understand.

## PESPointCharacter

Type Block

Description Options for the characterization of PES points.

## Displacement

Type Float

Default value 0.04

**Description** Controls the size of the displacements used for numerical differentiation: The displaced geometries are calculated by taking the original coordinates and adding the mass-weighted mode times the reduced mass of the mode times the value of this keyword.

### NegativeFrequenciesTolerance

Type Float

Default value -10.0

Unit cm-1

**Description** The threshold in frequency below which a mode is considered imaginary, i.e. indicating a transition state. This is a small negative number, as very small negative frequencies are normally due to numerical noise on an essentially flat PES and do not indicate true transition states.

## NumberOfModes

Type Integer

Default value 2

Description The number of (lowest) eigenvalues that should be checked.

### Tolerance

Type Float

Default value 0.016

Description Convergence tolerance for residual in iterative Davidson diagonalization.

# PESScan

Type Block

Description Configures the details of the potential energy surface scanning task.

# CalcPropertiesAtPESPoints

Type Bool

Default value No

**Description** Whether to perform an additional calculation with properties on all the sampled points of the PES. If this option is enabled AMS will produce a separate engine output file for every sampled PES point.

# FillUnconvergedGaps

Type Bool

Default value Yes

**Description** After the initial pass over the PES, restart the unconverged points from converged neighboring points.

## ScanCoordinate

Type Block

#### Recurring True

**Description** Specifies a coordinate along which the potential energy surface is scanned. If this block contains multiple entries, these coordinates will be varied and scanned together as if they were one.

#### Angle

Type String

### Recurring True

**Description** Scan the angle between three atoms. Three atom indices followed by two real numbers delimiting the transit range in degrees.

### Coordinate

Type String

#### Recurring True

**Description** Scan a particular coordinate of an atom. Atom index followed by (xlylz) followed by two real numbers delimiting the transit range.

## DifDist

Type String

### Recurring True

**Description** Scan the difference distance between two pairs of atoms, R(12)-R(34). Four atom indices followed by two real numbers delimiting the transit range in Angstrom.

#### Dihedral

Type String

## Recurring True

**Description** Scan the dihedral angle between four atoms. Four atom indices followed by two real numbers delimiting the transit angle in degrees.

#### Distance

Type String

## Recurring True

**Description** Scan the distance between two atoms. Two atom indices followed by two real numbers delimiting the transit distance in Angstrom.
SumDist

Type String

Recurring True

**Description** Scan the sum of distances between two pairs of atoms, R(12)+R(34). Four atom indices followed by two real numbers delimiting the transit range in Angstrom.

#### nPoints

Type Integer

Default value 10

**Description** The number of points along the scanned coordinate. Must be greater or equal 2.

#### Print

Type Block

Description This block controls the printing of additional information to stdout.

#### Timers

Type Multiple Choice

Default value None

Options [None, Normal, Detail, TooMuchDetail]

Description Printing timing details to see how much time is spend in which part of the code.

## Properties

Type Block

**Description** Configures which AMS level properties to calculate for SinglePoint calculations or other important geometries (e.g. at the end of an optimization).

#### BondOrders

Type Bool

Default value No

**Description** Requests the engine to calculate bond orders. For MM engines these might just be the defined bond orders that go into the force-field, while for QM engines, this might trigger a bond order analysis based on the electronic structure.

## Charges

Type Bool

Default value No

Description Requests the engine to calculate the atomic charges.

## DipoleGradients

Type Bool

Default value No

**Description** Requests the engine to calculate the nuclear gradients of the electric dipole moment of the molecule. This can only be requested for non-periodic systems.

### DipoleMoment

Type Bool

### Default value No

**Description** Requests the engine to calculate the electric dipole moment of the molecule. This can only be requested for non-periodic systems.

## ElasticTensor

Type Bool

Default value No

Description Calculate the elastic tensor.

## Gradients

Type Bool

Default value No

GUI name Nuclear gradients

**Description** Calculate the nuclear gradients.

## Hessian

Type Bool

Default value No

Description Whether or not to calculate the Hessian.

#### Molecules

Type Bool

Default value No

**Description** Requests an analysis of the molecular components of a system, based on the bond orders calculated by the engine.

#### NormalModes

Type Bool

Default value No

**GUI name** Frequencies

**Description** Calculate the frequencies and normal modes of vibration, and for molecules also the corresponding IR intensities if the engine supports the calculation of dipole moments.

## Other

Type Bool

Default value Yes

Description Other (engine specific) properties. Details are configured in the engine block.

## PESPointCharacter

Type Bool

Default value No

GUI name Characterize PES point

**Description** Determine whether the sampled PES point is a minimum or saddle point. Note that for large systems this does not entail the calculation of the full Hessian and can therefore be used to quickly confirm the success of a geometry optimization or transition state search.

#### Phonons

Type Bool

Default value No

Description Calculate the phonons (for periodic systems).

## Polarizability

Type Bool

Default value No

Description Requests the engine to calculate the polarizability tensor of the system.

## Raman

Type Bool

Default value No

Description Requests calculation of Raman intensities for vibrational normal modes.

## SelectedRegionForHessian

Type String

GUI name Hessian only for

**Description** Compute the Hessian matrix elements only for the atoms in a particular region. If not specified, the Hessian will be computed for all atoms.

## StressTensor

Type Bool

Default value No

GUI name Stress tensor

Description Calculate the stress tensor.

## VCD

Type Bool

Default value No

Description Requests calculation of VCD for vibrational normal modes.

## VROA

Type Bool

Default value No

Description Requests calculation of VROA for vibrational normal modes.

## Raman

Type Block

Description Configures details of the Raman or VROA calculation.

## FreqRange

**Type** Float List **Unit** cm-1 Recurring True

GUI name Frequency range

**Description** Specifies a frequency range within which all modes will be scanned. 2 numbers: an upper and a lower bound.

#### IncidentFrequency

Type Float

Default value 0.0

Unit eV

Description Frequency of incident light.

#### LifeTime

Type Float

Default value 0.0

Unit hartree

**Description** Specify the resonance peak width (damping) in Hartree units. Typically the lifetime of the excited states is approximated with a common phenomenological damping parameter. Values are best obtained by fitting absorption data for the molecule, however, the values do not vary a lot between similar molecules, so it is not hard to estimate values. A typical value is 0.004 Hartree.

### Restraints

Type Block

**Description** The Restraints block allows to add soft constraints to the system. A restraint is a potential energy function (a spring) attached to a certain coordinate, for example, an interatomic distance, with its minimum at the specified optimal value. A restraint is defined using one or two parameters: the ForceConstant and, for some types, the F(Inf) value. The ForceConstant parameter corresponds to second derivative of the restraint potential energy  $d2V(x)/dx^2$  for any x (harmonic restraints) or only at at x=0 (other restraints). Here, x is a deviation from the restraint's optimal value.

## Angle

Type String

#### Recurring True

**Description** Specify three atom indices i j k followed by an angle in degrees and, optionally, by the ForceConstant (default is 0.3 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the i-j-k angle at the given value. For periodic systems this restraint follows the minimum image convention.

## DifDist

Type String

Recurring True

**Description** Specify four atom indices i j k l followed by the distance in Angstrom and, optionally, by the ForceConstant (default is 1.0 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the difference R(ij)-R(kl) at the given value. For periodic systems this restraint follows the minimum image convention.

#### Dihedral

Type String

## Recurring True

**Description** Specify four atom indices i j k l followed by an angle in degrees and, optionally, by the ForceConstant (default is 0.1 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the i-j-k-l dihedral angle at the given value. For periodic systems this restraint follows the minimum image convention.

## Distance

Type String

Recurring True

**Description** Specify two atom indices followed by the distance in Angstrom and, optionally, by the ForceConstant (default is 1.0 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the distance between the two specified atoms at the given value. For periodic systems this restraint follows the minimum image convention.

## FInfinity

Type Float

Default value 1.0

GUI name Default F(inf)

**Description** Specify the default asymptotic value for the restraint force for the Hyperbolic and Erf profiles, in Hartree/Bohr or Hartree/radian. A per-restraint value can be specified after the profile type on the corresponding restraint line.

### Profile

Type Multiple Choice

Default value Harmonic

**Options** [Harmonic, Hyperbolic, Erf]

GUI name Default restraint profile

**Description** Select the default type of restraint profile. The harmonic profile is most suitable for geometry optimizations but may result is very large forces that can be problematic in molecular dynamic. For MD simulations the Hyperbolic or Erf may be more suitable because the restraint force is bounded by a user-defined value. A per-restraint profile type can be specified after the ForceConstant value on the corresponding restraint line.

## SumDist

Type String

## Recurring True

**Description** Specify four atom indices i j k l followed by the distance in Angstrom and, optionally, by the ForceConstant (default is 1.0 in a.u.), profile type and F(Inf) (in a.u.). This restraint will try to keep the sum R(ij)+R(kl) at the given value. For periodic systems this restraint follows the minimum image convention.

## RigidMotions

## Type Block

**Description** Specify which rigid motions of the total system are allowed. An external field is not considered part of the system. Normally the automatic option is doing what you want. However this feature can be used as a means of geometry constraint.

### AllowRotations

Type Multiple Choice

Default value Auto

Options [Auto, None, All, X, Y, Z, XY, XZ, YZ]

Description Which overall rotations of the system are allowed

### AllowTranslations

Type Multiple Choice

Default value Auto

Options [Auto, None, All, X, Y, Z, XY, XZ, YZ]

Description Which overall transitions of the system are allowed

### Tolerance

Type Float

Default value 1e-06

**Description** Tolerance for detecting linear molecules. A large value means larger deviation from linearity is permitted.

## RNGSeed

Type Integer List

**Description** Initial seed for the (pseudo)random number generator. This should be omitted in most calculations to avoid introducing bias into the results. If this is unset, the generator will be seeded randomly from external sources of entropy. If you want to exactly reproduce an older calculation, set this to the numbers printed in its output.

#### SCMMatrix

### Type Block

**Description** Technical settings for programs using the AMT matrix system. Currently this is only used by DFTB

## DistributedMatrix

Type Block

Description Technical settings for Distributed matrices

## ColBlockSize

Type Integer

Default value 64

Description See comment of RowBlockSize.

#### RowBlockSize

Type Integer

**Default value** 64

**Description** The matrix is divided into blocks of size RowBlockSize x ColBlockSize. The smaller the blocks the better the distribution, but at the expense of increased communication overhead

## Туре

Type Multiple Choice

Default value Elpa

Options [Auto, Reference, ScaLapack, Elpa]

Description Determines which implementation is used to support the AbstractMatrixType.

## Symmetry

Type Block

Description Specifying details about the details of symmetry detection and usage.

#### SymmetrizeTolerance

Type Float

Default value 0.05

Description Tolerance used to detect symmetry in case symmetrize is requested.

## Tolerance

Type Float

Default value 1e-07

Description Tolerance used to detect symmetry in the system.

#### System

Type Block

## Recurring True

**Description** Specification of the chemical system. For some applications more than one system may be present in the input. In this case, all systems except one must have a non-empty string ID specified after the System keyword. The system without an ID is considered the main one.

## AllowCloseAtoms

Type Bool

### Default value No

**Description** If AllowCloseAtoms is set to False, the AMS driver will stop with an error if it detects almost-coinciding atomic coordinates. If set to True, the AMS driver will try to carry on with the calculation.

## Atoms

Type Non-standard block

**Description** The atom types and coordinates. Unit can be specified in the header. Default unit is Angstrom.

### BondOrders

Type Non-standard block

Description Defined bond orders. May by used by MM engines.

## Charge

Type Float

Default value 0.0

GUI name Total charge

Description The system's total charge in atomic units (only for non-periodic systems).

## ElectrostaticEmbedding

Type Block

Description Container for electrostatic embedding options, which can be combined.

#### ElectricField

Type Float List

Unit V/Angstrom

**Description** External homogeneous electric field with three Cartesian components: ex, ey, ez, the default unit being V/. In atomic units: Hartree/(e bohr) = 27.211 V/bohr; the relation to SI units is: 1 Hartree/(e bohr) = 5.14 ... e11 V/m. Supported by the engines adf, band, dftb and mopac. For periodic systems the field may only have nonzero components orthogonal to the direction(s) of periodicity (i.e. for 1D periodic system the x-component of the electric field should be zero, while for 2D periodic systems both the x and y components should be zero. This options cannot be used for 3D periodic systems.

## MultipolePotential

Type Block

Description External point charges (and dipoles).

## ChargeModel

Type Multiple Choice

Default value Point

**Options** [Point, Gaussian]

**Description** The charges may represented as simple points (with a singular potential at the charge location) or may represented by a spherical Gaussian distribution.

## ChargeWidth

Type Float

Default value -1.0

**Description** A width parameter in a.u. in case a Gaussian charge model is chosen. A negative value means that the width will be chosen automatically.

#### Coordinates

Type Non-standard block

**Description** Positions (in ) and values of multipole charges, one per line. Each line describes a singe point charge like: x y z q, or x y z q py pz px. Here x, y, z are the coordinates, q is the charge (in atomic units of charge) and py, pz, px are the (optional) dipole components (in atomic units, i.e. e/Bohr). Periodic systems are not supported.

#### FractionalCoords

Type Bool

## Default value No

**Description** Whether the atomic coordinates in the Atoms block are given in fractional coordinates of the lattice vectors. Requires the presence of the Lattice block.

## GeometryFile

Type String

**Description** Read the geometry from a file (instead of from Atoms and Lattice blocks). Supported formats: .xyz

#### GuessBonds

Type Bool

Default value No

Description Whether or not UFF bonds should be guessed.

#### Lattice

Type Non-standard block

**Description** Up to three lattice vectors. Unit can be specified in the header. Default unit is Angstrom.

#### LatticeStrain

**Type** Float List

**Description** Deform the input system by the specified strain. The strain elements are in Voigt notation, so one should specify 6 numbers for 3D periodic system (order: xx,yy,zz,yz,xz,xy), 3 numbers for 2D periodic systems (order: xx,yy,xy) or 1 number for 1D periodic systems.

#### LoadForceFieldAtomTypes

#### Type Block

**Description** This is a mechanism to set the ForceField.Type attribute in the input. This information is currently only used by the ForceField engine.

### File

Type String

Description Name of the (kf) file. It needs to be the result of a forcefield calculation.

#### LoadForceFieldCharges

Type Block

Recurring True

**Description** This is a mechanism to set the ForceField.Charge attribute in the input. This information is currently only used by the ForceField engine.

#### CheckGeometryRMSD

Type Bool

### Default value No

**Description** Whether the geometry RMSD test should be performed, see MaxGeometryRMSD. Otherwise only basic tests are performed, such as number and atom types. Not doing the RMSD test allows you to load molecular charges in a periodic system.

## File

Type String

Description Name of the (kf) file

#### MaxGeometryRMSD

Type Float

**Default value** 0.1

Unit Angstrom

**Description** The geometry of the charge producing calculation is compared to the one of the region, and need to be the same within this tolerance.

## Region

Type String

Default value \*

Description Region for which the charges should be loaded

#### Section

Type String

**Default value** AMSResults

Description Section name of the kf file

#### Variable

Type String

Default value Charges

Description Variable name of the kf file

## MapAtomsToUnitCell

Type Bool

Default value No

Description For periodic systems the atoms will be moved to the central cell.

## PerturbCoordinates

Type Float

Default value 0.0

Unit Angstrom

**Description** Perturb the atomic coordinates by adding random numbers between [-PerturbCoordinates,PerturbCoordinates] to each Cartesian component. This can be useful if you want to break the symmetry of your system (e.g. for a geometry optimization).

## PerturbLattice

Type Float

## Default value 0.0

**Description** Perturb the lattice vectors by applying random strain with matrix elements between [-PerturbLattice,PerturbLattice]. This can be useful if you want to deviate from an ideal symmetric geometry, for example if you look for a phase change due to high pressure.

## RandomizeAtomOrder

Type Bool

Default value No

**Description** Whether or not the order of the atoms should be randomly changed. Intended for some technical testing purposes only. Does not work with bond information.

### ShiftCoordinates

Type Float List

Unit Bohr

**Description** Translate the atoms by the specified shift (three numbers).

## SuperCell

Type Integer List

**Description** Create a supercell of the input system (only possible for periodic systems). The integer numbers represent the diagonal elements of the supercell transformation; you should specify as many numbers as lattice vectors (i.e. 1 number for 1D, 2 numbers for 2D and 3 numbers for 3D periodic systems).

## SuperCellTrafo

Type Integer List

**Description** Create a supercell of the input system (only possible for periodic systems)  $\vec{a}'_i = \sum_j T_{ij}\vec{a}_j$ . The integer numbers represent the supercell transformation  $T_{ij}$ : 1 number for 1D PBC, 4 numbers for 2D PBC corresponding to a 2x2 matrix (order: (1,1),(1,2),(2,1),(2,2)) and 9 numbers for 3D PBC corresponding to a 3x3 matrix (order: (1,1),(1,2),(1,3),(2,1),(2,2),(2,3),(3,1),(3,2),(3,3)).

#### Symmetrize

Type Bool

## Default value No

**Description** Whether to symmetrize the input structure. This might also rototranslate the structure into a standard orientation. This will symmetrize the atomic coordinates to machine precision. Useful if the system is almost symmetric or to rototranslate a symmetric molecule into a standard orientation.

## Symmetry

Type Multiple Choice

## Default value AUTO

- Options [AUTO, NOSYM, C(LIN), D(LIN), C(I), C(S), C(2), C(3), C(4), C(5), C(6), C(7), C(8), C(2V), C(3V), C(4V), C(5V), C(6V), C(7V), C(8V), C(2H), C(3H), C(4H), C(5H), C(6H), C(7H), C(8H), D(2), D(3), D(4), D(5), D(6), D(7), D(8), D(2D), D(3D), D(4D), D(5D), D(6D), D(7D), D(8D), D(2H), D(3H), D(4H), D(5H), D(6H), D(7H), D(8H), I, I(H), O, O(H), T, T(D), T(H), S(4), S(6), S(8)]
- **Description** Use (sub)symmetry with this Schoenflies symbol. Can only be used for molecules. Orientation should be correct for the (sub)symmetry. If used icw Symmetrize, the symmetrization will not reorient the molecule.

#### Task

Type Multiple Choice

**Options** [SinglePoint, GeometryOptimization, TransitionStateSearch, IRC, PESScan, NEB, VibrationalAnalysis, MolecularDynamics, GCMC] Description Specify the computational task to perform: • Single Point: keep geometry as is • Geometry Optimization: optimize the geometry • Transition State: search for the transition state • IRC: intrinsic reaction coordinate • PES Scan: scan the potential energy surface • NEB: Nudged elastic band for reaction path optimization • Vibrational Analysis: perform one of the analysis types selected on the options page • Molecular Dynamics: perform MD simulation • GCMC: Grand Canonical Monte Carlo simulation

## Thermo

## Type Block

**Description** Options for thermodynamic properties (assuming an ideal gas). The properties are computed for all specified temperatures.

## LowFrequencyCorrector

### Type Block

**Description** Options for the dampener-powered free rotor interpolator that corrects thermodynamic quantities for low frequencies. See DOI:10.1021/jp509921r and DOI:10.1002/chem.201200497.

## Alpha

Type Float

Default value 4.0

Description The exponent term used in the dampener.

#### Frequency

Type Float

Default value 100.0

Unit cm-1

**Description** The frequency around which the dampener interpolates between harmonic oscillator and free rotor quantities.

## MomentOfInertia

Type Float

Default value 1e-44

Unit kg m^2

GUI name Averaging Moment of Inertia

**Description** The moment of inertia used to restrict entropy results for very small frequencies (generally around less than 1 cm-1).

#### Pressure

Type Float

**Default value** 1.0

Unit atm

Description The pressure at which the thermodynamic properties are computed.

### Temperatures

Type Float List

**Default value** [298.15]

Unit Kelvin

Description List of temperatures at which the thermodynamic properties will be calculated.

## TransitionStateSearch

Type Block

Description Configures some details of the transition state search.

## ModeToFollow

Type Integer

## Default value 1

**Description** In case of Transition State Search, here you can specify the index of the normal mode to follow (1 is the mode with the lowest frequency).

## ReactionCoordinate

## Type Block

**Description** Specify components of the transition state reaction coordinate (TSRC) as a linear combination of internal coordinates (distances or angles).

## Angle

Type String

## Recurring True

**Description** The TSRC contains the valence angle between the given atoms. Three atom indices followed by the weight.

## Dihedral

Type String

## Recurring True

**Description** The TSRC contains the dihedral angle between the given atoms. Four atom indices followed by the weight.

## Distance

Type String

## Recurring True

**Description** The TSRC contains the distance between the given atoms. Two atom indices followed by the weight.

## UseSymmetry

Type Bool

## Default value Yes

**Description** Whether to use the system's symmetry in AMS. Symmetry is recognized within a tolerance as given in the Symmetry key.

## VibrationalAnalysis

Type Block

Description Input data for all vibrational analysis utilities in the AMS driver.

### AbsorptionSpectrum

Type Block

Description Settings related to the integration of the spectrum for vibronic tasks.

## AbsorptionRange

Type Float List

**Default value** [-200.0, 4000.0]

Unit cm-1

Recurring True

**Description** Specifies frequency range of the vibronic absorption spectrum to compute. 2 numbers: an upper and a lower bound.

## FrequencyGridPoints

Type Integer

Default value 400

Description Number of grid points to use for the spectrum

## LineWidth

Type Float

Default value 200.0

Unit cm-1

Description Lorentzian line-width.

## SpectrumOffset

Type Multiple Choice

Default value relative

**Options** [absolute, relative]

**Description** Specifies whether provided frequency range are absolute frequencies or frequencies relative to computed 0-0 excitation energy.

## Displacement

Type Float

**Description** Step size for finite difference calculations.

## ExcitationSettings

Type Block

Description Block that contains settings related to the excitation for vibronic tasks.

#### EnergyInline

Type Float

Unit hartree

Description Vertical excitation energy, used when [ExcitationInfo] = [Inline].

## ExcitationFile

Type String

**Description** Path to a .rkf/.t21 file containing the excited state information (gradients, transition dipoles and energies).

#### ExcitationInputFormat

Type Multiple Choice

Default value File

Options [File, Inline]

**Description** Select how the application should retrieve the excited state information (energy, gradient).

#### GradientInline

Type Non-standard block

**Description** Excited state gradient at ground state equilibrium geometry, used when [ExcitationInfo] = [Inline].

#### Singlet

Type Non-standard block

**Description** Symmetry labels + integer indices of desired singlet transitions (VG-FC absorption spectra support only 1 at a time)

## Triplet

Type Non-standard block

**Description** Symmetry labels + integer indices of desired triplet transitions (VG-FC absorption spectra support only 1 at a time)

## ModeTracking

Type Block

Description Input data for Mode Tracking.

### HessianGuess

Type Multiple Choice

Default value CalculateWithFastEngine

**Options** [Unit, File, CalculateWithFastEngine]

GUI name Guess Hessian

**Description** Sets how to obtain the guess for the Hessian used in the preconditioner (if one is to be used).

#### HessianInline

Type Non-standard block

**Description** Initial guess for the (non-mass-weighted) Hessian in a 3N x 3N block, used when [HessianGuess] = [Inline].

#### HessianPath

#### Type String

**Description** Path to a .rkf file containing the initial guess for the Hessian, used when [HessianGuess] = [File]. It may also be the name of the results folder containing the engine file.

#### ToleranceForBasis

## Type Float

Default value 0.0001

**Description** Convergence tolerance for the contribution of the newest basis vector to the tracked mode.

## ToleranceForNorm

Type Float

Default value 0.0005

Description Convergence tolerance for residual RMS value.

#### ToleranceForResidual

Type Float

Default value 0.0005

Description Convergence tolerance for the maximum component of the residual vector.

#### ToleranceForSpectrum

Type Float

Default value 0.01

Description Convergence tolerance for the spectrum in Vibronic Structure Tracking.

## TrackingMethod

Type Multiple Choice

Default value OverlapInitial

**Options** [OverlapInitial, DifferenceInitial, FreqInitial, IRInitial, OverlapPrevious, DifferencePrevious, FreqPrevious, IRPrevious, HighestFreq, HighestIR, LowestFreq, LowestResidual]

**Description** Set the tracking method that will be used. Vibronic Structure Tracking uses Largest Displacement.

## UpdateMethod

Type Multiple Choice

**Options** [JD, D, I]

**Description** Chooses the method for expanding the Krylov subspace: (I) No preconditioner (VST default), (D) Davidson or (JD) vdVorst-Sleijpen variant of Jacobi-Davidson (Mode tracking default).

#### NormalModes

Type Block

**Description** All input related to processing of normal modes. Not available for vibronic structure tracking (as no modes are required there).

#### MassWeightInlineMode

Type Bool

Default value Yes

**Description** MODE TRACKING ONLY: The supplied modes must be mass-weighted. This tells the program to mass-weight the supplied modes in case this has not yet been done. (True means the supplied modes will be mass-weighted by the program, e.g. the supplied modes are non-mass-weighted.)

#### ModeFile

#### Type String

**Description** Path to a .rkf or .t21 file containing the modes which are to be scanned. Which modes will be scanned is selected using the criteria from the [ModeSelect] block.) This key is optional for Resonance Raman and Vibronic Structure. These methods can also calculate the modes using the engine.

#### ModeInline

Type Non-standard block

### Recurring True

**Description** MODE TRACKING ONLY: Coordinates of the mode which will be tracked in a N x 3 block (same as for atoms), used when [ModeInputFormat] = [Inline]. Rows must be ordered in the same way as in the [System%Atoms] block. Mode Tracking only.

### ModeInputFormat

Type Multiple Choice

Default value File

**Options** [File, Inline, Hessian]

GUI name Tracked mode source

**Description** Set how the initial guesses for the modes are supplied. Only mode tracking supports the Inline and Hessian options.

#### ModeSelect

Type Block

Description Pick which modes to read from file.

#### DisplacementBound

#### **Type** Float

**Description** Vibronic Structure (Refinement), Resonance Raman: Select all modes with a dimensionless oscillator displacement greater than the specified value.

#### FreqAndIRRange

Type Float List

Unit cm-1 and km/mol

Recurring True

**Description** Specifies a combined frequency and IR intensity range within which all modes will be selected. First 2 numbers are the frequency range, last 2 numbers are the IR intensity range.

### FreqRange

Type Float List

Unit cm-1

## Recurring True

**Description** Specifies a frequency range within which all modes will be selected. 2 numbers: an upper and a lower bound. Calculating all modes higher than some frequency can be achieved by making the upper bound very large.

## Full

Type Bool

Default value No

GUI name All modes

Description Select all modes. This only make sense for Mode Scanning calculations.

## HighFreq

Type Integer

GUI name # High frequencies

Description Select the N modes with the highest frequencies.

## HighIR

Type Integer

GUI name # High IR

Description Select the N modes with the largest IR intensities.

#### IRRange

Type Float List

Unit km/mol

Recurring True

**Description** Specifies an IR intensity range within which all modes will be selected. 2 numbers: an upper and a lower bound.

## ImFreq

Type Bool

Default value No

GUI name All imaginary frequencies

Description Select all modes with imaginary frequencies.

## LargestDisplacement

Type Integer

**Description** Vibronic Structure (Refinement), Resonance Raman: Select the N modes with the largest VG-FC displacement.

## LowFreq

Type Integer

GUI name # Low frequencies

**Description** Select the N modes with the lowest frequencies. Includes imaginary modes which are recorded with negative frequencies.

## LowFreqNoIm

Type Integer

**GUI name** # Low positive frequencies

**Description** Select the N modes with the lowest non-negative frequencies. Imaginary modes have negative frequencies and are thus omitted here.

#### LowIR

Type Integer

GUI name # Low IR

Description Select the N modes with the smallest IR intensities.

#### ModeNumber

Type Integer List

GUI name Mode numbers

Description Indices of the modes to select.

#### ScanModes

Type Bool

Default value No

GUI name Scan after refining

**Description** Supported by: Mode Tracking, Mode Refinement, Vibronic Structure Refinement: If enabled an additional displacement will be performed along the new modes at the end of the calculation to obtain refined frequencies and IR intensities. Equivalent to running the output file of the mode tracking calculation through the AMS ModeScanning task.

#### ResonanceRaman

#### Type Block

Description Block that contains settings for the calculation of Resonance Raman calculations

## IncidentFrequency

Type Float

Unit cm-1

**Description** Frequency of incident light. Also used to determine most important excitation in case more than one is provided.

## LifeTime

Type Float

Default value 0.00045

Unit hartree

Description Lifetime of Raman excited state.

#### RamanOrder

Type Integer

**Default value** 2

Description Order up to which to compute Raman transitions

## RamanRange

Type Float List

**Default value** [0.0, 2000.0]

Unit cm-1

Recurring True

**Description** Specifies frequency range of the Raman spectrum to compute. 2 numbers: an upper and a lower bound.

## Туре

Type Multiple Choice

**Options** [ModeScanning, ModeTracking, ModeRefinement, VibronicStructure, VibronicStructureTracking, VibronicStructureRefinement, ResonanceRaman]

Description Specifies the type of vibrational analysis that should be performed

## VSTRestartFile

Type String

Description Path to a .rkf file containing restart information for VST.

## INDEX

## A

Add molecules (molecular dynamics), 92 Add-ons, 195 ADF, 189 Adiabatic Hessian Franck-Condon, 167 AMS input file,9 ams.log.15 ams.rkf,15 AMS JOBNAME, 16 AMS RESULTSDIR, 16 AMS\_SWITCH\_LOGFILE\_AND\_STDOUT, 16 AMSPipe, 21 Applications, 38 APT, 165 Atom energies, 200 Atomic charges, 184 Atomic masses, 33 Atomic polar tensor, 165 Autocorrelation function, 211 Available engines, 189

# В

BAND, 189 Barostats, 84 Binary output files, 15 Block constraints, 45 Block normal modes, 134 Bond Boost method, 105 Bond energy calculation, 39 Bond orders, 186 Bulk modulus, 125

# С

Cell optimization, 44 Charge, 33 Compute clusters, 13 Conjugate gradients (*geometry optimizer*), 54 Constrained optimization, 44 Constraints, 44 CREST metadynamics, 99 CVHD, 100

# D

D3 dispersion add-on, 196 D4 dispersion add-on, 196 D5 dispersion add-on, 196 Developer options, 285 DFTB, 189 Diffusion coefficient, 215 Dipole moment, 184 Double group symmetry, 287 Double parallelism, 17 Driver level parallelism, 17

## Е

eHEX method (*NEMD*), 107 Elastic properties, 125 Elastic tensor, 125 Electric field, 33 Engine add-ons, 195 Engine output files, 15 Engines, 187 Enthalpy, 151 Entropy, 151 Environment variables, 16 Examples, 218 Excited state optimizations, 74 External engines, 191

# F

FCF, 167 FCF module, 167 FIRE (geometry optimizer), 49 Fixed atoms, 45 Fluorescence, 167 Forces, 121 Fractional coordinates, 29 Franck-Condon factors, 166, 167 Free rotor interpolation corrections, 151

# G

GCMC, 111

```
Geometry, 27
Geometry constraints, 44
Geometry convergence, 43
Geometry optimization, 41
Geometry optimization methods, 46
Geometry relaxation, 41
Gibbs free energy, 151
Grand canonical Monte Carlo, 111
```

# Η

```
Heat capacity, 151
Heat exchange (molecular dynamics), 107
Hessian, 122
HEX method (NEMD), 107
Histogram (molecular dynamics), 209
```

## I

```
Imaginary modes, 133
IMDHO, 174
Independent mode displaced harmonic
        oscillator, 174
Initial Hessian, 49
Input file syntax, 9
Input for AMS, 7
Interface to external programs, 191
Internal energy, 151
Intrinsic reaction coordinate, 68
IR frequencies, 131
IRC, 68
Irreducible representation, 287
Isotopes, 33
```

## J

Job name, 16

## L

```
L-BFGS (geometry optimizer), 53
Lattice, 27
Lattice constraints, 46
Lattice deformations, 89
Lattice optimization, 44
Lattice vectors, 28
Lattice vibrations, 155
Lennard-Jones potential, 195
Linear transit, 58
Logfile ams.log, 15
```

## Μ

MBH, 134
MD, 75
MD trajectory analysis, 206
MEP, 68
Minimum energy profile, 68
MLPotential, 189

Mobile block Hessian, 134 Mode intensity tracking, 146 Mode refinement, 138 Mode scanning, 137 Mode selecting, 149 Mode tracking, 140 Molecular dynamics, 75 Molecular dynamics checkpoint, 87 Molecule detection, 186 Molecule gun (*molecular dynamics*), 92 Moments of inertia, 155 MOPAC, 189

## Ν

NEB,62 NEMD,107 Non-isotropic stress,199 Normal modes,131 Nuclear gradients,121 Nudged elastic band,62

## 0

Output files, 15 Output of AMS, 14

## Ρ

Partial vibrational density of states, 155 Partial vibrational spectra, 155 PES point characterization, 122 PES point properties, 119 PES scan, 58 Phonons, 155 Phosphorescence, 167 Pipe interface, 21 Pipe protocol, 21 PLAMS, 21 PLUMED library, 98 Point charges, 33 Polarizability, 184 Pressure, 198 Pressure (molecular dynamics), 86 Properties,8 PVDOS, 155 Python, 21

## Q

Quasi-Newton (geometry optimizer), 47

## R

Radial distribution function,207 Raman,159 Raman-active vibrations,134 RDF,207

## ReaxFF, 189

Regions, 32 Remove molecules (molecular dynamics), 95 Required citations, 291 Resonance Raman, 160 Resonance VROA, 163 Restart (geometry), 35 Restart (molecular dynamics), 81 Restraints, 201 Results directory, 13, 15 Run types, 38 Running AMS, 12

# S

Scan coordinate, 59 ScanFreq, 133 Schönflies symbol, 287 SCM\_TMPDIR, 14 SCMGO (geometry optimizer), 52 Scratch directory, 14 Scripting, 21 Shear modulus, 125 Single point calculation, 39 Starting directory, 13 Strain constraints, 46 Stress tensor, 125 Structure relaxation, 41 Subspecies, 287 Super cell, 29 Symmetric displacements, 133 Symmetrization, 30Symmetry, 30, 287 Symmetry label, 287

# Т

```
T-NEMD, 107
Task farming, 17
Tasks, 8, 38
Temperature (molecular dynamics), 86
Temporary directory, 14
Thermodynamics, 151
Thermostat, 82
Trajectory sampling, 86
Transition state search, 55
Two-level parallelism, 17
```

## U

UFF, 189 Utilities, 203

## V

VCD, 165 VCDtools module, 215 Vertical gradient Franck-Condon, 174 VG-FC, 174 Vibrational circular dichroism, 165 Vibrational Raman optical activity, 163 Vibrational spectroscopy, 129 Vibrationally resolved electronic spectra, 166 Vibronic-structure excited state, 166 Volume regimes, 89 VROA, 163

## Х

XYZ file format, 285

## Y

Young modulus, 125

## Ζ

Z-matrix,28